

**Enhancement of pathogen-specific  
immunity following co-administration  
of whole inactivated respiratory  
vaccines**



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## ABBREVIATIONS

$\gamma$	Gamma
$\gamma$ -Flu/ $\gamma$ -Flu-OVA	Gamma-irradiated Influenza A Vaccine
$\gamma$ -PN/ $\gamma$ -PN( $\Delta$ PsaA)	Gamma-irradiated <i>Streptococcus pneumoniae</i> Vaccine
$\mu$ g	Microgram/s
$\mu$ l	Microlitre/s
$\mu$ M	Micromolar
$\gamma\delta$ T	Gamma-delta T-Cells
A/PC	A/PortChalmers/1/73 [H3N2] influenza
A/PR8	A/PuertoRico/8/34 [H1N1] influenza
Alum	Aluminium Hydroxide Adjuvant
APCs	Antigen Presenting Cells
CbpA	Choline Binding Protein A
CPS	Capsular Polysaccharide
CTL	Cytotoxic CD8 <sup>+</sup> T Lymphocyte
D <sub>10</sub>	Decimal Reduction Dose
DC	Dendritic Cell
DI	Dry Ice
dsDNA	Double-stranded Deoxyribonucleic Acid
HA	Haemagglutinin
HP	Highly Pathogenic
HPAI	Highly Pathogenic Avian Influenza
IAV	Influenza A Virus
IFN- $\gamma$	Interferon Gamma
IFN-I	Type I Interferon ( $\alpha/\beta$ )
Ig	Immunoglobulin
IIV3/4	Inactivated Influenza Vaccine (trivalent/quadrivalent)
IL-	Interleukin
IN	Intranasally
IP	Intraperitoneally
IPD	Invasive Pneumococcal Disease
IV	Intravenously
kGy	Kilogray
LAIV	Live Attenuated Influenza Vaccine
LP	Low Pathogenic
LPS	Lipopolysaccharide
LytA	Autolysin
M1/2	Matrix Protein 1/2

MEC	Middle Ear Colonisation
mg	Milligram/s
MHC-I/II	Major Histocompatibility Complex Class I/II
ml	Millilitre/s
mLN	Mediastinal Lymph Node
Mn	Manganese
NA	Neuraminidase
nm	Nanometre/s
NP	Nucleocapsid Protein
NPP	Nucleocapsid Protein Peptide
NS1/2	Non-structural Protein 1/2
OVA	Ovalbumin
PA	Acidic Polymerase Protein
PAMP	Pathogen Associated Molecular Pattern
PB1/2	Basic Polymerase Protein 1/2
PCV13	Pneumococcal Conjugate Vaccine (13-valent)
pDC	Plasmacytoid Dendritic Cell
PdT	Non-toxic pneumolysin derivative
Ply	Pneumolysin
PPV23	Pneumococcal Polysaccharide Vaccine (23-valent)
PRR	Pattern Recognition Receptor
PsaA	Pneumococcal Surface Adhesin A
RIV	Recombinant Influenza Vaccine
RT	Room Temperature
RV	Rotavirus
SA	Sialic Acid
SAL	Sterility Assurance Level
SEM	Standard Error of the Mean
ssRNA	Single-stranded Ribonucleic Acid
TB	<i>Mycobacterium tuberculosis</i>
T <sub>H</sub>	CD4 <sup>+</sup> T Helper Cell
T <sub>H</sub> 17	IL-17-producing CD4 <sup>+</sup> T Helper Cell
TLR	Toll-Like Receptor
TNF- $\alpha$	Tumour Necrosis Factor $\alpha$
T <sub>REG</sub>	Regulatory CD4 <sup>+</sup> T Cell
T <sub>RM</sub>	Tissue Resident Memory
WCV	Whole Cell Vaccine

## DECLARATION

I certify that this work contains no material which has been accepted for the award of any other degree or diploma in my name, in any university or other tertiary institution and, to the best of my knowledge and belief, contains no material previously published or written by another person, except where due reference has been made in the text. In addition, I certify that no part of this work will, in the future, be used in a submission in my name, for any other degree or diploma in any university or other tertiary institution without the prior approval of the University of Adelaide and where applicable, any partner institution responsible for the joint-award of this degree.

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—  
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Date

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**PATENTS, PUBLICATIONS, & PRESENTATIONS  
ARISING FROM THIS THESIS**

<b>PATENTS</b>		
<b>Publication No.</b>	<b>Title</b>	<b>Inventors</b>
20180117136	Streptococcal Vaccine	Rachelle Babb, Mohammed Alsharifi, Austen Yannis Chen, <b>Shannon Christa David</b> , Timothy Raymond Hirst, Abiodun David Ogunniyi, James Cleland Paton

<b>PUBLICATIONS</b>	
<b>Thesis Chapter</b>	<b>Title &amp; Publication Status</b>
Chapter 2	<b>David S.C.</b> , Lau J., Singleton E.V., Babb R., Davies J., Hirst T.R., McColl S.R., Paton J.C., Alsharifi M. The effect of gamma-irradiation conditions on the immunogenicity of whole-inactivated Influenza A virus vaccine (2017), <i>Vaccine</i> , <b>35</b> (7): 1071-1079.
Chapter 1 /Chapter 2	Alsharifi M. and <b>David S.C.</b> Virus Inactivation Using a High Dose of Gamma-Irradiation: A Possible Approach for Safer Vaccines Against Highly Infectious Agents (2017), <i>Journal of Vaccines and Vaccination</i> , <b>8</b> (3).
Chapter 4	Mucosal co-administration of whole inactivated influenza A and pneumococcal vaccines augments IAV-specific immunity <b>Manuscript under review</b>

<b>CONFERENCE PRESENTATIONS</b>		
<b>Type</b>	<b>Title</b>	<b>Conference</b>
Oral Presentation	Bi-directional Adjuvant Activity of Co-administered Pneumococcal and Influenza A Vaccines	International Symposium on Pneumococci and Pneumococcal Diseases (ISPPD-18), Melbourne VIC, April 2018
Oral Presentation & Poster Presentation	Enhancement of Influenza-specific Immunity following Intranasal Co-administration of Whole Inactivated Influenza and Pneumococcal Vaccines.	Australasian Virology Society (AVS) Meeting, Adelaide SA, Dec. 2017
Oral Presentation	The Effect of Gamma-irradiation Conditions on the Immunogenicity of Whole-Inactivated Influenza A Virus Vaccine.	Adelaide Immunology Meeting (AIR-16), Adelaide SA, Nov. 2016
Poster Presentation	Gamma-irradiated Influenza A Virus Vaccine: Irradiation Conditions and Impact on Vaccine Efficacy	International Congress of Immunology meeting (ICI), Melbourne VIC, Aug. 2016

## ABSTRACT

Influenza A virus (IAV) and *Streptococcus pneumoniae* are two of the most prominent respiratory pathogens affecting humans worldwide. While IAV and *S. pneumoniae* cause considerable morbidity and mortality individually, their synergistic pathogenicity poses the greatest threat to human health. Co-infection is associated with dramatically increased disease severity, particularly during IAV pandemics. The 1918 pandemic remains the most lethal on record, with an estimated 50 million deaths. However, a large portion of fatalities have since been attributed to secondary bacterial infection, with *S. pneumoniae* being heavily implicated. Given the ongoing risk of a future pandemic with highly pathogenic avian IAV, protective strategies against both IAV and *S. pneumoniae* represent an urgent and unmet need. Current vaccines against each of the individual pathogens are restricted to the induction of strain- and serotype-specific responses. Thus, our group has been developing novel vaccines that confer broad-spectrum protection after mucosal administration.

These vaccines consist of whole IAV and whole un-encapsulated pneumococci that have been sterilised using gamma ( $\gamma$ )-irradiation, to generate  $\gamma$ -Flu and  $\gamma$ -PN. Irradiation effectively sterilises each pathogen by damaging the genomic material, whilst pathogen structure and antigenic proteins are maintained. This study describes the enhancement of safety and immunogenicity of both vaccines to facilitate future clinical advancement. Treatment of  $\gamma$ -Flu with a high radiation dose of 50 kGy was shown to have minimal impact on vaccine efficacy whilst exceeding a Sterility Assurance Level of  $10^{-6}$ . Establishing the efficacy of 50 kGy-treated preparations will aid in the inclusion of highly pathogenic strains in future vaccine formulations, such as avian H5N1 or H7N9. Such strains must be irradiated with a very high dose for sterilisation, and generation of  $\gamma$ -Flu based on 50 kGy-treated avian strains would be immensely beneficial in the event of a future pandemic. Utilisation of high radiation dose may also aid in the transfer of this inactivation approach to other highly pathogenic agents for vaccine purposes, particularly when CD8<sup>+</sup> T-cell responses are needed. The safety profile of our  $\gamma$ -PN vaccine was also heightened in the current study. A growth attenuating mutation was introduced (generating  $\gamma$ -PN( $\Delta$ PsaA)), which is an additional safety parameter to facilitate future clinical use of our vaccine. Interestingly, the supplementation of media with manganese required to restore normal growth *in vitro* was found to have immunomodulatory effects.

Specifically, manganese supplementation was associated with enhanced TLR2 signalling by both live and irradiated samples of the pneumococcal vaccine. This phenomenon was unique to the further attenuated strain and is expected to enhance the magnitude of immune responses induced *in vivo*. In addition, antibody responses induced by  $\gamma$ -PN( $\Delta$ PsaA) were found to react against a wider range of pneumococcal antigens compared to those induced by the original  $\gamma$ -PN.

While the adjuvant activity of  $\gamma$ -Flu to co-administered  $\gamma$ -PN has been reported previously, the subsequent combination of the two optimised vaccines revealed direct interaction of  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) in suspension, suggesting bi-directional adjuvant activities. Mixing the two vaccines resulted in enhanced uptake of  $\gamma$ -Flu virions by epithelial cells and macrophages *in vitro*, and co-vaccination with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) was associated with significant enhancement of IAV-specific Tissue Resident Memory cell populations in the lung. Furthermore, co-vaccination enhanced the protection in mice against lethal challenge with both drifted and heterosubtypic IAV strains. My data indicate that our novel approach of mixing whole inactivated viral and bacterial vaccine components could enhance pathogen-specific immunity, and may revolutionise vaccine design to combat infectious diseases.



# CHAPTER 1

---

Introduction



## 1.1 INFLUENZA A VIRUS

Influenza A virus (IAV) is a highly infectious respiratory pathogen, and a recurring global health challenge. IAV strains constantly circulate through multiple animal hosts including humans, birds, horses, and pigs [1]. This diverse host range is associated with a high risk of infection with multiple subtypes, and subsequent reassortment of genome segments can produce new and novel strains. Despite vaccines being available since the 1940s, influenza viruses continue to circulate through the human population to cause seasonal epidemics and sporadic pandemics. Seasonal epidemics are estimated to affect 5 – 10% of adults and 20 – 30% of children worldwide [2]. Clinically, IAV infection is characterized by sudden onset of high fever, cough, headache, fatigue, and inflammation of the upper respiratory tract. Severe disease from seasonal IAV is more likely in the elderly, young children, immunocompromised, diabetics, and those with chronic pulmonary or cardiac conditions [3]. It can involve haemorrhagic bronchitis, secondary bacterial pneumonia, respiratory failure, and death [4, 3].

### 1.1.1 Classification and Structure of Influenza Viruses

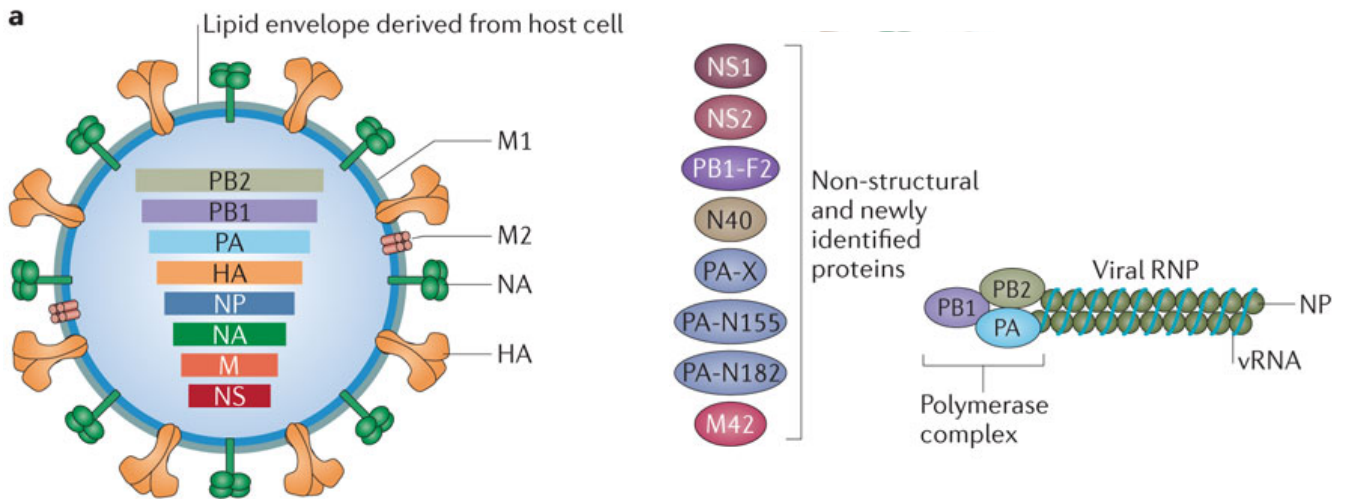
Influenza virions are roughly spherical, although somewhat pleomorphic, ranging from 80 – 120 nm in diameter [5]. They are of the family *Orthomyxoviridae*, and are enveloped viruses containing negative-sense single stranded RNA genomes. The genome of influenza is also segmented, with each virus particle containing eight individual gene segments [6] that code for at least one protein each [7]. Influenza viruses have previously been divided up into three separate virus types: Influenza A, B, and C. The three have distinct differences in the core nucleocapsid (NP) and matrix (M) proteins, and they differ in host range and pathogenicity. Type B and C influenza viruses are isolated almost exclusively from humans (although influenza B viruses have been isolated from seals [8], and influenza C viruses isolated from pigs and dogs [9]), with influenza C virus causing mild infection only. Influenza D virus has also been recently classified as a new member of the *Orthomyxoviridae* family. This virus type has been isolated from both swine and cattle in multiple countries [10-12], with cattle forming the primary reservoir [13, 14]. Influenza D has roughly 50% similarity to influenza C, though it is not currently known to cause disease symptoms in humans [15]. Influenza A viruses cause the most significant risk to human health, with epidemics causing morbidity and mortality every year.

Influenza A viruses (IAV) are further subdivided by antigenic characterization of the major surface glycoproteins haemagglutinin (HA) and neuraminidase (NA). HA binds to sialylated host cell receptors and mediates membrane fusion, whereas NA removes sialyl residues from the membrane of infected cells to enable budding and release of newly synthesized virus particles [16]. Eighteen HA and eleven NA subtypes are currently known [17], with numerous influenza A strains having been isolated. Within a given subtype, current nomenclature for naming individual strains is as follows: the type of virus is first designated (A, B, C, or D), then the host of origin, place of isolation, isolation number, and year of isolation. For influenza A specifically, the HA (H1-H18) and NA (N1-11) subtype is also specified in parentheses, e.g. A/Swine/Iowa/15/30 (H1N1). By convention, when the host of origin is human, it is omitted from the name, e.g. A/Puerto Rico/8/34 (H1N1) [18].

In addition to HA and NA, the IAV genome encodes for 14 additional proteins [19], though not every influenza virus expresses all of them [20]. The matrix protein M1, the ion channel protein M2, and the M2-related protein M42 are all encoded by the matrix M genome segment (see **Figure 1.1**). M2 is highly conserved among influenza viruses of different subtypes, and forms tetramers that function as ion-channels to facilitate acidification of the endosome for viral-host membrane fusion. These channels also neutralise the pH in the Golgi network during virus replication to prevent premature HA conformational changes prior to budding. The non-structural genome segment NS encodes the host antiviral response agonist NS1, and the nuclear export protein NS2 (also known as NEP). Together with M1, NS2 mediates export of viral RNA from the host nucleus to the cytoplasm. Though NS1 is not included in the virion, it is abundantly expressed in IAV infected cells [21].

Nucleoprotein (NP), and components of the viral polymerase (PA, PB1, and PB2) are each encoded by their respective genome segments. Alternative forms of PA with terminal truncations have been recently identified, termed PA-N155 and PA-N182. Two new proteins, PA-X (represses cellular gene expression [22]), and N40 (unknown function [23]), are also encoded by the PA and PB1 segments respectively. NP, PA, PB1, and PB2 are present within the core of the IAV particle as ribonucleoprotein complexes (RNPs). Each RNP contains one RNA genome segment, a heterotrimeric viral polymerase (consisting of PA, PB1 and PB2), and multiple copies of viral NP. Influenza

RNPs play important roles during infection, mediating viral RNA replication and transcription, and directing intracellular transport and packaging of viral gene segments into new progeny particles [24].



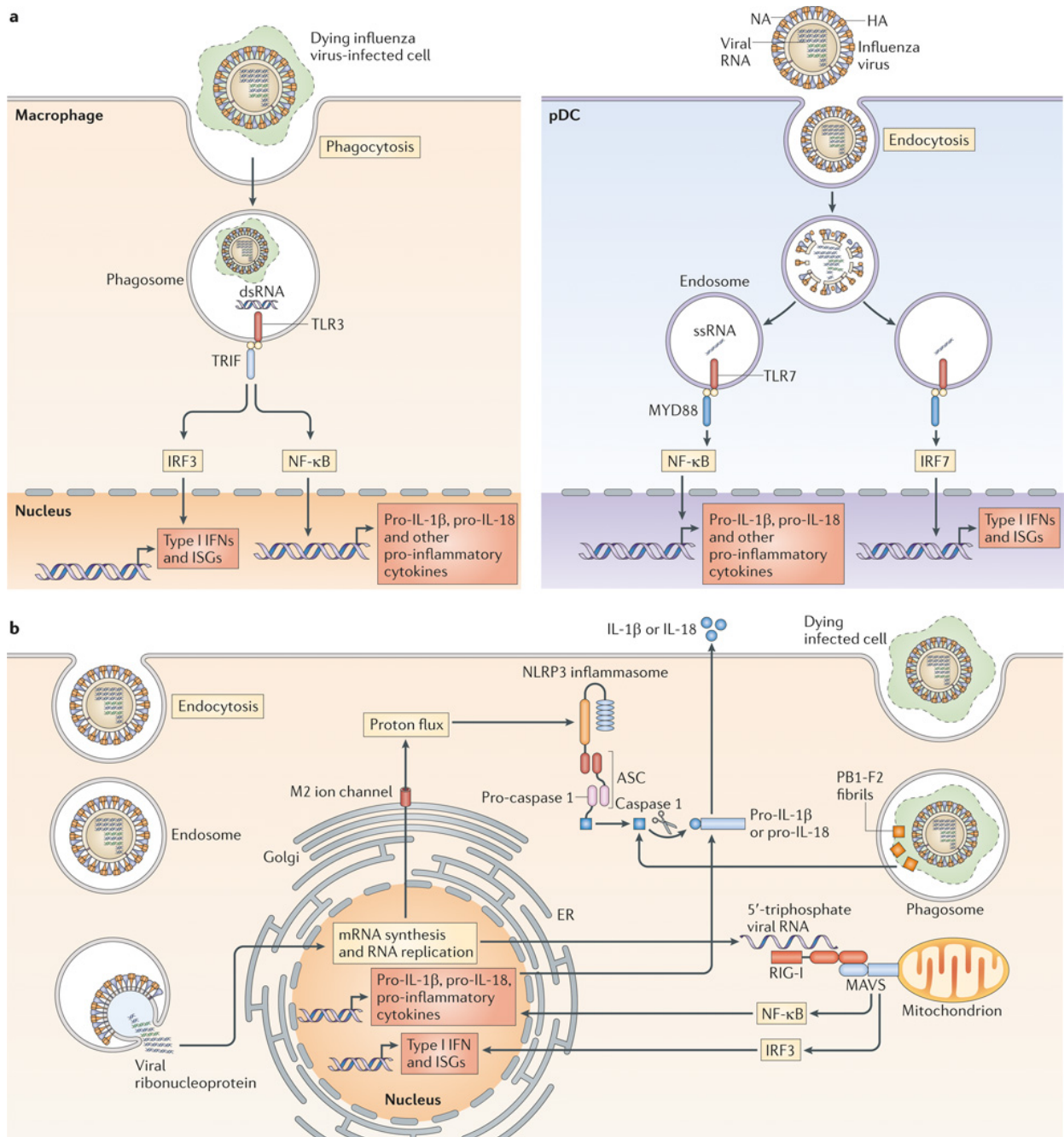
**Figure 1.1. Structure of IAV.** IAV contains 8 segments of single-stranded negative sense RNA, encoding 16 different proteins. Structural proteins HA and NA, and components of the viral RNP (NP, PA, PB1, and PB2) are each encoded by their respective genome segments. A second ORF in the PB1 segment encodes pro-apoptotic protein PB1-F2. The genome segment M encodes matrix protein M1, ion channel M2, and M2-related protein M42. The non-structural (NS) genome segment encodes the antiviral response agonist NS1 and the nuclear export protein NS2. Adapted from Shi et al. (2014) [20].

## 1.1.2 Immune Responses to IAV Infection

### 1.1.2.1 Innate Immunity

Respiratory epithelial cells are the main target for IAV infection [25], though other cell types including macrophages and dendritic cells (DCs) may also be infected [26, 27]. Virus particles enter the host through nasal or oral cavities, and are first impeded by the mucous layer that coats the respiratory epithelium [28], and a mucociliary escalator that continuously removes microbes and cellular debris [29]. If successful at bypassing these physical defences, IAV can attach to respiratory epithelial cells via binding of HA to sialic acid linked receptors on the host cell surface. The virus is then internalised by clathrin-dependent or clathrin-independent endocytosis [30-32], and viral membrane fusion in the endosome allows release of viral RNPs into the cytosol [24]. During the replication cycle of IAV, infection-associated signals, e.g. viral RNA are recognized as foreign by various pattern recognition receptors (PRRs), and subsequent signalling leads to induction and secretion of type I interferons (IFN-I) and pro-inflammatory mediators such as tumour necrosis factor (TNF)- $\alpha$ , interleukin-1 $\beta$  (IL-1 $\beta$ ), IL-6, KC, and MCP-1 (see **Figure 1.2**).

Retinoic acid-inducible gene I (RIG-I) is a crucial PRR for viral detection and IFN-I production in infected epithelial cells, conventional DCs and alveolar macrophages [33]. RIG-I specifically detects 5'-triphosphate viral ssRNA within the cytosol that is generated after viral replication [34-37]. Upon recognition of this ligand, the helicase domain of RIG-I binds ATP, and this facilitates conformational changes that enable caspase-recruitment domains on RIG-I to bind the mitochondrial antiviral signalling protein (MAVS) [38-40]. MAVS signalling then results in the expression of nuclear factor- $\kappa$ B (NF- $\kappa$ B) for production of pro-inflammatory cytokines, and IFN-I production via IFN-regulatory factor 3 (IRF3) [28]. There are hundreds of interferon-stimulated genes (ISGs) that are subsequently stimulated by IFN-I production that collectively induce an antiviral state within the infected cell and neighbouring cells. In addition to RIG-I, the NOD-like receptor family pyrin domain-containing 3 (NLRP3) protein is another class of PRR able to detect IAV within an infected cell [41]. NLRPs actually form multiprotein inflammasome complexes that detect cellular damage associated with membrane damage, cell stress, or infection [42]. IAV-associated signals for inflammasome activation include viral ssRNA in the cytosol [43], proton flux through



**Figure 1.2. Innate Sensing of IAV Infection.** IAV infection is detected by multiple PRRs that recognised unique signals associated with viral infection. (A) TLR3 and TLR7 recognise dsRNA and ssRNA respectively within a phagocytic endosome following uptake of either dying virally infected cells, or virions. (B) Viral RNA in the cytosol of infected cells is detected by RIG-I, or cell infection signals lead to activation of the NLRP3 inflammasome. Activation of these innate immune sensors results in activation of transcription factors NF- $\kappa$ B and IRF3 or IRF7 for production of pro-inflammatory cytokines, IFN-I, and ISGs [28].

the IAV-encoded M2 ion channel in the *trans*-Golgi network [44, 45], and high-molecular-weight aggregates of viral proteins PB1-F2 in lysosomes after the uptake of dying infected cells [46].

Whilst RIG-I and NLRP3 detect internal or cytosolic signals (cell intrinsic recognition system), Toll-like receptors (TLRs) comprise part of the cell extrinsic recognition system. TLRs are conserved type I transmembrane receptors that form a critical link between innate and adaptive immunity. These receptors can respond to a number of components of viruses, bacteria, fungi and parasites collectively known as microbial pathogen associated molecular patterns (PAMPs) [47, 48]. In the context of IAV, TLR3 recognises dsRNA of apoptotic infected cells that have been phagocytosed [49], and TLR7 detects ssRNA from endocytosed virions following endosome acidification by sentinel cells, particularly plasmacytoid dendritic cells (pDCs) [50, 51]. Similar to RIG-I signalling, TLR activation results in production of pro-inflammatory cytokines via NF- $\kappa$ B induction, and activation of transcription factors IRF3 or IRF7, for TLR3 and TLR7 respectively, to stimulate IFN-I and ISGs.

Genetic studies in mice have demonstrated the role of several ISGs in limiting influenza virus infection and spread – including IFN-inducible transmembrane (IFITM) proteins and protein kinase R (PKR). IFITM proteins limit the spread of many viruses, including IAV [52], by blocking virus-host cell membrane fusion to prevent viral release following attachment and endocytosis. IFITM3 is particularly important, as IFITM3-deficient mice are highly susceptible to influenza infection despite the presence of other IFITM proteins [53, 54]. Notably, IFITM3-deficient mice develop severe oedema and leakage of blood into the pleural cavity during influenza infection [54], suggesting IFITM3 not only mediates viral resistance, but may play a role in limiting host damage. PKR binds to dsRNA to inhibit translation of proteins. This results in reduction in both cellular and viral protein production, which effectively reduces viral replication within the host cell [28]. PKR also ensures robust IFN production by stabilising mRNA for IFN- $\alpha$  and IFN- $\beta$  [55].

Collectively, pro-inflammatory cytokines produced following innate immune recognition induce a local inflammatory state within the tissue. This leads to activation of alveolar macrophages, which phagocytose apoptotic infected cells to limit virus spread [56].

Chemokines will rapidly recruit additional immune cells to the respiratory tract, including neutrophils, monocytes, and natural killer (NK) cells. Engagement of specific stimulatory NK receptors by ligands expressed on virally infected cells results in signal transduction cascades to induce NK function. This leads to cytolysis of the infected cell by (1) exocytosis of cytoplasmic granules containing perforin and granzyme, (2) Fas ligand-mediated induction of apoptosis, or (3) antibody-dependent cellular cytotoxicity [57-59]. NK cells also produce chemotactic and activating cytokines, including IFN- $\gamma$  and TNF- $\alpha$  [60]. NK cells primarily mediate viral clearance during the innate response [61], whilst recruited monocytes, neutrophils, and alveolar macrophages assist in clearance of infected apoptotic and dead cells [62]. If the virus is able to establish infection despite these innate defences, adaptive immunity will be required for effective clearance and resolution of infection.

#### *1.1.2.2 Adaptive Immunity*

The adaptive immune system consists of humoral and cellular immunity mediated by virus-specific antibodies and T-cells, respectively. Dendritic cells (DCs) constantly monitor the airway lumen via dendrites that extend between the tight junctions of adjacent respiratory epithelial cells. DCs can be directly infected with virus or detect antibody-neutralised influenza virions and apoptotic bodies of influenza-infected cells. Upon detection and internalisation of a virus particle, the DC migrates to the draining lymph node via the lymphatic system. Viral peptides are then processed and presented via major histocompatibility complex (MHC) class I and class II, to activate antigen-specific CD8<sup>+</sup> and CD4<sup>+</sup> T-cells respectively [63]. Type I IFNs from the innate response can stimulate DCs and result in enhanced antigen presentation to T-cells, thus linking the innate and adaptive arms of immunity.

One of the most important cell subsets for combating a viral infection is cytotoxic CD8<sup>+</sup> T lymphocytes (CTLs). These antigen-specific effectors recognise and eliminate infected host cells to prevent viral spread and production of viral progeny. Lytic activity of CTLs is mediated by release of perforin and granzymes; perforin forms pores in the membrane of the target cell, and granzymes then enter the cell to induce apoptosis. CTLs can also induce apoptosis via Fas/FasL and TNF-related apoptosis-inducing ligand (TRAIL)/death receptor 5 interactions [64]. They also produce cytokines that stimulate MHC expression to enhance antigen presentation [65]. Human CTLs induced by

influenza virus are mainly directed against the internal NP, M1 and PA proteins [66-70]. These proteins are highly conserved across different subtypes of IAV, and therefore CTL responses display a high degree of cross-reactivity.

Some CD4<sup>+</sup> T-cells have also been shown to display cytotoxic activity to infected cells [71], however the most important function of this T-cell subset is as T helper (T<sub>H</sub>) cells. Different subsets of T<sub>H</sub> cells are distinguished based on their cytokine expression profiles. T<sub>H</sub>2 cells mainly produce IL-4 and IL-13 and are considered to predominantly promote B-cell responses [72, 73], whereas T<sub>H</sub>1 cells produce IFN- $\gamma$  and IL-2 and are involved mainly in cellular immunity. In addition, regulatory T-cells (T<sub>REG</sub>) and T-helper 17 (T<sub>H</sub>17) cells are additional subsets that regulate the cellular immune response to influenza virus infection. Production of IL-1 $\beta$  via activation of the NLRP3 inflammasome is involved in induction of T<sub>H</sub>17 cells [74] and expansion of antigen-specific CD4<sup>+</sup> T-cell populations [75], again linking innate and adaptive immune systems.

During the early adaptive phase of the immune response to IAV in naïve animals (days 5 – 7), T-cell responses mediate a rapid decline in viral titre by eliminating virally infected cells [76]. B-cells also produce an array of antigen-specific immunoglobulins to neutralise free virions. IAV-specific immunoglobulin M (IgM) is produced and secreted early after primary infection, followed later by class-switched IgA and IgG [76, 77]. IAV-specific antibodies target HA and NA surface proteins. HA-specific antibodies predominately bind the trimeric globular head of the HA protein and inhibit viral attachment and entry. As this region of HA is highly variable, these antibody responses are considered strain-specific. In contrast to the globular head, the stem region of HA is highly conserved, but also physically masked from the immune system. Antibodies against stem epitopes are induced during infection, and are able to broadly bind and neutralise HA molecules from different IAV strain [78, 79]. Unfortunately, titres of stem-specific antibodies in a host are usually very low. Bound antibodies can also facilitate phagocytosis of neutralised virus by Fc receptor expressing cells. Antibodies may also bind to viral proteins expressed on the surface of infected host cells, and mediate killing by NK cells via antibody-dependent cell cytotoxicity (ADCC) [80].

Antibodies generated against NA also have protective potential, though will not neutralise free virions to prevent cell entry. Rather, NA-specific antibodies inhibit the

enzymatic activity to limit viral spread. NA usually cleaves the sialic acid residues on the cell surface to facilitate release of newly formed viral particles [73], and inhibition of this process minimises the release of progeny virus. Additionally, NA-specific antibodies can facilitate ADCC by NK cells [81].

### 1.1.3 Prevention & Treatment of Influenza Infection

The primary measure against influenza A and B virus infections is vaccination using inactivated or live attenuated vaccines. Currently, only inactivated influenza vaccines (IIV) are licensed in Australia and are available as either trivalent or quadrivalent formulations containing a H1N1 influenza A virus, a H3N2 influenza A virus, and one or two influenza B viruses. Specifically, the 2017 vaccine formulation was comprised of A/Michigan/45/2015 (H1N1)pdm09\*-like virus, A/Hong Kong/4801/2014 (H3N2)-like virus, B/Brisbane/60/2008-like virus, and an additional influenza B strain: B/Phuket/3073/2013-like virus for the quadrivalent formulation [82].

