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1 **Branching out: towards a trait-based understanding of fungal ecology**

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20

21 **Abstract**

22
23 Fungal ecology lags behind in the use of traits (i.e. phenotypic characteristics) to understand
24 ecological phenomena. We argue this is a missed opportunity and that the selection and systematic
25 collection of trait data throughout the fungal kingdom will reap major benefits in ecological and
26 evolutionary understanding of fungi. To develop our argument, we first employ plant trait examples
27 to show the power of trait-based approaches in understanding ecological phenomena such as
28 identifying species allocation resources patterns, inferring community assembly and understanding
29 diversity-ecosystem functioning relationships. Second, we discuss ecologically relevant traits in fungi
30 that could be used to answer such ecological phenomena and can be measured on a large proportion
31 of the fungal kingdom. Third, we identify major challenges and opportunities for widespread,
32 coordinated collection and sharing of fungal trait data. The view that we propose has the potential to
33 allow mycologists to contribute considerably more influential studies in the area of fungal ecology
34 and evolution, as has been demonstrated by comparable earlier efforts by plant ecologists. This
35 represent a change of paradigm, from community profiling efforts through massive sequencing tools,
36 to a more mechanistic understanding of fungal ecology.

37 **Keywords:**

38 Traits; resource allocation; community assembly; ecosystem processes

39

40

41

42 **1. Introduction**

43
44 We live in a fungal world (de Boer et al., 2005); fungi profoundly impact population, community and
45 ecosystem dynamics from local to global scales (Averill et al., 2014; Fisher et al., 2012). Yet fungal
46 ecologists struggle to comprehensively understand fungal community assembly and its contribution
47 to ecosystem functioning. Such understanding requires knowledge of the traits (i.e. phenotypic
48 characteristics) of species that determine both their responses to environmental factors and their
49 effect on ecosystem processes (Mcgill 2006; Petchey and Gaston 2006). So far, fungal traits have
50 been used mainly for identification and classification (Kumar et al., 2011) but rarely for
51 understanding fungal ecology. We argue that the selection and systematic collection of trait data
52 throughout the fungal kingdom will reap major benefits in ecological and evolutionary understanding
53 of fungi.

54
55 In this paper, we highlight how a core set of fungal traits can be used to address ecological
56 phenomena. To do this, we employ plant trait examples, where the trait approach has been used
57 successfully (e.g. Katabuchi et al., 2012). Second, we exemplify ecologically relevant traits in fungi,
58 focusing on traits that can be measured for a large proportion of the fungal kingdom. Third, we
59 identify major challenges and opportunities for widespread, coordinated collection and sharing of
60 fungal trait data.

61
62 **2. Using trait data in ecological research: examples from plant ecology**

63 Trait data have been used in ecology for different purposes, but here we concentrate on three
64 influential examples of the use of a core set of plant traits as a means of (i) identifying trade-offs in
65 resource use, (ii) detecting the relative importance of habitat filter versus niche partitioning in
66 community assembly, and (iii) understanding how biodiversity affects ecosystem processes by
67 quantifying functional diversity. We focus on plant ecology because this field presents the most
68 thorough development of a trait-based ecology (Adler et al., 2013) and provides examples analogous
69 to many aspects of fungal biology.

70 *(i)* Identifying trade-offs in resource use.

71 Trait data can be used to identify patterns of resource allocation to fitness components and
72 physiological functions (Westoby et al., 2002). In a landmark study, Wright et al. (2004) used
73 six leaf traits to show that plant species can be placed along a major axis in the revenue
74 obtained per leaf construction unit, which they termed the “leaf economic spectrum”: at one
75 extreme, there are species that invest few resources in leaf construction (e.g. thinner leaf,
76 blade, shorter leaf lifespan) with short-term gains in photosynthates, while other species

77 exhibit the opposite trait combinations (e.g. thicker leaf blades, longer leaf lifespan). This
78 spectrum is consistent across a wide range of habitats, latitudes, and ecosystem types.

