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1 **Pre-birth origins of allergy and asthma**

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16 **Abstract:** Allergy is a chronic disease that can develop as early as infancy, suggesting that early life
17 factors are important in its aetiology. Variable associations between size at birth, a crude marker of
18 the fetal environment, and allergy have been reported in humans and require comprehensive
19 review. Associations between birth weight and allergy are however confounded in humans, and we
20 and others have therefore begun exploring the effects of early life events on allergy in experimental
21 models. In particular, we are using ovine models to investigate whether and how a restricted
22 environment before birth protects against allergy, whether methyl donor availability contributes to
23 allergic protection in IUGR, and why maternal asthma during pregnancy is associated with increased
24 risks of allergic disease in children. We found that experimental intrauterine growth restriction
25 (IUGR) in sheep reduced cutaneous responses to antigens in progeny, despite normal or elevated IgE
26 responses. Furthermore, maternal methyl donor supplementation in late pregnancy partially
27 reversed effects of experimental IUGR, consistent with the proposal that epigenetic pathways
28 underlie some but not all effects of IUGR on allergic susceptibility. Ovine experimental allergic
29 asthma with exacerbations reduces relative fetal size in late gestation, with some changes in
30 immune populations in fetal thymus suggestive of increased activation. Maternal allergic asthma in
31 mice also predisposes progeny to allergy development. In conclusion, these findings in experimental
32 models provide direct evidence that a perturbed environment before birth alters immune system
33 development and postnatal function, and provide opportunities to investigate underlying
34 mechanisms and develop and evaluate interventions.

35 **Key words:** Pregnancy; Developmental programming; Experimental models; IUGR; Folic acid

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38 1. Introduction

39 Several of the authors within this special issue have discussed the evidence that inflammation during
40 pregnancy induces pregnancy complications, and the underlying mechanisms act via activation of
41 toll-like receptor pathways. For example, maternal inflammatory signals induced by infectious and
42 non-infectious stimuli are critical for normal labour and delivery and are implicated as causes of
43 preterm labour. Intriguingly, the converse is also true, that exposures during gestation can
44 predispose the progeny to later development of the inflammatory state of allergy. Rates of allergy
45 are increasing rapidly, particularly in young children; the rate of hospitalisations for food-related
46 anaphylaxis increased more than 5-fold in the 10 years from 1994-5 to 2004-5 in Australian children
47 up to 4 years of age (Poulos *et al.* 2007). Understanding the aetiology of allergy and identifying
48 preventative strategies is therefore increasingly important. The objectives of this review are to
49 discuss key evidence for pre-birth origins of allergy and asthma from human cohorts and
50 experimental models, in particular focussing on programming of allergy by three gestational
51 exposures; intra-uterine growth restriction (IUGR), *in utero* methyl donor supply, and maternal
52 allergy and inflammation. We conclude with suggestions for future research directions.

53 2. *In utero* exposures and later health

54 Associations between exposure to an adverse environment during pregnancy and infancy and later
55 poor health were initially described at the regional level in seminal studies led by David Barker. Their
56 subsequent work first linked individual birth and death records, and then progressed to studies of
57 cardiometabolic outcomes in adults, and consistently demonstrated that individuals with low birth
58 weights were at greater risk of poor cardiometabolic outcomes, including ischaemic heart disease
59 and impaired glucose control (reviewed by Barker 1998). Subsequent studies of populations exposed
60 to defined periods of famine revealed critical developmental periods *in utero* when different systems
61 and their associated risks of later diseases were most susceptible to effects of maternal nutrient
62 restriction, and showed that *in utero* exposures could change postnatal outcomes even in the
63 absence of reduced birth weight (Roseboom *et al.* 2001). Adding to this evidence from opportunistic
64 cohorts, studies in the Pima Indian population who have extremely high rates of diabetes in
65 adulthood also provides strong evidence that the associations between gestational exposures and
66 progeny health outcomes are not explained by genetics alone. In this population, siblings of mothers
67 with diabetes are at >3-fold higher risk of diabetes themselves compared to siblings born before
68 their mother was diagnosed with diabetes (Dabalea *et al.* 2000). Thus, exposures during critical
69 windows of development have a lasting impact and impact adult health, a concept now referred to
70 as 'developmental programming'. Since events early in life generally have the greatest impact on
71 developmental trajectories, interventions early in life also have the greatest potential to improve
72 adult health (Hanson and Gluckman 2014). To date, developmental programming of allergy has been
73 far less studied than that of outcomes such as metabolic diseases.