To manufacture the IIV, the selected influenza strains are grown in embryonated chicken eggs, then purified by zonal centrifugation. The viruses are then chemically inactivated by propiolactone and disrupted/split by sodium taurodeoxycholate. A single dose of the trivalent vaccine for adults contains the equivalent of 45 µg HA (15 µg HA for each of the 3 antigenic components). Because the influenza viruses are grown in chicken eggs, the resulting vaccine contains trace amounts of egg protein – however this amount is less than 1 µg of ovalbumin per vaccine dose [83]. An alternative to whole virus cultivation is the use of a continuous cell line and recombinant virus vector to express influenza proteins. These recombinant influenza vaccines (RIV, brand name: Flublok®) use a continuous insect cell line (*expresSF+*®) with a baculovirus vector that expresses the HA proteins of interest. This particular virus grows well in insect cells, and the HA proteins expressed are extracted with Triton X-100 and further purified by column chromatography. Recombinant vaccine formulations such as this contain 3× the antigen content of IIV formulations, with 45 µg HA for each of the included strains [84]. The manufacturing process is also quicker as it is not dependent on an egg supply, and is not limited by the selection of vaccine viruses that have been adapted for high titre growth in eggs. Both the IIV and HA-based recombinant vaccine induce neutralising antibody responses against each of the strains included in the annual formulation, but these are

rarely cross-protective, and no T-cell responses are induced due to lack of antigen presentation by MHC-I.

The second class of influenza vaccine is the live attenuated influenza vaccine (LAIV, brand name: FluMist<sup>®</sup>, available in the United States, Canada, and Europe [85], but not Australia [83]). The intranasally administered LAIV is composed of reassortant viruses containing the HA and NA surface proteins from wild-type circulating strains on an attenuated temperature-sensitive virus backbone (A/Ann Arbor/6/1960) [86]. This backbone strain was serially passaged in primary chicken kidney tissue culture at successively lower temperatures, and replicates effectively at 25°C but not higher temperatures [87]. This temperature sensitivity severely restricts viral replication in the warmer lower respiratory tract of the host [88-90]. LAIV may cause mild symptoms (rhinorrhoea, nasal congestion, fever or sore throat) due to the cooler uppermost regions of the respiratory tract being mildly permissive to replication of the attenuated strain [88, 91]. However, these are transient and minor in comparison to true influenza infection.

The replication of the live attenuated strain allows expression of influenza proteins in their native form, and consequently mucosal IgA, serum IgG responses, and cell-mediated immune responses are stimulated that mimic those induced by wild-type virus [92]. LAIV-induced immunity is significantly more cross-protective than responses elicited by chemically inactivated vaccine preparations [93, 94]. However, the effectiveness of the LAIV has not been consistently demonstrated since the 2009 IAV pandemic [95], and is currently not recommended for use in the United States during the 2017-2018 influenza season [96]. LAIV should also not be administered to immunosuppressed persons, nor to children under 2 years of age.

In addition to vaccination, antiviral drugs may be administered prophylactically [97-101], or therapeutically to minimize pathology and aid viral clearance by limiting virus replication [102]. Matrix 2 ion channel blockers (Amantadine and Rimantadine) are effective against IAV, but resistant viral strains develop rapidly and have been recognized in approximately one-third of treated patients. Neuraminidase inhibitors, Zanamivir and Oseltamivir, are effective against both influenza A and B viruses in limiting virus spread from infected cells. The HA stem region of IAV is also considered to be a promising

target for development of new antivirals, as this would prevent conformation change of HA and inhibit release of the virus once in the host-cell endosome [103].

#### 1.1.4 Antigenic Variation

As described above, current inactivated vaccines induce strain-specific neutralising antibody responses, with limited cross-reactivity against non-vaccine strains [104, 105]. In addition, influenza has a very high mutation rate, and gradual accumulation of mutations allows the virus to escape immunity at both individual and population levels, leading to reduced vaccine efficacy. In fact, both live and inactivated influenza vaccine formulations are redesigned every year to include new emerging strains that are predicted to be most prevalent in the coming influenza season. This incurs major costs due to constant reformulation, manufacture and re-administration to the public. In addition, despite the effort by World Health Organisation (WHO) influenza centres that rigorously analyse clinical isolates to predict dominant strains, novel strains may emerge during the time needed for vaccine manufacture and distribution (roughly 6 months) [105, 106]. Evidence also suggests that immunity following IIV administration begins to wane quickly, potentially after just 3-4 months [107-111].

The mechanism of constant antigenic variation is termed antigenic drift, which is mediated by the highly error prone RNA-dependent RNA-polymerase of IAV [7]. Point mutations during genome replication are not corrected, as influenza viruses have no proof-reading ability, and the overall mutation rate is estimated at  $1 \times 10^{-3}$  nucleotide substitutions per site per year [112, 113]. Point mutations that alter amino acids in the HA and NA surface glycoproteins are relatively well tolerated, and can actually confer selective advantages by allowing immune evasion. Over the course of a year, these mutations accumulate and result in new drift variants, allowing seasonal outbreaks. Additionally, as vaccine-induced antibody responses target HA, they exert additional selective pressure on circulating influenza viruses to drive antigenic drift [65]. Viral epitopes recognised by CTLs are also under selective pressure, though mutations to these internal and conserved proteins are far less tolerated in comparison to HA and NA. For example, an arginine-to-glycine substitution at position 384 of the viral nucleoprotein has been associated with escape from CTLs, however it is detrimental to viral fitness [114]. Additional co-mutations were required to restore NP functionality and viral fitness to then allow benefit of the original mutation via CTL escape [115].

As IAV has a segmented genome, major and sudden changes in the combination of HA or NA glycoproteins can also occur, and is termed antigenic shift. Infection of a single host cell with multiple IAV strains (e.g. a human strain and an avian strain) allows for reassortment of gene segments during virion packaging. This can result in the release of viral particles with new HA and NA combinations, against which pre-existing immunity is minimal or entirely absent. Alternatively, a circulating human strain may acquire a HA gene from an avian or swine virus, resulting in a new strain that is both novel to the human population, and well adapted for transmission and replication in human hosts [116]. These novel viruses are capable of causing large regional or global pandemics [2]. In fact, the emergence of a novel subtype by antigenic shift was the cause of both 1957 and 1968 influenza pandemics.

As sixteen HA and nine NA subtypes are known to exist in wild birds, they form a major source of subtype combinations novel to the human population [117, 17, 118]. There is mounting evidence that bats also form a reservoir for influenza and have a role in emergence of strains novel to humans, with two new influenza-like subtypes having recently been described (H17N10 and H18N11) [119]. It is important to note that these HA and NA-like molecules do not appear to have canonical influenza HA and NA activity. H17 for example does not attach to human or avian sialic acid receptors [120-122], and N10 and N11 have no sialidase activity *in vitro* [123, 124]. Still, these viruses have been detected in seemingly healthy bats, and their role in influenza ecology and potential for genomic reassortment with canonical influenza viruses are currently undetermined [119, 118].

### **1.1.5 Influenza A Pandemics**

#### *Spanish Influenza (1918)*

The first documented worldwide outbreak of influenza occurred in 1918, and is known as the ‘Spanish Flu’. Roughly one-third of the world’s population was infected, and it remains the most lethal influenza pandemic on record with an estimated 50 million deaths [125]. Infected individuals often died very rapidly after onset of symptoms, with massive acute pulmonary haemorrhage, pulmonary oedema (fluid accumulation in the tissue and air spaces of the lungs), and respiratory failure [126]. A substantially high percentage of cases also developed severe bacterial pneumonic complications as a result of primary influenza infection [3], as no antibiotic treatment options were available in 1918 [126].

This contributed dramatically to the total number of pandemic-related fatalities. Molecular characterisation of the 1918 influenza surface proteins from frozen human samples revealed the virus was a H1N1-subtype [127, 126]. The 1918 pandemic was also particularly uncharacteristic of influenza, with almost half of all deaths being young adults between 20 – 40 years of age [128, 125].

#### *Asian Influenza (1957)*

The H2N2 subtype of influenza A emerged in China in 1957, and air and sea travel aided the spread of this novel virus subtype around the world. The new virus had high sialidase/neuraminidase activity, and this activity was more stable than that of earlier strains [129]. Majority of deaths occurred in the elderly or the very young, as is typical of influenza during non-pandemic periods. Later analysis of H2N2 influenza indicated that there was a possible avian source for the HA, NA, and PB1 genes, whilst the remaining genes were from viruses already circulating through the human population. H2N2 strains proceeded to circulate worldwide until they were displaced by the novel H3N2 pandemic strain in 1968 [130].

#### *Hong Kong Influenza (1968)*

Acquisition of a novel HA and PB1 (likely from an avian reservoir) allowed emergence of the novel H3N2 strain, first in China and soon after, Hong Kong. The virus was then detected in the USA and Australia a few months later. Interestingly, the virus appeared to have circulated in Europe for 12 months before causing severe disease. Since the Hong Kong virus differed from its predecessor by its HA antigen only, researchers speculated that the variable impact in different regions of the world was mediated by differences in prior N2 immunity [131-133]. Direct support for this theory was provided by Eickhoff and Meiklejohn, who showed that vaccination of Air Force cadets with a H2N2 adjuvant vaccine reduced subsequent influenza virus infection from verified H3N2 by 54% [134]. Despite the amelioration of H3N2 virus infection by NA immunity alone, the H3N2 subtype continues to circulate as one of the two major influenza A viruses in humans today [129].

#### *Avian Influenza*

Increasing emergence of human infections with avian influenza A subtypes H5N6, H7N9, H9N2, and the highly pathogenic avian influenza (HPAI) H5N1 represent major health

concerns. These novel subtypes, particularly H5N1 and H7N9, have the potential to cause a devastating worldwide pandemic [135]. WHO has reported human cases of infection with these subtypes across 16 different countries. 860 individual cases of avian H5N1 infection have been reported and laboratory-confirmed since 2003, with an average mortality rate of 53% [136], which is unprecedented in the history of influenza. In terms of disease progression, the H5N1 avian strains that are currently circulating cause primary viral pneumonia with features characteristic of acute respiratory distress syndrome, which usually progresses to pulmonary failure [137]. Infections with HPAI virus are also more prone to cause immunopathology, as these viruses can infect blood-derived and alveolar macrophages [138, 139], leading to production of large amounts of pro-inflammatory cytokines [140].

Presently, H5N1 has not acquired the ability to efficiently transmit between humans, with most human infections occurring via direct contact with infected poultry. An important factor to consider for transmission of avian strains to humans is the binding specificity of HAs from different animal hosts [20]. For example, the HA of human influenza viruses preferentially bind to sialic acid (SA) linked to terminal oligosaccharides by an  $\alpha$ 2,6 bond (SA- $\alpha$ 2,6-Gal-terminated saccharides), whereas avian HAs preferentially recognise SA- $\alpha$ 2,3-Gal-terminated saccharides [141, 142]. Specific amino acid mutations in the globular head of the HA proteins can lead to a change in the receptor-binding preference, and thus in host specificity. In fact, two amino acid mutations in the HA of 1918 pandemic influenza have been shown to switch binding preference from human SA- $\alpha$ 2,6 to avian SA- $\alpha$ 2,3 [143]. Additionally, recent studies have demonstrated that it is possible to generate H5N1 mutants with preference for the human  $\alpha$ 2,6-linked SA receptor [144]. H5N1 mutants have also been generated that are able to break the species barrier and efficiently transmit via the aerosol route between ferrets [145, 146]. One particular study showed this transmissibility was conferred after only 5 mutations [147]. Considering IAV is renowned for a high mutation rate, avian H5N1 or a similar strain may gain enough mutations to facilitate efficient human aerosol transmission, leading to a severe global pandemic [148]. In fact, there have been rare clusters of human-human transmission reported between family groups in Thailand [149, 150], Indonesia [151], Turkey [152], and Vietnam [149].

Avian H7N9 is also of particular concern, and it has emerged more recently and substantially more rapidly than H5N1. Since 2013, a total of 1564 laboratory-confirmed cases and 612 deaths have been recorded [136]. China in particular has reported substantial increase in human infections with avian H7N9 since October 2016 [153]. Again, most human infections have occurred via direct contact with infected poultry. As such, vaccination of domestic poultry in China against A(H7) viruses has recently commenced in addition to the ongoing poultry vaccination program against A(H5) viruses [136]. Interestingly, low pathogenic (LP) avian H7N9 has been shown to be capable of transmission between ferrets via aerosol droplets, albeit inefficiently [154]. A highly pathogenic (HP) H7N9 strain that emerged in late 2016 – early 2017 was recently found to have the same capability [155]. The risk of a worldwide influenza pandemic is increasing, and the rapid, continuous, and unpredictable nature of influenza mutation makes vaccine strategies and pandemic planning very difficult. Development of a safe, universal influenza vaccine for mucosal administration would be most optimal to defend against both seasonal epidemics and future pandemics.

## 1.2 *STREPTOCOCCUS PNEUMONIAE*

*Streptococcus pneumoniae* is an encapsulated, facultative anaerobic, non-sporulating Gram-positive bacterium that is usually present as a diplococcus. Also known as the pneumococcus, *S. pneumoniae* is a major respiratory pathogen that causes both invasive and non-invasive disease. Severe disease outcomes and fatality due to pneumococcal infection are more common in infants, immune-compromised individuals, and the elderly. In fact, *S. pneumoniae* is responsible for 1 – 2 million deaths worldwide each year, and kills more children than AIDS, malaria and tuberculosis combined [156]. Moreover, since the implementation of *Haemophilus influenzae* type b (Hib) conjugate vaccines and the substantial reduction on invasive Hib disease [157], *S. pneumoniae* has become the leading cause of bacterial meningitis in children <5 years of age in the United States [158]. Analysis has also revealed meningitis caused by pneumococci has substantially greater mortality and neurological consequences when compared to meningitis caused by Hib or *Neisseria meningitidis* [159, 160].

### 1.2.1 Pneumococcal Carriage & Epidemiology

The only known reservoir for *S. pneumoniae* is the nasopharynx of healthy human carriers. *S. pneumoniae* colonises the nasopharynx of infants within a few months of birth. At least 98 immunologically distinct pneumococcal serotypes have been identified to date based on differences in composition of the capsular polysaccharide (CPS) [161]. This capsular polysaccharide is a major virulence factor of *S. pneumoniae* and in the absence of type-specific immunity it is poorly recognised by phagocytic cells, and thus protects the pneumococcus from being engulfed [162].

Children typically encounter several serotypes early in life (either sequentially or simultaneously) [163], and it is estimated that by the age of two years, 95% of children would have been colonised by the pneumococcus [164]. Colonisation with a given serotype may persist for a month or last up to a year. Eventually, immunity is established against colonizing pneumococci, and consequently the niche is vacated and re-colonised with different serotypes. This process is reported to continue until adulthood [165, 166]. Between 20 – 50% of children and 5 – 30% of adults in developed countries are colonised with *S. pneumoniae* at any one time with no adverse symptoms [167-169]. However, colonisation rates are closer to 70% for children attending day care centres, and upwards of 90% for those living in native communities [170]. Interestingly, colonising serotypes

have been shown to differ significantly between children (<5 years of age) and adults. For example, serotypes 6B, 9V, 14, 19F, and 23F are more commonly carried by young children [171]. Studies also suggest that invasive capability and virulence are dependent on the pneumococcal capsular serotype [172, 173]. For example, serotypes 1 and 7F are more prominent in invasive disease [171]. In addition, multiple distinct genetic clones that share a common set of genes (clonotypes) can also exist within and across serotypes [174, 175].

### 1.2.2 Pneumococcal Pathogenesis

The asymptomatic nasopharyngeal carriage of pneumococci is typically a dynamic process. The constantly replicating pneumococcal population is kept under control by host immune defence mechanisms, however disruption of this balance (by infection with new serotypes, loss of immune-competence, etc.) can cause the bacterium to switch from commensal to pathogenic [168]. As mentioned previously, pneumococcal infection can lead to both invasive and non-invasive disease. Infection of the sinuses (sinusitis), middle ear (otitis media), and lung (pneumonia) are considered non-invasive, and are frequent but not severe. Otitis media is especially common in infants and young children [156, 171, 176]. Invasive pneumococcal diseases (IPD) refer to the isolation of *S. pneumoniae* from a normally sterile site, including the blood (septicaemia/bacteraemia) and cerebrospinal fluid (meningitis). These are associated with severe disease outcomes and high fatality rates [177]. Initially, meningitis presents as symptoms of fever, irritability, vomiting, and impaired consciousness [178]. Progression of disease leads to seizures, focal neuropathology, and coma. Those recovering from bacterial meningitis are often left with neurological sequelae, such as hearing loss, cognitive impairment and epilepsy [179].

Infection of the lung (pneumonia) by *S. pneumoniae* requires the pneumococci to migrate from the nasopharynx to the alveoli, usually by aspiration. Establishment of infection in the alveoli causes excessive inflammation, resulting in initial symptoms of cough, fever, and abnormally rapid breathing (tachypnoea). This type of infection is considered non-invasive unless septicaemia or empyema (infection of the space between membranes surrounding the lungs and chest cavity) occur concurrently [180]. Pneumococcal pneumonia is readily treatable for healthy individuals. However, for those with existing health conditions or other respiratory infections, additional complications arising from

pneumonia are common and often severe. They include inflammation of the sac surrounding the heart (pericarditis), blockage of the airways (endobronchial obstruction), collection of pus within the lungs, and/or complete lung collapse.

### 1.2.3 Virulence Factors

Upon entrance of the pneumococci to the nasal cavity, components of the cell wall such as phosphorylcholine (ChoP) mediate direct attachment via binding to platelet-activating factor receptor (PAFr) [181] present on the surface of lung epithelial cells [182]. *S. pneumoniae* also secretes hyaluronidase that degrades hyaluronic acid, an important component of host connective tissue. This degradation assists in the binding of pneumococcal adhesion and virulence A (PavA) and enolase (Eno) surface proteins to host fibronectin and plasminogen respectively, further assisting bacterial adherence [183-185]. Pneumococcal neuraminidase also cleaves N-acetylneuraminic acid to decrease viscosity of the mucous lining the host respiratory tract. This enzyme can also cleave terminal sialic acids (similar to IAV NA) from glycolipids, glycoproteins, and oligosaccharides to expose additional N-acetyl-glycosamine receptors [186]. Together, these factors function to promote successful adherence and colonization.

ChoP on the bacterial cell surface also serves to anchor choline binding proteins – such as pneumococcal surface protein A (PspA) [187] and choline binding protein A (CbpA) [181] – to the bacterial surface. CbpA in particular has been shown to facilitate invasion and transmigration of the bacterium from the apical to basolateral face of mammalian cells, via interaction with the polymeric immunoglobulin receptor (pIgR) [188]. This enables the bacterium to penetrate the respiratory epithelium to reach the underlying vasculature and disseminate through the host.

*S. pneumoniae* also possesses many factors to enable host immune evasion. The capsule for example acts as a shield against deposition of IgG to inhibit phagocytosis mediated by Fcγ receptors. It also protects against deposition of innate complement components, particularly C3b [189, 190]. The capsule can also prevent trapping of the bacteria by neutrophil extracellular traps (NETs) [191]. Specific surface proteins also interfere with the complement pathway; PspA for example has a highly negative charge to prevent C3b deposition [192], pneumococcal histidine triad protein A (PhpA) has been reported to have C3-degrading activity [193], and CbpA recruits serum factor H and C4-binding

protein to downregulate activation of the alternative and classical complement pathways respectively [194, 195].

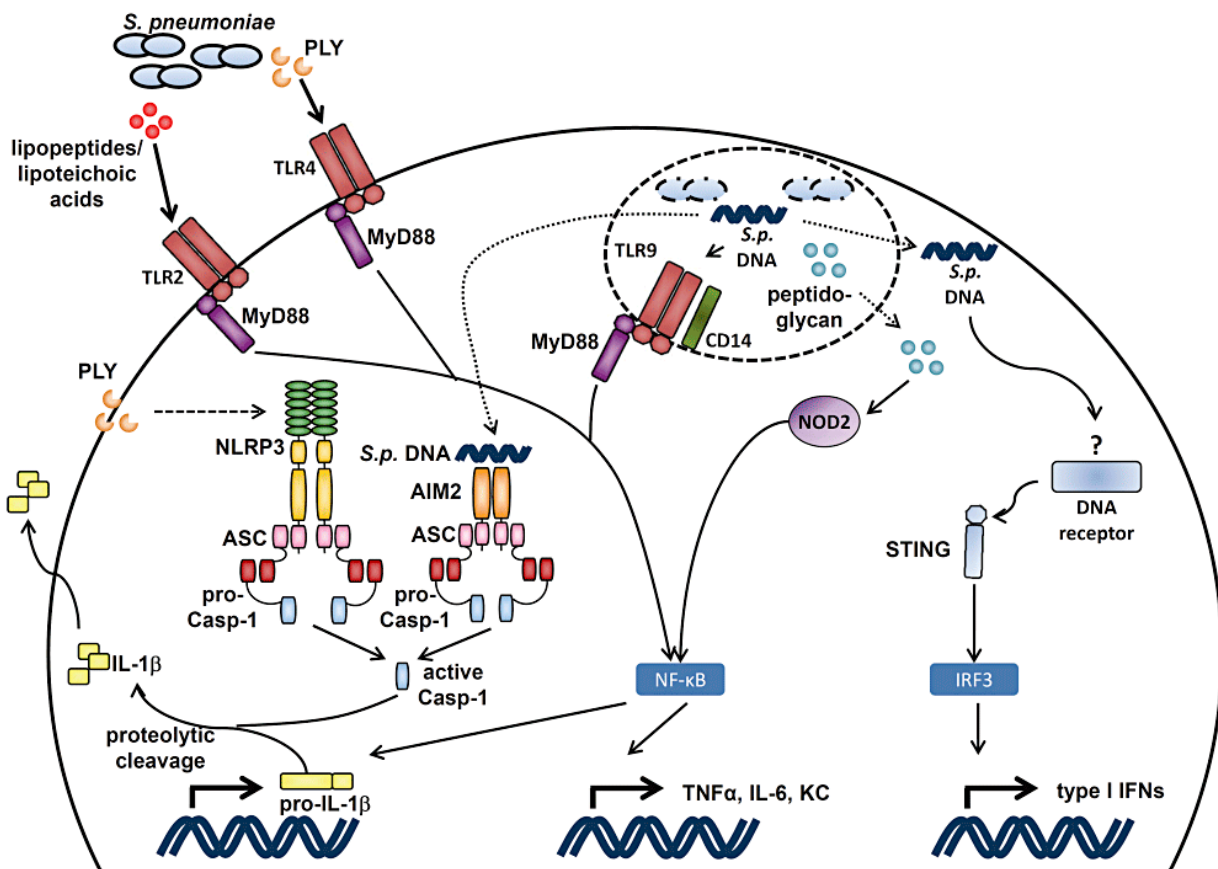
Pneumolysin (Ply) is another crucial virulence factor, and is a pore-forming toxin released via the autolytic activity of the pneumococcal enzyme autolysin (LytA) [196]. Ply primarily binds to cholesterol within membranes of host cells and form pores, causing cytolysis and lung injury [197]. Ply has also been shown to inhibit ciliary beating in the respiratory tract to prevent bacterial clearance [198], and can reduce lymphocyte proliferation, migration, and killing of opsonised pneumococci [199, 200]. Ply was also shown to confer protection against complement-mediated clearance [201].

### 1.2.4 Immune Responses to *S. pneumoniae*

#### 1.2.4.1 Innate Immunity

Similar to influenza virus, pneumococci are initially recognised by various PRRs of the innate immune system. These include TLRs (specifically TLR2, TLR4, and TLR9 [202]), the cytosolic NOD-like receptors (NLRs), and DNA sensors (see **Figure 1.3**). PRRs TLR2 and TLR4 are expressed at the surface of host cells, and hence recognise components of extracellular pneumococci. TLR2 ligands are lipoteichoic acid and peptidoglycan [203, 204], and TLR4 ligands include lipopolysaccharide (LPS), and Ply [205]. *S. pneumoniae* may also be internalized by phagocytic cells and subsequently degraded in phagosomes. This results in bacterial peptidoglycan and nucleic acids being released, where unmethylated CpG DNA motifs will be sensed within the phagosome by TLR9 [206-209]. Together, TLR signalling stimulates production of inflammatory cytokines via stimulation of the transcription factor NF- $\kappa$ B, including TNF- $\alpha$ , IL-6, IL-8, IL-1 $\beta$ , and IFN- $\beta$ . TNF- $\alpha$  and IL-1 $\beta$  can further activate epithelial cells to produce additional inflammatory mediators, whilst IL-8 acts to stimulate recruitment of leukocytes. IFN- $\beta$  activates expression of hundreds of ISGs in an autocrine and paracrine fashion, for multiple antimicrobial functions. Signalling via TLR2 and -9 has also been suggested to enhance pneumococcal phagocytosis and intracellular killing within leukocytes [210, 211].

Bacterial DNA and peptidoglycan may also be released to the cell cytosol from the phagosome post-degradation, or via Ply-mediate membrane lysis. Here, bacterial dsDNA



**Figure 1.3. Overview of the different PRRs involved in innate recognition of *S. pneumoniae*.** Cell wall components and Ply of extracellular pneumococci are recognized by TLR2 and -4 respectively. *S. pneumoniae* is also internalized by phagocytic cells and degraded in phagosomes, releasing bacterial peptidoglycan and nucleic acids. Unmethylated CpG-motifs will be detected within the phagosome by TLR9, and may also gain access to the cytosol via Ply-mediated membrane disruption. Here, pneumococcal DNA is detected by AIM2, and by an additional still not identified cytosolic PRR that leads to IRF3 activation. TLRs as well as NOD2 subsequently stimulate the production of NF- $\kappa$ B-dependent cytokines [209].

activates the inflammasome AIM2, and cytosolic PRR NOD2 detects peptidoglycan fragments [212]. Ply also activates the NLRP3 inflammasome to mediate production of mature IL-1 $\beta$  in macrophages and DCs [213]. The secondary signalling provided by NLRP3 and AIM2 inflammasomes is required for cleavage of pro-IL-1 $\beta$  into mature IL-1 $\beta$ . Sensing of *S. pneumoniae* DNA by the yet-to-be-identified cytosolic DNA sensor also activates the adaptor STING and the transcription factor IRF3, to stimulate type I IFN responses.

Other important components of the innate system for pneumococcal detection include scavenger receptors (e.g. MARCO), C-type lectins (e.g. SIGN-R1), and C-reactive protein (CRP). CRP is a soluble protein that binds to ChoP in the pneumococcal cell wall, inducing complement activation [214, 215, 190]. Activation of the complement cascade and subsequent binding of complement factors (primarily C3b) to the pneumococcal surface leads to opsonophagocytosis and the induction of inflammation. Complement activation can also lead to B-cell activation through complement receptors CD21 and CD32 [216], thereby acting as a bridge to adaptive immunity. Activation of PRRs in dendritic cells provide a necessary signal for activating T-cell responses, again linking the two arms of immunity [217].

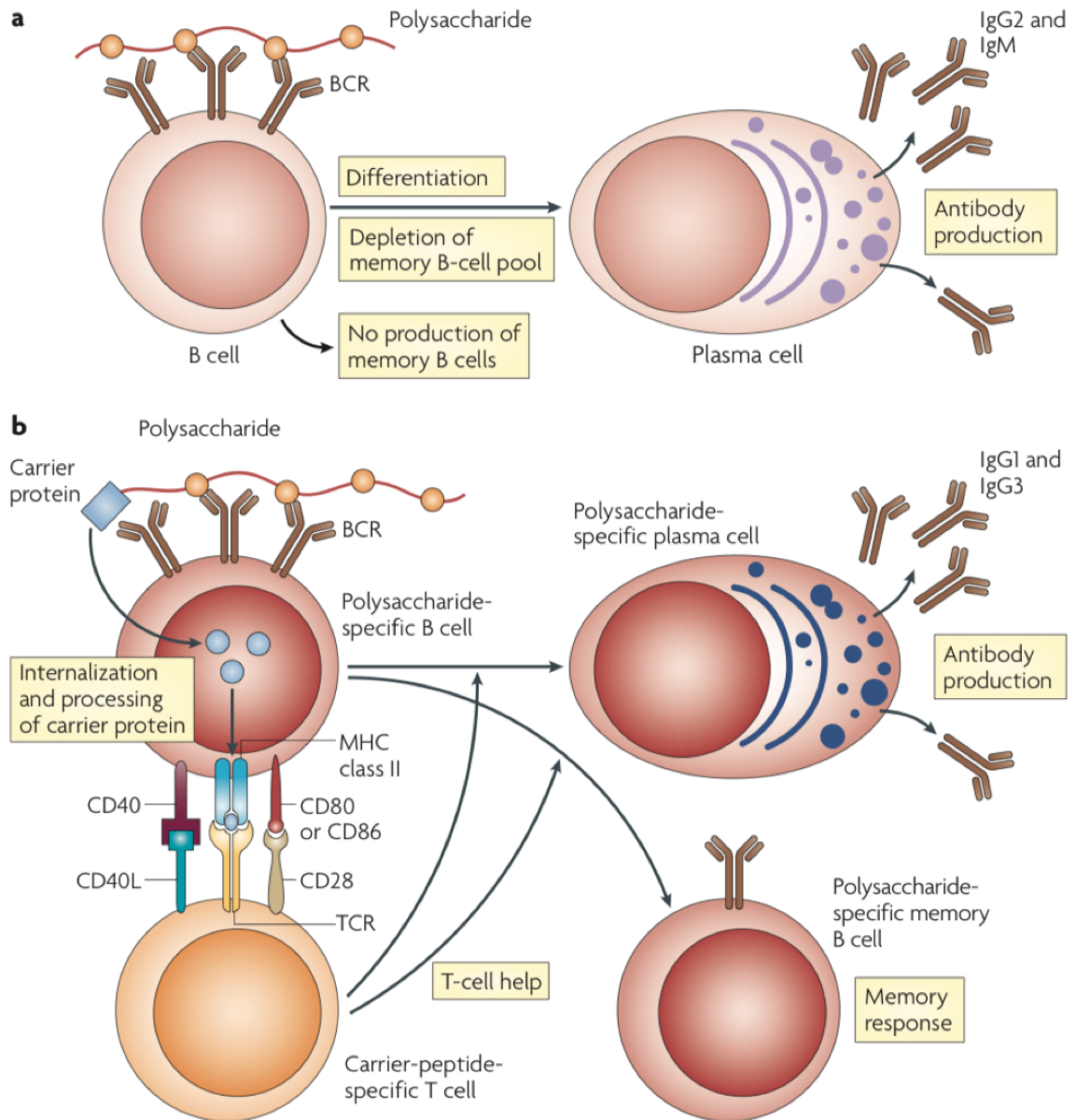
#### 1.2.4.2 Adaptive Immunity

Human and mouse data have demonstrated that colonization with pneumococci is actually an immunizing event that induces humoral and cellular (mainly T<sub>H</sub>17) adaptive immune responses to *S. pneumoniae*. [218-223]. Due to the extracellular nature of pneumococci, humoral immune responses and the associated antibody production are crucial for control and clearance of infection. One study identified surface proteins PpmA, PhtD, and PsaA as major targets of colonisation-induced humoral-immunity using pneumococcal strain EF3030 [224]. All three proteins have also been identified as antibody targets in models of both murine and human pneumococcal colonisation [225, 226, 221, 222]. As these proteins are sub-capsular, antibodies directed against them can confer serotype-independent protection, and they have been demonstrated to mediate immunity to pneumococcal infections [227-229]. These antibodies are produced by B-cells via CD4<sup>+</sup> T-cell-dependent or -independent pathways. HIV-infected individuals are actually 30–100-fold more likely to suffer pneumococcal infection due to depletion of CD4<sup>+</sup> T-cells and the associated B-cell dysfunction [230, 231].

However, experiments in genetically modified mice demonstrated protection against colonisation – induced either by prior exposure to live pneumococcus or vaccination with a whole-cell vaccine – did not depend on antibody responses. Instead, protection was critically dependent on CD4<sup>+</sup> T-cells [232-234, 228]. It is worth noting that while these experiments focussed on colonisation, previous studies published by our laboratory clearly demonstrate the importance of B-cell responses induced by vaccination for protection against lethal pneumococcal sepsis [235]. Regardless, CD4<sup>+</sup> T-cell responses play a critical role in both scenarios. IL-17-producing CD4<sup>+</sup> T-cells, known as T<sub>H</sub>17 cells, are particularly important for pneumococcal protection, and are predominately involved in host defence against many extracellular pathogens. It has been confirmed in recent years that the signature cytokine of this subset, IL-17, is essential for protection against *S. pneumoniae*, with neutralisation of IL-17 abrogating vaccine-mediated protection [236, 235]. T<sub>H</sub>17 cells are required for early recruitment of neutrophils and macrophages during the early stages of colonisation, which mediates bacterial clearance by opsonisation-mediated mechanisms [237, 238]. T<sub>H</sub>17-mediated neutrophil recruitment also indirectly improves lung immunity against other respiratory pathogens by boosting local phagocytic capacity, and was found to be associated with increased production of antimicrobial peptides by epithelial cells [239, 240].