79
80 (II) Detecting the relative importance of habitat- filtering versus niche- partitioning in community
81 assembly.

82 These approaches are based on measurements of trait means, variances and ranges at the
83 community level. For example, habitat filtering (i.e., the extent to which abiotic factors like
84 temperature, pH or nutrient levels prevent some species from establishing in local
85 communities (HilleRisLambers et al., 2012)) is indicated by reductions in ~~the~~ trait ranges at
86 local scales. The rationale is that some species (and their traits) will be excluded in local
87 communities with particular environmental conditions, and thus the trait range at local scales
88 will be smaller ~~than expected by chance~~ as most species will have similar trait values
89 (Cornwell et al., 2006). For example, in low ~~resources~~ nutrient patches (light, mineral
90 nutrients) small-seeded plants ~~cannot establish given the~~ fewer/lower amount of reserves
91 they possess in comparison to large-seeded plants will be excluded, thus ~~the observed as only~~
92 the large-seeded subset of the species pool can establish, the lower the range of seed sizes
93 observed in the patch ~~more large-seeded species establish on such patches, the range of~~
94 seed sizes (the difference between the largest and smallest seed) in the community would be
95 smaller (see (Kraft and Ackerly, 2010) for details on statistical analysis). ~~size~~ in local low
96 nutrient patches will be small (Adler et al., 2013). At the other extreme, niche partitioning
97 (i.e. the extent by to which interacting species differ in their niches to stably co-exist)
98 is inferred from increasing dissimilarities in trait values among co-occurring species,
99 especially of traits related to the way they obtain resources acquisition, and deal with stress
100 and enemy attack). Thus, trait values among co-occurring species would be expected to be
101 more different than expected by chance (Paine et al., 2011). For example, it has been shown
102 that when plant species interact, they have dissimilar rooting depth values, reflecting
103 partitioning of soil resources (Nobel, 1997). ~~Thus, niche partitioning is inferred from over-~~
104 dispersion in trait values among co-occurring species that is would be expected to be more
105 different ~~greater than expected by chance (Paine et al., 2011) indicating reduced niche~~
106 overlap (Adler et al., 2013).

107
108 (III) Understanding how biodiversity affects ecosystem processes by quantifying functional
109 diversity.

110 Functional diversity refers to the number of functionally different species ~~species~~ present in a
111 community. The particular "function" a species performs is reflected by the sum of all the ~~is~~

operationalized as pair-wise distances in trait values between species occurring in local communities in an n -dimensional trait space. The traits considered are chosen as those most likely to traits it possess that reflect/determine the its contribution of species to an the ecosystem process in question of interest (Petchey and Gaston, 2006). In plants, resource acquisition traits are commonly used (e.g., plant height reflects the ability to intercept light; leaf nitrogen concentration reflects the ability to acquire nitrogen). Further, multivariate statistical metrics have been developed to that capture differences between species occurring in a given community using multiple traits (Petchey and Gaston, 2002). Functional diversity defined in this way by plant traits has been shown to be a better predictor of, for example, aboveground productivity than other measures of diversity such as species richness (e.g. Flynn et al., 2011).

3. Defining ecologically relevant fungal traits

In this section we identify the types of fungal traits that are good candidates for trait-based approaches mentioned in the previous section based on three criteria: (1) *ecological versatility of traits*, i.e. the traits should be representative for inferring fungal use of resources, community assembly mechanisms and multiple ecosystem processes, (2) a *wide scope throughout the fungal kingdom*, i.e. the traits should be relevant for a large pool of fungal species, and (3) *measurability*, i.e. methods should exist (or can be conceived) for their standardized measurement. In this way, data can be obtained from a large pool of species in a relatively short time using standardized protocols.

3.1 Ecological versatility of traits

Traits meeting this criterion (Table 1) are grouped into life-history, morphological or physiological traits. Life-history traits reflect resources investment into different fitness components: survival, growth and reproduction (Flatt and Heyland, 2011). For example, life span of hyphae/fungal structures, number of spores/propagules, and allocation of number biomass of either vegetative hyphae/mycelia or reproductive structures represent fungal life history traits.