74 We have recently reviewed evidence, largely in humans, for effects of perinatal exposures on the
75 risks of allergy in progeny (Grieger *et al.* 2016). Parental and peri-conceptual factors such as low
76 socio economic status, having a younger mother, and having older siblings, are each associated with
77 reduced risk of developing allergy (Grieger *et al.* 2016). Having an older or obese mother, excessive
78 maternal weight gain during pregnancy, being the first-born child and maternal smoking are
79 associated with greater risk of developing allergy (Grieger *et al.* 2016). Restricted growth before
80 birth appears to be protective against allergy, but is a risk factor for asthma. Most evidence suggests
81 that maternal folic acid abundance in late pregnancy is positively associated with the risk of allergy
82 in the offspring. Similarly, maternal inflammation due to allergy or asthma during pregnancy is a

Commented [KG1]: please reference Chamley (PAMPs/maternal infection and preterm birth) & Robertson (DAMPs/TLRs in normal and preterm birth) papers in this issue

83 susceptibility factor for later development of allergy in progeny. The evidence from epidemiological
84 and experimental studies for programming of allergy by these three exposures is discussed below.

85 3. Protective effects of IUGR against allergy but not asthma

86 3.1 Evidence for IUGR as a protective factor from human cohorts

87 Overall, the evidence from human studies suggests that restricted growth *in utero* reduces the risk of
88 allergy in infancy, although findings are variable. Data on allergic outcomes at later ages is limited
89 and even more variable than that available for infants. In the ISAAC Phase III study, the risks of
90 having had eczema by 6-7 years old were decreased overall in children with birth weights of <2.5 kg
91 (OR 0.88, 95% CI: 0.82-0.96) and 2.5 to <3.0 kg (OR 0.94, 95% CI: 0.90-0.99) compared to the
92 reference category with birth weights of 3.0 to <4.0 kg (Mitchell *et al.* 2014). When stratified for
93 country of origin, the protective effect of low birth weight (LBW) for eczema was only significant for
94 children from affluent countries, and not in those from non-affluent countries, implying interactions
95 between fetal growth and other environmental exposures, and risks of hay fever were not related to
96 birthweight (Mitchell *et al.* 2014). Strengths of this study include the large numbers of subjects
97 (>162,000 children) and inclusion of centres from both developed and developing countries, but this
98 data may be limited by use of absolute birth weights (not adjusted for gestational age), and parent
99 recall/non-clinical diagnosis of allergy. In the PARIS cohort of 1860 French infants at 18 months old,
100 high relative birth weight (3rd or 4th quartile of population) was associated with increased risks of
101 sensitisation to food allergens, most commonly cow's milk and egg white, measured as elevated
102 circulating allergen-specific IgE (Gabet *et al.* 2016). Risks of sensitisation to common aeroallergens
103 were unaffected by birth weight in this cohort, however (Gabet *et al.* 2016).

104 Twin cohort studies can reduce confounding and variation due to genetics and environmental
105 factors, and also support a protective effect of LBW on later allergy. Within the Swedish Twin
106 Registry (Lundholm *et al.* 2010), rates of eczema increased with birth weight (for 500g increase in
107 birth weight, OR 1.62, 95% CI: 1.27-2.06) although hay fever was not associated with birth weight.
108 This relationship was strengthened (for 500g increase in birth weight, OR 3.83, 95% CI: 1.55-9.98) in
109 co-twin analyses of twin pairs discordant for eczema, an approach that controls for gestational age
110 and shared genetic and environmental factors (Lundholm *et al.* 2010).