### 1.2.5 Prevention of Pneumococcal Disease

Pneumococcal vaccines Pneumovax and Prevenar-13 (PCV13) are currently available for human use. Pneumovax is a CPS-based vaccine recommended for the elderly, immune-compromised individuals, residents of institutions, and adults in indigenous minorities [241]. Whilst effective in most of these groups, some studies have reported minimal to no decrease in disease for some Australian indigenous adult populations following Pneumovax implementation. This is likely due to low vaccine coverage and/or serotype replacement [241, 242]. Additionally, Pneumovax is ineffective in young children due to the poor immunogenicity of the T-cell independent CPS antigen. To overcome this, CPS was conjugated to a non-toxic diphtheria protein carrier, allowing T-cell dependent processing (see **Figure 1.4**) and providing immunogenicity and immune memory in young children and infants. This conjugated vaccine (PCV13) was licensed in 2010, and since its implementation, a substantial decrease in disease caused by vaccine-included serotypes has been observed [243, 244]. However, due to the complexity of manufacture,



**Figure 1.4. Processing of pneumococcal CPS vaccine antigens.** (A) Capsular polysaccharides (CPS) stimulate B-cells by cross-linking the B-cell receptor (BCR), and driving the production of immunoglobulins. This process results in a lack of production of new memory B-cells. (B) The CPS from polysaccharide conjugate vaccines is similarly processed by the polysaccharide-specific B-cell, however peptides from the carrier protein are simultaneously presented to carrier-peptide-specific CD4<sup>+</sup> T-cells. This results in T-cell help for the production of both plasma cells and memory B-cells. CD40L, CD40 ligand; TCR, T-cell receptor [245].

PCV13 covers only 13 out of 98 identified serotypes (serotypes 1, 3, 4, 5, 6A, 6B, 7F, 9V, 14, 18C, 19A, 19F, and 23F) [246]. It also requires refrigeration, multiple injections, and does not include many of the serotypes that cause pneumococcal disease in the developing world [228].

The lack of broad serotype coverage has also resulted in substantial serotype replacement, whereby reduction in carriage of vaccine-serotypes leaves a vacant niche that is quickly occupied by non-vaccine serotypes [247, 248]. Currently, non-PCV13 serotype isolates, such as serogroup 15B/C, are becoming increasingly common [249, 250], and accounted for a staggering 84.1% of all childhood IPD cases in 2015/16 [251]. In addition, non-PCV serotypes have been recently reported as the major contributors to IPD burden in adults  $\geq$  65 years of age [252]. Furthermore, PCVs have not been associated with consistent reduction in the incidence of pneumococcal meningitis – one of the most severe forms of IPD – due to serotype replacement [253-255]. One US study demonstrated rates of childhood meningitis remained entirely unchanged following PCV13 introduction, with serotype distribution clearly shifting towards non-vaccine serotypes [256].

Alarming, serotype replacement is not a newly observed phenomenon. Serotypes 19A and 3 were included in PCV13 due to their rapid emergence as predominant causes of IPD following introduction of the preceding PCV7 vaccine (serotypes 4, 6B, 9V, 14, 18C, 19F, and 23F) [257, 248, 258, 259]. The pneumococcus also demonstrates remarkable biological fitness with an ability to exchange DNA to allow capsular switching and acquisition of antibiotic resistance [260]. Serotype 19A is a prime example of this, as this serotype was associated with penicillin and macrolide antibiotic resistance upon its emergence [261-263]. Consequently, unless serotype coverage is dramatically expanded, the clinical benefit of CPS-based pneumococcal vaccines will continually be offset by increases in both carriage and disease by non-vaccine serotypes [247, 248]. Differences in serotype distribution between IPD and acute otitis media cases, and between different countries are also being observed more frequently. Global efforts should therefore focus on accelerating the development of alternative broad-spectrum pneumococcal vaccines that address current shortcomings without compromising efficacy.

### 1.2.6 Co-Infection with Influenza A and *S. pneumoniae*

Influenza A and B viruses have both been shown to leave patients highly susceptible to subsequent infection (secondary infection) with opportunistic bacteria. *S. pneumoniae* is the most frequent cause of secondary bacterial pneumonia following influenza virus infection, and often leads to severe disease resulting in hospitalization and high mortality [175, 264-269]. Alternatively, existing colonisation with *S. pneumoniae* can lead to exacerbated disease when individuals are concurrently infected with influenza A (super-infection). In this scenario, bacterial pneumonia is the most common disease type to develop, leading to exacerbated influenza disease symptoms, pulmonary distress, and potential pulmonary failure.

#### 1.2.6.1 Mechanisms Underlying Synergistic Infection

There have been several mechanisms proposed to explain how primary influenza infection sensitises the lung to secondary bacterial invaders. Epithelial and mucosal degradation due to viral replication has been reported to increase the exposure of bacterium adherence sites [270], which enhances both susceptibility to bacterial colonisation [271, 272], and nasopharyngeal carriage density [273]. Activity of viral neuraminidase is proposed to also increase exposure of pneumococcal receptors [274, 275]. The mechanical beating of cilia is also impeded [276], which, together with heightened carriage density increases the risk of transmigration of pneumococci to the lung. Enhancement of the incidence of acute otitis media has also been reported due to influenza-induced inflammation [277], suggesting migration to additional sites may also be heightened.

It has been shown that the innate cytokines produced by T-cells in response to IAV infection, e.g. IFN-I and IFN- $\gamma$ , play fundamental roles in sensitising an individual to infection with pneumococci. Sun & Metzger reported that IFN- $\gamma$  blocks clearance of *S. pneumoniae* by alveolar macrophages via suppression of the macrophage receptor with collagenous structure (MARCO) that is expressed on the macrophage surface. This receptor is responsible for phagocytosis of un-opsonised pneumococci [265]. IFN-I has also been reported to down-regulate neutrophil chemo-attractants such as CXCL1 and CXCL2, [278] and inhibit IL-17 production by  $\gamma\delta$  T-cells, which normally aids neutrophil recruitment from the bone marrow [279]. Moreover, the regulatory immune response that occurs following resolution of influenza diminishes the ability of the host to prevent

establishment of secondary infection. This regulatory response is necessary to limit the immuno-pathology sustained by the lung following viral clearance, however the suppressed state leaves the host vulnerable to opportunistic bacteria [280, 281, 265, 282, 283]. Induction of inhibitory IL-10 for example has been proposed to inhibit the function of neutrophils, with neutralisation of this cytokine improving disease outcome [284].

Secondary pneumococcal infection has also been shown to modulate the immune response induced by primary IAV infection. In one study, mice were intranasally infected with A/PR8 (H1N1), followed by a lethal dose of pneumococci 3 days later. Co-infected mice were found to have significantly reduced titres of A/PR8-specific IgM, IgA, and IgG in lung homogenate and reduced IgG in serum, compared to mice infected with A/PR8 only. Co-infection also reduced numbers of germinal centre B-cells and T follicular helper cells ( $T_{FH}$ ) in the spleen and mediastinal lymph node (mLN), which is suspected to contribute to reduced antibody production [285]. The mechanisms behind downregulation of these particular cell subsets are yet to be elucidated.

Super-infection similarly relies on synergistic mechanisms to enhance disease pathology. Exposure of human middle-ear epithelial cells to both IAV and *S. pneumoniae* for example resulted in a synergistic inflammatory response [286]. Subsequent microarray gene analysis of this system revealed that multiple inflammatory genes were upregulated by IAV infection, as was expression of TLR2. Authors postulated the enhanced TLR expression caused cells to be more responsive to concurrent stimulation by pneumococcal peptidoglycan and LTA, leading to increased inflammation [287]. *In vivo*, this could result in enhanced tissue damage and potentially mediate bacterial dissemination. Interestingly, LAIV has been demonstrated to increase pneumococcal translocation to, and persistence within the middle ear in mice. LAIV was administered either 1 or 7 days prior to or during pneumococcal colonization of the nasopharynx. Irrespective of the order of viral/bacterial inoculation, vaccination with LAIV was found to be associated with significantly increased bacterial transmigration to the middle ear, and duration of middle ear colonization (MEC). In the case of LAIV vaccination preceding pneumococcal inoculation (modelling secondary infection), the enhanced MEC was found to occur during or shortly after viral clearance from the upper respiratory tract. This result is in line with numerous other studies that demonstrate epithelial damage and poorly coordinated immune responses following IAV resolution underlie enhanced

susceptibility to bacterial infection. In the pre-colonized mice however, authors propose that low levels of viral replication immediately following vaccination with LAIV may not directly enhance density of pre-existing pneumococcal carriage, but instead could disrupt the balance that naturally exists to prevent commensal carriage progressing to invasive disease [288].

#### *1.2.6.2 Vaccination Strategies Against Co-Infection*

In general, industrialized countries recommend both influenza and pneumococcal vaccination for those at higher risk of complications, and in all adults  $\geq 65$  years of age. It is recommended that the influenza vaccine is administered annually, and the pneumococcal vaccine is administered every 5 – 10 years. However, vaccine coverage does not reach recommended levels, especially for the pneumococcal vaccine [289-291]. Administering the pneumococcal vaccine at an annual influenza vaccination visit has been suggested as a strategy to improve pneumococcal vaccine uptake and population coverage [292]. This strategy could also reduce the morbidity and mortality resulting from co-infection with live influenza and *S. pneumoniae*.

Studies have reported the positive impact of vaccination against one pathogen on incidence of disease caused by the other. For example, a study evaluating the frequency of otitis media in young children reported that vaccination with the trivalent inactivated influenza vaccine reduced cases of acute otitis media by ~50% [293]. Several groups have also demonstrated dual pneumococcal and influenza vaccination is associated with greater benefits in terms of reducing hospitalization and mortality compared with either vaccine given alone, particularly in elderly populations [294-299]. A retrospective study of Japanese adults with chronic respiratory disease suggested that administration of pneumococcal and influenza vaccines resulted in significant decreases in the number of respiratory infections and hospitalizations compared with people who received the influenza vaccine alone [300].

Additionally, the immunogenicity of both vaccine components when administered simultaneously has been investigated in a number of clinical trials. A Phase III clinical trial evaluated the immunogenicity, safety, and tolerability of PCV13 co-administered with the trivalent inactivated influenza vaccine (TIV) in healthy adults. Results indicated co-administration was well tolerated, and had no negative impact on TIV-specific

antibody responses. However, co-administration was associated with lower PCV13 antibody responses [301]. Another study investigating co-administration of TIV and PCV13 showed TIV-specific responses were unaffected, whilst responses to PCV13 were again lower when compared to those induced by PCV13 administered alone [302]. However, a recent Phase III trial compared co-administration of the inactivated quadrivalent influenza vaccine (IIV4) with the 23-valent pneumococcal polysaccharide vaccine (PPV23) in adults. Immunogenicity of both IIV4 and PPV23 was similar regardless of administration schedule, with no significant reduction in antibody responses [303]. It is unknown whether differences in T-cell processing of the PPV23 and conjugated PCV13 CPS antigens account for these differences in immunogenicity between trials.

It is important to note that these investigated the effects of administering both IAV and pneumococcal vaccines at different anatomical sites. Additionally, despite success of simultaneously administered vaccines, lack of broad-spectrum protection for both influenza and *S. pneumoniae* remains a global health problem. Given the synergistic nature of infection with influenza and *S. pneumoniae*, it is justifiable that a new form of influenza vaccine should also be designed to simultaneously protect against pneumococci. Consequently, a single combination vaccine containing antigens against both of these respiratory pathogens is an appealing possibility.

### 1.3 GAMMA IRRADIATION FOR VACCINE PURPOSES

Gamma( $\gamma$ )-irradiation is one of the most effective means of sterilisation and has been widely used to ensure sterility of a variety of biological materials (human tissue allografts, pharmaceutical and medical items, and foods). Gamma-rays have also been used to inactivate highly pathogenic infectious agents such as Ebola, Marburg, and Lassa viruses for safe handling and biochemical analysis [304].

Gamma radiation is a form of ionising radiation consisting of high-energy photons ( $\geq 200$  keV) [305], with very high penetrating power. Under the correct conditions, gamma-radiation is capable of inactivating pathogens whilst retaining significant antigenicity; this is a major advantage over other inactivation methods in the context of vaccine preparation [306-308]. Typically, gamma-radiation is generated via the degradation of a radioactive form of cobalt, cobalt-60 ( $^{60}\text{Co}$ ), to the more stable and non-radioactive Nickel ( $^{60}\text{Ni}$ ). It is also possible to use a Cesium-137 ( $^{137}\text{Cs}$ ) source for gamma-ray generation, though  $^{60}\text{Co}$  has greater availability and higher penetrating power of the resulting rays [309, 310]. Two high-energy gamma rays (1.17 MeV and 1.33 MeV) are emitted as part of the degradation process of an individual radioisotope, and these can collectively be targeted to the material of interest to neutralise contamination.

#### 1.3.1 Mechanisms of Inactivation by Gamma Radiation

The absorption of gamma-rays results in ionisation of molecules and atoms within a given material. A gamma-ray may interact with an electron, transferring part or all of its energy and causing the electron to be ejected from its orbital path. The ejected electron is often referred to as a 'photoelectron'. Consequently, outer shell electrons drop down to lower orbitals to fill the gap left by the ejected electron, usually resulting in the atom becoming charged and unstable. Alternatively, an outer shell electron may be ejected, again leaving a gap in the outer shell and causing ionization of the atom. This process of ionisation causes deposition and subsequent dissipation of large amounts of energy, leading to disruption and cleavage of covalent bonds, and crosslinking [311, 312]. This effect is termed direct damage. Considering the simple molecular structure of DNA/RNA molecules compared to globular proteins, genomes are far more susceptible to direct damage. Sufficient 'hits' to the genome via this mechanism will render a pathogen inactive, as the genome is unable to be effectively replicated. Due to the globular nature

of most proteins, multiple breaks in the polypeptide chain are needed for complete protein denaturation. However, cleavage of the peptide bond has been reported following exposure to high radiation doses [313].

In addition to the direct damage, the ionization of atoms and molecules may lead to the generation of unstable chemical species termed free radicals. They are chemically reactive and seek stability of their outer shell by interacting or binding with surrounding molecules and atoms. Gamma-rays often interact with water molecules due to their high intracellular concentration, resulting in excitation and dissociation of oxygen and hydrogen, and formation of highly reactive free radicals such as  $\bullet\text{OH}$ . In fact, ionising radiation damages biological contaminants primarily through radiolysis of water and the damaging action of resulting free radicals on proteins and nucleic acids [314] – this is termed indirect damage. Free radicals may cause oxidative damage to the genome, leading to chemical crosslinking of DNA/RNA, and damage to individual nucleotides. In some instances, base modification and base loss from oxidative free radical attack can be mutagenic [315]. Lesions within DNA/RNA molecules and loss of base information prevents effective replication of the pathogen's genome, rendering it inactive. Free radicals can also cause chemical modification to proteins, resulting in cleavage of polypeptide bonds, aggregation, and oxidation [316]. This enhances inactivation of certain pathogens, as internal proteins carried for genome replication can be rendered non-functional. Additionally, in higher order organisms such as parasites, DNA is typically bound to a 'core' of four histone proteins, forming the nucleosome, as well as to other DNA-associated proteins required for transcription. Crosslinking between DNA and these bound proteins, both histone and non-histone fractions, has been reported following *in vitro* irradiation and analysis by filter retention assay, and again, blocks genome replication [317].

### 1.3.2 Decimal Reduction Dose ( $D_{10}$ ) & Sterility Assurance Level (SAL)

Sterility of a product refers to the complete absence of viable microorganisms that may pose a health risk during administration [318]. A sterility assurance level (SAL) of  $10^{-6}$  is currently accepted for treatment of medical products intended to come into contact with compromised tissue barriers, such as surgical equipment, and indicates that the chance of having one viable microorganism in any sterilised unit is one in a million. SAL of  $10^{-3}$  is required for products not intended for such contact [319]. An increasingly common

approach is to use a radiation dose that exceeds these critical parameters to further reduce the probability of any live bio-burden persisting [320, 321]. Calculation of the total radiation dose required to achieve a given SAL is dependent on estimation of the Decimal Reduction Dose, or  $D_{10}$  value. This value indicates the radiation dose that reduces viral or bacterial titre by  $1 - \log_{10}$  (i.e. 90% reduction) [322]. Assuming log-linear inactivation, this dose can then be scaled up using the following formula to determine the total dose required for sterilization [323].

Sterilising Dose =  $D_{10} (\log_{10} N - \log_{10} \text{SAL})$ , where:

- $D_{10}$  = Decimal Reduction Dose of contaminating pathogen
- $N$  = initial titre/bioburden
- SAL = required Sterility Assurance Level

If a product is suspected of being contaminated with more than one pathogen, the  $D_{10}$  value of the most radio-resistant pathogen is to be used to calculate the final sterilizing dose [310]. Previously, a gamma-radiation dose of 25 kilogray (kGy) was thought to be sufficient to achieve a SAL of  $10^{-6}$  irrespective of the type of contaminating pathogen [319]. In the early 1990's, this recommended dose was increased to 50 kGy, particularly for products containing high bio-burden, or when handling agents of high pathogenicity such as Ebola virus and certain Avian influenza strains [310]. However, 50 kGy may not be a universal sterilising dose, as illustrated by the release of live *Bacillus anthracis* (Anthrax) spores from a Department of Defence (DoD) laboratory in the United States. Transportation of live Anthrax had actually occurred by the DoD over a period of 10 years to 9 different countries, though viability of spores was only realized in 2015. Various batches of spores were treated by four different DoD laboratories, and were exposed to irradiation doses ranging from 38 – 54 kGy. However, given the high starting titres reported, a minimum dose of 68 kGy should have been utilised. Additionally, published studies have highlighted that spores are particularly difficult to neutralise [324], and that those damaged by irradiation may have repair mechanisms to restore viability [325, 326]. Thus, pathogen-specific and condition-specific SALs should be considered to ensure appropriate inactivation of pathogens by  $\gamma$ -rays.

### 1.3.3 Applications of Gamma Irradiation

#### 1.3.3.1 Food Industry

Gamma-radiation is currently used to safely remove bio-contamination from foods that can cause illness, such as *Escherichia coli*, and *Salmonella* spp. Studies have also demonstrated the efficacy of irradiation for removal of viral agents, including Poliovirus [327] and Hepatitis A Virus (HepA) [328]. Gamma-radiation doses of just 2.7 kGy and 3.0 kGy for contaminated lettuce and strawberry respectively were sufficient to reduce inoculated HepA titres by  $\geq 90\%$ . Additionally, despite the perishable nature of the food samples tested, no food deterioration was observed, even following treatment with the highest dose of 10 kGy. Irradiation is also used to inactivate food-borne parasites [329], and micro-organisms that cause decomposition and spoilage of foods, thereby increasing food preservation and shelf life [330]. The Food & Drug Administration (FDA) in the United States has approved irradiation of red meats (beef and pork) and poultry, as well as seafood items, eggs, spices, fresh fruit and vegetables. Low-level gamma radiation is also being used as an alternative to harsh chemical based-pesticides for crop treatment, as irradiation sterilizes insect pests such as the fruit-fly to prevent their reproduction and reduce the overall pest population [331, 310].

#### 1.3.3.2 Medical & Pharmaceutical Industries

Sterilisation of medical and pharmaceutical products is vital to ensure safety and prevent accidental infection. The use of gamma-radiation in the medical field is progressively increasing, including sterilization of many medical devices [332], animal sera [333, 334], and plasma-derived bio-therapeutics such as albumin, immunoglobulins, and factor VIII (an essential coagulation factor) [335, 336]. Plasma derived products have previously been associated with transmission of infectious diseases such as HIV, Hepatitis viruses, and B19 parvovirus [337-339]. It has been shown that a virally spiked albumin solution could be irradiated at doses of up to 50 kGy whilst maintaining the majority of albumin's structural integrity [340]. Infectious virus was undetectable at just 30 kGy, thus gamma-radiation offers a highly effective method for sterilisation. It also has a few noteworthy advantages over other more conventional methods, such as membrane filtration, heat treatment, and ethylene-oxide (EtO) treatment. For example, gamma-rays possess high penetrating power, enabling the penetration of most external packaging to facilitate terminal sterilization [321].

### 1.3.3.3 Vaccine Industry

Currently, formalin and beta-propiolactone (BPL) are two of the most common inactivation methods used for viral vaccine inactivation. Formalin exposure results in stabilization and immobilisation of proteins, resulting in loss of both protein function and viral infectivity. Cross-linking of proteins inevitably causes alteration to protein structure and modifications to amino acids, which reduce antigenic integrity and vaccine immunogenicity [341]. BPL inactivation differs from formalin inactivation, as the main target is nucleic acid [342-344]. BPL reacts with the Nitrogen-7 atom of guanine [345], leading to base alteration and subsequent misreading by polymerase of the BPL-altered guanine as an adenine. Hence, for every modified guanine, a transition mutation is incorporated upon genome replication [346]. BPL exposure can also induce DNA double helix cross-linking [347]. This, in combination with numerous guanine base mutations, renders genomes non-functional and the virus becomes replication incompetent. As BPL primarily targets nucleic acid, it was thought that proteins would be left undamaged, resulting in highly immunogenic inactivated preparations. Unfortunately, this is not the case, as BPL also readily interacts with nucleophile moieties present on amino acids. A recent study investigated the effects of BPL exposure on infectivity of IAV H3N2, and reported that virus infectivity was gradually reduced as BPL concentration was increased, with infectivity being eliminated entirely at 1000  $\mu\text{M}$  [348]. However, this loss of infectivity was found to be primarily due to modification of HA2 and M1 viral proteins, which inhibited fusion of the viral envelope with the host cell endosome during infection.

Gamma-irradiation on the other hand is significantly less damaging to protein than both formalin and BPL treatment, and other conventional inactivation approaches that often alter the conformation of antigenic epitopes [76]. While gamma-irradiation damages viral genomes, the structure of the virion as well as external antigenic proteins are relatively well maintained. For example, a 2010 study by Furuya *et al.* showed a three-fold reduction in haemagglutination activity of gamma-irradiated IAV preparations, indicative of slight damage to the HA surface protein. Strikingly, nine-fold reductions in haemagglutination activity were observed for both formalin-inactivated and UV-light inactivated preparations [349]. Thus, gamma-irradiated viruses are still able to be internalised by host cells due to intact surface proteins, and can effectively induce IFN-I responses and associated lymphocyte activation. [350]. Chemical modification of peptides (common with formalin and BPL treatment) also interferes with the process of

uptake and presentation by MHC-I molecules [351], inhibiting induction of effective T-cell responses [352]. Given the superiority of gamma-irradiation over chemical-based methods for antigen maintenance and processing, it has gained substantial interest as a new viral vaccine inactivation approach.

Furthermore, this technology has also been applied to inactivation of bacteria, again for vaccine purposes. A key difference between irradiation of viruses and bacteria is that irradiated bacteria are potentially able to retain metabolic activity despite being non-dividing. Due to the extensively larger genome of most bacteria, irradiation may leave sections of the genome intact, allowing genes on these segments to be expressed and the corresponding proteins to be produced and/or secreted [353]. This would allow the irradiated bacterium to provide prolonged exposure of the immune system to native antigens. Irradiated *Brucella melientis* for example was shown to possess transcriptional and metabolic activity similar to that of live *Brucella*, and was able to persist within macrophages. Despite this, the irradiated bacteria showed no signs of residual virulence, as mice challenged with irradiated *Brucella* did not develop any signs of disease [353]. The illustration that metabolic activity alone is not sufficient for pathogenesis will be very important for future clinical application of  $\gamma$ -irradiated bacterial vaccines. In contrast to  $\gamma$ -irradiation, traditional inactivation methods such as heat or chemical agents impair replicative ability and also denature proteins and nucleic acids, abrogating both transcription and metabolic activity. Consequently, inactivated bacterial vaccines often have low immunogenicity due to insufficient immune stimulation. In support of this, Magnani *et al.* showed that heat-killed *Brucella* had impaired protective ability compared to an irradiated preparation, which induced both antigen-specific T-cells and protection against bacterial challenge [353].

Irradiation of whole *Mycobacterium tuberculosis* (TB) as a novel vaccine candidate has also been in development [354]. Gamma-irradiated TB was shown to provide significant protection in mice against challenge, and inclusion of an aluminium hydroxide-based adjuvant in the vaccine resulted in protection equivalent to that of control Bacillus Calmette-Guérin (BCG, existing vaccine strain) treated mice [355]. Irradiated TB was also shown to induce nitric oxide release for antimicrobial activity [356, 357], and T<sub>H</sub>2 responses resembling that induced by a live TB infection [358, 354]. Whole irradiated *Salmonella typhimurium* [359] and *Brucella neotomae* [360] have also been investigated

as potential inactivated vaccine candidates. Both vaccines were able to induce elevated levels of antigen-specific antibodies, and conferred protection against challenge. Gamma-irradiated *B. neotomae* was also shown to induce antigen-specific CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses, with IFN- $\gamma$  and TNF- $\alpha$  secretion [360].

#### 1.3.4 Gamma Irradiated IAV Vaccine ( $\gamma$ -Flu)

Our group has developed an intranasally administered IAV vaccine comprised of whole gamma-irradiated influenza A virus, termed  $\gamma$ -Flu. A single intranasal dose of  $\gamma$ -Flu (A/PC, H3N2 strain) was shown to induce cross-protective immunity against heterosubtypic non-vaccine strains, including H1N1 and highly pathogenic avian H5N1 [361]. This is major step forward from current inactivated influenza vaccines, which offer strain-specific protection only. Interestingly, the same A/PC influenza preparation failed to induce heterosubtypic protection when inactivated with formalin or UV (conventional methods) as opposed to gamma-radiation [349].

The superiority of irradiated IAV is related to the retained functionality of viral surface proteins, which mediate binding to host cells and subsequent membrane fusion. In fact,  $\gamma$ -Flu effectively mimics a live virus infection, inducing IFN-I responses and the associated lymphocyte activation in a TLR7-independent manner, indicating virus internalisation by host cells [350]. This is confirmed by the ability of target cells to process and present  $\gamma$ -Flu antigens via MHC-I, leading to induction of cytotoxic CD8<sup>+</sup> T-cell responses targeting internal proteins such as NP [362]. These internal proteins are highly conserved between different strains and subtypes of IAV, allowing T-cell responses induced by one strain to be broadly cross-protective. In contrast to  $\gamma$ -Flu, UV- and formalin-inactivated IAV preparations, as well as the licensed trivalent IIV were all shown to be unable to induce cross-reactive cytotoxic T-cell responses [349]. It has also been reported that intranasal vaccination with whole BPL-inactivated IAV was associated with very poor CD8<sup>+</sup> T cell responses, which corresponded to only partial protection in mice against heterosubtypic challenge [363]. The efficacy of  $\gamma$ -Flu following intranasal administration has advantages for respiratory vaccines in general, and has the potential to be of considerable benefit for mass vaccination regimes involving self-administration in the face of a future pandemic.

### 1.3.5 Gamma Irradiated Pneumococcal Vaccine ( $\gamma$ -PN)

Past studies have demonstrated that immunisation with a genetically detoxified derivative of *S. pneumoniae* protein pneumolysin (termed pneumolysoid) provides significant protection against numerous serotypes of *S. pneumoniae* [364, 365]. In addition, immunisation with combinations of virulence proteins was reported to provide synergistically enhanced protection when compared to single antigens [366-368]. Immunisation with whole-cell pneumococci is therefore expected to enhance protection even further due to inclusion of a vast majority of pneumococcal antigens. In fact, an ethanol-killed (conventional method) whole-cell pneumococcal preparation has been shown to elicit protection against nasopharyngeal carriage, though protection was dependent on using cholera toxin as an adjuvant [369].

Given the enhanced immunogenicity of  $\gamma$ -Flu compared to conventionally-inactivated preparations, it was postulated that gamma-irradiation could be used as an inactivation method to develop a whole-cell pneumococcal vaccine. In fact, our group has recently published that intranasal vaccination with a non-adjuvanted whole-cell gamma-inactivated *S. pneumoniae* vaccine ( $\gamma$ -PN) induced serotype-independent protection against lethal intranasal challenge models of pneumococcal sepsis and focal pneumonia. This protection was demonstrated to be dependent on B-cell responses and IL-17 production by innate  $\gamma\delta$ T17-cells. Vaccination with  $\gamma$ -PN was also shown to induce elevated *S. pneumoniae*-specific IgG and IgA in serum [235]. Furthermore, current CPS and conjugate pneumococcal vaccines are effective against vaccine-included serotypes, however their continued use is leading to serotype replacement, whereby non-vaccine serotypes simply replace vaccine serotypes in the newly vacant nasopharyngeal niche. As serotype specificity is conferred by the capsular polysaccharide, our group utilized a capsule deficient derivative of *S. pneumoniae* D39 (serotype 2) to overcome this. Removal of the capsule from our vaccine strain successfully allowed exposure of key surface antigens, and consequently induction of serotype-independent protection [235].

## 1.4 COMBINATION VACCINES

As outlined in *Section 1.2.6.2*, concurrent administration of inactivated IAV and CPS-based pneumococcal vaccines has been investigated previously by other groups, with promising results. This strategy, termed simultaneous administration, has also been investigated for other vaccine antigens, both live and inactivated. In general, concurrently administered vaccines have been shown to produce seroconversion rates equivalent to those seen when the vaccines are administered independently [370-374]. For example, the Varicella vaccine and LAIV can be administered simultaneously with no interference of immune responses [375], as can the Yellow Fever vaccine with both Hepatitis B [376], and measles vaccines [377].

The mixing of multiple vaccine components into a single product is an alternative strategy to induce protection against multiple pathogens. Initially this was thought to be associated with higher risk of immune interference, due to multiple conflicting immune responses being induced at a single site. Despite this, a number of combination vaccines have been very successful. The first to be trialled was DTP, containing individual diphtheria, tetanus, and pertussis vaccine antigens in a single vial. This combined vaccine was first administered to infants and children in 1948 [378], and its success paved the way for the addition of other vaccine components to the DTP formulation, and the eventual replacement of specific components to minimise reactogenicity [379]. Combination vaccines bring numerous benefits from a vaccine coverage perspective, and the increasing complexity of recommended childhood and elderly vaccination schedules continues to necessitate the development of new combination formulations.

### 1.4.1 Licensed Combination Vaccines

Based on the formulation of diphtheria, tetanus, and acellular pertussis toxin (DTaP) [379], two pentavalent combinations have been created, containing additional vaccine antigens. The first is Pediarix, a DTaP, hepatitis B (HepB) and inactivated poliovirus (IPV) formulation, and the second is Pentacel, a DTaP-IPV plus a *H. influenzae* type b (Hib) polysaccharide formulation. An additional advance on this combination is Infanrix-hexa from GSK, containing all aforementioned antigens (DTaP-HepB-Hib/IPV) to protect against a total of 6 different infectious agents [380]. In all instances, the bacterial DTaP components are all acellular. Infanrix-hexa is adjuvanted with both aluminium hydroxide and aluminium phosphate adjuvants, which adsorb to the DTaP and the HepB

vaccine components respectively. While this combination is currently licenced in Europe [160], it is yet to gain licensure in the US due to the reported reductions in antibody titres to Hib [381].

Interestingly, this reduction in titre has been clinically reported for multiple DTaP-based combination vaccines [382-384, 245]. The mechanism by which mixing Hib with a DTaP-based vaccine affects antibody titres against the Hib component only is currently unknown. Incompatibility of Hib vaccines with aluminium adjuvant [385], or carrier-induced epitopic suppression [386, 387], have both been considered. The antibody reduction has also been studied in a preclinical rat model. Similar to clinical data, authors of this study observed no reduction in antibody response to any of the DTaP components following co-administration with Hib, though a reduction in anti-Hib responses was observed [388]. This study demonstrated that aluminium hydroxide adsorbed Hib vaccine induced 5 – 11-fold lower antibody titres compared to un-adsorbed Hib alone, which supports the theory of adjuvant incompatibility. The authors also reported that mixing of Hib with DTaP resulted in complete adsorption of Hib to aluminium hydroxide, whilst mixing Hib with a formulation containing aluminium phosphate only did not reduce Hib-specific antibody titres [388].

In contrast to antibody responses, co-administration of Hib with DTaP components resulted in higher IFN- $\gamma$  secretion by spleen cells compared to separate administration of the same components [389]. This suggests that whilst antibody titres may be lowered, cell-mediated immune responses may be increased, indicating a shift in adaptive immunity and potentially enhanced protection.

Vivaxim is another licenced combination vaccine, offering protection against enteric diseases Hepatitis A virus and typhoid fever. It contains a sterile suspension of purified *Salmonella typhi* Vi capsular polysaccharide and formaldehyde inactivated Hepatitis A virus antigen, presented in a dual-chamber syringe [390]. The contents of each chamber are mixed within the syringe immediately prior to injection. Clinical trials illustrated the combination vaccine was highly immunogenic and offered rapid seroconversion. No differences in immune responses were observed between patients immunised with the two vaccine components separately or combined, indicating minimal interference between responses raised against the individual antigens. Seroconversion occurred to the

same extent against both antigens irrespective of administration type (single or combination), and co-administration had no impact on safety or reactogenicity [391, 392].