The morphological and physiological traits should correlate with fitness components, have predictive value in explaining species responses to environmental factors, or be relevant for ecosystem processes. Unlike plant trait data for which empirical support has been established (Westoby et al., 2002), the ecological relevance for many fungal traits are based on expert opinion and have yet to be empirically tested.

We summarize the potential relevance of some of the traits in community assembly and ecosystem functioning in Table 2. For community assembly, any trait that can be related to a major ecological

146 axis such as resource acquisition, enemy avoidance (predation/fungivory), or stress tolerance (Chase
147 and Leibold, 2003) may be useful. As fungi are involved in many ecological processes, an exhaustive
148 list of fungal functional traits impacting ecosystem processes is beyond the scope of the paper.
149 Instead we illustrate three key ecosystem processes for which we expect fungi to play an important
150 role in terrestrial ecosystems: soil aggregation, plant productivity (host growth) and organic matter
151 decomposition (Boddy, 2001; Mitchell, 2003; Rillig et al., 2014). Some of the traits, such as those
152 related to mycelial architecture, may be linked to several ecosystem processes (Table 2).

153

154 *3.2 Scope of the traits within the fungal kingdom*

155 The traits in Table 1 are mostly applicable to terrestrial, filamentous fungi. We consider this group as
156 a good starting point in the development of a trait-oriented approach because they include the
157 largest known diversity of the fungal kingdom, exhibit a wide variety of lifestyles, and have a
158 cosmopolitan distribution (Blackwell, 2011). However, traits relevant for aquatic and non-filamentous
159 basal fungi require further consideration (Stajich et al., 2009).

160

161 *3.3 Measurability of the traits*

162 Traits are measured on individuals, but the modular growth of filamentous fungi challenges
163 definitions of what an individual is (Pringle and Taylor, 2002); here we propose trait measurements of
164 fungal structures (e.g. hyphae, spores) important in colonizing a resource patch. A resource patch can
165 be operationalized as a unit of host plant tissue, decaying material, or a Petri dish with a known
166 medium under a narrow set of environmental conditions. This approach is aligned with models of
167 fungal resource allocation (to mycelial growth vs. spore production), and focuses on the number or
168 size of fungal structures within a resource patch (Gilchrist et al., 2006). Furthermore,
169 measuring focusing on fungal traits found under given within resource patches on controlled
170 conditions allows the standardization of trait measurements and the integration of existing data from
171 the literature and databases on fungal growth rates on different substrates/media (discussed below).
172 In fungi, data obtained under such controlled environmental conditions have great potential for
173 understanding ecological phenomena, as exemplified by the use of plant relative growth rate
174 (measured in hydroponic conditions) to predict productivity in the field (Vile et al., 2006).

175 **4. Overcoming challenges to facilitate the widespread use of trait approaches in fungal** 176 **ecology**

177 *4.1. Trait data collection*

178 Currently, fungal trait measurements are made in a non-systematic fashion with a variety of
179 protocols, often focusing on qualitative, rather than quantitative, differences and with taxonomic
180 purposes. For instance, recent metabolic surveys of fungi measured enzyme activity using a variety of
181 methods (as e.g. in Mandyam et al. (2010); or in Promputtha et al. (2010)). No “handbooks” exist for
182 the measurement of ecologically relevant fungal traits as do for plants (e.g. Pérez-Harguindeguy et
183 al., 2013). Such handbooks would provide an important resource for mycologists and additionally
184 serve as a teaching tool. Undergraduate courses in mycology represent an excellent opportunity to
185 obtain trait data from cultured isolates and environmental samples.