111 Relationships between size at birth and asthma are generally in the opposite direction to those
112 between size at birth and the allergic diseases discussed above. Using an absolute birth weight
113 criterion of 2.5 kg to define LBW, the incidence of wheezing disorders (predominantly asthma), in
114 childhood and adolescence was 60% higher in LBW than non-LBW in a recent meta-analysis of >1.7
115 million participants in 37 studies (Mebrahtu *et al.* 2015). Consistent with this, a recent meta-analysis
116 of data from nearly 25,000 individuals in 24 European birth cohorts identified a 32% greater risk of
117 asthma in LBW (< 2.5 kg) individuals compared to all others (den Dekker *et al.* 2016). Another meta-
118 analysis, again of cohorts in developed countries, found similarly increased OR of asthma in children
119 (↑28%) and adults (↑25%) for LBW (<2.5 kg) compared to all others (Mu *et al.* 2014). In the ISAAC
120 Phase III study, asthma incidence was increased in children whose birth weights were <2.5 kg or 2.5
121 to <3 kg compared to the reference category of 3.0 to <4.0 kg, with a trend to stronger effects of
122 LBW in affluent countries (Mitchell *et al.* 2014). In twin studies and co-twin analyses, lower birth
123 weight is also associated with increased asthma risk (Örtqvist *et al.* 2009). The association between
124 LBW and increased asthma risk probably reflect effects of a restricted *in utero* environment on lung
125 development rather than allergy, since these studies do not differentiate allergic and non-allergic
126 asthma, and the association with asthma is at least partly explained by poorer lung function (den
127 Dekker *et al.* 2016). Although effects of LBW on asthma are likely confounded by gestational age,

128 and preterm birth is also a risk factor for asthma, the increased risk of asthma is also apparent in
129 children born small for gestational age (SGA, birth weight <10th percentile, OR 1.18) as well as LBW
130 (den Dekker *et al.* 2016). Unlike allergies, these meta-analyses suggest that high birth weight does
131 not affect risk of asthma (Mebrahtu *et al.* 2015).

132 In addition to the lack of differentiation of allergic and non-allergic asthma, the mixed reports of
133 associations between markers of growth *in utero* and later allergic outcomes in progeny probably
134 also reflect the use of variable exposure markers; such as absolute birth weight, birth weight
135 categories, LBW and SGA; and variability in the outcomes assessed and the age/s at which this has
136 been done. Given this variation between studies and the lack of consensus in this area, we are
137 conducting a systematic review of the evidence for relationships between birth weight or fetal
138 growth rate and postnatal allergy (as per published protocol, Wooldridge *et al.* 2016). Although the
139 available epidemiological data suggests that allergy is programmed by *in utero* exposures in humans,
140 it does not enable clear separation of the effects of environmental factors and genetic susceptibility.
141 The epidemiological evidence is also likely to be confounded by environmental factors such as
142 nutrition that persist from prenatal to postnatal life, or by co-morbidities such as IUGR and preterm
143 birth. Experimental models have therefore been used to directly test effects of induced IUGR on
144 progeny allergy, and may in the future allow evaluation of intervention strategies to reduce allergy
145 risk.

146 3.2 Chronic experimental IUGR reduces allergic sensitisation

147 Allergic sensitisation has been reported in only a few experimental models of IUGR to date, with
148 variable effects possibly reflecting the cause of IUGR (and hence different fetal exposures) as well as
149 different developmental timings of restriction. In Wistar rats, maternal nutrient restriction to 50% of
150 *ad libitum* intake from mating until delivery induces a severe IUGR phenotype, reducing birth weight
151 of pups by 32-34%. Allergic responses of young adult progeny to airway allergen challenge, including
152 OVA-specific IgE production, inflammatory cell airway infiltration, mucus secretion and collagen
153 deposition were attenuated in progeny of feed-restricted mothers compared to control progeny
154 (Landgraf *et al.* 2008, Landgraf *et al.* 2012). Lung cytokine and transcription factor gene expression
155 patterns in allergen-challenged progeny were also altered, suggesting a shift from Th1 to Th2
156 immune responses following *in utero* exposure to maternal undernutrition (Landgraf *et al.* 2012). In
157 contrast, allergic responses to OVA sensitisation and a 2-week OVA inhalation exposure were
158 increased rather than decreased in IUGR rat progeny (birth weight <10th centile of control progeny)
159 when induced by a similar maternal undernutrition protocol throughout pregnancy in Sprague-
160 Dawley rats (Xu *et al.* 2014). This accentuated allergic response after OVA challenge occurred in
161 conjunction with increased lung endothelin-1 (ET-1) protein and gene expression, together with
162 increased histone acetylation but unchanged methylation of the *ET-1* promoter, in IUGR compared
163 to control progeny (Xu *et al.* 2014). Causality of the epigenetic changes and increase ET-1 expression
164 in enhanced allergic responses of these IUGR progeny has not yet been demonstrated. Why effects
165 of maternal undernutrition on allergic susceptibility of progeny differ between these two sets of
166 studies is not clear, but might relate to rat strain, progeny sex or differences in sensitisation dose or
167 continuous vs intermittent OVA challenge protocols. A milder reduction of 17% in neonatal weight
168 induced using a maternal pregnancy stress protocol in mice (24 h sound stress at d 12 and d 14 of
169 pregnancy) was associated with increased allergic responses in adult progeny (Pincus-Knackstedt *et al.*
170 2006). Conversely, maternal noise-induced stress protocols (hourly exposure each day from d 15
171 to 21 of pregnancy) that did not alter pup size at birth reduced delayed hypersensitivity reaction to
172 bovine serum albumin in sensitised male and female progeny (Sobrian *et al.* 1997). Further studies