Other combination vaccines currently available include Priorix-Tetra (a live combination vaccine against measles, mumps, rubella and varicella viruses), and MenHibrix (containing CPS antigens from *Neisseria meningitidis* serogroups C and Y, and from *Haemophilus influenzae* type b, with each CPS type covalently bound to inactivated tetanus toxoid). Currently, there is no combination vaccine that is comprised of whole inactivated bacteria and whole inactivated virus.

#### 1.4.2 Combination Strategies under Investigation

Many groups have explored novel combinations of individual antigens with the intent of collectively protecting against certain types of infectious disease. For example, *S. pneumoniae* and *Neisseria meningitidis* have sufficient overlap in terms of epidemiology and meningitis burden to warrant a single combination vaccine [393]. To assess suitability, one study combined the 13-valent pneumococcal conjugate vaccine and a *N. meningitidis* serogroup B vaccine (MenB) in mice, to determine any immunological interference. Antibody responses specific to MenB were not influenced by co-administration. Interestingly, pneumococcal-specific antibody titres against multiple included serotypes were significantly enhanced when MenB was co-administered. It is likely that this enhancement was mediated by the lipopolysaccharide (LPS) present in the outer membrane vesicles that comprise the MenB vaccine, as LPS has strong adjuvant activity [394]. In fact, enhanced immunity to a MenC conjugate vaccine was also seen after co-administration with MenB in healthy adults, though the difference was not statistically significant [395].

Other studies have intentionally co-administered novel vaccine components with the intent of using one as an adjuvant to boost immunogenicity of the other. A combined Norovirus (NoV) and Rotavirus (RV) vaccine was investigated that consisted of NoV-like particles and recombinant polymeric RV VP6 protein. VP6 was engineered to self-assemble into polymeric tubular structures for adjuvant activity, as this form of VP6 has heightened immunogenicity compared to the monomeric form, and readily activates APCs. Initially, the NoV and RV components were administered either individually or in

combination, and investigators observed no negative impact of co-administration on antibody or T-cell responses [396, 397]. Subsequently, the adjuvant effect of polymeric VP6 on NoV-specific responses was investigated. Sub-optimal doses of NoV-like particles did not induce significant antibody titres, however, when intramuscularly co-administered with VP6, considerable levels of both type-specific and cross-reactive anti-NoV antibodies were elicited. High levels of IL-4 produced by CD4<sup>+</sup> T<sub>H</sub>2 cells in response to VP6 was thought to promote proliferation and differentiation of NoV-antigen primed B lymphocytes, leading to enhanced antibody titres. In addition, the ready uptake of polymerised VP6 by macrophages and DCs was proposed to induce cell maturation and production of pro-inflammatory cytokines IL-6 and TNF- $\alpha$ . Hence, VP6 may create a favourable milieu for adequate antigen presentation of co-delivered antigens [398].

### 1.4.3 Co-administration of $\gamma$ -Flu and $\gamma$ -PN

Our group is currently investigating a novel vaccine strategy based on combining whole-inactivated IAV ( $\gamma$ -Flu) and whole-inactivated *S. pneumoniae* ( $\gamma$ -PN) in a single vaccine formulation. The combining of whole viral and bacterial vaccine components has never been investigated to our knowledge, and the success of our approach thus far has the potential to reshape the combination vaccine field. Our group has previously demonstrated that potent IFN-I responses and lymphocyte activation are induced by  $\gamma$ -Flu vaccination [350], which allow it to act as an effective adjuvant for low immunogenicity vaccines, such as gamma-irradiated SFV vaccine [399]. Unlike irradiation of influenza, SFV irradiation nullifies its ability to induce IFN-I and IFN-mediated responses, leading to insufficient stimulation of B-lymphocytes [400-402]. When co-administered with  $\gamma$ -Flu however, significant enhancement of SFV-specific antibody titres and neutralising ability were observed.

Given this, intranasal co-administration of  $\gamma$ -Flu and  $\gamma$ -PN vaccines has since been investigated by our group, with the intent of enhancing pneumococcal-specific responses. According to the traditional dogma of T<sub>H</sub>1 and T<sub>H</sub>2-type immune responses, whole virus and whole bacteria induce competing arms of immunity, and thus co-vaccination was expected to impair immune responses to each antigen type. However, it was found that  $\gamma$ -Flu significantly enhanced  $\gamma$ -PN specific responses when the two vaccines were intranasally co-administered. In contrast to vaccination with  $\gamma$ -PN alone, co-

immunization boosted populations of pneumococcal-specific T<sub>H</sub>17 and T<sub>H</sub>1 cells in the lung, and reduced lung and nasopharyngeal CFU titres after pneumococcal challenge. It also promoted development of lung CD4<sup>+</sup> tissue-resident memory (T<sub>RM</sub>) cells and enhanced *S. pneumoniae*-specific antibody responses. Additionally, co-administration did not compromise IAV-specific immunity, with both  $\gamma$ -Flu-vaccinated and co-vaccinated groups showing 100% protection against lethal influenza challenge [403]. Furthermore, only the combination vaccine was able to confer significant protection against a lethal super-infection challenge model in mice (EF3030 colonisation followed by intranasally administered A/PR8). This work clearly demonstrated the ability of  $\gamma$ -Flu to behave as an effective adjuvant to a whole-cell bacterial vaccine, specifically by priming the lung microenvironment to favour induction of highly effective immune responses against the co-administered antigen. Furthermore, the serotype- and strain-independent protection offered by  $\gamma$ -PN and  $\gamma$ -Flu respectively heralds the possibility for our novel combination vaccine to offer a simplified universal vaccine strategy to protect against diverse strains and serotypes of IAV and *S. pneumoniae*.

## 1.5 RESEARCH PROJECT

### 1.5.1 Rationale for Project

IAV continues to be a global health and vaccination challenge. Currently available vaccines are sub-optimal, as inactivated formulations induce strain-specific immunity only, and require annual reformulation. The method of inactivation for these vaccines interferes with induction of cross-reactive T-cell responses targeting conserved viral proteins, which would be far more effective against both circulating and emerging strains. Live attenuated IAV vaccines are available in some regions, however reports of limited efficacy and adverse side effects mean it is not recommended for use in certain groups such as the immune-compromised [404]. The use of LAIV during a pandemic scenario is also thought to be associated with high risk of viral recombination [405].

Past work by our group has established the efficacy of whole gamma-irradiated influenza A vaccine ( $\gamma$ -Flu) as a novel inactivated vaccine capable of inducing robust cross-protective immunity in mice. This vaccine would therefore be suitable to use in all populations, and would not require annual reformulation. As gamma-irradiation is a promising approach to design a universal flu vaccine (and other next generation vaccines), inactivation conditions and the impact on virus structure and vaccine efficacy will be investigated in this study. Importantly, the suitability of high radiation doses such as 50 kGy for IAV vaccine inactivation will be determined, as this could allow inclusion of highly pathogenic strains in future  $\gamma$ -Flu formulations.

*S. pneumoniae* is another respiratory pathogen of interest, as despite the availability of pneumococcal vaccines, invasive pneumococcal diseases remain prevalent and severe. Similar to IAV, current pneumococcal vaccines have major shortcomings. These include limited serotype coverage (current vaccines cover only 23 serotypes out of a total 98), and serotype replacement, whereby non-vaccine serotypes simply recolonise the host by occupying the vacated nasopharyngeal niche after vaccination. Whilst disease due to vaccine-included serotypes is substantially reduced, these non-vaccine serotypes subsequently emerge as major causes of invasive disease. This phenomenon compromises the positive impact of vaccination on global disease burden. To combat these issues, a novel pneumococcal vaccine has been recently developed in our lab based on gamma-irradiation of whole un-encapsulated *S. pneumoniae* ( $\gamma$ -PN), that is capable of

providing serotype-independent protection in mice. Serotype-independent responses were achieved by removal of the capsule from our vaccine strain, to allow exposure of conserved sub-capsular antigens. This unencapsulated strain (termed Rx1) was also altered by removal of the *lytA* gene to facilitate growth to high titre *in vitro*, and replacement of the *ply* gene with a non-toxic PdT derivative (generating Rx1( $\Delta$ LytA, PdT)). One particular study observed that IL-17A responses induced by a whole-cell vaccine were attributable in some part to Ply, since responses were significantly reduced when using a Ply-negative whole cell antigen [237]. Thus, Ply was replaced rather than being removed from our vaccine strain to maintain Ply-specific immunity. This attenuated strain is then inactivated by  $\gamma$ -irradiation to generate  $\gamma$ -PN. To aid in licensing and to further improve the safety profile of this potential vaccine candidate, an additional mutation to reduce bacterial virulence prior to irradiation will be beneficial. Such a mutation would be required to effectively attenuate the vaccine strain, without altering expression of key surface properties to preserve immune-stimulation and antigenic targets. An auxotrophic mutation that affects *in vivo* growth whilst being dispensable under select laboratory conditions was considered, and the solute binding component of the manganese import pathway, *psaA*, was selected for deletion. The impact of this growth-attenuating mutation on  $\gamma$ -PN vaccine immunogenicity and protective efficacy will be determined during this study.

In addition to causing severe morbidity and mortality on their own, IAV and *S. pneumoniae* exhibit lethal synergism. A large portion of yearly influenza related fatalities are actually due to subsequent bacterial pneumonia, as the host is left highly susceptible upon resolution of primary viral infection. Despite this, strategies to avoid both sequential and simultaneous infections with IAV and *S. pneumoniae* remain unsuccessful. As such, a single combined vaccine containing both  $\gamma$ -Flu and  $\gamma$ -PN has been the source of recent investigation. Studies by Babb *et al.* have clearly demonstrated that co-administration of these whole-inactivated vaccines results in significant enhancement of pneumococcal-specific responses, in comparison to administration of  $\gamma$ -PN alone.

Intriguingly, killed whole-cell bacteria has been observed to have some adjuvant effect on co-administered antigens in other studies. Szostak *et al.* utilised a controlled lysis system to generate non-living bacterial ‘ghosts’, which were devoid of all cytoplasmic contents whilst bacterial morphology, envelope and cell surface structures were

effectively maintained. By utilising the whole intact bacterium, innate immune-stimulatory agonists (e.g. LPS, lipoteichoic acids, flagellin etc.) are included, and act as potent activators of a broad range of cell types via TLR signalling [406]. This induces production of pro-inflammatory cytokines [407, 408], which augment expression of co-stimulatory and adhesion molecules on both immune and non-immune cell types. Adjuvant activity of the bacterial ghosts was investigated via co-administration with purified HIV-1 protein reverse transcriptase (RT). Elevated RT-specific humoral responses were observed following co-administration via both subcutaneous and intraperitoneal routes. In fact, co-administration with bacterial ghosts resulted in higher RT-specific titres than both Freund's complete adjuvant and aluminium hydroxide (Alum) when administered IP [409]. Foreign bacterial and viral antigens have also been loaded inside the cytoplasmic lumen of these bacterial ghosts, or expressed on the surface and in the periplasmic space [410, 411]. It has been observed that bacterial ghosts induce potent protective responses at mucosal surfaces against such 'ghost delivered' foreign antigens, and bias the immune response toward the Th1 type [412, 413], which is typically indicative of CD8<sup>+</sup> T-cell activation [414].

To our knowledge, bacterial ghosts have not been co-administered with whole virus, and the observations above indicate that such an approach could be very beneficial for viral-specific immune responses. In fact, given that  $\gamma$ -Flu provides adjuvant activity to co-administered  $\gamma$ -PN, the reverse phenomenon would result in a co-vaccination approach that enables bi-directional adjuvant activity. Furthermore, our killed pneumococcal cells contain intracellular components in addition to the maintained surface structures and morphology. Unlike bacterial ghosts, our pneumococcal vaccine would also be capable of stimulating TLR9 via bacterial CpG-DNA, e.g. upon uptake and degradation in the endosome of phagocytic cells [415, 209]. Thus, following optimisation of safety and further characterisation of both  $\gamma$ -Flu and  $\gamma$ -PN vaccines alone, the impact of mucosal co-vaccination on IAV-specific immune responses will be thoroughly investigated using both *in vivo* and *in vitro* models.

Overall, both IAV and *S. pneumoniae* continue to pose profound threats to global human health. A successful combination vaccine could save millions of lives every year by minimising disease due to both IAV and *S. pneumoniae* alone. The novel approach outlined here will ensure protection is conferred by vaccination regardless of which IAV

strains and pneumococcal serotypes circulate, and/or emerge as major causes of severe disease. The co-administration of  $\gamma$ -Flu +  $\gamma$ -PN would also effectively protect against secondary and super-infection with both IAV and *S. pneumoniae* to minimise severe morbidity and mortality during seasonal outbreaks and future influenza pandemics.

### 1.5.2 Hypotheses & Aims

*Hypothesis 1: Irradiation conditions can influence the efficacy of the  $\gamma$ -Flu vaccine.*

*Hypothesis 2: Co-administration of  $\gamma$ -Flu and  $\gamma$ -PN will enhance IAV-specific immunity.*

#### **Aim 1. To investigate the effect of irradiation conditions on $\gamma$ -Flu immunogenicity.**

Aim 1.1. To investigate the effect of irradiation temperature on IAV protein and virion structural integrity.

Aim 1.2. To investigate the effect of high irradiation dose on  $\gamma$ -Flu immunogenicity *in vivo* and *in vitro*.

Aim 1.3. To investigate the effect of high irradiation dose on  $\gamma$ -Flu protective efficacy, using mouse models of lethal influenza infection.

#### **Aim 2. To enhance safety and immunogenicity of $\gamma$ -PN.**

Aim 2.1. To introduce an auxotrophic mutation to our existing Rx1( $\Delta$ LytA, PdT) vaccine strain, to generate Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA).

Aim 2.2. To inactivate Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) using  $\gamma$ -irradiation, generating  $\gamma$ -PN( $\Delta$ PsaA).

Aim 2.3. To characterise the impact of the growth attenuating mutation on  $\gamma$ -PN( $\Delta$ PsaA) immunogenicity and protective efficacy using mouse models of lethal pneumococcal infection.

#### **Aim 3. To study the protective immunity induced following co-administration of $\gamma$ -Flu and $\gamma$ -PN( $\Delta$ PsaA).**

Aim 3.1. To determine the impact of co-administration on influenza-specific immune responses and protection.

Aim 3.2. To determine the mechanism(s) of interaction between  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) vaccines when co-administered.



# CHAPTER 2

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The effect of gamma-irradiation  
conditions on the immunogenicity of  
whole-inactivated influenza A virus  
vaccine



## 2.1. INTRODUCTION

Emergence of Avian influenza strains H5N1, H5N6, H7N9, and H9N2 represent major health concerns due to their potential to cause the next worldwide viral pandemic [135]. Since 2003, the World Health Organization (WHO) has reported 860 cases of human infection with the highly pathogenic avian influenza (HPAI) strain H5N1, with an average mortality rate of 53% [136]. Avian H7N9 is also of particular concern, and it has emerged more recently and substantially more rapidly than H5N1. Since 2013, a total of 1564 laboratory-confirmed cases and 612 deaths have been recorded [136]. China in particular has reported substantial increase in human infections with avian H7N9 since October 2016 [153]. Most human infections with these avian strains occur via contact with infected poultry, and consequently vaccination of domestic poultry against A(H7) viruses has recently commenced in addition to the ongoing poultry vaccination program against avian A(H5) viruses [136]. However, rare clusters of human-human transmission of avian influenza viruses have been reported between family groups in Thailand [149, 150], Indonesia [151], Turkey [152], and Vietnam [149]. Through increased human infection, these strains may gain mutations to facilitate sustained aerosol transmission between humans. Notably, a mere five mutations in a laboratory H5N1 strain allowed efficient aerosol transmission between ferrets [145, 148]. Interestingly, low pathogenic (LP) avian H7N9 has been shown to be capable of transmission between ferrets via aerosol droplets, albeit inefficiently [154]. A highly pathogenic (HP) H7N9 strain that emerged in late 2016 – early 2017 was recently found to have the same capability [155].

Serological surveys reported zero or very low levels of existing immunity within the human population against avian H7N9 [416-418]. Additionally, currently available inactivated vaccines solely induce antibody responses against vaccine-included influenza strains. Protective efficacy against potentially pandemic H7N9 and H5N1 avian strains is therefore minimal. Consequently, new approaches are needed for development of broadly-protective next-generation influenza vaccines. We have previously reported the use of gamma-irradiated whole influenza A virus ( $\gamma$ -Flu) as a vaccine candidate capable of inducing cross-protective immunity against both emerging seasonal and pandemic influenza strains [361]. We demonstrated the superiority of  $\gamma$ -Flu against UV- and formalin-inactivated preparations for conferral of protection against both homotypic and heterosubtypic challenge strains [349]. In fact, conventional inactivation methods often alter the conformation of antigenic epitopes, compromising immunogenicity and vaccine

efficacy [76]. Chemical modification also interferes with antigen uptake and presentation of peptides by MHC-I molecules, thereby inhibiting induction of class I restricted T cell responses. In contrast,  $\gamma$ -irradiation conditions can be manipulated such that the viral genome is sufficiently damaged to render the virus replication incompetent, but virus structure and internal and external antigens are relatively unaffected [320, 331, 310]. Our irradiated influenza virus can therefore bind to and be internalised by host cells for processing and presentation of internal viral peptides via MHC-I, similar to a live infection [349, 350].

To ensure sterility of irradiated influenza materials, the concept of Sterility Assurance Level (SAL) has been adopted and a value of  $10^{-3}$  or  $10^{-6}$  (one in a thousand or million chance of live micro-organisms remaining in any one unit after treatment) has been arbitrarily determined and widely accepted [319]. To estimate the irradiation dose required to achieve a chosen SAL, a mathematical concept based on the decimal reduction value ( $D_{10}$  value) has been used. The  $D_{10}$  value refers to the irradiation dose required to inactivate 90 per cent of the microbial population (i.e. a dose causing a one log reduction). Theoretically, it is possible to calculate the radiation dose required to achieve the desired SAL if the  $D_{10}$  value and the initial virus titre have been determined, using the mathematical formula “ $SAL = D_{10} \text{ value} \times N$ ”. Here,  $N$  represents the number of  $\log_{10}$  reductions in bioburden required to achieve a theoretical titre of  $10^{-3}$  or  $10^{-6}$  as required. The Australian Department of Agriculture recently considered an irradiation dose of 50 kGy as mandatory for sterilisation of highly pathogenic agents of biosecurity concern [310]. Considering the potential risk of a future influenza pandemic involving a HPAI strain, inclusion of such pathogenic strains may be warranted in future  $\gamma$ -Flu preparations. This would allow immediate protection offered by antibody responses in addition to cross-reactive T cell immunity. In this case, vaccine irradiation dose may be increased to 50 kGy or above to meet the safety requirement. However, increasing irradiation dose may affect protein integrity and thus vaccine efficacy. For example, Feng *et al.* demonstrated using SDS-PAGE that the abundance of Murine Norovirus 1 (MNV-1) capsid protein VP1 decreased as radiation dose was progressively increased [419]. In addition, whilst the damaging effects of  $\gamma$ -irradiation are primarily dose-dependent, the extent of structural damage is also influenced by other factors such as irradiation temperature [420, 421]. Importantly, protein antigenicity is reported to be better maintained when virus samples are irradiated on dry-ice [306]. In the current study, we

investigated the effect of both irradiation dose and irradiation temperature on the immunogenicity of  $\gamma$ -Flu.

## 2.1. MATERIALS AND METHODS

### 2.2.1. Ethics statement

This study was conducted in strict accordance with Australian Code of Practice for Care and Use of Animals for Scientific Purposes (7th edition [2004], 8th edition [2013]) and South Australian Animal Welfare Act 1985. Experimental protocol approved by Animal Ethics Committee at The University of Adelaide (S-2013/014 & S-2016/036).

### 2.2.2. Cells & Viruses

Influenza A virus [A/Puerto Rico/8/34 (H1N1) (A/PR8)] was grown in allantoic cavity of 10-day-old embryonated chicken eggs. Eggs were injected with  $10^3$  TCID<sub>50</sub> A/PR8, incubated for 48 h at 37°C, and chilled at 4°C overnight. Allantoic fluid was harvested, pooled and stored at -80°C. Virus stock was titrated in Madin-Darby Canine Kidney (MDCK) cells using TCID<sub>50</sub> assay as previously described [422], and estimated as  $1.5 \times 10^6$  TCID<sub>50</sub>/ml. Virus stock was concentrated using chick erythrocytes (cRBCs) essentially as previously described [423]. Briefly, allantoic fluid was incubated with cRBCs for 45 min at 4°C to allow haemagglutinin to bind erythrocytes, then centrifuged for 10 min at 300 g (at 4°C), and allantoic supernatant was removed. Pellets were resuspended in normal saline and incubated for 1 h at 37°C to release virus from cRBCs, prior to centrifugation to separate erythrocytes from virus-containing supernatant. Concentrated A/PR8 stock titre was estimated as  $2 \times 10^8$  TCID<sub>50</sub>/ml.

### 2.2.3. Vaccine preparations

A/PR8 stocks were inactivated by exposure to  $\gamma$ -radiation from <sup>60</sup>Co irradiation facility at the Australian Nuclear Science and Technology Organisation (ANSTO), either on dry-ice or at room temperature. Sterility was confirmed by passages as recommended by WHO [424]. In brief, 10-day-old embryonated eggs were inoculated with 100  $\mu$ l of inactivated preparation and incubated for 2 days at 37°C. The allantoic fluid of individual eggs was then harvested and used to infect new 10-day-old embryonated eggs. This process was repeated 3 times and lack of detectable hemagglutination by HA assay in allantoic fluid from 3 passages indicated complete loss of virus infectivity.

#### 2.2.4. Haemagglutination Assay (HA Assay)

Serial dilutions of gamma-irradiated A/PR8 ( $\gamma$ -A/PR8) and control non-irradiated preparations were performed in PBS using a 96-well round bottom plate (100  $\mu$ l/well). PBS containing 0.8% cRBCs was added to each well and incubated at 4°C, and haemagglutination patterns were analysed 24 h later.

#### 2.3.5. Transmission Electron Microscopy (TEM)

$\gamma$ -A/PR8 samples were loaded into 3mm formvar-amorphous carbon-coated copper grids and left for 2 min to settle. Excess solution was removed by blotting, and samples stained with 2% Uranyl Acetate for 2 min. Samples were blotted, then left to dry at RT for 10 min before visualisation with the FEI Tecnai G2 Spirit Transmission Electron Microscope (Adelaide Microscopy, University of Adelaide).

#### 2.2.6. SDS-PAGE

Irradiated and non-irradiated control samples were heat-treated at 85°C for 20 min. Viral proteins were separated by electrophoresis on Pre-Cast NuPAGE Novex 4-12% Bis-Tris gels (Thermo Fisher), then stained with Coomassie Brilliant Blue. Novex Sharp Pre-Stained Protein Standards (Thermo Fisher) were used for molecular weight comparison.

#### 2.2.7. Mice & Treatment

Six-week-old female wild-type BALB/c mice (H-2<sup>d</sup>) were supplied by Laboratory Animal Services, University of Adelaide. Mice were anaesthetized intraperitoneally (IP) with 10  $\mu$ l/gram body weight ketamine anaesthetic (1% xylazine, 10% ketamine in sterile H<sub>2</sub>O), and vaccinated intranasally (IN) with one or two doses 14 days apart of  $\gamma$ -A/PR8 in a volume of 32  $\mu$ l (TCID<sub>50</sub> equivalent/mouse for different vaccine doses specified in the Results section). Control animals were mock-vaccinated with PBS. This immunisation route paired with anaesthesia was chosen to allow the vaccine inoculum to enter the lung, to induce immune responses specifically at the site of infection. This administration approach has been previously validated to allow inoculum to enter the lungs, using a challenge model of *S. pneumoniae* [224]. On Day 21 post-vaccination, animals were anaesthetised as above, and challenged IN with A/PR8 ( $1.6 \times 10^2$  TCID<sub>50</sub>/mouse). Mice were monitored for 3 weeks for clinical symptoms and weight loss. Animals were culled if they lost 20% of their starting body weight.

### 2.2.8. Measurement of influenza-specific antibody responses

Blood samples were collected from all mice via submandibular bleeding on Day 20 post-vaccination, and serum levels of A/PR8-specific IgG were determined by ELISA as described previously [399]. Absorbance measured at 450/620 nm using a Biotrack II plate reader, and end point titres expressed as the reciprocal of the last dilution where the OD value was greater than or equal to the cut-off value. The cut-off value was calculated as the mean + (3 × S.D.) of OD values of samples from control mice at a 1:100 dilution.

### 2.2.9. In vitro Neutralisation Assay

96-well tissue-culture plates were seeded with  $6 \times 10^4$  MDCK cells/well. A/PR8 was activated by treatment with 2 µg/ml TPCK-trypsin (Sigma-Aldrich) for 30 min at 37°C. Heat-inactivated sera were serially diluted, mixed with A/PR8 (diluted in allantoic fluid with 4 µg/ml TPCK-trypsin) in 1:1 ratio, and incubated for 1 h at 37°C. Mixtures were added to MDCK monolayers at multiplicity of infection (MOI) of 0.1 and incubated for 2 h at 37°C with 5% CO<sub>2</sub>. Then, inoculum was removed, monolayers washed with PBS and incubated for an additional 22 h in serum-free media. Monolayers were then washed, fixed and permeabilised with acetone/methanol (1:1 ratio) at 4° C and incubated with polyclonal murine anti-A/PR8 sera (generated as previously described [425]) for 1h at 4°C. Then, secondary antibody Alexa-Fluor® 488 goat anti-mouse IgG (H+L) (Life Technologies) was added for 1 h at 4°C. Nuclei were stained with DAPI (1 µg/ml) for 30 min at room temperature. Images were acquired using a Nikon TiE inverted fluorescence microscope and analysed using NIS elements software (Tokyo, Japan).

### 2.2.10. Cytotoxic T lymphocyte (CTL) Assay

Mice were primed by intravenous injection of live or  $\gamma$ -A/PR8. 6 days later, target splenocytes from naïve mice were labelled with 5,6-carboxyfluorescein diacetate succinimidyl ester (CFSE) (0.125 mM) or CellTrace™ Far-Red DDAO-SE (2 µM, Thermo Fisher Molecular-Probes). The CFSE-labelled population was pulsed with Influenza A nucleoprotein peptide (GL Biochem (Shanghai) Ltd, sequence: TYQRTRALV, K<sup>d</sup>, 10<sup>-3</sup>M in PBS), whereas the CellTrace Red population was left untreated). Target cells were mixed at 1:1 ratio (CFSE:CellTrace Red) and adoptively transferred into primed mice using intravenous injection (10<sup>7</sup> cells/mouse total). 24 h later, mice were sacrificed, and spleens were harvested and processed to single-cell suspensions prior to analysis using flow cytometry (LSRII, BD Biosciences). Data

analysed using FlowJo (Treestar Incorporated). Percentage lysis was calculated as follows: % lysis =  $[1 - (\% \text{ primed pulsed targets} / \% \text{ primed non-pulsed targets}) / (\% \text{ unprimed pulsed targets} / \% \text{ unprimed non-pulsed targets})] \times 100$ .

### 2.2.11. Statistical Analysis

Quantitative results are expressed as mean  $\pm$  SEM, to indicate the closeness of the data to the population means rather than variability within small sample size. Unpaired Student's *t*-test was used for comparison of data from two separate groups, One-way ANOVA was used for comparison of data from 3 or more groups with a single variable, and two-way ANOVA was used to analyse data sets with multiple variables. Statistical analysis was performed using GraphPad Prism 6, version 6.0d (GraphPad Software, La Jolla, CA, USA). *P* values  $< 0.05$  (95% confidence) were considered statistically significant.

## 2.3. RESULTS

### 2.3.1. The effect of irradiation conditions on HA titres and virion morphology

A/PR8 virus samples were exposed to 25 or 50 kGy of  $\gamma$ -radiation, either at room temperature (RT) or on dry-ice (DI). Haemagglutination assay was used to determine the effect of irradiation conditions on HAU titres. **Figure 2.1A** shows RT irradiation resulted in loss of 90% and 99% of initial HAU titres after irradiation at 25 and 50 kGy respectively. In contrast, only a 50% loss in HAU was detected after irradiation on DI, regardless of irradiation dose. Considering that HAU titre is dependent on binding of viral HA to cRBCs, loss in HAU titre after irradiation at RT may be associated with some structural damage. Therefore, TEM was used to visualise overall structure of irradiated viruses (**Fig. 2.1B**). Samples irradiated on DI show a more intact virus structure compared to preparations irradiated at RT. It should be noted that DI samples were resolved at 220,000 $\times$  magnification, whilst clear resolution for RT samples could only be visualised at a lesser magnification of 135,000 $\times$ . Additionally, we detected dark aggregates in RT-irradiated images, indicating potential formation of protein aggregates or split viral particles.

We also used SDS-PAGE to compare integrity of viral proteins in irradiated samples and non-irradiated controls. All major viral proteins were visible in control non-irradiated

samples (**Fig. 2.1C**). The three influenza polymerase proteins resolved as two bands, with PB1 and PB2 migrating together to form a less defined band. Bands consistent with molecular weights for NP and M1 were visible for all samples. Importantly, uncleaved (HA0) and cleaved (HA1, HA2) forms of HA were present in control samples and DI irradiated samples. In contrast, HA0 and HA1 bands appeared faint in RT irradiated samples, consistent with reduced HAU titres. Furthermore, lanes related to RT samples showed an increase in smearing of proteins as opposed to formation of discrete bands, indicative of increased protein damage. Considering the significant reduction in HA titre and the observed effect on virion structure and protein integrity, RT-irradiated samples were considered non-optimal as vaccine preparations and excluded from further testing.

### 2.3.2. Estimating $D_{10}$ value and SAL

Sterility of 25 and 50 kGy-irradiated materials was confirmed using 3 passages in 10-day-old embryonated eggs. Additionally, to test whether these doses met the internationally accepted SAL, aliquots of cRBC-concentrated live virus were subjected to different irradiation doses on DI and tested for reduction in virus titre using TCID<sub>50</sub> assay. We detected a log-linear relationship between the increased irradiation dose and the associated reduction in virus titre (**Fig. 2.2**). Based on this linear inactivation curve ( $R^2 = 0.9511$ ) following exposure to irradiation doses of up to 8 kGy, we estimated a  $D_{10}$  value of 2.04 kGy. Due to irradiation of frozen samples on dry ice, we anticipated a relatively high  $D_{10}$  value. To calculate the SAL, we then considered the linear inactivation curve, the  $D_{10}$  value, the initial titre of  $2 \times 10^8$  TCID<sub>50</sub>/mL, and the need for 11 or 14 log<sub>10</sub> titre reductions to achieve a SAL of  $10^{-3}$  or  $10^{-6}$ , respectively. Therefore, the SAL for our vaccine could be calculated based on the formula “SAL =  $D_{10}$  value  $\times$  11”, which would give a total value of 22.4 kGy for a SAL value of  $10^{-3}$ . Alternatively, SAL could also be calculated based on the formula “SAL =  $D_{10}$  value  $\times$  14”, which would give a total value of 28.6 kGy for a SAL value of  $10^{-6}$ . This indicates that materials exposed to 25 or 50 kGy both meet the internationally accepted SAL for  $\gamma$ -irradiated pathogens. In fact, 50 kGy represents a much higher dose than is required to achieve sterility, representing approximately 24 log<sub>10</sub> reductions in virus titre. Importantly, we confirmed the complete inactivation of our preparations in accordance with the WHO recommended method of two passages in 10-day-old embryonated eggs [424]. In fact, we performed 3 passages in total, with no detectable HA in allantoic fluid.

### 2.3.3. *The effect of irradiation dose on induction of protective immunity*

To study the effect of irradiation dose on vaccine efficacy, mice were vaccinated with 25 or 50 kGy  $\gamma$ -A/PR8 irradiated on DI, or PBS for mock-control mice. Serum samples were taken on Day 20 post-vaccination and analysed for A/PR8-specific IgG titres using ELISA. **Figure 2.3A** shows both vaccine preparations induced elevated A/PR8-specific IgG titres in serum following mucosal vaccination compared to controls, and titres for 25 and 50 kGy  $\gamma$ -A/PR8 vaccinated groups were comparable. Additionally, vaccinated and control animals were challenged IN with lethal A/PR8 on Day 21 post-vaccination, and monitored for clinical symptoms and weight loss. **Figure 2.3B** shows  $\gamma$ -A/PR8 irradiated with either 25 or 50 kGy induced 100% protection against lethal IN challenge, and vaccinated mice showed no weight loss compared to controls. Our data indicate that both vaccine preparations (25 and 50 kGy) are highly immunogenic and show comparable protective efficacies when using a vaccine dose of  $6.4 \times 10^6$  TCID<sub>50</sub> equivalent/mouse.