186 *4.2. Use of intraspecific trait diversity*

187 Most trait-based ecological studies for plants consider the species as the unit of interest. This results
188 in the practice of using average trait values per species, often ignoring intraspecific trait variability
189 (e.g. Kraft et al., 2011). However, incorporating this source of variability could lead to improved
190 predictability (Bolnick et al., 2011; Violle et al., 2012). In fungi, intraspecific trait variability is
191 expected to be high (Behm and Kiers, 2014), given inherent intraspecific variability, trait plasticity in
192 different environments/hosts or complex saprotrophic-symbiotic cycles (Rodriguez et al., 2009).
193 Methods have been proposed to incorporate intraspecific variability when measuring functional
194 diversity (de Bello et al., 2011) and community ecology studies incorporate intraspecific variability to
195 better understand community assembly (e.g. Jung et al., 2010). Therefore, an additional challenge
196 will be to incorporate the life-cycle diversity of ecologically relevant traits in fungi.

197

198 *4.3. Storage and availability of trait data*

199 Currently, there is a wealth of valuable fungal trait data in culture collections, taxonomic keys and
200 compendia. These data are often stored in a variety of formats and accessibility. These include
201 mycological journals with species descriptions, compendia for identification of fungi (e.g. Domsch et
202 al., 2007), and laboratory records of individual mycologists. Collating and making such data available
203 should be a primary task. In addition, specialized databases are scattered over a different locations,
204 using different formats. Examples are the AFTOL structural and biochemical fungal trait databases
205 (<https://aftol.umn.edu/>), the CBS fungal growth on media/substrate database ([http://www.fung-](http://www.fung-growth.org/)
206 [growth.org/](http://www.fung-growth.org/)), and the fungal plant cell-wall degrading enzyme database
207 (<http://pcwde.riceblast.snu.ac.kr>). A global trait database for fungal ecology is a long-term goal and
208 the immensity of this task should not intimidate researchers. Initially, plant trait data were similarly
209 disparte and it took several years before they were successfully aggregated into comprehensive
210 databases (Kattge et al. (2011)).

211

212 *4.4. Linkage to genomic data*

213 Mycologists are inventorying fungal species using genomic methods at a massive scale in a multitude
214 of ecosystems. The wealth of fungal genomic data obtained by this high-throughput sequencing is
215 underused in terms of asking general ecological questions (Poisot et al., 2013), nor is it being linked
216 to ecological relevant fungal traits. However, these DNA-based species have no corresponding
217 morphotype; and thus there is little knowledge of what changes in species compositions means in
218 terms of functional, or trait properties of communities (Prosser et al., 2007). If this wealth of
219 information could be linked to a functional trait database, data generated in high-throughput
220 sequencing could be used to better understand fungal community assembly and its relationship
221 ecosystem processes. A trait database could be linked to genetic barcodes (the choice of which has
222 recently been agreed upon for fungi (Kõljalg et al., 2013; Schoch et al., 2012), and integrated with
223 taxonomic databases such as UNITE and DEEMY for ectomycorrhizal fungi (Abarenkov et al., 2010;
224 Agerer and Rambold, 2004). Clearly, concerted and co-ordinated characterization of fungi with regard
225 to genomics, phylogenetics and traits is a major opportunity.

Field Code Changed

226 **5. Concluding remarks**

227 Among mycologists, efforts are increasing to implement trait-based approaches both conceptually
228 (Aguilar-Trigueros et al., 2014; Crowther et al., 2014; Chagnon et al., 2013; Falconer et al., 2011;
229 Koide et al., 2014) and empirically (Pena and Polle, 2014; Philibert et al., 2011). While these efforts
230 have been valuable, their scope has been limited to defined functional groups (e.g., root-associated
231 fungi, forest pathogens) or specific interactions (e.g., competition). We propose to build on these
232 approaches. This process represents a change of paradigm, from community profiling efforts through
233 sequencing tools and a focus on species composition to a more intimate, deeper understanding of
234 fungi in ecosystems. This mechanistic understanding will allow key ecological questions to be
235 addressed including, for example: What are the consequences of fungal diversity loss in terms of
236 ecosystem functioning? Can we predict fungal community change due to climate or land-use change?
237 Can we manipulate fungal communities to better support ecosystem services?

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239

240

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