173 appear needed to clarify the effects of IUGR on allergic susceptibility in rodents and to determine
174 which aspects of the *in utero* environment alter immune development and predispose to allergy.

175 In humans, IUGR is often associated with impaired placental function, and this can be mimicked
176 experimentally by pre-mating removal of the majority of placental attachment sites before mating in
177 sheep (placental restriction, PR), which reduces placental size and function (Alexander 1964,
178 Robinson *et al.* 1979). We have applied established protocols for systematic sensitisation to
179 allergens and cutaneous allergen challenges in this species to evaluate effects of PR on susceptibility
180 to allergy (Bischof *et al.* 2008). In our recent studies, PR reduced birth weight by 20%, and decreased
181 delayed cutaneous hypersensitivity reactions to OVA despite increased IgE responses to allergens
182 after sensitisation to OVA and house dust mite (Wooldridge *et al.* 2014). Acute cutaneous
183 inflammatory responses to histamine correlated positively with birth weight in singleton progeny of
184 this cohort (Wooldridge *et al.* 2014). We have since found that mast cell density in skin is not
185 reduced in the adult PR progeny (Wooldridge *et al.*, unpublished). We therefore hypothesise that
186 loss of mast cell function explains the suppressed cutaneous delayed hyper-sensitivity inflammatory
187 responses in the presence of normal or exaggerated IgE responses to allergens in PR sheep, but this
188 requires direct testing. Overall, the balance of evidence from experimental models suggests that
189 chronic IUGR induced by reduced nutrient supply to the fetus is protective against allergy, consistent
190 with the associations between low birth weight and reduced incidence of allergy reported in
191 children.

192 4. *In utero* methyl donor metabolism in developmental programming 193 of allergy

194 4.1 Evidence for methyl donor abundance as an asthma and allergy risk factor from 195 human cohorts

196 Adequate maternal folate (Vitamin B₉) status before conception and in the first few weeks of
197 pregnancy is critical for proper development of the embryonic neural tube. Periconceptual folic
198 acid supplementation is an extremely effective preventative measure, reducing the risk of neural
199 tube defects (NTDs) by at least 40% (Blom 2009), and health authorities in most countries and the
200 World Health Organisation therefore recommend intakes of folic acid supplementation of 0.4-0.5
201 mg/d from at least a month before conception and during the first trimester (Gomes *et al.* 2016).
202 Many pregnancies are unplanned, however, and these women are unlikely to know they are
203 pregnant until after development of the neural tube during the 3rd and 4th weeks after conception.
204 Voluntary and mandatory food fortification has therefore been implemented in many countries over
205 the past 15 years to increase folate status in all women of reproductive age, and has further reduced
206 rates of NTDs (Bower *et al.* 2009). Women at high risk of delivering a baby with an NTD, including
207 those whose previous children have had NTDs, are recommended to consume 10-fold higher doses
208 of 4-5 mg/d folic acid periconceptionally (Gomes *et al.* 2016). Randomised clinical trials are also
209 evaluating efficacy of high folic acid doses (comparing 0 and 4 mg/d from before pregnancy to 12
210 weeks post-conception, followed by 0.2 or 0.8 mg/d for the remainder of pregnancy) in prevention
211 of all congenital malformations, not just NTDs (Bortolus *et al.* 2014).