### 2.3.4. *The effect of vaccination dose on vaccine efficacy*

Our data and previously published studies [426, 420, 427-431] clearly indicate that structural damage caused by  $\gamma$ -rays could be controlled using freezing irradiation conditions. Nonetheless, increased exposure to  $\gamma$ -rays despite the use of DI may be associated with reduced vaccine efficacy, albeit to a limited extent. To test this, mice were vaccinated with a single intranasal dose of  $\gamma$ -A/PR8, using either one-half or one-eighth of the dose previously used in **Figure 2.3**. Protective efficacy was then monitored following challenge with live A/PR8. **Figure 2.4A** shows that vaccination with reduced doses of 25 or 50 kGy  $\gamma$ -A/PR8 still resulted in 100% survival. However, we observed some weight loss (~10%) in animals vaccinated with one-eighth dose of 50 kGy  $\gamma$ -A/PR8 prior to full recovery. No weight loss was observed for the other vaccinated groups. We also analysed antibody responses; whilst all reduced doses induced seroconversion following intranasal vaccination, we detected a 50% reduction in IgG titres in serum from mice vaccinated with 50 kGy  $\gamma$ -A/PR8 compared to mice vaccinated with the same dose of 25 kGy  $\gamma$ -A/PR8. However, this reduction did not reach statistical significance (**Fig. 2.4B**). This indicates that while 50 kGy  $\gamma$ -A/PR8 appears to be immunogenic and confers high protective efficacy, exposure to 50 kGy may be associated with some damage to viral proteins. As such, this may have affected antibody responses and the ability of  $\gamma$ -A/PR8 to induce protection without weight loss when using a reduced antigen dose.

To further investigate the effect of high radiation dose on  $\gamma$ -A/PR8, we employed a two-dose vaccination strategy using a dramatically reduced vaccine dose of  $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse, approximately one-hundredth of the dose used in **Fig. 2.3**. A single vaccination with this reduced vaccine dose was not sufficient to induce protective immunity against lethal challenge, regardless of irradiation dose (**Fig. 2.5A**). Consequently, mice were vaccinated with two doses of  $5 \times 10^4$  TCID<sub>50</sub> equivalent, two weeks apart, followed by a lethal A/PR8 challenge 3 weeks later. When considering the two-dose strategy, vaccination with 25 kGy  $\gamma$ -A/PR8 resulted in significant protection (50% survival) following homotypic challenge. In contrast, two-dose vaccination with 50 kGy  $\gamma$ -A/PR8 was not associated with any protection. Interestingly, analysis of antibody titres in serum harvested 24 h pre-2<sup>nd</sup> vaccination and 24 h pre-challenge showed both 25 and 50 kGy  $\gamma$ -A/PR8 induced comparable A/PR8-specific IgG titres (**Fig. 2.5B**). Additionally, *in vivo* CTL assay was performed to determine whether the observed difference in protection was due to T-cell mediated mechanisms rather than antibody responses. As shown in **Figure 2.5C**, 50 kGy  $\gamma$ -A/PR8 induced slightly less potent CTL responses against nucleoprotein peptide (NPP) pulsed target cells compared to both 25 kGy  $\gamma$ -A/PR8 and live virus control groups, however this trend was not statistically significant.

#### 2.3.5. Neutralising antibody responses induced by $\gamma$ -A/PR8

Antibody levels detected using ELISA in immune sera from mice vaccinated with 25 and 50 kGy  $\gamma$ -A/PR8 did not differ significantly, despite observed differences in protective efficacies in **Figure 2.5**. Therefore, we investigated whether high radiation dose affected the quality of humoral responses rather than the total quantity. Serum samples from mice vaccinated with  $6.4 \times 10^6$  TCID<sub>50</sub> equivalent/mouse and 2 doses of  $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse of  $\gamma$ -A/PR8 were tested using an *in vitro* neutralisation assay to quantify neutralising antibody responses. MDCK cells were infected with immune- and control-sera-treated A/PR8 at MOI of 0.1. PBS-treated A/PR8 was used as a virus only control. Fluorescent staining of infected monolayers showed incubation of A/PR8 with sera from control mice (mock-sera) did not affect the ability of A/PR8 to infect MDCK cells, as infectivity for both mock-sera treated virus and the virus-only control were comparable (**Fig. 2.6**).

Importantly, A/PR8 treatment with immune sera from mice vaccinated with  $6.4 \times 10^6$  TCID<sub>50</sub> of 25 and 50 kGy  $\gamma$ -A/PR8 showed complete abrogation of virus infectivity (100% neutralisation), indicating that both vaccines induced strong neutralising antibody responses (**Fig. 2.6A**). Different serum dilutions (1:20, 1:40 and 1:80) were also tested, and no difference in virus neutralisation was detected. Conversely, when testing serum samples from mice vaccinated with  $5 \times 10^4$  TCID<sub>50</sub>  $\gamma$ -A/PR8, we detected differences in virus neutralisation between serum samples from mice vaccinated with 25 versus 50 kGy  $\gamma$ -A/PR8. Importantly, serum from both 25 and 50 kGy  $\gamma$ -A/PR8 vaccinated groups induced significant virus neutralisation when compared to control samples (**Fig. 2.6B**). However, neutralisation ability between samples from vaccinated groups was also significantly different, as sera from the 25 kGy  $\gamma$ -A/PR8 vaccinated group appeared to be ~2.3-fold more effective at neutralising A/PR8 (determined by difference between means of normalized FITC fluorescence) when compared to sera from 50 kGy  $\gamma$ -A/PR8 vaccinated mice (**Fig. 2.6B**).

## 2.4. DISCUSSION

Rapid emergence of HPAI strains highlights the urgent need to develop safe vaccines capable of providing protection against circulating as well as emerging pandemic influenza A viruses. We reported previously that vaccination with  $\gamma$ -Flu confers protection against lethal homotypic and heterosubtypic influenza A challenges, including HPAI strain H5N1 [432, 361]. Considering the increasing risk of a worldwide influenza pandemic, inclusion of HPAI virus strains may be desirable in future clinical developments of  $\gamma$ -Flu. To comply with safety regulations regarding irradiation of highly pathogenic agents, 50 kGy may be required for vaccine inactivation. Therefore, we estimated the irradiation dose required to achieve a SAL of  $10^{-3}$  or  $10^{-6}$ , and investigated the effect of high irradiation dose and temperature conditions on  $\gamma$ -Flu efficacy.

Viruses are primarily inactivated by the direct effects of  $\gamma$ -rays, whereby deposition and transfer of energy by an incident gamma-ray causes rupture of covalent bonds within genetic material [426, 433-435]. Exposure to  $\gamma$ -rays is also associated with indirect effects, which result from chemical damage by free radicals generated by the interaction of  $\gamma$ -rays with water and oxygen molecules [435]. Due to differences in the physical and chemical properties of polynucleotides and polypeptides, the genetic material of

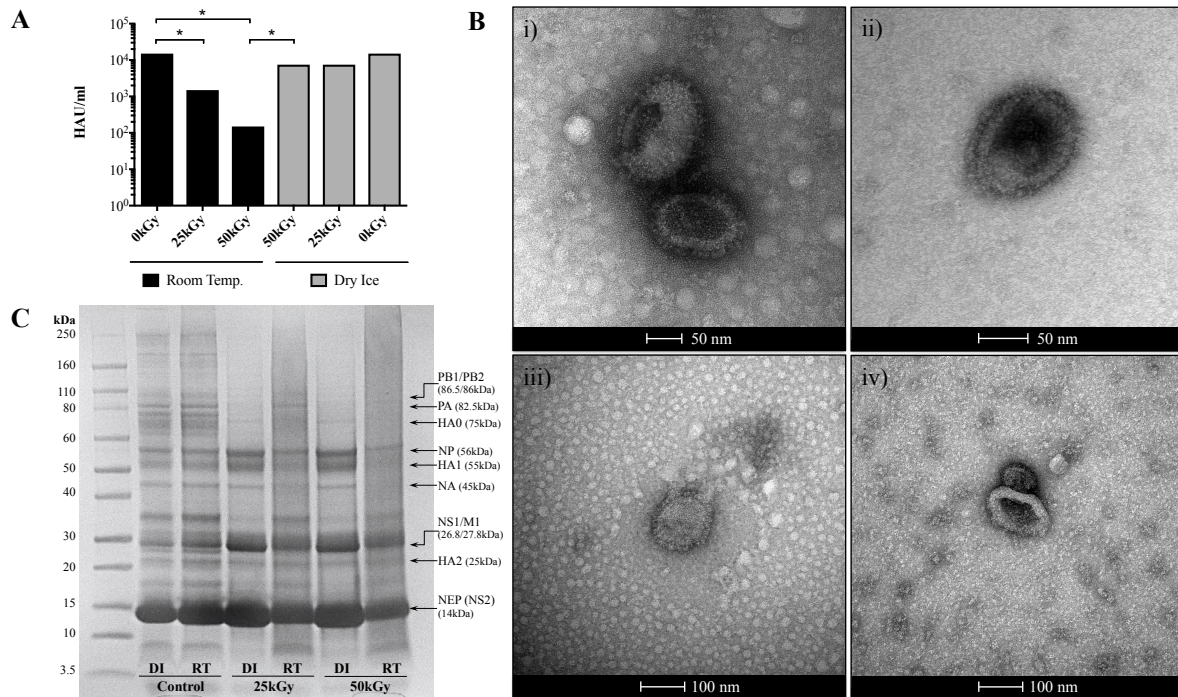
pathogens is much more sensitive to direct damage than proteins [336]. It has been reported previously that freezing target materials at ultra-low temperatures during irradiation reduces the formation of free radicals. This consequently minimizes indirect damage to proteins, and allows genome damage by direct effects to dominate [420, 428]. For example,  $\gamma$ -irradiation of frozen plasma samples has been effective in sterilizing contaminating HIV virus with minimal impact on functionality of coagulation factors [335]. Furthermore, irradiation of freeze-dried materials was associated with maintained protein biological activity even after exposure to 45 kGy [336]. Interestingly, Feng *et al.* [419] used SDS-PAGE to demonstrate a decrease in abundance of Murine Norovirus-1 capsid protein VP1 as irradiation dose increased. We showed a similar trend for  $\gamma$ -A/PR8 samples irradiated at room temperature, as we detected increased protein smearing (as opposed to formation of discrete bands) with higher irradiation doses (**Fig. 2.1C**). In contrast, materials irradiated on dry-ice showed discrete protein bands, particularly those corresponding to key proteins NA, HA1, and NP (45, 55, and 56kDa respectively) that were maintained following exposure to both 25 and 50 kGy. Our data indicate that we could better maintain both protein integrity and viral morphology by irradiating frozen materials in contrast to irradiation at room temperature. HA surface protein functionality was also better maintained following irradiation on dry-ice (**Fig. 2.1A**). Room temperature-irradiated samples also failed to induce A/PR8-specific serum antibody responses and sufficient homotypic protection (data not shown). Thus, we concluded that irradiation at room temperature is not suitable for influenza vaccine development.

To ensure our dry-ice irradiated preparations satisfied requirements for internationally accepted standards [436-438, 310], we established the killing curve of A/PR8 using vaccine samples irradiated at different doses. Our data show a clear log-linear relationship between increased irradiation doses and the associated reduction in virus titre. This mathematical relationship was used to calculate a  $D_{10}$  value of 2.04 kGy and a SAL value of 22.4 – 28.6 kGy. Therefore, 25 kGy sufficiently complies with guidelines of the International Atomic Energy Agency (IAEA) and International Standards Organisation (ISO). It is important to note that guidelines related to SAL should be accompanied by approved sterility tests, which we performed based on WHO recommendations. While SAL for our preparation is achieved using 25 kGy, 50 kGy may still be desirable to inactivate HPAI preparations. Interestingly, 50 kGy is reported as the lowest dose capable of inactivating Venezuelan equine encephalitis virus (VEEV) [439], and an exposure to

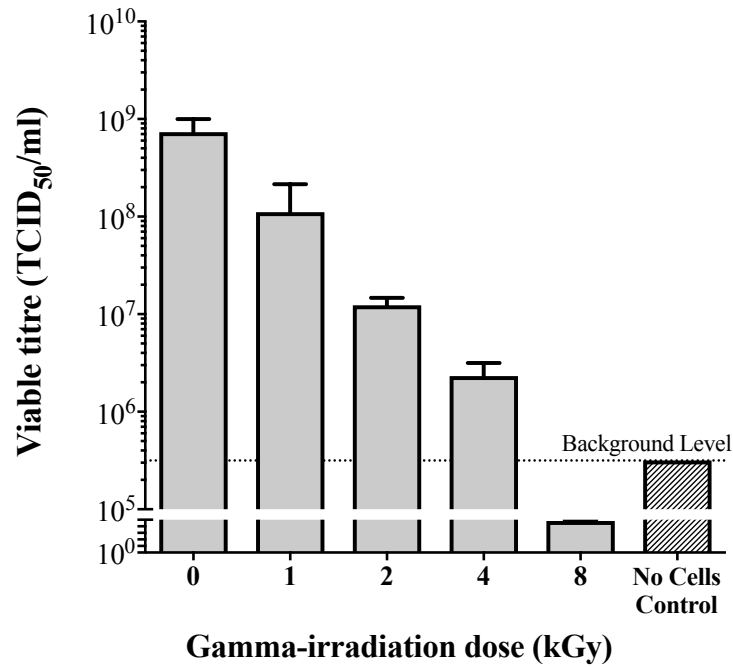
50 kGy on dry-ice was reported to reduce  $\gamma$ -VEEV antigenicity and epitope integrity [440]. In contrast, our data show vaccination with either 25 or 50 kGy dry-ice  $\gamma$ -A/PR8 resulted in significantly elevated A/PR8-specific IgG titres and 100% protection against lethal intranasal challenge (**Fig. 2.3**). Similar treatment of HPAI vaccine preparations is therefore viable. In fact, such preparations could be treated with 50 kGy and stockpiled for future pandemic preparedness.

Despite high efficacy of 50 kGy  $\gamma$ -A/PR8 observed in **Figure 2.3**, we further investigated whether exposure to high irradiation dose affected vaccine immunogenicity, and whether increased vaccination dose could overcome such effects. To address these possibilities, we used reduced  $\gamma$ -A/PR8 vaccine doses. Remarkably, these doses still conferred 100% protection against lethal challenge. We did notice that animals vaccinated with  $8 \times 10^5$  TCID<sub>50</sub> equivalent/mouse (one-eighth dose) of 50 kGy  $\gamma$ -A/PR8 lost some weight prior to full recovery, in contrast to animals vaccinated with the same dose of 25 kGy  $\gamma$ -A/PR8 (**Fig. 2.4A**). This minor difference in vaccine efficacy was confirmed using a severely reduced vaccine dose ( $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse) administered using a two-dose vaccination strategy. Whilst 25 and 50 kGy  $\gamma$ -A/PR8 induced comparable levels of A/PR8-specific IgG in this low-dose setting, we detected a significant difference in A/PR8 neutralisation by immune sera *in vitro* (**Fig. 2.6B**). This likely contributed to the difference in protective efficacy observed using our low dose vaccination strategy. Interestingly, a study investigating  $\gamma$ -irradiation of allergens showed irradiation with 15 kGy abolished binding of IgE from allergic individuals to allergen proteins, whilst treatment with lower radiation doses permitted strong binding [441]. The higher irradiation dose potentially damaged allergen epitopes, consequently affecting total antibody binding. Similarly, antibodies induced here by 50 kGy-treated virus samples may recognise slightly damaged epitopes rather than native ones, hence recognition and neutralisation of live A/PR8 epitopes is slightly reduced. Importantly, our data indicate that using higher vaccination doses could overcome the reduced efficacy of 50 kGy  $\gamma$ -A/PR8, as equal and highly effective virus neutralisation by 25 and 50 kGy  $\gamma$ -A/PR8 was observed when using increased vaccine doses (**Fig. 2.6A**). Additionally, both 25 and 50 kGy  $\gamma$ -A/PR8 preparations induced CTL responses against internal influenza nucleoprotein peptide that resembled CTL activity induced by live virus.

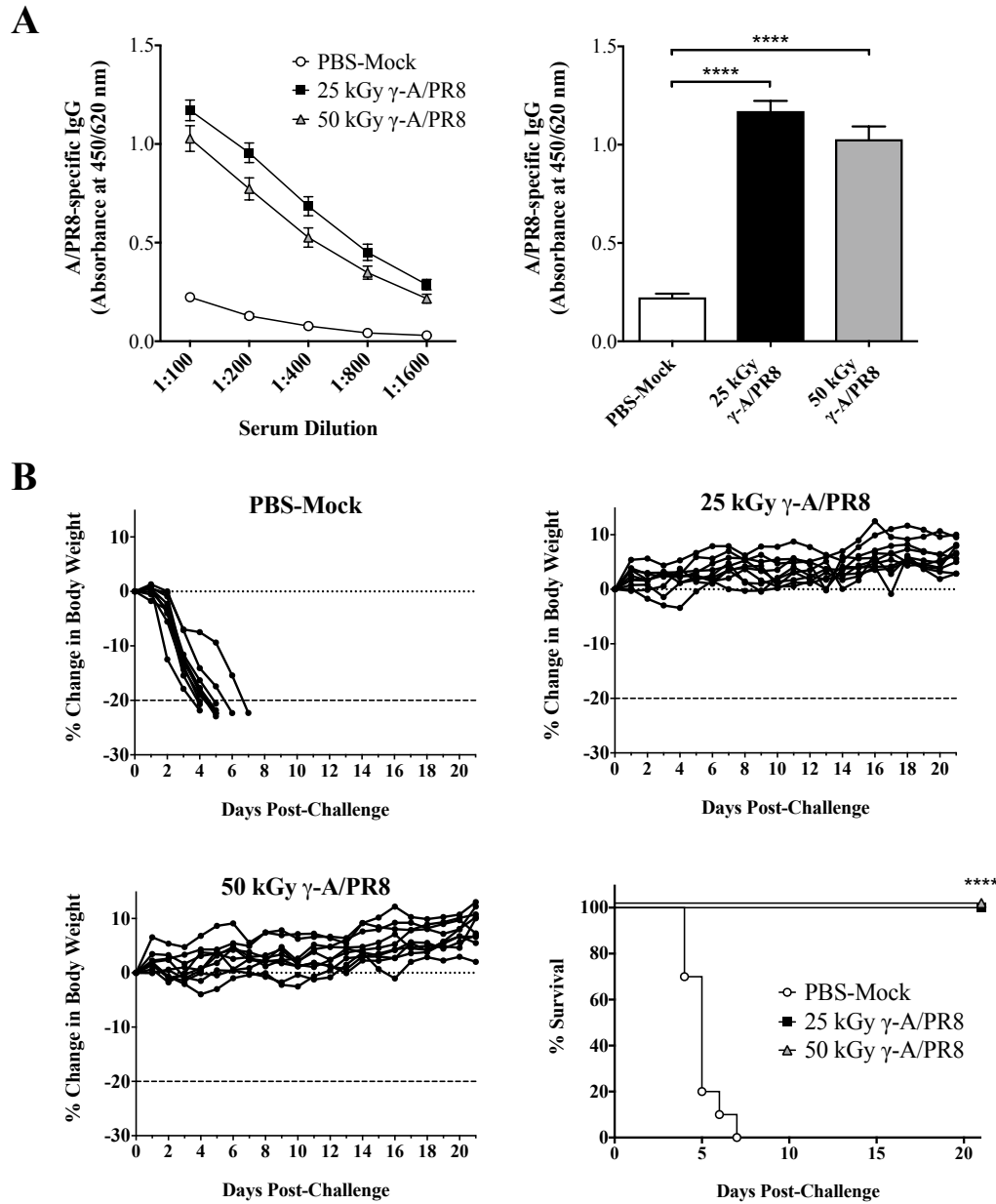
Overall, our data show no detectable difference in performance between 25 and 50 kGy  $\gamma$ -A/PR8 when using standard vaccination doses. Reduction in 50 kGy  $\gamma$ -A/PR8 efficacy was only apparent when using intentionally low antigen dose, which is not relevant to a clinical setting nor for future  $\gamma$ -Flu development. This study has also demonstrated the suitability of using freezing conditions for  $\gamma$ -irradiation of influenza A virus to produce inactivated vaccines that elicit strong protective immunity. Data presented here supports the use of 50 kGy for developing future  $\gamma$ -Flu formulations that may include HPAI virus strains.



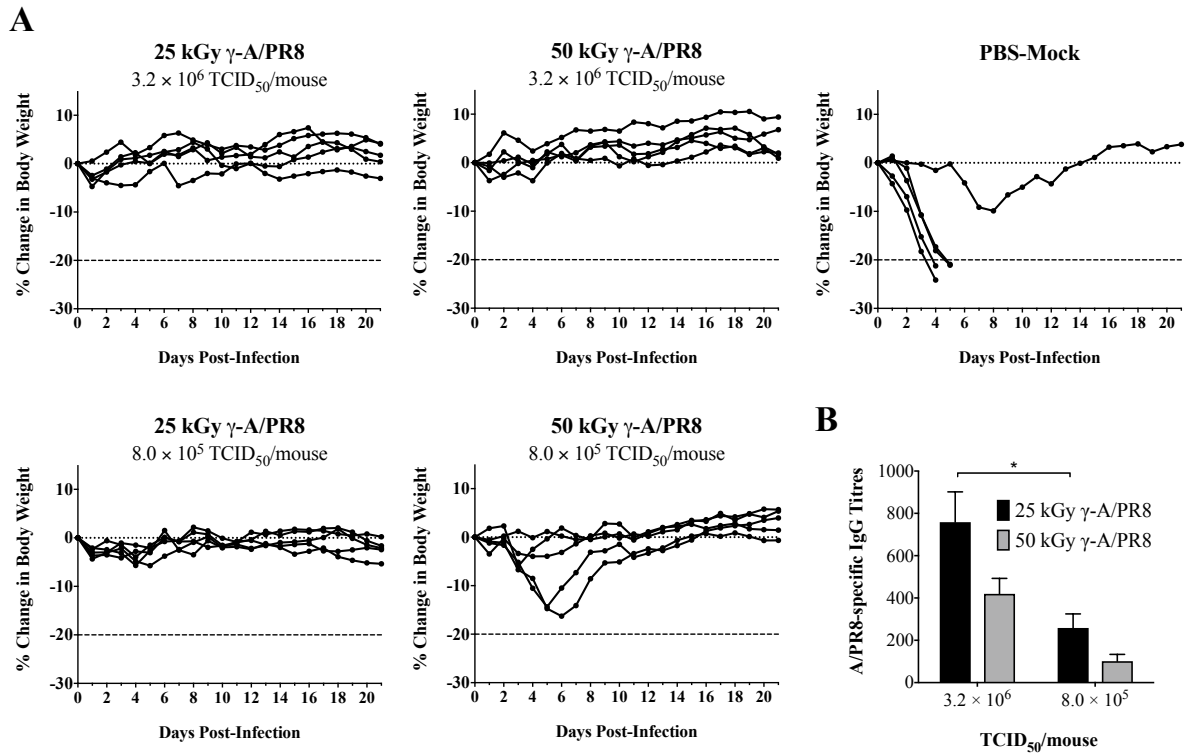
**Figure 2.1. The effect of irradiation dose and temperature on the structure of influenza A virus.** A/PR8 samples were exposed to 25 or 50 kGy of  $\gamma$ -irradiation either on dry-ice (DI) or at room temperature (RT). Untreated (0 kGy) samples were used as controls. (A) Virus titre was estimated using haemagglutination assay, and expressed as HAU/ml. Each column indicates the mean value of quadruplicates  $\pm$  SEM. Groups compared by Mann-Whitney tests (\*,  $P < 0.05$ ). (B) Transmission Electron Microscopy (TEM) was used to visualise virion morphology changes following irradiation on dry-ice using (i) 25 kGy or (ii) 50 kGy, or at RT using (iii) 25 kGy or (iv) 50 kGy. Virus preparations were negatively stained with 2% uranyl acetate and visualised using the FEI Tecnai G2 Spirit Transmission Electron Microscope. (C) SDS-PAGE of heat-lysed influenza preparations, both DI and RT irradiated samples, and non-irradiated controls. Influenza proteins are annotated according to their known MW from UniProtKB database (*Influenza A virus (strain A/Puerto Rico/8/1934 H1N1)*), and according to those identified by Shaw *et al.* [21].



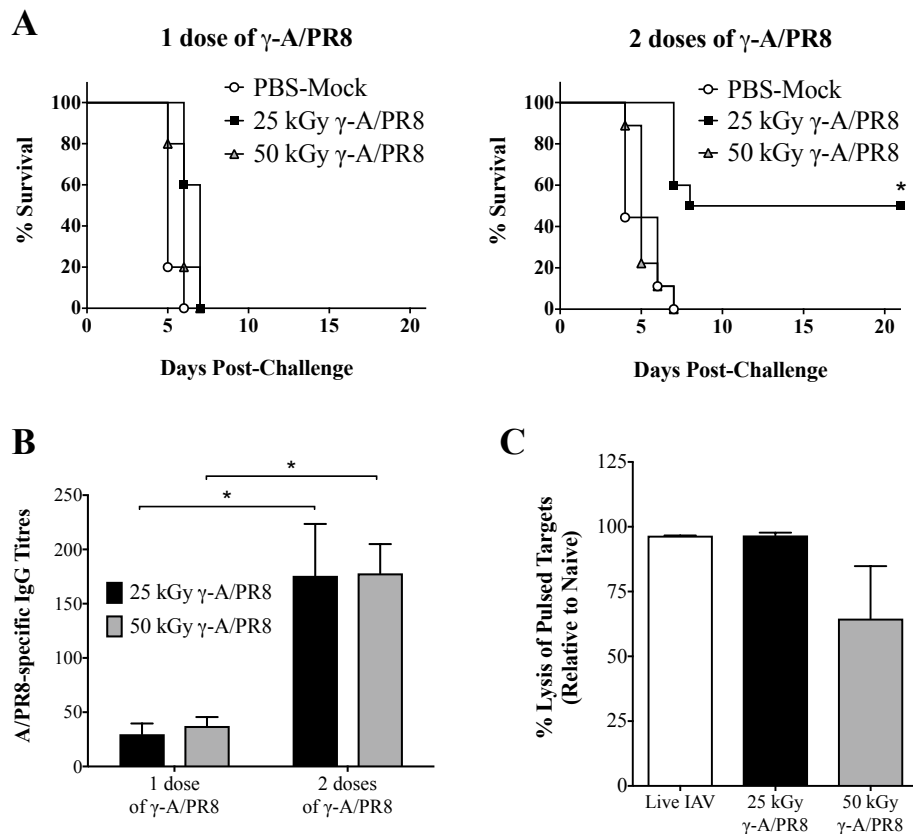
**Figure 2.2. Inactivation curve of A/PR8 following exposure to different doses of  $\gamma$ -rays.** Samples of A/PR8 virus were exposed to increasing doses of  $\gamma$ -irradiation on dry-ice, and remaining virus infectivity was determined by TCID<sub>50</sub> assay using MDCK cells. Data presented as mean titre  $\pm$  SEM. Background level (dotted line) was measured by the binding of cRBCs to A/PR8 virus after incubation for 5 days in the absence of MDCK cells.



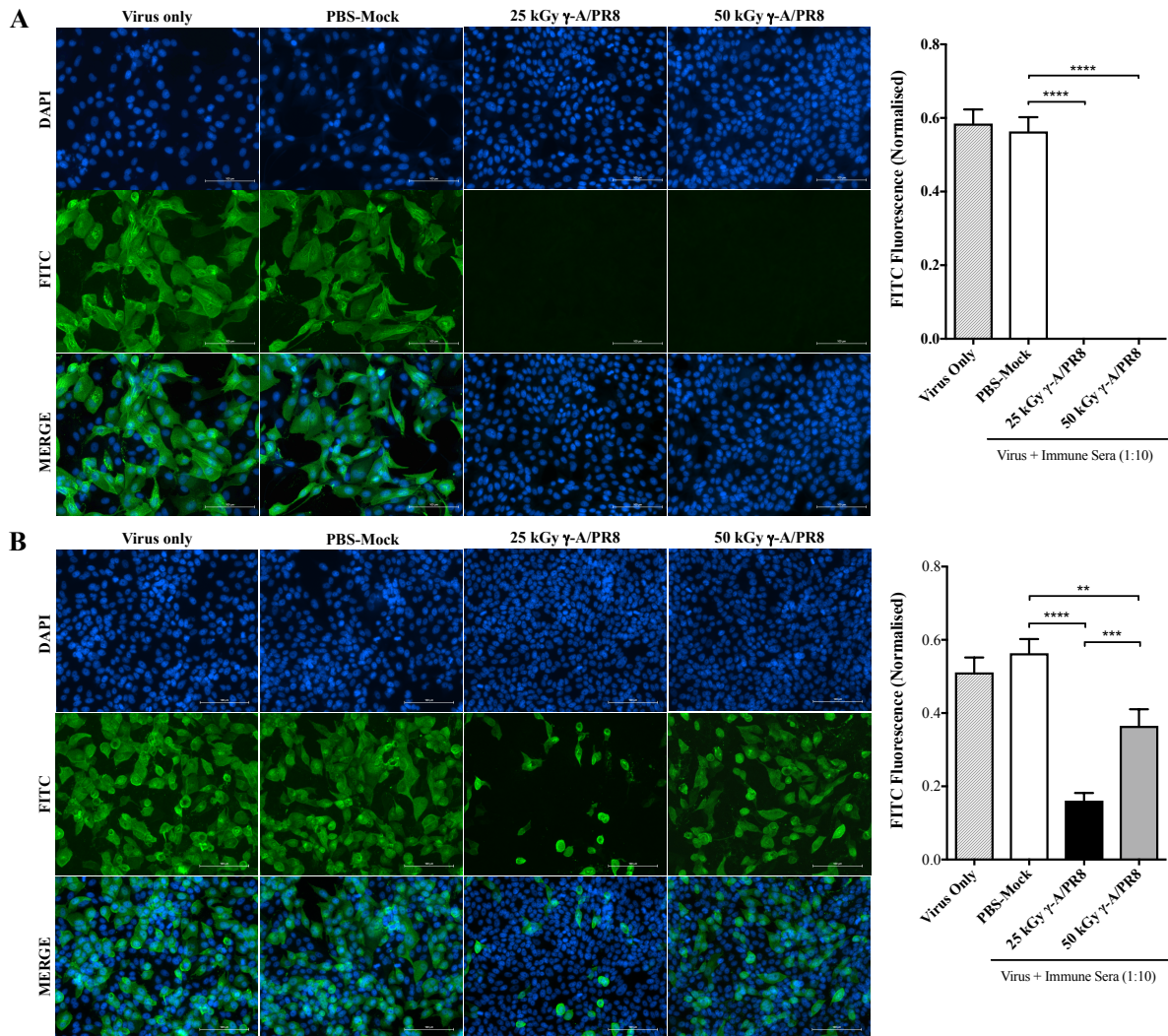
**Figure 2.3. Vaccination with 25 kGy and 50 kGy  $\gamma$ -A/PR8 induces homotypic protection.** Balb/c mice were vaccinated IN with  $\gamma$ -A/PR8 irradiated with 25 or 50 kGy on dry-ice ( $6.4 \times 10^6$  TCID<sub>50</sub> equivalent/mouse). Control mice received a PBS-mock vaccine. (A) Serum was harvested on Day 20 post-vaccination and analysed for A/PR8-specific IgG by ELISA. Data presented as mean absorbance at 450/620 nm. 1:100 dilution analysed by One-Way ANOVA (\*\*\*\*,  $P < 0.0001$ ). (B) Mice challenged IN with lethal A/PR8 ( $1.6 \times 10^2$  TCID<sub>50</sub>/mouse) on day 21 post-vaccination. Data presented as weight loss of vaccinated and control mice, and survival percentages. Data compiled from two independent experiments ( $n = 10$ ). Survival data analysed by Fisher's Exact test in comparison to PBS-mock control group (\*\*\*\*,  $P < 0.0001$ ).



**Figure 2.4. Efficacy of the  $\gamma$ -A/PR8 vaccine.** Balb/c mice were vaccinated IN with either  $3.2 \times 10^6$  or  $8.0 \times 10^5$  TCID<sub>50</sub> equivalent/mouse of  $\gamma$ -A/PR8 irradiated with 25 or 50 kGy on dry-ice. Control mice received a PBS-mock vaccine. (A) Weight loss of vaccinated and control mice following IN challenge with lethal A/PR8 (n = 5). (B) Serum samples were harvested from all mice on day 20 post-vaccination, and analysed for A/PR8-specific IgG by ELISA. Absorbance readings at 450/620 nm of control sera were used to calculate relative IgG titres. Data presented as mean  $\pm$  SEM (n = 5), and analysed by One-Way ANOVA (\*,  $P < 0.05$ ).



**Figure 2.5. Enhanced protective efficacy of 25 kGy  $\gamma$ -A/PR8 when using low vaccine dose.** (A) Balb/c mice were vaccinated IN with a single dose of  $\gamma$ -A/PR8 irradiated at 25 or 50 kGy on dry-ice ( $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse), or two doses administered two weeks apart. Three weeks later, mice were challenged IN with lethal A/PR8. Data is representative of two independent experiments. Data presented as survival rate ( $n = 10$ ), and analysed by Fisher's exact test (\*,  $P < 0.05$  compared to control group). (B) Serum was harvested 24 h pre-challenge, and tested for A/PR8-specific IgG using ELISA. Absorbance readings at 450/620 nm of naïve sera used to calculate relative IgG titres. Data presented as mean  $\pm$  SEM, analysed by One-Way ANOVA (\*,  $P < 0.05$ ). (C) CTL-mediated killing of NPP pulsed target splenocytes 24 h after adoptive transfer into mice primed with live influenza, or 25 or 50 kGy  $\gamma$ -A/PR8 (dry-ice irradiated). Percentage killing determined in relation to un-primed control mice. Data presented as mean  $\pm$  SEM, no significance determined by One-Way ANOVA.



**Figure 2.6. Neutralising antibody responses induced by 25 and 50 kGy  $\gamma$ -A/PR8.** Mice were vaccinated IN with (A)  $6.4 \times 10^6$  TCID<sub>50</sub>, or (B) two doses of  $5 \times 10^4$  TCID<sub>50</sub> equivalent of  $\gamma$ -A/PR8 irradiated on dry-ice. Serum was harvested on day 20 post-vaccination, and neutralisation efficacy against live A/PR8 determined by *in vitro* neutralisation assay. Representative images of infection after treatment of IAV with sera from control or vaccinated mice at 1:10 dilution, with DAPI (blue) detecting cell nuclei, and FITC (green) detecting A/PR8 virus. FITC fluorescence also quantified with NIS elements software, and normalised using corresponding quantified DAPI fluorescence. Data presented as mean  $\pm$  SEM (n = 8), analysed by One-Way ANOVA (\*\*,  $P < 0.01$ , \*\*\*,  $P < 0.001$ , \*\*\*\*,  $P < 0.0001$ ).



# CHAPTER 3

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Enhancement of safety and  
immunogenicity of the  $\gamma$ -PN vaccine



### 3.1. INTRODUCTION

*Streptococcus pneumoniae* (the pneumococcus) remains one of the leading causes of bacterial pneumonia worldwide [176], and has recently become the leading cause of bacterial meningitis in children < 5 years of age in the United States [442]. *S. pneumoniae* is commonly found as a commensal bacterium of the human nasopharynx. However asymptomatic colonisation can progress to invasive disease depending on factors such as host immune-competence and simultaneous infection with other pathogens. Diseases caused by the pneumococcus can range from mild infections including otitis media and sinusitis, to very severe outcomes of pneumonia, septicaemia, and meningitis. Increasing prevalence of antibiotic resistant pneumococcal strains also limits therapeutic treatment options for pneumococcal disease [443].

Prevention of pneumococcal infection poses a persistent challenge. Existing capsular polysaccharide (CPS)-based vaccines provide strictly serotype-dependent protection, and cover a limited range of pneumococcal serotypes. Moreover, their ongoing use has consistently resulted in serotype replacement [444-446]. This phenomenon occurs when reduction in carriage of vaccine-serotypes leaves a vacant niche that is quickly occupied by non-vaccine serotypes [247, 444]. Non-PCV13 serotype isolates such as serogroup 15B/C, are becoming increasingly common [249, 250], and accounted for a staggering 84.1% of all childhood IPD cases in 2015/16 [251]. A 2017 study also found non-PCV serotypes are now the major contributors to IPD burden in adults  $\geq$  65 years of age [252]. Furthermore, studies have concluded that PCVs have not consistently reduced the incidence of pneumococcal meningitis – one of the most severe forms of IPD – due to serotype replacement [256, 253-255].

Furthermore, emergence of non-encapsulated *S. pneumoniae* (NESp, or non-typeable) isolates has recently been reported. These are primarily isolated from patients with non-invasive pneumococcal disease, though NESp invasive disease isolates have been observed [447]. These NESp strains express unique surface proteins that enhance colonization and virulence, despite lacking capsular polysaccharide, and they typically carry multiple antibiotic resistance genes [448]. Importantly, existing CPS-based

vaccines do not offer any protection against disease caused by non-encapsulated strains, or strains belonging to non-included CPS serotypes.

We have previously published our approach to circumvent these vaccine shortcomings, with a whole-cell non-encapsulated *S. pneumoniae* preparation. The pneumococci within this vaccine are inactivated using gamma-irradiation, generating  $\gamma$ -PN, which completely abolishes pneumococcal viability whilst leaving antigenic structures intact. Importantly, removal of capsule from this vaccine strain allows exposure of highly conserved surface antigens for induction of broad cross-protective immune responses. In fact, non-adjuvanted intranasal vaccination with this novel vaccine was shown to confer significant protection against multiple vaccine and non-vaccine serotypes in mice.  $\gamma$ -PN-mediated protection was found to be dependent on antibody responses to non-capsular antigens [235]. Interestingly, a recent publication by Wilson *et al.* demonstrated that naturally acquired immunity to pneumococci in humans is similarly dependent on antibodies against pneumococcal protein antigens, rather than anti-capsular responses [449].

To enable the progression of our novel  $\gamma$ -PN vaccine through clinical development, further enhancement of the safety profile is required. Generation of an auxotrophic mutant of the existing non-encapsulated vaccine strain would fulfil this requirement. It is well documented that survival and virulence of *S. pneumoniae* in different niches within a host is dependent on acquisition of various nutrients, including sugars and metal ions [450-453]. To ensure sufficient uptake and maintenance of metal ion homeostasis, *S. pneumoniae* possesses import and export systems specific to different ions, including iron ( $\text{Fe}^{2+}$ ), zinc ( $\text{Zn}^{2+}$ ) and manganese ( $\text{Mn}^{2+}$ ) [454-456, 451]. Of particular interest is the *psaBCA* operon, which encodes the ABC-type manganese importer. Whilst mutation of components required for iron, copper, and zinc import are reported to have variable effects on pneumococcal virulence [457], mutation of *psaA* alone was found to dramatically attenuate virulence in multiple disease models *in vivo*, including otitis media, respiratory infection, and systemic invasion models [458-461]. PsaA mutants are also attenuated *in vitro*, and have a complete requirement for manganese supplementation for growth [459, 462]. Sufficient supplementation can restore normal growth kinetics in  $\Delta\text{PsaA}$  strains [463-465]; this is crucial to allow production of highly concentrated

preparations for vaccine purposes. Thus, *psaA* was selected as a target for this study. Additionally, studies have indicated that manganese levels can alter the expression of certain surface proteins, particularly antigenic choline-binding proteins such as PcpA [460, 466, 467]. Thus, effects of the growth-attenuating mutation and the required manganese supplementation on pneumococcal morphology, antigenic targets, and vaccine immunogenicity were thoroughly investigated.

## 3.2. MATERIALS AND METHODS

### 3.2.1. Ethics statement

Animal experimentation was carried out in strict accordance with the Australian Code of Practice for the Care and Use of Animals for Scientific Purposes (7th edition [2004] and 8th edition [2013]) and the South Australian Animal Welfare Act 1985. Experimental protocols were approved by the Animal Ethics Committee at The University of Adelaide (Approval numbers S-2013-053 and S-2016-183).

3.2.2. *Bacterial strains and construction of Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) vaccine strain*  
*Streptococcus pneumoniae* strains were statically grown in Todd–Hewitt broth supplemented with 0.5% yeast extract (THY) at 37°C in 5% CO<sub>2</sub> unless otherwise stated. The *S. pneumoniae* strain Rx1 used in this study is a capsule-deficient derivative of D39 (serotype 2). The isogenic mutant derivative Rx1( $\Delta$ LytA, PdT) was generated as previously described [235]. Additional genetic manipulation was performed on strain Rx1( $\Delta$ LytA, PdT) to delete the pneumococcal surface antigen A (*psaA*) gene in-frame, in a similar manner as described in [468]. All PCR primers used are listed in **Table 3.1**. First, a tagged *psaA* deletion mutant was generated by transformation of Rx1( $\Delta$ LytA, PdT) with a cassette comprised of an erythromycin resistance gene (Ery<sup>R</sup>) fused to *psaA* 5' and 3' flanking regions. The 5' flanking region of *psaA* was obtained using the primers PsaAuF and PsaAuR-J214, whilst the 3' flanking region was obtained using primers LM8-J215 and PsaAdR. The Ery<sup>R</sup> gene was amplified using primers J214 and J215. The cassette was assembled by overlap extension PCR with primers PsaAuF and PsaAdR, and used to transform Rx1( $\Delta$ LytA, PdT). All transformation steps and subsequent growth steps with the resultant Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA::Ery<sup>R</sup>) strain used THY supplemented

with 400  $\mu$ M MnCl<sub>2</sub> to overcome the growth defect of PsaA-null mutants. A PCR product that fused the *psaA* 5' and 3' flanking regions was then generated via amplification of the 5' *psaA* flanking region with primers PsaAuF and PsaAuRtuF, and the 3' region with primers LM8tdR and PsaAdR. These flanks were joined via overlap extension PCR with primers PsaAuF and PsaAdR, and the resulting PCR product used to replace the Ery<sup>R</sup> cassette in Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA::Ery<sup>R</sup>), thus deleting *psaA* in-frame. Successful transformants were screened for loss of erythromycin resistance by replica plating onto blood agar plates containing MnCl<sub>2</sub>, or MnCl<sub>2</sub> + Erythromycin. The flanking regions of putative mutants were PCR amplified, and in frame deletions confirmed by sequencing. The final Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) strain was additionally validated using PCR, Sanger Sequencing, and Western Blot.

### 3.2.3. Western Blotting

For confirmation of mutants, western blotting was performed essentially as described [464]. The encapsulated strain D39, the parent Rx1 strain, and the vaccine strains Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) were grown to mid-log phase in THY (supplemented with additional Mn as specified in figure legends). Cultures were washed in PBS, and concentrated  $\sim$ 50 $\times$  by centrifugation prior to lysis in PBS. Samples were lysed by sonication (25 cycles: 30 sec on, 30 sec off), then boiled in 1 $\times$  LUG buffer for 5 mins at 95 $^{\circ}$ C prior to SDS-PAGE (using NuPAGE Novex 4 – 12% Bis-Tris gels with 1% MES running buffer). After transfer of proteins to nitrocellulose membranes using the iBlot System, membranes were probed with either anti-Ply (1:10,000 dilution), anti-LytA (1:10,000), or anti-PsaA (1:2000) murine polyclonal antisera. Primary Abs were detected with an IRDye 800CW goat anti-mouse IgG (LI-COR) at 1:50,000, and visualised using the Odyssey imaging system.

### 3.2.4. Growth Curves

Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) vaccine strains were inoculated from blood agar plates into THY broth or THY supplemented with 400  $\mu$ M MnCl<sub>2</sub> (THY + Mn) to a starting OD<sub>600</sub> of 0.02. Cultures were incubated at 37 $^{\circ}$ C + 5% CO<sub>2</sub>, and aliquots taken every 30-60 min for 10 h to determine increase in OD<sub>600</sub>.

### 3.2.5. Scanning Electron Microscopy

Vaccine strains were inoculated from blood agar plates into ~100 ml pre-warmed plain THY or THY + Mn for a starting OD<sub>600</sub> of 0.02. Cultures were incubated at 37°C + 5% CO<sub>2</sub>, and 25 ml samples were removed from each culture at OD<sub>600</sub> = 0.2, 0.4, and 0.65. 25 ml samples were spun at 4,000 rpm for 10 min at 4°C, then washed three times in PBS. Pellets resuspended in 250  $\mu$ l PBS + 13% glycerol (100 $\times$  concentration from original volume). 15  $\mu$ l neat sample then diluted in 2 ml sterile PBS and attached to Nucleopore Track-Etch polycarbonate membrane filter (Whatman) for SEM preparation. Briefly, samples fixed in EM fixative (4% paraformaldehyde, 1.25% glutaraldehyde, 4% sucrose in PBS, pH 7.2), then stained with 2% Osmium tetroxide (OsO<sub>4</sub>) prior to progressive dehydration as previously described (Alternate Protocol 6 and Basic Protocol 3 from [469]). Membranes were left to air dry, then coated by gold sputter. Imaging conducted using Quanta 450 Scanning Electron Microscope at Adelaide Microscopy, University of Adelaide.

### 3.2.6. Generation of $\gamma$ -irradiated vaccines

Vaccine strains Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) were grown in either THY or THY + Mn (as specified in results) at 37°C + 5% CO<sub>2</sub> to OD<sub>600</sub> = 0.65. Cells were pelleted by centrifugation at 8,000 rpm for 10 min at 4°C, washed three times in PBS, and resuspended in PBS + 13% glycerol at a density of  $\sim 10^{10}$  CFU/ml (approximately 100 $\times$  concentration from original volume). 200  $\mu$ l aliquots of vaccine samples were inactivated by exposure to gamma ( $\gamma$ )-irradiation at the Australian Nuclear Science and Technology Organization (ANSTO, Lucas Heights, NSW). Samples were kept frozen on dry-ice during irradiation and transportation. Remaining viable titres were then determined by CFU counts on blood agar plates. All vaccine preparations intended for administration to animals were treated with 16 kGy, and sterility confirmed by plating of neat samples.

### 3.2.7. Bicinchoninic acid (BCA) protein assay

To determine total protein content in all vaccine preparations, a Pierce BCA Protein Assay Kit (Thermo Scientific) was used according to the manufacturer's specifications [470]. Aliquots of each vaccine suspension were diluted 1:10 in PBS, and added to tubes

containing 40 mg glass beads (acid-washed,  $\leq 106 \mu\text{m}$ , Sigma, G4649-10G) for sonication at  $4^\circ\text{C}$  (25 cycles of 30 seconds on, 30 seconds off). Whole cell lysates then added to 200  $\mu\text{l}$  of working reagent (50 parts BCA kit reagent A to 1 part reagent B) in a 96-well microtiter plate. After gentle mixing, plates incubated at  $37^\circ\text{C}$  for 30 min. Plate cooled to room temperature, and absorbance measured on a microplate reader at 562 nm (with Softmax Pro software, Millennium Science). Protein content in vaccine samples was calculated using a standard curve of bovine serum albumin (BSA) in PBS.

### 3.2.8. TLR4 and TLR2 Stimulation

Human embryonic kidney cells (HEK-293) cells stably transfected with human TLR4a gene (293/TLR4a, Cat. #293-htlr4a) or human TLR2 and CD14 genes (293/hTLR2-CD14, Cat. #293-htlr2cd14) were obtained from InvivoGen. Cells were grown in DMEM + HEPES supplemented with 10% (v/v) foetal calf serum (FCS), 1% L-glutamine, 1% penicillin/streptomycin, 100  $\mu\text{g}/\text{ml}$  normocin (InvivoGen, Cat. #ant-nr-1), and 10  $\mu\text{g}/\text{ml}$  blasticidin (InvivoGen, Cat. #ant-bl-1). Cells were maintained as per manufacturer's instructions. For stimulation, cells were seeded in a 24-well tissue culture plate at  $3 \times 10^5$  cells/well in 0.5 ml growth medium, and incubated for  $\sim 24$  h until cells reached confluency. Growth medium was gently removed, and replaced with 0.3 ml of assay medium (growth medium with 1% FCS only) containing whole cell antigens at 10  $\mu\text{g}/\text{ml}$ . Each antigen was tested in quadruplicate. Plain assay medium was used to determine background IL-8 production. After 24 h stimulation, culture supernatants were removed, and IL-8 levels were determined by ELISA using a human IL-8/CXCL8 DuoSet ELISA kit (Cat. #DY208-05), according to the manufacturer's instructions.

### 3.2.9. Mice & Treatment

Female outbred Swiss mice (4–6 weeks old) were supplied by Laboratory Animal Services at the University of Adelaide, South Australia. Mice were first anaesthetised intraperitoneally (IP) with sodium pentobarbitone (60 mg/ml stock, Ilium) at a dose of 66  $\mu\text{g}/\text{g}$  body weight. The anaesthetised mice were then intranasally (IN) vaccinated by gently applying 30  $\mu\text{l}$  of inactivated vaccine preparation (diluted in PBS as required, 21.25  $\mu\text{g}$  total protein/dose, approx.  $10^8$  CFU equivalent/dose) to the nostrils. This immunisation route paired with anaesthesia was chosen to allow the vaccine inoculum to

enter the lung, as outlined in *Section 2.2.7*. Control mice received PBS-mock vaccinations. Mice were immunised IN twice at 2-week intervals. 1 week post-2<sup>nd</sup> vaccination, serum samples were collected from all mice by submandibular bleeding, and saliva was harvested after intraperitoneal injection of pilocarpine (2 mg/ml, 250  $\mu$ l per mouse) to stimulate saliva production. For challenge experiments, mice were challenged IN 2 weeks after the 2<sup>nd</sup> vaccination with lethal D39 or P9. Mice were anaesthetised as above, and 25  $\mu$ l of live pneumococci in PBS gently administered to the nostrils ( $10^7$  CFU/mouse for both D39 and P9 challenges). Mice were monitored daily for 3 weeks post-challenge for development of clinical symptoms, and humanely euthanized once mice became moribund.

#### *3.2.10. Measurement of antibody responses*

Sera and saliva samples from vaccinated and control mice were assayed by ELISA to determine *S. pneumoniae*-specific antibody responses, as described previously [471]. Live whole-cell Rx1( $\Delta$ LytA, PdT) was used as coating antigen, at  $5 \times 10^6$  CFU/well in bicarbonate/carbonate coating buffer (100 mM, 3.03 g Na<sub>2</sub>CO<sub>3</sub>, 6.0 g NaHCO<sub>3</sub> per 1 L miliQ, pH 9.6). Alkaline phosphatase (AP) conjugated goat anti-mouse IgA (Zymed, 1:1000) and horseradish peroxidase (HRP) conjugated goat anti-mouse IgG (Thermo Fisher, 1:10,000) were used to detect IgA and total IgG respectively. Absorbance readings of sera and saliva samples from PBS-Mock vaccinated mice at 1:160 dilution were used to calculate the cut-off value for all antibody titres. The cut-off was calculated by adding the mean absorbance value to  $3 \times$  standard deviation (SD). End point titres for samples were then expressed as the reciprocal of the dilution where the OD value equalled the cut-off value.

#### *3.2.11. Western Blot analysis of antigen profiles*

Irradiated vaccine samples were sonicated, and protein content measured as described in *Section 3.2.7*. Lysates were boiled in  $1 \times$  LUG buffer for 5 mins at 95°C, and 20  $\mu$ g total protein was loaded per well for SDS-PAGE. After transfer to nitrocellulose membranes, samples were probed with pooled immune sera from vaccinated Swiss mice at 1:500 dilution. Primary murine antibody was then detected with IRDye 800CW goat anti-mouse IgG and visualised as described in *Section 3.2.3*.

### 3.2.12. Statistical Analysis

Quantitative results were expressed as mean  $\pm$  SEM to indicate the closeness of the data to the population means rather than variability within small sample size. Unpaired Student's *t*-test was used for comparison of data from two separate groups, One-way ANOVA was used for comparison of data from 3 or more groups with a single variable, and two-way ANOVA was used to analyse data sets with multiple variables. For survival data, the Mann-Whitney U-test was used to analyse statistical differences. All analyses were performed using GraphPad Prism 6, version 6.0d (GraphPad Software, La Jolla, CA, USA). *P* values < 0.05 (95% confidence) were considered statistically significant.

**Table 3.1. Primers used for *psaA* in-frame deletion**

Primer Name	Sequence (5' → 3')
PsaAuF (forward)	TCATTATTCTACGCGGGATGTCACTCATGG
PsaAuR-J214 (reverse)	TTGTTTCATGTAATCACTCCTTCCAATTTATTAGGGCTTTGCC
LM8-J215 (forward)	CGGGAGGAAATAATTCTATGAGAGAAGGCGACAGCTACTACAG
PsaAdR (reverse)	CAGTGGCAGCAGTAAGCATCATTTTGACC
J214 (forward)	GAAGGAGTGATTACATGAACAA
J215 (reverse)	CTCATAGAATTATTCCTCCCG
PsaAuRtuF (reverse)	TAGTAGCTGTCGCCTTCTTTACCCAATTTATTAGGGCTTTGC
LM8tdR (forward)	GCAAAGCCCTAATAAATTGGGTAAAGAAGGCGACAGCTACTA

### 3.3. RESULTS

#### 3.3.1. Confirmation of mutant strains and protective efficacy

The vaccine strain Rx1( $\Delta$ LytA, PdT) was previously generated by replacing the *ply* gene with a non-toxic derivative (PdT) and deleting the *lytA* gene [235]. This strain was further modified in the present study by in-frame deletion of *psaA*, to generate Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA). The progression of gene manipulation for both Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) is shown in **Figure 3.1A**. PCR analysis shows that Rx1( $\Delta$ LytA, PdT) lacks the full-length gene for *lytA*, and the further attenuated Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) lacks full-length genes for both *lytA* and *psaA*. In each case, the truncated version of *lytA* or *psaA* was detected instead, indicating successful removal of the entire coding region, with only the flanking regions remaining. *Ply/pdt* was present in all strains as expected. Sequencing also confirmed successful in-frame deletion of *psaA* in the modified vaccine strain. A total of 865 nucleotides were deleted from the transcription start site through to 56 nucleotides upstream of the stop codon.

Expression of PdT and lack of both LytA and PsaA proteins in Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) was then confirmed by Western Blot (**Fig. 3.1B**). Ply-, LytA-, and PsaA-specific murine antibodies detected expression of all three proteins in both D39 and Rx1 parent strains. PsaA was also detected in Rx1( $\Delta$ LytA, PdT) but not Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) as expected. Purified proteins in Lane 1 of the blot ran at slightly higher molecular weights than native protein due to presence of His6-tags.

The functional impact of the PsaA deletion on cell growth is illustrated in **Figure 3.1C**. Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) showed dramatically delayed growth kinetics, with no obvious log-phase of growth during the entire monitoring period when THY growth media was not supplemented with additional manganese (THY). In comparison, Rx1( $\Delta$ LytA, PdT) entered a clear log-phase, and reached stationary phase after roughly 7.5 h. However, when growth media was supplemented with manganese (THY + Mn), both vaccine strains displayed near identical growth curves, indicating wild-type kinetics could be restored in the  $\Delta$ PsaA strain by appropriate Mn supplementation. Interestingly, Rx1( $\Delta$ LytA, PdT) showed slightly better growth in the supplemented media as opposed to plain THY, despite having no manganese-uptake defects.

Once the attenuating mutation was confirmed, it was crucial to establish that the modified vaccine strain Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) was still capable of conferring protection against lethal pneumococcal challenge. Thus, this strain was grown in THY + Mn to  $OD_{600} = 0.65$ , then processed as per *Section 3.2.6* and sterilised by exposure to gamma-irradiation (16 kGy). Swiss mice received two IN vaccinations with the either irradiated vaccine (termed  $\gamma$ -PN( $\Delta$ PsaA)), or PBS as a mock-vaccine control. All mice were then challenged IN with lethal D39, and monitored for survival. **Figure 3.2** clearly shows the modified vaccine was able to induce significant protection against this lethal challenge in comparison to controls. Furthermore, the survival rate (~50%) of vaccinated animals matches that previously observed by Babb *et al.* when testing the original Rx1( $\Delta$ LytA, PdT) irradiated vaccine ( $\gamma$ -PN) against D39 challenge [235].

### 3.3.2. Morphology of manganese-supplemented vaccine strains

Previous studies have suggested that manganese stress is associated with alteration of pneumococcal cell division and morphology. One study in particular reported manganese over-supplementation caused elongation of cells, whilst manganese limitation imposed by zinc toxicity led to dramatically shortened cells [472]. Given that a Mn-import deficient strain has been utilised here, paired with high concentrations of extracellular manganese for growth, it was important to ensure this had no negative effects on morphology of vaccine strains. Additionally, chaining of cells via dysregulated cell division could lead to aggregation of pneumococci within vaccine suspensions.

To assess changes to morphology, the original Rx1( $\Delta$ LytA, PdT) strain was grown in THY or THY + Mn media, and samples taken at  $OD_{600} = 0.2, 0.4, \text{ and } 0.65$  for imaging by scanning electron microscopy (SEM). Direct comparison of this strain in the presence and absence of manganese ensured that any observed changes were solely due to Mn supplementation, as opposed to  $\Delta$ PsaA growth-related changes. The Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) strain was also grown in THY + Mn, and samples were taken at the same  $OD_{600}$  values. Comparison of both strains grown in Mn-supplemented media would allow the determination of morphology changes due to the  $\Delta$ PsaA mutation alone. Note Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) grown in plain THY was not used for morphology comparison due to excess stress and altered kinetics when cultured in this media type. **Figure 3.3A**

illustrates that neither manganese levels nor the  $\Delta$ PsaA mutation had any observable effect on cell morphology, cell length, or chain formation. Additionally, dynamic light scattering (DLS) was used to quantify the mean diameter of pneumococcal aggregates within each vaccine preparation. **Figure 3.3B** shows the peak diameter for all tested vaccine preparations was approximately 1 $\mu$ m, indicating the majority of the suspension was comprised of diplococci, rather than aggregates or long cell chains.

### 3.3.3 Inactivation of vaccine strains by gamma-irradiation

For comparison of inactivation of vaccines grown with or without manganese, Rx1( $\Delta$ LytA, PdT) and the newly generated Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) were both grown in THY or THY + Mn to OD = 0.65. Once at this density, all cultures were extensively washed and processed for irradiation. Aliquots were exposed to gamma-radiation whilst frozen on dry-ice, and inactivation curves were generated using CFU counts of treated samples. Control samples remained frozen but were not exposed to irradiation (0 kGy), and were used to estimate the initial viable titre. **Figure 3.4A** shows both vaccine strains were inactivated in a sigmoidal fashion irrespective of manganese supplementation, with an initial area of resistance at low irradiation doses, followed by log-linear inactivation. Additionally, manganese supplementation appeared to have no effect on the sterilisation of Rx1( $\Delta$ LytA, PdT), with the two curves being almost identical and returning very similar D<sub>10</sub> values (0.82 kGy and 0.75 kGy for Rx1( $\Delta$ LytA, PdT) grown in THY and THY + Mn, respectively). Interestingly, Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) grown in the absence of additional Mn seemed to have increased sensitivity to irradiation, with a calculated D<sub>10</sub> value of just 0.37 kGy. Conversely, the D<sub>10</sub> value of the same  $\Delta$ PsaA strain grown in Mn supplemented THY was 0.80 kGy, which closely matches values for both Rx1( $\Delta$ LytA, PdT) preparations.

Subsequently, potential reasons for this difference in slope between inactivation curves for the modified strain were investigated. First, Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) was grown in THY + Mn to OD<sub>600</sub> = 0.65, then diluted to different starting titres in PBS + 13% glycerol prior to gamma-irradiation. **Figure 3.4B** shows that differences in start titre had no dramatic impact on the slope of the inactivation curves, with all three preparations giving D<sub>10</sub> values of approximately 1.0 kGy. Next, Rx1( $\Delta$ LytA, PdT) was grown in plain THY,

then supplemented with increasing manganese concentrations *after* growth, but prior to irradiation. This was to assess the potential impact of external manganese on irradiation resistance. Again, no impact was detected, with all inactivation curves overlapping (**Fig. 3.4C**). Thus, it is likely that the absence of internal manganese during growth of the  $\Delta$ PsaA strain in THY only (stressed condition) resulted in increased sensitivity to gamma-irradiation.

Additionally, all four vaccine preparations in **Figure 3.4A** were sterile following exposure to 12 kGy of gamma-irradiation, which matches the dose used previously by Babb *et al.* for pneumococcal vaccine inactivation [235, 403]. However, a sterilising dose of 16 kGy was chosen for all irradiated vaccines used here to further enhance safety. This increased dose was not anticipated to influence vaccine efficacy, as neither strain showed any increase in damage or alteration to cell morphology when exposed to irradiation doses as high as 25 kGy (**Fig. 3.5**).

#### 3.3.4. Comparable antibody responses induced by $\gamma$ -PN and $\gamma$ -PN( $\Delta$ PsaA)

Given that pneumococcal morphology and aggregation were not affected by the  $\Delta$ PsaA mutation nor the required manganese supplementation, it was next investigated whether vaccine-induced humoral responses were altered. For this *in vivo* investigation, 16 kGy-treated samples of all vaccine preparations were used. Note that the 16 kGy-treated vaccines are termed  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) for irradiated Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA), respectively.

Two IN vaccinations were administered to Swiss mice using equivalent protein content per dose of each vaccine preparation ( $\gamma$ -PN or  $\gamma$ -PN( $\Delta$ PsaA) grown  $\pm$  Mn). Serum and saliva samples were harvested a week after the second vaccination dose, and antibody responses to whole-cell pneumococcal antigen were determined by ELISA. In all cases, titres were calculated relative to sera and saliva samples from PBS-mock vaccinated mice. As shown by **Figure 3.6**, total IgG and IgA titres in serum were very similar for all four vaccine preparations, with no statistically significant differences. Mn-supplementation did appear to marginally elevate salivary IgA titres for the  $\gamma$ -PN( $\Delta$ PsaA) vaccine, though this did not reach statistical significance by One-way ANOVA.

To further characterise the antibody responses induced by each vaccine preparation, the reactivity of harvested immune sera against whole-cell vaccine lysates was determined using Western Blot. This allowed comparison of the profile of pneumococcal antigens in each vaccine that were being processed for humoral immune responses *in vivo*. Remarkably, the  $\gamma$ -PN( $\Delta$ PsaA) vaccine grown in Mn-supplemented THY ( $\gamma$ -PN( $\Delta$ PsaA) + Mn) induced antibodies that reacted against a substantially wider range of pneumococcal antigens than antibodies induced by the original  $\gamma$ -PN preparation (**Fig. 3.7**). The  $\Delta$ PsaA mutation itself appeared to be contributing towards this enhanced reactivity of vaccine-induced responses. When comparing the original  $\gamma$ -PN and the modified  $\gamma$ -PN( $\Delta$ PsaA) both grown in un-supplemented THY, antibodies induced against the modified  $\Delta$ PsaA strain showed a more diverse range of reactivity to pneumococcal proteins. A similar trend for enhanced reactivity was seen when comparing the original  $\gamma$ -PN and the modified  $\gamma$ -PN( $\Delta$ PsaA) both grown in THY + Mn. Thus, data indicates the removal of PsaA from our pneumococcal vaccine strain is associated with increased immunogenicity as well as heightened safety.

Manganese supplementation also appeared to have a minor effect on antibody reactivity to pneumococcal antigens. Specifically, we detected a slight alteration to the banding pattern of proteins bound by antibodies raised against  $\gamma$ -PN compared to those raised against  $\gamma$ -PN + Mn. Similarly, comparison of the banding profile for antibodies raised against  $\gamma$ -PN( $\Delta$ PsaA) compared to  $\gamma$ -PN( $\Delta$ PsaA) + Mn showed a slight change in dominant antigens. Furthermore, Mn-supplementation of both  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) appears to have suppressed expression of an antigenic protein  $\sim$ 250kDa present in the whole-cell lysates themselves. The lysate of  $\gamma$ -PN( $\Delta$ PsaA) grown in plain THY also showed some bands with less intensity compared to the other three lysates when probed with a single immune sera type; this is likely due to increased stress during growth of the modified strain in non-supplemented media.

Overall, titres of both IgG and IgA were very similar across the four individual vaccines, but stark differences in antibody reactivity between the original and modified strain were detected. Thus, the original formulation ( $\gamma$ -PN grown in plain THY) and the modified  $\gamma$ -

PN( $\Delta$ PsaA) (grown in THY + Mn for sufficient antigen concentration) were selected for further comparative studies. Inclusion of the original formulation was intended to allow comparison of responses observed here to those seen in previously published studies by Babb *et al.* [235]. These two vaccine preparations were administered either IN or IP to assess whether similarity in antibody titre was maintained across multiple immunisation routes. Again, titres of IgA and IgG in sera, and of sIgA in saliva were comparable between the two pneumococcal vaccines for both routes tested (**Fig. 3.8**). Each vaccine given IP also induced significantly elevated IgG in sera compared to the same preparations administered IN, as expected. sIgA was markedly less when both vaccines were given IP as opposed to IN, though this drop did not reach statistical significance.