212 The evidence collated in several recent systematic reviews is that maternal folic acid
213 supplementation at the usual doses of 0.4-0.5 mg/d during the periconceptual period before
214 conception and during the first trimester of pregnancy is not associated with increased rates of
215 childhood asthma (Blatter *et al.* 2013, Crider *et al.* 2013, Brown *et al.* 2014). There is some evidence
216 that higher doses of folic acid during pregnancy are associated with asthma, based on linkage of
217 maternal and children pharmacy dispensing data for >39 000 pregnancies in the Netherlands
218 (Zetstra-van der Woude *et al.* 2014). Similar associations are evident in those dispensed high-dose

219 folic acid in either the first or third trimester alone (Zetstra-van der Woude *et al.* 2014). There is also
220 some evidence to support the original suggestion from study of a prospective birth cohort, that
221 maternal consumption of folic acid supplements specifically in late pregnancy may increase risks of
222 childhood asthma (Whitrow *et al.* 2009). Maternal consumption of folic acid supplements in late
223 gestation is associated with 6-26% greater risk of childhood asthma/wheeze in progeny (Brown *et al.*
224 2014). Effects of folic acid supplementation on incidence of allergic sensitisation and eczema in
225 childhood vary between studies, with some finding increased risk and others no effect (Brown *et al.*
226 2014), and more data is needed to characterise effects of supplement at specific periods of
227 pregnancy and at different doses. Tuokkola and colleagues recently reported that in a cohort of 2327
228 children in the Finnish Type 1 Diabetes Prediction and Prevention study, maternal folic acid
229 supplement use but not dietary folate intake in the 8th month of pregnancy was associated with 40%
230 greater risk of cow's milk allergy in 5 year-old children (Tuokkola *et al.* 2016). This suggests that
231 maternal folic acid supplementation in late gestation is likely to predispose to progeny to later
232 allergic disease in general, and not specifically asthma. Any changes to dietary recommendations
233 about folic acid supplementation in pregnancy need to be made with care, in order not to confuse
234 women about the benefits of peri-conceptional supplementation in reducing NTDs. Additional
235 information is therefore required, including childhood allergic outcomes in trials of high-dose
236 maternal folic acid, to clearly define the impact of high and late pregnancy consumption of folic acid
237 on allergic outcomes, potentially providing the opportunity to intervene at a population level to
238 decrease allergic disease incidence.

239 4.2 Experimental manipulation of 1-carbon pathways and progeny allergy

240 The strongest experimental evidence for a role of methyl donor exposure *in utero* in allergic
241 susceptibility comes from a study where female mice were fed diets containing high (HMD) or low
242 (LMD) levels of methyl donors and co-factors important in 1-carbon metabolism (folic acid, vitamin
243 B₁₂, choline, l-methionine, zinc, and betaine) from 2 weeks before mating until weaning of the
244 progeny (Hollingsworth *et al.* 2008). Compared to the LMD group, feeding HMD throughout
245 pregnancy increased the severity of allergic airway disease (Th2-type immune responses) not only in
246 the progeny exposed to this diet *in utero* (F1 generation), but also in the F2 generation
247 (Hollingsworth *et al.* 2008). DNA methylation at multiple gene loci differed between HMD and LMD
248 progeny, including greater methylation of *Runx3* with decreased *Runx3* gene and protein expression
249 in HMD progeny, potentially causal in greater allergic susceptibility since this gene negatively
250 regulates allergic airway disease (Hollingsworth *et al.* 2008). A number of methylated genes are also
251 important determinants of T cell lineage, providing another pathway for effects of methyl donor
252 metabolism on immune phenotype. For example, demethylation of *FoxP3* correlates with greater
253 expression of *FoxP3* in whole cord blood, as well as with circulating T_{reg} cell numbers and suppressive
254 activity of T_{regs} in culture of mononuclear cells isolated from cord blood and challenged with
255 common allergens (Liu *et al.* 2010).