### *3.3.5. Confirmation of protective efficacy against lethal heterologous challenge*

Thus far, the modified pneumococcal vaccine was found to induce comparable antibody titres to the original vaccine (**Fig. 3.6** and **Fig. 3.8**), but with an enhanced spectrum of antigen reactivity (**Fig. 3.7**). Protection against homologous pneumococcal challenge was also maintained (**Fig. 3.2**). Next, a direct comparison of protection mediated by  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) against a highly virulent heterologous serotype was sought. Swiss mice were therefore vaccinated IN with the same antigen dose of either vaccine, and challenged two weeks later with lethal P9 (heterologous serotype 6A). Survival data presented in **Figure 3.9** illustrates that  $\gamma$ -PN( $\Delta$ PsaA) vaccinated mice were significantly protected against the lethal challenge compared to control mice, confirming that the modified vaccine retained the ability to induce serotype-independent protection. In fact,  $\gamma$ -PN( $\Delta$ PsaA) performed significantly better than the original  $\gamma$ -PN in this model of severe pneumococcal infection.

### *3.3.6. Innate immune signalling is modulated by growth in manganese-supplemented media*

Given that  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) induced different degrees of protection, it was of interest to determine whether innate immune signalling was augmented by the modified vaccine. Thus, samples of  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) were used to stimulate cultures of HEK-293 cells stably expressing human TLR4 or TLR2. IL-8 production by these cells was determined by ELISA, which is used as a measure for the degree of TLR

signalling induced by each preparation. Samples of each vaccine preparation grown with and without manganese were included, as well as live samples of each strain for controls. **Figure 3.10A** shows consistent TLR4 signalling across all tested vaccine formulations, with the  $\Delta$ PsaA mutation and the presence of manganese during growth having no significant effects. Importantly, no loss of TLR4 signalling was observed for any of the vaccine preparations after irradiation at 16 kGy, indicating robust maintenance of immune stimulating molecules. However, TLR2 signalling did appear to be influenced by both the  $\Delta$ PsaA mutation, and Mn presence during growth of the vaccines. **Figure 3.10B** shows that Mn supplementation during growth of the modified  $\gamma$ -PN( $\Delta$ PsaA) was able to significantly enhance the ability of the pneumococci to stimulate TLR2 *in vitro*. This enhancement was not observed for the original  $\gamma$ -PN strain when grown in the same Mn-containing media. Importantly, this trend was observed for both live and irradiated versions of the vaccine, again confirming the maintenance of ligands important for innate immune signalling after gamma-irradiation. Additionally, enhanced TLR2 signalling detected for both live and irradiated  $\gamma$ -PN( $\Delta$ PsaA) confirms that potential increases in replication of the Mn-grown pneumococci during incubation with HEK cells is not the cause for the enhanced signalling.

### 3.4. DISCUSSION

*S. pneumoniae* remains a global health concern, with the limited serotype coverage of current vaccines leading to continual serotype replacement. Existing vaccines also offer no coverage against newly emerging serotypes and non-encapsulated isolates. These limitations have led to a search for novel pneumococcal vaccines offering broad-spectrum protection. Our lab has previously published a novel approach to meet this need, with a whole-cell gamma-irradiated *S. pneumoniae* preparation ( $\gamma$ -PN). This was shown to be highly effective in conferring protection against both vaccine and non-vaccine serotypes without requirement for adjuvant [235].

The  $\gamma$ -PN vaccine strain is based on an un-encapsulated D39 derivative, termed Rx1, which was genetically modified to achieve further attenuation, generating Rx1( $\Delta$ LytA, PdT). Specifically, native Ply was replaced by a non-toxic derivative, PdT, and LytA was

deleted to enable growth of high cell densities *in vitro* by preventing stationary phase autolysis. The three amino acid substitutions within PdT abrogate the toxicity of native Ply whilst retaining antigen structure and immunogenicity [473]. Here, we have improved the suitability of this novel vaccine candidate for clinical development by further enhancing the safety profile via deletion of the *psaA* gene. A higher irradiation dose was also used in this study to further enhance vaccine safety.

PsaA functions as the solute-binding component of the ABC-type manganese import system [455]. Manganese ( $Mn^{2+}$ ) serves as a cofactor for a variety of bacterial proteins involved in glycolysis, nucleic acid degradation, signal transduction, and oxidative stress defence [474]. Numerous studies examining *psaA* mutants have illustrated the absolute requirement of manganese supplementation for normal growth *in vitro* [463, 459]. This is also illustrated in **Fig. 3.1C**, where Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) displayed minimal increases in cell density when cultured in un-supplemented THY media. The manganese concentration in un-supplemented THY is approximately 0.25  $\mu$ M [475], and whilst this concentration allowed minimal  $\Delta$ PsaA growth, the manganese concentration within internal body sites such as blood serum is estimated at just 20 nM [476, 477]. Thus, in the unlikely event that any Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) pneumococci survive the irradiation process, they will be unable to replicate in these sterile sites following vaccine administration. *In vivo* models have also demonstrated a dramatic loss of virulence due to *psaA* mutation [458], with mutants being unable to colonise the lungs, disseminate into blood, or grow in an otitis media model [459]. Taken together, this mutation represents an added safety feature to the previously reported gamma-irradiated pneumococcal vaccine ( $\gamma$ -PN).

Importantly, the growth defect of the *psaA* mutant could be negated by appropriate Mn supplementation *in vitro* to facilitate manufacture of highly concentrated vaccine preparations. Growth kinetics that closely matched those of the original Rx1( $\Delta$ LytA, PdT) were achieved simply by culturing the modified Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) in manganese-supplemented THY media (THY +Mn). The concentration of Mn employed here was intentionally high to facilitate high titre growth. However, modulating external Mn has been shown previously to affect cell morphology. For example, Martin *et al.*

[472] demonstrated the enzyme PhpP of *S. pneumoniae* can become hyper-activated due to over-metalation by excess Mn. This resulted in reduced phosphorylation status and caused defects in cell division, leading to elongated and highly chained pneumococci. In contrast, Mn limitation induced by zinc toxicity was found to cause inhibition of PhpP activity, leading to shortened cell morphology [472]. Importantly, no obvious effect on cell morphology, length, or chain formation was observed due to Mn supplementation of either vaccine strain (**Fig. 3.3**). In fact, Martin *et al.* employed mutation of the constitutively expressed manganese exporter, MntE [456, 478], to cause accumulation of excess intracellular Mn, leading to the aforementioned morphology changes. Whilst Mn may be accumulating in the pneumococci used here during growth in THY + Mn, it is unlikely to reach a toxic level as the MntE exporter remains entirely functional in both vaccine strains.

Following confirmation of morphology, vaccine strains were inactivated by gamma-irradiation, and all preparations deemed sterile at doses of 12 kGy and above. However, due to the nature of sterilization, there always exists a small but calculable probability that a pathogen will survive treatment; this is denoted as the Sterility Assurance Level, or SAL [319]. Additionally, for clinical application, the pneumococcal vaccine may be treated with radiation doses substantially higher than 12 kGy to meet a SAL of  $10^{-6}$  (one in a million chance of a cell remaining viable after treatment). To calculate this potential SAL dose, inactivation curves for each of the vaccine preparations were determined. The shape of inactivation curves for all preparations were sigmoidal, with an initial short region of radiation resistance, followed by log-linear inactivation. This linear region was used to estimate  $D_{10}$  values (indicating the dose required for a single  $\log_{10}$  titre reduction) for all preparations. Interestingly, the calculated  $D_{10}$  value was considerably lower for  $\gamma$ -PN( $\Delta$ PsaA) grown in un-supplemented THY when compared to the other three formulations (**Fig. 3.4A**). My data indicate that a lower starting titre was not the reason for this increase in radio-sensitivity (**Fig. 3.4B**), nor were differences in external manganese concentration (**Fig. 3.4C**). Hence, differences in intracellular Mn may be the essential factor underlying the variation in radio-sensitivity. Daly *et al.* demonstrated that accumulation of intracellular Mn(II) is critical to radiation resistance in *Deinococcus radiodurans*, where it acts in detoxification of reactive oxygen species (ROS), and is a

cofactor for the Mn-dependent superoxide dismutase (Mn-SOD) [479]. It was also proposed in follow-up studies that the accumulation of manganese facilitates formation of Mn(II)-metabolite complexes within *D. radiodurans* that protect essential enzymes from oxidative damage [480-483]. Furthermore, both  $\Delta$ PsaA and  $\Delta$ PsaD pneumococcal strains have been shown to be highly sensitive to oxidative stress in comparison to wild-type counterparts, and have lowered ability to neutralise reactive oxygen species (ROS) [462]. Lack of this crucial co-factor within the Mn-starved  $\Delta$ PsaA strain is therefore likely to contribute to the enhanced sensitivity to radiation damage. In further support of this, Ogunniyi *et al.* demonstrated by ICPMS that a *psaA* deletion mutant of D39 accumulated less than a third of the intracellular manganese of wild-type cells when grown in un-supplemented media [465].

In terms of  $D_{10}$  values,  $\gamma$ -PN grown in both THY and THY + Mn, and  $\gamma$ -PN( $\Delta$ PsaA) grown in THY + Mn all returned values of approximately 0.8 kGy. To then accurately calculate the total dose required to achieve a SAL of  $10^{-6}$ , the initial region of radiation resistance and the subsequent log-linear region of the inactivation curves were both considered. Based on data presented in **Figure 3.4A**, the dose required to overcome the short resistance region was estimated to be 3 kGy. Assuming a starting titre of  $10^{10}$  CFU/ml, a total of 16  $\log_{10}$  reductions would be required to achieve a theoretical titre of  $10^{-6}$ . The  $D_{10}$  value of 0.8 kGy is therefore multiplied by 16, giving 12.8 kGy. This is paired with the additional 3 kGy to overcome initial resistance, giving a total sterilising dose of 15.8 kGy. Consequently, 16 kGy irradiated pneumococcal vaccines were used for all subsequent immunogenicity experiments. The  $D_{10}$  value and resulting SAL for the  $\gamma$ -PN( $\Delta$ PsaA) vaccine grown in plain THY were substantially lower than this. However, 16 kGy was still used to allow accurate comparison with the other vaccine preparations. Furthermore, doses up to 25 kGy were observed to have no negative impact on pneumococcal integrity (**Fig. 3.5**).

Another potential effect of supplementing vaccine strains with high manganese was the alteration of expression profiles for important antigenic proteins. For example, high manganese leads to suppression of genes under control of *psaR*, the transcriptional regulator of the *psaBCA* operon [467]. These include the extracellular serine protease

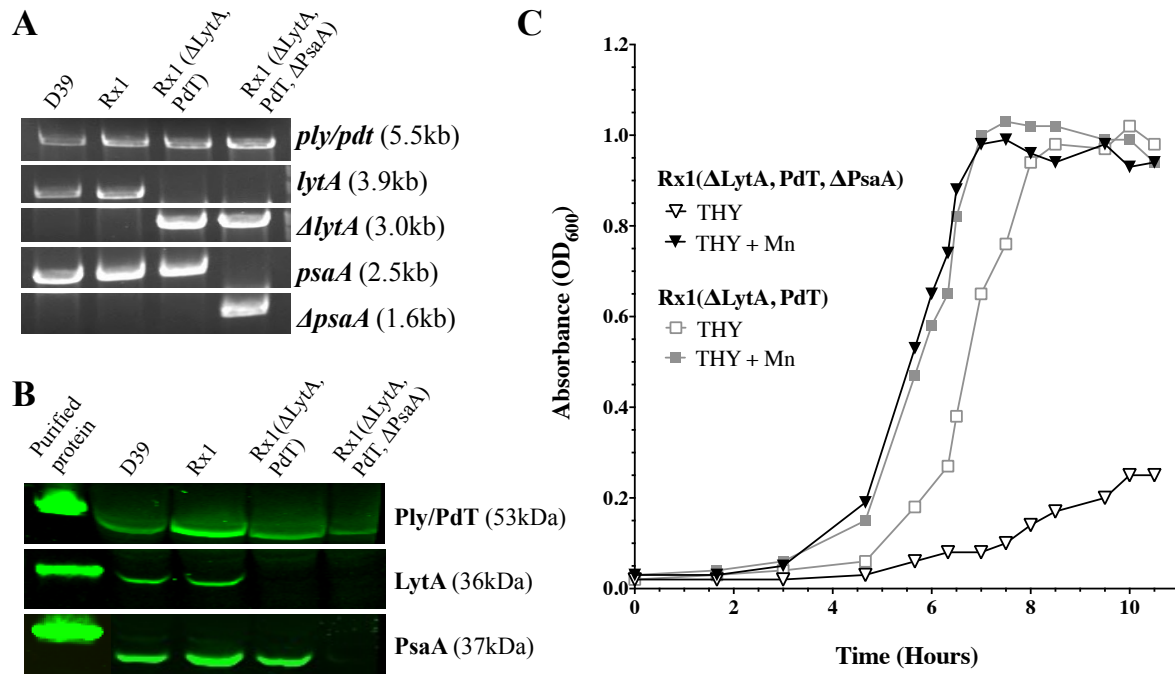
PrtA, and choline binding protein PcpA [460, 484, 485, 465], both of which are protective immunogens [466, 486]. It was therefore important to determine that vaccine immunogenicity was not negatively affected by manganese supplementation. Swiss mice were vaccinated with four different vaccine formulations ( $\gamma$ -PN or  $\gamma$ -PN( $\Delta$ PsaA) grown  $\pm$  Mn), and the potency of antibody responses in serum and saliva were determined against whole cell pneumococcal antigen. Note that the antigen used for coating of ELISA plates was the original PN strain grown in plain THY, hence all surface antigens were theoretically available for binding (no suppression of proteins by PsaR, nor loss of PsaA expression). My data shows no detectable changes in the overall titres of IgG and IgA in sera and saliva between the four vaccine preparations (**Fig. 3.6**). This indicates that antigenic proteins against which humoral responses are induced are not decreased by alteration of manganese levels, nor by loss of PsaA expression. In fact, data presented in **Figure 3.7** indicates that the  $\Delta$ PsaA mutation actually enhances reactivity of vaccine-induced antibodies to pneumococcal antigens. Whilst these antigens were actually present in lysates of all four vaccine preparations, genetic modification of the vaccine may have up- or down-regulated certain proteins, thus shifting the profile of dominant antigens. Alternatively, there may be some differences in the recognition of  $\gamma$ -PN( $\Delta$ PsaA) by the immune system may differ, e.g. due to modulated innate signalling and/or different cytokine profiles.

In addition to diversified antibody reactivity, the modified version of the vaccine retained the ability to induce serotype-independent protection against lethal pneumococcal challenges (**Fig 3.2** and **Fig. 3.9**). In fact,  $\gamma$ -PN( $\Delta$ PsaA) offered significantly better protection than the original  $\gamma$ -PN against challenge with the heterologous serotype 6A (P9 strain). This may be due to the increased range of antigens that  $\gamma$ -PN( $\Delta$ PsaA)-induced antibodies are able to recognize, leading to an enhancement of opsonophagocytosis, complement deposition, and pneumococcal clearance. It is worth noting that  $\gamma$ -PN has been shown to be highly protective against both vaccine and non-vaccine serotypes in prior studies [235, 403]. The challenge dose utilised here was substantially increased compared to that used previously to maximise any detectable differences in vaccine performance. Additionally, the change in mouse strain from C57BL/6 to Swiss mice is likely to be a contributing factor to the observed disparities in protection rates. This

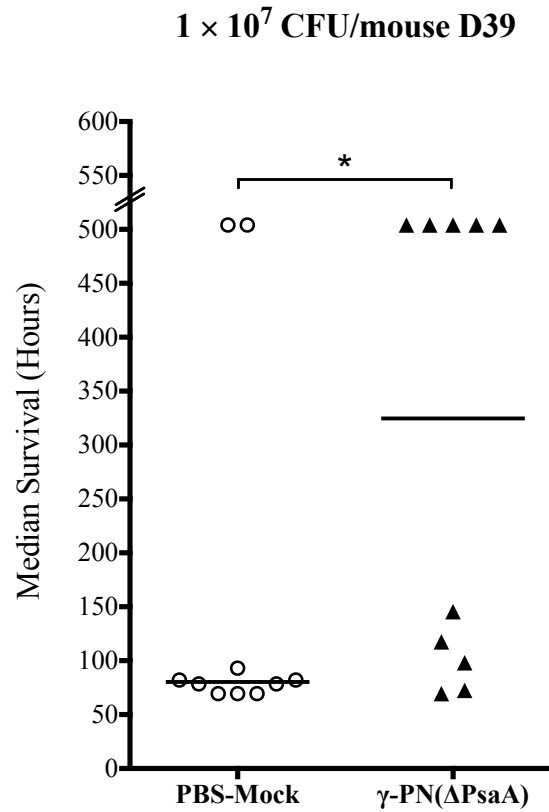
mouse model was selected to provide a better representation of potential vaccine performance in a highly variable human population.

The increase in survival of  $\gamma$ -PN( $\Delta$ PsaA) vaccinated mice was of particular interest, and may be partially explained by differences in innate immune responses induced by each vaccine formulation. Prior study revealed that  $\gamma$ -PN-mediated protection is largely dependent on innate-like pulmonary  $\gamma\delta$ T<sub>17</sub> responses [235]. Unlike  $\alpha\beta$  T-cells,  $\gamma\delta$  T-cells can recognise a broad range of stress antigens independently of classical MHC molecules [487, 488], and production of IL-17A and other inflammatory cytokines by these cells rapidly orchestrates rapid neutrophil responses that are vital for mucosal defence [489]. Interestingly, both murine and bovine  $\gamma\delta$  cells are able to directly respond to TLR2 ligands, leading to increased proliferation and cytokine production in a TCR-independent manner. Cross-talk of TCR- and TLR2 signalling is also reported to further enhance effector function of human  $\gamma\delta$  T-cells [490-492], and indirect stimulatory effects of IFN- $\gamma$  on murine  $\gamma\delta$  T-cells via TLR2-ligand activated DCs have been previously described [493]. Given that  $\gamma$ -PN( $\Delta$ PsaA) was able to induce elevated TLR2 signalling *in vitro* (**Fig. 3.10**), it is likely that  $\gamma\delta$  T-cell responses would be enhanced *in vivo*. This could contribute substantially to the heightened protection observed against challenge with non-vaccine serotypes. Modulated innate signalling may also explain the increased reactivity of humoral immune responses. Innate signalling and innate-like cell subsets induced *in vivo* by each vaccine will be the focus of thorough investigation in future studies. This aspect of vaccine-induced immunity could not be investigated further in the current study due to time constraints.

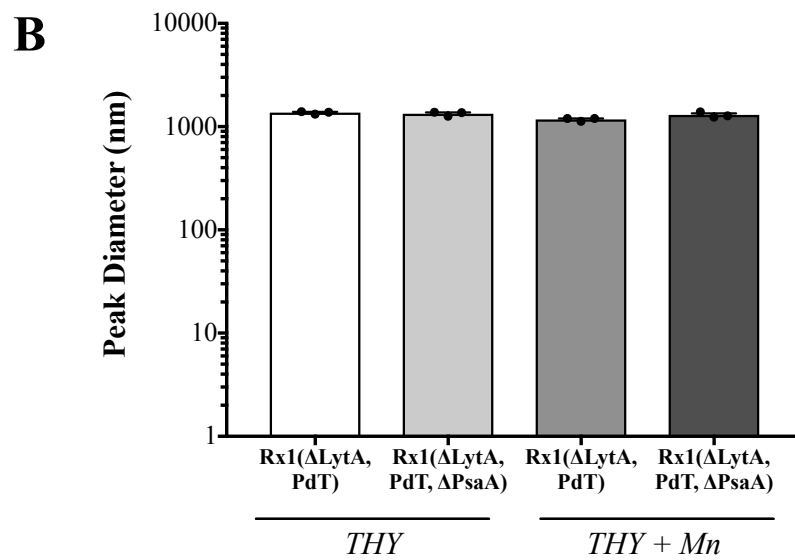
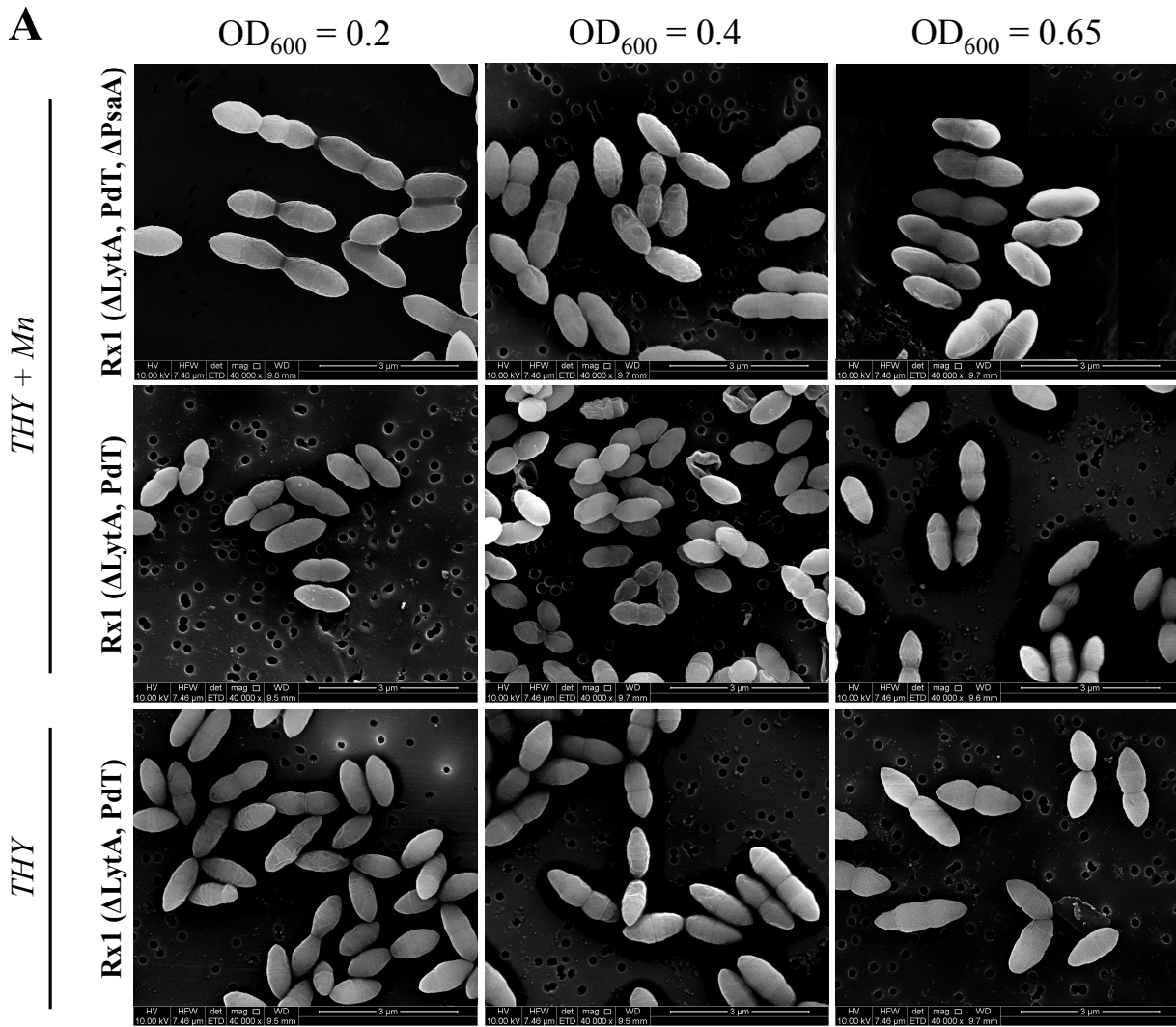




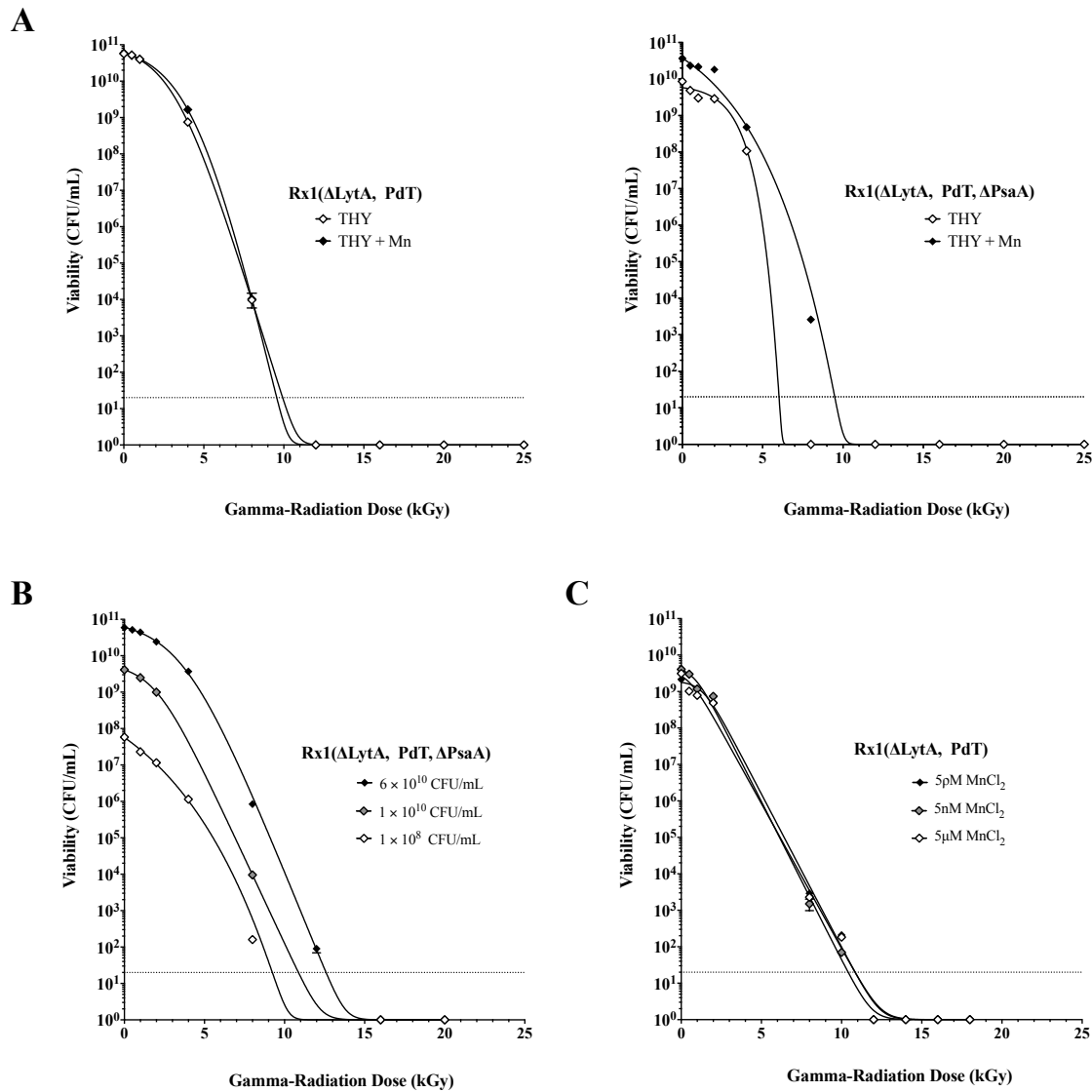
**Figure 3.1. Genetic manipulation of pneumococcal vaccine strain Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) successfully attenuates growth.** (A) Progression of genetic manipulation of the vaccine strains Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) shown by PCR. (B) Western Blot showing lack of LytA and PsaA protein expression in attenuated strains. (C) *In vitro* growth curves for Rx1( $\Delta$ LytA, PdT) (squares) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) (triangles) cultures in plain THY media or THY supplemented with 400  $\mu$ M MnCl<sub>2</sub> (THY + Mn).



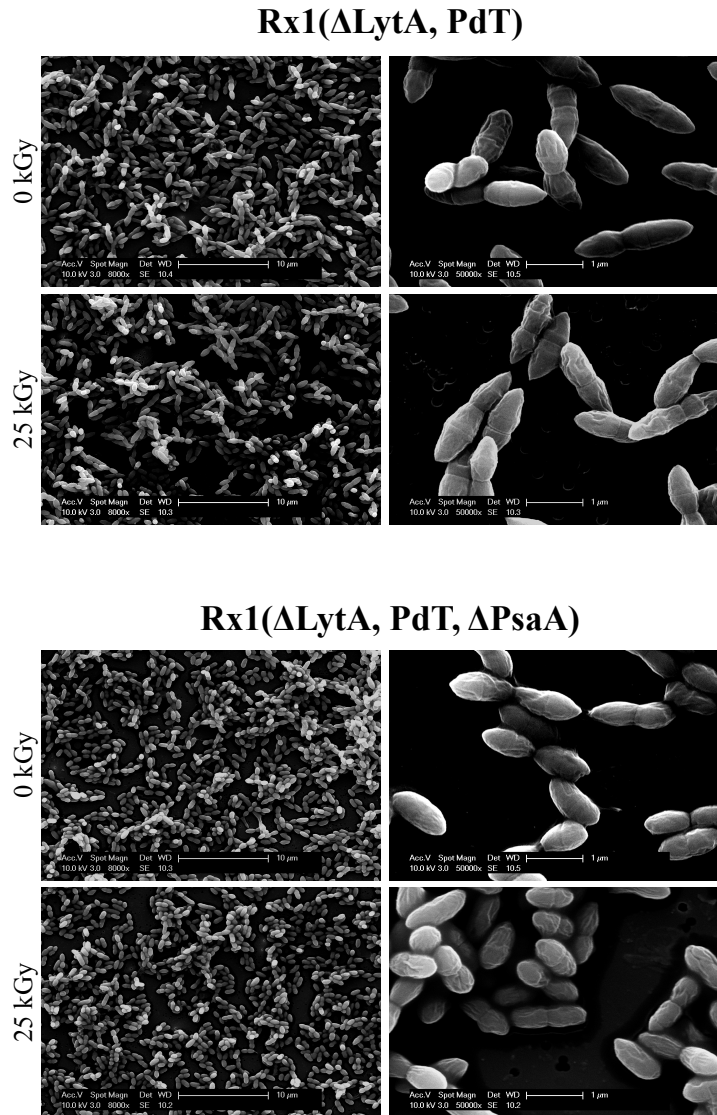
**Figure 3.2. Protection against lethal D39 challenge conferred by IN vaccination with  $\gamma$ -PN( $\Delta$ PsaA).** Swiss mice were IN vaccinated twice, two weeks apart with 21.25  $\mu$ g total protein/dose/mouse ( $\sim 10^8$  CFU equivalent/mouse) of  $\gamma$ -PN( $\Delta$ PsaA). Control mice received PBS-mock vaccinations. Two weeks post-2<sup>nd</sup> vaccination, mice challenged IN with  $10^7$  CFU/mouse of D39 (vaccine serotype 2). All mice were monitored daily for 3 weeks for development of clinical symptoms and overall survival. Data are presented as median survival time (n = 10), analysed by Mann-Whitney test (\*,  $P < 0.05$ ).