256 Our findings that PR protects progeny against allergic sensitisation (Wooldridge *et al.* 2014),
257 discussed above, are also consistent with the hypothesis that decreased methyl donor abundance *in*
258 *utero* may alter methylation of key genes to initiate a trajectory of immune system development
259 that is subsequently less susceptible to developing allergy. In rodent models of PR, fetal 1-carbon
260 donor abundance is decreased, 1-carbon pathway enzyme expression is altered and this is
261 associated with hypomethylation of DNA and increased histone acetylation in multiple tissues
262 (MacLennan *et al.* 2004, Ke *et al.* 2006, Park *et al.* 2008). Consistent with the hypothesis that
263 reduced placental methyl donor transport to the fetus protects against allergy in the PR sheep, when
264 we supplemented PR ewes with methyl donors and cofactors in the last month of their five month

265 gestation, the protective effects of PR against cutaneous delayed-type hypersensitivity after allergen
266 sensitisation were partially lost (Wooldridge et al., unpublished). Effects of PR on antibody responses
267 to allergen sensitisation were not altered by maternal methyl donor supplementation, however
268 (Wooldridge et al., unpublished). We are currently investigating effects of our PR and maternal
269 methyl donor supplementation on 1-carbon metabolism in our ovine models to further evaluate the
270 potential role of methyl donors in programming of allergy.

271 5. Maternal asthma and allergy during pregnancy increase allergic 272 susceptibility in progeny

273 5.1 Evidence for maternal asthma and allergy during pregnancy as allergy risk factors 274 from human cohorts

275 Maternal asthma is a common gestational exposure, affecting ~12% of singleton pregnancies in an
276 Australian cohort (Clifton *et al.* 2009). Maternal asthma worsens during pregnancy in ~50% of
277 women, and 20% of asthmatic women undergo exacerbations requiring medical intervention
278 (Murphy *et al.* 2005, Murphy *et al.* 2006). Asthma during pregnancy substantially increases risks of
279 adverse pregnancy outcomes, including preeclampsia (↑54%), preterm birth (↑41%), SGA (↑22%),
280 and LBW (↑46%) (Murphy *et al.* 2011). Risks of adverse neonatal outcomes including admission to
281 neonatal intensive care (↑12%), respiratory distress syndrome (↑9%) and transient tachypnoea of
282 the newborn (↑10%) are also increased when the mother has asthma, even after correction for
283 prematurity as a comorbidity (Mendola *et al.* 2014).

284 In addition to these short-term adverse outcomes, there is good epidemiological evidence
285 suggesting that exposure to maternal asthma or allergy before birth increase risks of the same
286 conditions in children. Maternal asthma is consistently a stronger risk factor for childhood asthma
287 than paternal asthma, implying that the maternal contribution is not only genetic, but that the *in*
288 *utero* and possibly lactational environment also contribute to risk (Lim *et al.* 2010). Exposure to
289 active maternal allergy is associated with increased risks of multiple childhood allergies, although
290 interestingly, not with childhood asthma. In the PAULA study cohort of 526 children born in greater
291 Munich in Germany, atopic symptoms in the mother during pregnancy were associated with >175%
292 greater odds of food sensitisation in children within the first year of life, 60% greater odds of eczema
293 (atopic dermatitis) in the first two years of life, and ~100% greater odds of hay fever (allergic rhinitis)
294 at 4-5 years of age (Illi *et al.* 2014). Increased odds ratios for eczema in the first two years of life and
295 of hay fever at 4-5 years of age were also observed in a sub-analysis of children from atopic mothers,
296 also supporting the hypothesis that these relationships reflect programming by environmental
297 factors in addition to genetic susceptibility (Illi *et al.* 2014). Although maternal atopic symptoms
298 during pregnancy were not associated with altered odds of asthma before 4-5 years of age, nor with
299 current wheeze at 4-5 years of age in the children, increased frequency of maternal infection with
300 common colds during pregnancy was associated with more than double the odds for childhood
301 asthma (Illi *et al.* 2014). Together, this evidence implicates *in utero* exposure to maternal
302 inflammation - induced by maternal allergy, asthma or infection - as a factor that increases
303 susceptibility of progeny to allergic disease postnatally. Altered T cell development is implicated in
304 programming of allergic susceptibility by exposure to maternal allergy *in utero*. Compared to
305 neonates born from non-allergic women, neonates from allergic women have a higher proportion of
306 Th2 cells and lower ratio of T_{reg} to Th2 cells in cord blood (Fu *et al.* 2013). In the same study, low
307 Th1:Th2 and T_{reg}:Th2 cell ratios in cord blood predicted increased risk of eczema development in the
308 infants by two years of age (Fu *et al.* 2013). DNA in peripheral blood is also differentially methylated
309 in peripheral blood of 1 year-old infants born to mothers with asthma, compared to infants of non-

310 asthmatic mothers, and some of the changes in DNA methylation correlate with characteristics of
311 asthma and allergy severity in the mother or with infant circulating immune cell abundance
312 (Gunawardhana *et al.* 2014). Whether these methylation changes at birth predict subsequent
313 allergic outcomes in children is yet to be determined. The effects of maternal asthma and
314 exacerbations in pregnancy on pregnancy outcomes and fetal and placental responses differ
315 depending on whether the fetus is male or female (Clifton *et al.* 2009). Intriguingly, within the Isle of
316 Wight Birth cohort, associations between allergy in parents and children were parent-of-origin
317 specific and differed according to the sex of the child, such that maternal allergy was associated with
318 increased risk in girls, and paternal allergy was associated with increased risk in boys (Arshad *et al.*
319 2012). Whether effects of maternal asthma and allergy are sex-specific requires confirmation in
320 other cohorts, and if confirmed, further study to determine the extent to which this reflects effects
321 of imprinted genes or sex-specific effects of the *in utero* environment on fetal immune development
322 and allergic susceptibility.

323 *5.2 Experimental allergy and asthma in the mother pre-dispose progeny to allergy*

324 To date, the hypothesis that susceptibility to allergic disease is programmed by *in utero* exposure to
325 maternal atopic states has only been adequately tested in mice. We have recently developed an
326 ovine model of maternal allergic asthma which will enable this question to be evaluated in a large
327 animal model and allow direct studies of fetal responses and longitudinal studies of individual
328 progeny. This model will also be described below.

329 In the mouse, maternal allergic asthma before and during pregnancy increases susceptibility of pups
330 to allergy, predisposing them to allergic responses to sensitisation (Hamada *et al.* 2003). This is a
331 systemic response, since pups are more likely to develop allergic responses to novel antigens as well
332 as after sensitisation with ovalbumin, the allergen used to induce maternal allergy (Hamada *et al.*
333 2003). At least in this mouse model, exposure during gestation or lactation was sufficient to induce
334 allergic susceptibility in progeny, suggesting circulating inflammatory cells or signals in the mother
335 can be transmitted to progeny across the placenta or in breastmilk (Leme *et al.* 2006). Transfer of
336 allergen-specific T-cells from donor mice to non-sensitised dams followed by airway allergen
337 exposure during pregnancy also increased risk of allergic asthma in mouse progeny without causing
338 overt maternal asthma, showing that the presence and stimulation of allergen-specific T cells during
339 pregnancy are sufficient to program allergic susceptibility in progeny (Hubeau *et al.* 2006). Only
340 induction of an allergic (Th2-biased) immune response to OVA increases progeny susceptibility to
341 allergic sensitisation. If dams are sensitised to OVA using a protocol that induces a Th1-biased
342 immune response, then pups are actually protected against allergic sensitisation to OVA, although
343 protection by the maternal Th1 response is allergen-specific, in contrast to induction of susceptibility
344 (Matson *et al.* 2007). Consistent with this protective effect of non-allergic maternal allergen
345 exposures, maternal airway OVA exposure from early pregnancy until delivery, which did not induce
346 maternal allergy, induced IL-10 and T_{reg}-mediated immune tolerance to OVA in progeny that
347 inhibited their allergic responses to OVA-sensitisation into adulthood (Gerhold *et al.* 2012). In a
348 single study in dogs, maternal and paternal sensitisation to ragweed before mating was associated
349 with increased circulating antibody responses and asthmatic-type lung responses to inhaled ragweed
350 in progeny. This study is limited, however, by use of pups from only two litters in each group and
351 potential effects of maternal ragweed exposure during lactation (Barrett *et al.* 2003). Together with
352 the human data, these results in experimental models are consistent with the hypothesis that
353 exposure to maternal allergy *in utero*, but not allergen exposure in the absence of allergy, increases
354 the allergic susceptibility of progeny, and that this is not specific to the *in utero*-exposed allergen/s.

355 In order to directly evaluate the acute fetal and long-term progeny effects of maternal allergic
356 asthma, and to enable evaluation of the effects of clinical and experimental interventions on these,
357 we have recently developed an ovine model of maternal allergic asthma in pregnancy (Clifton *et al.*
358 2015). Sheep are sensitised systematically by repeated immunisation with allergen, followed by
359 repeated airway challenges with aerosolised allergen, utilising a protocol that induces an allergic
360 asthmatic phenotype in non-pregnant sheep (Bischof *et al.* 2003, Bischof *et al.* 2008). We mated
361 ewes that had been sensitised and commenced airway challenges to house dust mite prior to
362 pregnancy, and continued airway challenges with house dust mite throughout pregnancy (Clifton *et*
363 *al.* 2015). These pregnant ewes developed characteristics of allergic asthma including increased lung
364 resistance, progressive increases in the eosinophil influx induced by airway allergen challenges, and
365 increased deposition of smooth muscle around lung airways (Clifton *et al.* 2015). The 12% reduction
366 in relative fetal weight in late pregnancy in this model is consistent with effects of maternal asthma
367 in human pregnancy, although additional studies are needed to determine whether fetal responses
368 to maternal allergic asthma in sheep are sex-dependent as occurs in humans (Clifton *et al.* 2009,
369 Clifton *et al.* 2015). We have begun to study the effects of maternal allergic asthma on fetal immune
370 phenotype in this model. To date, the main effect we have observed is that fetuses from allergic
371 ewes had a greater proportion of CD44-positive lymphocytes in thymus than control fetuses, with a
372 similar trend in the lymphocyte population isolated from spleen (Wooldridge *et al.*, unpublished
373 data). This cell adhesion molecule marker is involved in lymphocyte adhesion to endothelial cells via
374 hyaluronic acid and this interaction is essential for migration of activated T cells into sites of
375 inflammation (DeGrendele *et al.* 1996, DeGrendele *et al.* 1997). Blocking CD44 action in a mouse
376 model of airway allergic inflammation prevented or attenuated many of the inflammatory responses
377 to airway allergen challenge including eosinophil and lymphocyte accumulation in lung, antigen-
378 induced increases in Th2 cytokines and chemokines in lung liquid and antigen-induced airway hyper-
379 responsiveness (Kato *et al.* 2003). Anti-CD44 antibody treatment also inhibits the cutaneous
380 delayed-type hypersensitivity in a murine model of contact allergy (Camp *et al.* 1993), consistent
381 with the importance of CD44 in allergic inflammation. If the elevated CD44 expression in
382 lymphocytes we see in late gestation fetuses in our ovine model of maternal allergic asthma persists,
383 it may therefore predispose the progeny to allergic inflammation postnatally. The availability of this
384 large animal model of maternal allergic asthma, where allergic sensitisation and tissue and
385 molecular responses can be investigated in the same animals over time, will allow us to investigate
386 these potential mechanisms for developmental programming of allergy.

387 6. Conclusions

388 On balance, the available epidemiological and experimental evidence suggests that prenatal chronic
389 restriction of fetal growth reduces later risks of allergy, while elevated methyl donor availability in
390 late pregnancy or active maternal asthma and allergy during pregnancy increase allergy susceptibility
391 of progeny. Approaches such as discontinuing maternal folic acid supplementation during late
392 pregnancy and tight control of maternal asthma and allergy in pregnancy should be evaluated as
393 potential approaches to reduce the incidence of allergic diseases in children. Additional
394 experimental studies are needed to identify underlying mechanisms for programming of allergic
395 susceptibility by these and other exposures before birth, particularly for conditions such as IUGR
396 which have other adverse effects.

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