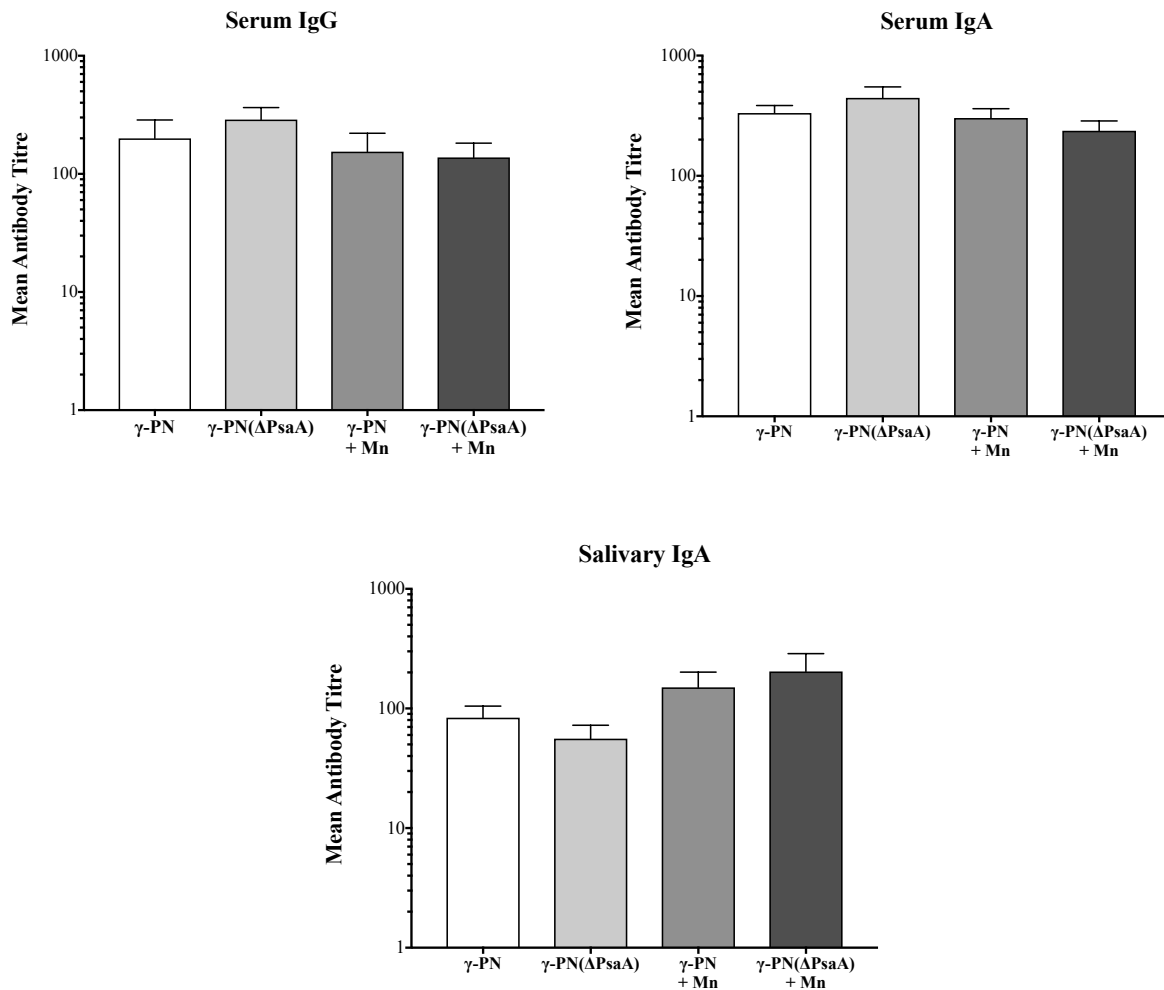
**Figure 3.3. Manganese supplementation of pneumococcal vaccine strains does not alter cell morphology or aggregation.** (A) Representative scanning electron microscopy images of Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) vaccine strains. Both strains were grown to  $OD_{600} = 0.2, 0.4,$  and  $0.65$  in THY or THY supplemented with  $400 \mu\text{M}$   $\text{MnCl}_2$  (THY + Mn). Samples were stained with 2% Osmium tetroxide ( $\text{OsO}_4$ ) prior to progressive dehydration and imaging with the Quanta 450 Scanning Electron Microscope.  $40,000\times$  magnification was used for all images, scale bar =  $3 \mu\text{m}$ . (B) Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) vaccine strains were each grown to  $OD_{600} = 0.65$  in THY and THY + Mn. Dynamic light scattering (DLS) was then used to assess the peak diameter of pneumococcal cells within solution following a single freeze-thaw cycle. Samples were read in triplicate, data indicates mean diameter  $\pm$  SEM.



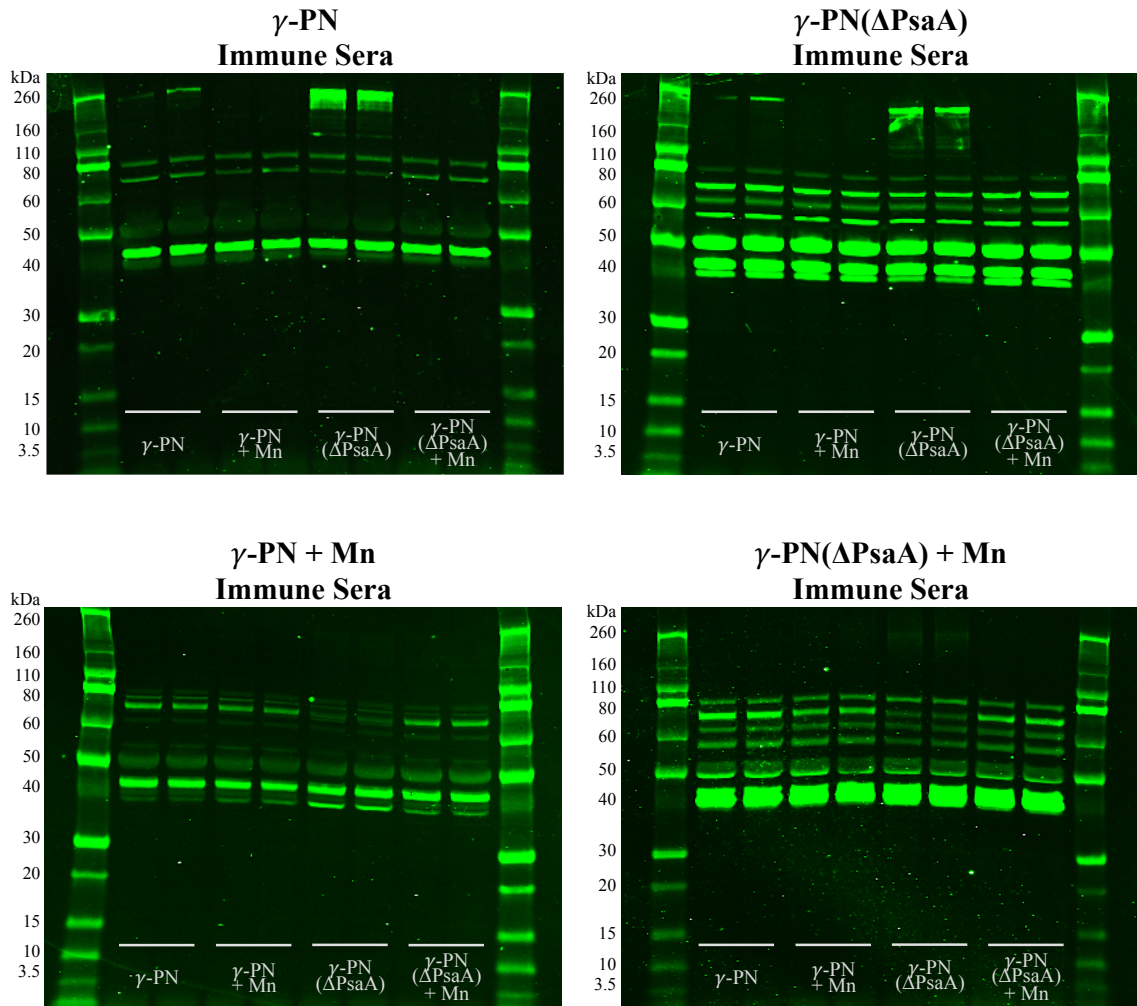
**Figure 3.4. Irradiation of Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) vaccine strains.** (A) Strain were grown to  $OD_{600} = 0.65$  in THY or THY + Mn, then exposed to increasing doses of gamma irradiation whilst frozen on dry ice. (B) Strain Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) was grown to  $OD_{600} = 0.65$  in THY + Mn, then diluted to  $6 \times 10^{10}$ ,  $1 \times 10^{10}$ , or  $1 \times 10^8$  CFU/ml prior to irradiation. (C) Strain Rx1( $\Delta$ LytA, PdT) was grown to  $OD_{600} = 0.65$  in plain THY, and aliquots supplemented with external  $MnCl_2$  after growth to a final concentration of 5pM, 5nM, or 5 $\mu$ M prior to irradiation. CFU counts used to determine starting titre in non-irradiated (0 kGy) controls, and remaining viable titre in irradiated samples, presented as mean  $\pm$  SEM ( $n = 3$ ). The asymmetric sigmoidal (5PL) non-linear regression model was used to generate curves of best fit,  $R^2 \geq 0.70$  in all cases.



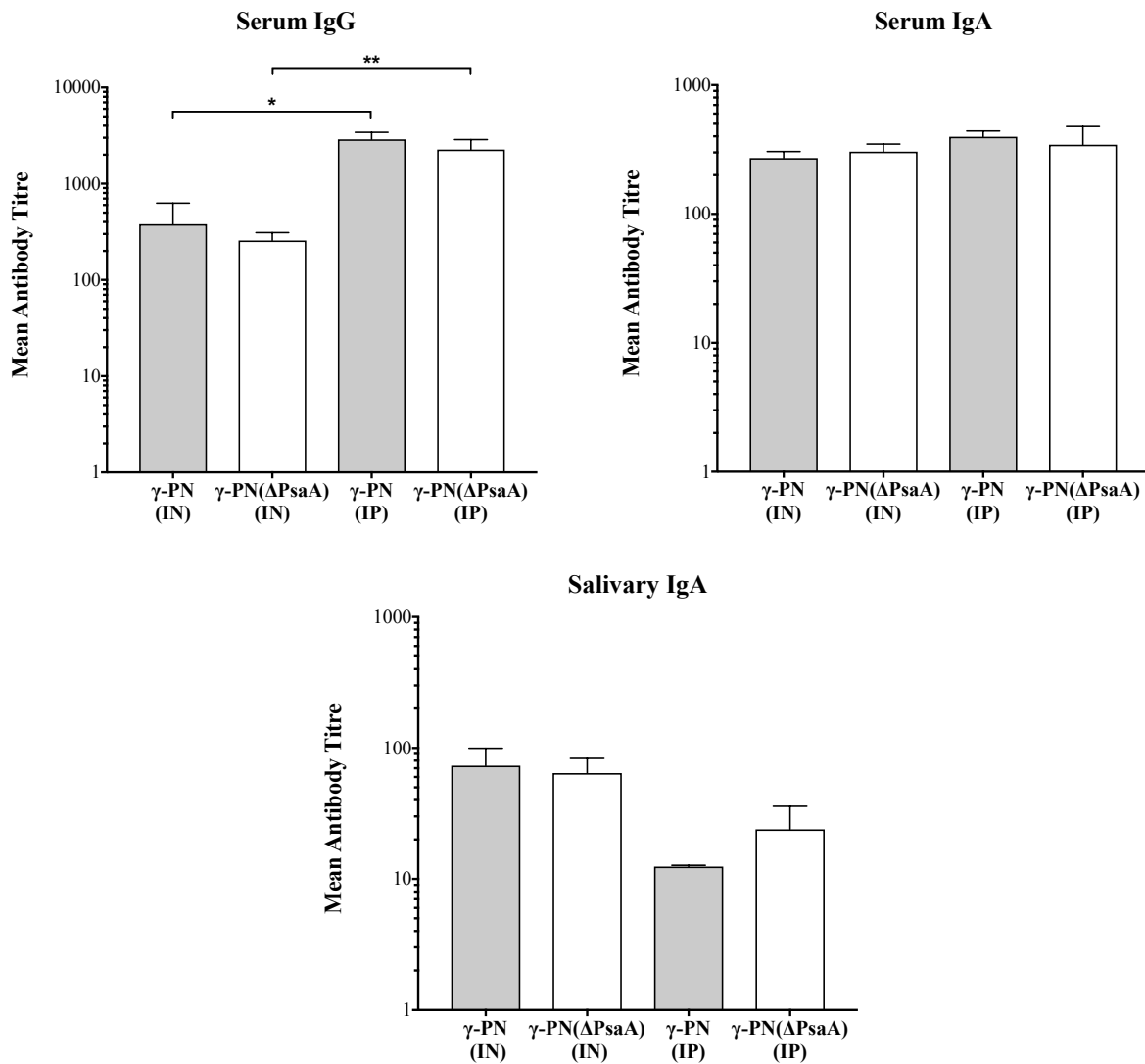
**Figure 3.5. Morphology of live and irradiated Rx1( $\Delta$ LytA, PdT) and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA).** Representative scanning electron microscopy images of pneumococcal vaccine samples, either frozen and untreated (0 kGy, live controls), or inactivated with 25 kGy of gamma-irradiation whilst frozen on dry-ice. Samples were stained with 2% Osmium tetroxide ( $\text{OsO}_4$ ) prior to progressive dehydration and imaging with the Quanta 450 Scanning Electron Microscope. All samples were viewed at 8000 $\times$  magnification (scale bar = 10  $\mu\text{m}$ ) and 50,000 $\times$  magnification (scale bar = 1  $\mu\text{m}$ ).



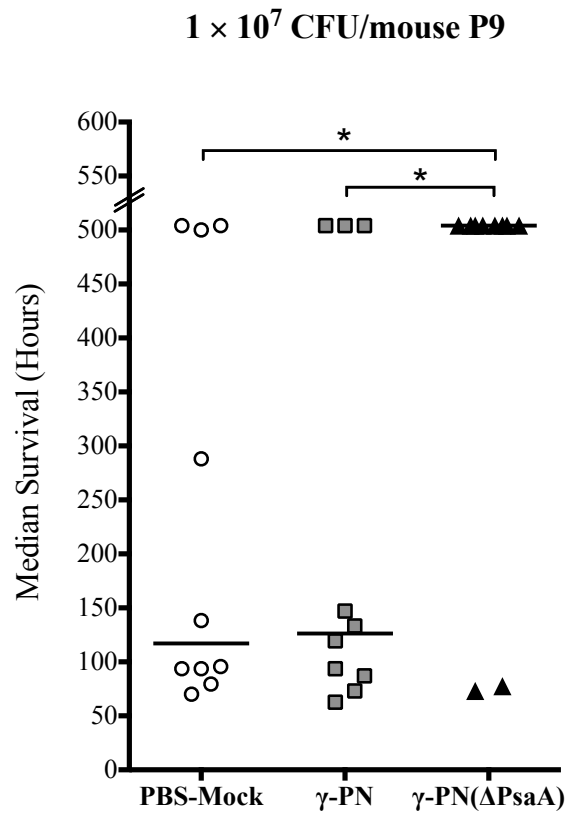
**Figure 3.6. Comparable antibody responses induced by intranasal vaccination with  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA).** Swiss mice were IN vaccinated twice two weeks apart with 21.25  $\mu$ g total protein/dose ( $\sim 10^8$  CFU equivalent) of  $\gamma$ -PN or  $\gamma$ -PN( $\Delta$ PsaA) grown in THY or THY + Mn. Control mice received PBS-mock vaccinations. Serum and saliva samples were harvested on day 7 post-2<sup>nd</sup>-vaccination, and analysed for *S. pneumoniae*-specific total IgA and IgG by ELISA. Absorbance readings of samples from control mice were used to calculate relative titres. Data are presented as mean  $\pm$  SEM (n = 5), and analysed by One-way ANOVA (no significant differences determined).



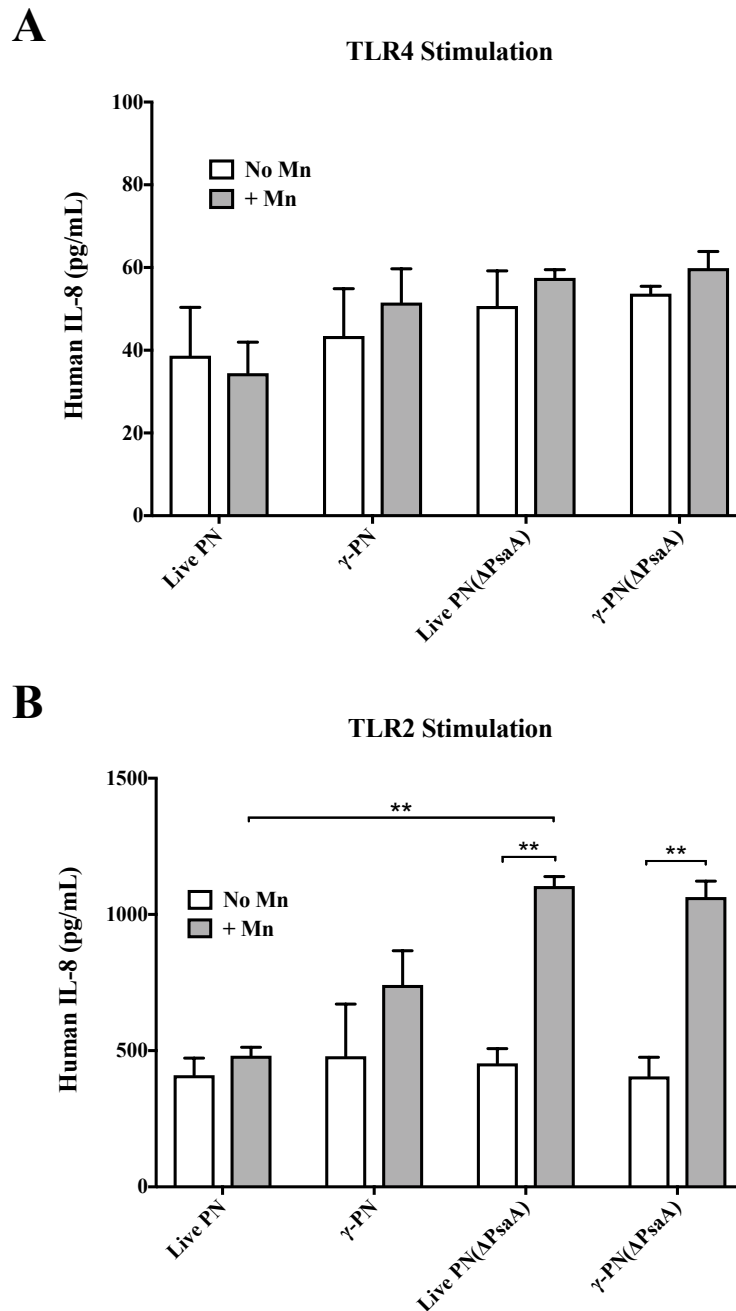
**Figure 3.7. Increased reactivity of immune sera from  $\gamma$ -PN( $\Delta$ PsaA)-vaccinated mice against whole cell vaccine lysates.**  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) vaccines (grown in THY and THY + Mn) lysed by sonication, and 20  $\mu$ g total protein loaded per well in duplicate for SDS-PAGE. Separated proteins were transferred to nitrocellulose membranes and probed with immune sera from Swiss mice vaccinated with one of the four vaccine preparations, as indicated. Bound IgG was detected using IRDye 800CW goat anti-mouse, and fluorescence was visualised using the Odyssey imaging system. Novex Sharp Pre-Stained Protein Standard was run on all gels for size comparison.



**Figure 3.8. Comparable antibody titres are induced by  $\gamma$ -PN and  $\gamma$ -PN( $\Delta$ PsaA) independently of inoculation route.** Swiss mice were IN vaccinated twice two weeks apart with 21.25  $\mu$ g total protein/dose ( $\sim 10^8$  CFU equivalent) of  $\gamma$ -PN (grown in THY) or  $\gamma$ -PN( $\Delta$ PsaA) (grown in THY + Mn). This antigen dose was suspended in a volume of 30  $\mu$ l for IN vaccinations, and a volume of 100  $\mu$ l for IP vaccinations. Control mice received PBS-mock vaccinations. Serum and saliva samples were harvested on day 7 post-2<sup>nd</sup>-vaccination, and analysed for *S. pneumoniae*-specific IgG and IgA using ELISA. Absorbance readings of samples from control mice were used to calculate relative titres. Data are representative of two independent experiments. Data are presented as mean  $\pm$  SEM (n = 5), and analysed by One-way ANOVA (\*,  $P < 0.05$ , \*\*,  $P < 0.01$ ).



**Figure 3.9. Protection against lethal P9 challenge conferred by IN vaccination with  $\gamma$ -PN( $\Delta$ PsaA).** Swiss mice were IN vaccinated twice two weeks apart with 21.25  $\mu$ g total protein/dose/mouse ( $\sim 10^8$  CFU equivalent/mouse) of  $\gamma$ -PN (grown in plain THY) or  $\gamma$ -PN( $\Delta$ PsaA) (grown in THY + Mn). Control mice received PBS-mock vaccinations. Two weeks post-2<sup>nd</sup> vaccination, mice challenged IN with  $10^7$  CFU/mouse of P9 (non-vaccine serotype 6A). All mice monitored for 3 weeks for clinical symptoms and overall survival. Data presented as median survival time (n = 10), analysed by Mann-Whitney test (\*,  $P < 0.05$ ).



**Figure 3.10.  $\gamma$ -PN( $\Delta$ PsaA) grown in Mn-supplemented THY is associated with enhanced TLR2 signalling *in vitro*.** Live and 16 kGy-irradiated samples of PN and PN( $\Delta$ PsaA) vaccines (grown in THY or THY + Mn), were added to HEK-293 cells stably expressing (A) human TLR4, or (B) human TLR2. All antigens were added to cells at 10  $\mu$ g/ml. Human IL-8 (pg/ml) in culture SN after incubation with antigens was determined by ELISA. Data is representative of three independent experiments. Data presented as mean IL-8 pg/ml  $\pm$  SEM (n = 4), and analysed by Two-way ANOVA (\*\*,  $P < 0.01$ ).

# CHAPTER 4

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Co-administration of  $\gamma$ -Flu and  $\gamma$ -  
PN( $\Delta$ PsaA) vaccines augments IAV-  
specific immunity



#### 4.1. INTRODUCTION

The upper respiratory tract is continuously exposed to a vast array of potentially pathogenic viruses and bacteria. The synergy between respiratory pathogens influenza A virus (IAV) and *Streptococcus pneumoniae* (the pneumococcus) is well documented in this setting [175, 494-496]. This particular combination of infections leads to exacerbated disease symptoms and high mortality, and is particularly prevalent during IAV pandemics [175, 264-269]. For example, the vast majority of lung culture samples taken during the 1918 Spanish Flu were positive for secondary bacteria, with *S. pneumoniae* being the most common bacterium isolated. As a result, *S. pneumoniae* is estimated to have contributed to over 50% of recorded deaths over the course of the pandemic [497]. Similar findings were reported with the more recent 2009 H1N1 pandemic, with 30% of fatal cases being attributed to combined IAV and *S. pneumoniae* infection [498].

Despite vaccines being available for both IAV and *S. pneumoniae*, co-infection with both pathogens continues to be associated with high morbidity and mortality. To address this, studies have investigated the possibility of simultaneously administering existing IAV and pneumococcal vaccines. Whilst successful at reducing disease incidence (particularly in the elderly population [294, 295, 300, 296-299]), this strategy does not offer broad-spectrum protection. In fact, irrespective of co-infection, existing IAV and pneumococcal vaccines have a limited degree of coverage against each individual pathogen. Inactivated IAV vaccines only induce strain-specific neutralizing antibody responses, and their efficacy against emerging seasonal and pandemic strains is limited. Live attenuated IAV vaccines can induce cross-protective T-cell responses, however reversion to wild-type and possible recombination with circulating annual strains represent major safety concerns. Their use is also restricted to immunocompetent healthy individuals [499], who are usually at the lowest risk of severe IAV disease and secondary complications. Similarly, *S. pneumoniae* vaccines are limited, as they currently cover only 23 of 98 immunologically distinct pneumococcal serotypes. Again, protection against emerging serotypes is limited, and the ongoing use of current vaccines drives serotype replacement.

A combination of broad-spectrum vaccines against both respiratory pathogens is an appealing possibility to overcome existing coverage issues and minimise the risk of co-

infection. We have previously published an approach to meet this need, with novel vaccines comprised of whole-inactivated IAV and whole-inactivated pneumococci. Mucosal co-administration of these vaccines provides significant protection against single challenges with IAV and pneumococci, as well as against lethal co-infection [403]. Additionally, co-administration of these vaccines resulted in superior induction of pneumococcal-specific responses in mice when compared to administration of whole-inactivated pneumococci alone, suggesting adjuvant activity of the IAV vaccine [403]. In the current study, we investigated whether the inactivated pneumococcal vaccine could similarly enhance IAV-specific responses. Data illustrates enhancement of IAV-specific T-cell immunity and protection against challenge following co-administration of our whole inactivated vaccines, indicating that our novel co-vaccination approach enables bi-directional adjuvant activity. Furthermore, data suggests this enhancement appears to be associated with to direct interaction between the whole IAV and pneumococcal vaccine components, which augments antigen uptake and immune stimulation.

## 4.2. MATERIALS AND METHODS

### 4.2.1. Ethics statement

This study was conducted in strict accordance with Australian Code of Practice for Care and Use of Animals for Scientific Purposes (7th edition [2004], 8th edition [2013]) and South Australian Animal Welfare Act 1985. Experimental protocols approved by Animal Ethics Committee at The University of Adelaide (S/2016/183 & S/2018/013).

### 4.2.2. Bacterial and viral vaccine stocks

Influenza A viruses [A/Puerto Rico/8/34 (H1N1) (A/PR8)] and A/PR8-OVA were grown in the allantoic cavity of 10-day-old embryonated chicken eggs. A/PR8-OVA is engineered to express the ovalbumin peptide SIINFEKL (original stock was a gift from Professor Stephen Turner, Monash University). Eggs were injected with  $10^3$  TCID<sub>50</sub> of virus, incubated for 48 h at 37°C, and chilled at 4°C overnight. Allantoic fluid was harvested, pooled and stored at -80°C. Virus stocks were then concentrated using chicken red blood cells (cRBCs), as previously described [423]. Briefly, allantoic fluid was incubated with cRBCs for 45 min at 4°C to allow binding of viral HA to erythrocytes,

then centrifuged for 10 min at 300 g (at 4°C), and allantoic supernatant was removed. Pellets were resuspended in 0.85% saline, incubated for 1 h at 37°C to release virus from cRBCs, then centrifuged to separate erythrocytes from virus-containing supernatant. Concentrated stocks were titrated in Madin-Darby Canine Kidney (MDCK) cells using TCID<sub>50</sub> assay [422] and virus titres were estimated to be  $2 \times 10^8$  TCID<sub>50</sub>/mL for A/PR8, and  $6 \times 10^6$  TCID<sub>50</sub>/mL for A/PR8-OVA.

The *S. pneumoniae* vaccine strain Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) was generated as per Section 3.2.2, and grown in THY + 400  $\mu$ M MnCl<sub>2</sub> at 37°C + 5% CO<sub>2</sub> to OD<sub>600</sub> = 0.65. Cells were pelleted by centrifugation at 8,000 rpm for 10 min at 4°C, then washed three times in PBS and resuspended in PBS + 13.33% glycerol at a density of  $\sim 10^{10}$  CFU/mL in 200  $\mu$ l aliquots.

#### 4.2.3. Generation of whole inactivated vaccines

Concentrated A/PR8, A/PR8-OVA and Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) stocks were inactivated by exposure to 50 kGy, 25 kGy, and 16 kGy respectively of gamma( $\gamma$ )-radiation from <sup>60</sup>Co irradiation facility at the Australian Nuclear Science and Technology Organisation (ANSTO). All samples were kept frozen on dry-ice during irradiation and transportation. Sterility of irradiated A/PR8 ( $\gamma$ -Flu) and irradiated A/PR8-OVA ( $\gamma$ -Flu-OVA) was confirmed by passages in embryonated chicken eggs as recommended by WHO [424]. In brief, 10-day-old embryonated eggs were inoculated with 100  $\mu$ l of inactivated virus preparation and incubated for 2 days at 37°C. The allantoic fluid (AF) of individual eggs was then harvested and used to infect new 10-day-old embryonated eggs. This process was repeated 3 times and lack of detectable hemagglutination in AF from all 3 passages indicated complete loss of viral infectivity. To determine haemagglutination, harvested AF was serially diluted in PBS using a 96-well round-bottom plate and 0.8% cRBCs in PBS were added. Plates were incubated at 4°C and haemagglutination patterns analysed 24 h later. Sterility of irradiated Rx1( $\Delta$ LytA, PdT,  $\Delta$ PsaA) ( $\gamma$ -PN( $\Delta$ PsaA)) was determined by lack of detectable CFU after plating of neat samples on blood agar plates.

#### 4.2.4. Mice & vaccinations

For challenge experiments, 6 – 7 week old female wild-type BALB/c mice were supplied by Laboratory Animal Services, University of Adelaide. Mice were first anaesthetized intraperitoneally (IP) with 10  $\mu$ l/gram body weight ketamine anaesthetic (1% xylazine, 10% ketamine in sterile H<sub>2</sub>O). Anaesthetized mice were then vaccinated intranasally (IN) with either  $\gamma$ -Flu only ( $6.4 \times 10^6$  TCID<sub>50</sub> equivalent/mouse in 32  $\mu$ l), or  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) ( $6.4 \times 10^6$  TCID<sub>50</sub> equivalent +  $10^8$  CFU equivalent  $\gamma$ -PN( $\Delta$ PsaA) in 32  $\mu$ l). This immunisation route paired with anaesthesia was chosen to allow the vaccine inoculum to enter the lung, as outlined in *Section 2.2.7*. Control animals received  $\gamma$ -PN( $\Delta$ PsaA) ( $10^8$  CFU equivalent in 32  $\mu$ l), or plain PBS (mock-vaccine). Where necessary, inactivated vaccine components were mixed and incubated on ice for ~15 min prior to immunisation. Serum was collected from all mice via submandibular bleeding on day 20 post-vaccination. On day 21 post-vaccination, animals were anaesthetised, and challenged IN with either A/PR8 (homotypic H1N1,  $1.6 \times 10^2$  TCID<sub>50</sub>/mouse), A/California/07/09 (drifted pdmH1N1,  $1.3 \times 10^5$  TCID<sub>50</sub>/mouse) or A/PortChalmers/1/73 (heterosubtypic H3N2,  $5.4 \times 10^5$  TCID<sub>50</sub>/mouse). Challenged mice were monitored for 3 weeks for development of clinical symptoms (including weight loss), and animals were humanely euthanised if they lost 20% of their starting body weight.

For analysis of influenza-specific T-cell responses, OT-I mice (a H-2K<sup>b</sup> restricted anti-OVA TCR transgenic line under a C57BL/6 background) were used for T lymphocyte isolation. Splenocytes were harvested from naive OT-I mice, and naive OT-I T-cells were subsequently isolated using the EasySep™ Mouse Naive CD8<sup>+</sup> T-cell isolation kit (Stem Cell Technologies), as per the manufacturer's instructions. Naive OT-I T-cell purity was determined by flow cytometry (CD8<sup>+</sup>Va2<sup>+</sup>CD44<sup>-</sup>CD62L<sup>+</sup>). Purity of at least 90% was required for all transfers. 7 week old female C57BL/6 mice were purchased from the Animal Resource Centre (ARC, Western Australia), and a total of  $1 \times 10^4$  naive OT-I T-cells were transferred per mouse. All cells were injected via the tail vein in 200  $\mu$ l of PBS.

24 h post OT-I transfer, C57BL/6 mice were anaesthetized as above, then vaccinated IN with either  $\gamma$ -Flu-OVA only ( $3 \times 10^5$  TCID<sub>50</sub> equivalent/mouse in 50  $\mu$ l), or  $\gamma$ -Flu-OVA

+  $\gamma$ -PN( $\Delta$ PsaA) ( $3 \times 10^5$  TCID<sub>50</sub> equivalent +  $10^8$  CFU equivalent  $\gamma$ -PN( $\Delta$ PsaA) in 50  $\mu$ l). Inactivated vaccine components were mixed and incubated on ice for ~15 min prior to immunisation. Control animals received PBS only. Blood samples were collected from all mice via submandibular bleeding on days 7 and 14 post-vaccination. Mice were then euthanised on day 21 post-vaccination by CO<sub>2</sub> inhalation, and blood, lungs, spleen, and mediastinal lymph node (mLN) were harvested for analysis by flow cytometry.

#### 4.2.5. Measurement of influenza-specific antibody responses

Serum samples harvested from BALB/c mice were assessed for A/PR8-specific IgA and IgG (including subclasses IgG1, IgG2a, IgG2b, and IgG3) by ELISA as described previously [399]. Goat anti-mouse IgA (alkaline phosphatase (AP) conjugated, 1:1000 dilution, Zymed) and goat anti-mouse IgG (horse radish peroxidase (HRP) conjugated, 1:10,000 dilution, Thermo Fisher) were used as secondary antibodies to detect IgA and total IgG, respectively. IgG subclasses were detected using HRP conjugated rabbit anti-mouse IgG1 (1:1000 dilution, Zymed #61-0120), rabbit anti-mouse IgG2a (1:1000, Zymed #61-0220), rabbit anti-mouse IgG2b (1:1000, Invitrogen #610320), and goat anti-mouse IgG3 (1:4000 dilution, Southern Biotech #1100-05). Absorbance measured at 405nm for AP-conjugated antibody, and 450/620nm for HRP-conjugated antibodies using a Biotrack II plate reader. End point titres for all samples are expressed as the reciprocal of the last dilution where the OD value was equal to or more than the cut-off value. The cut-off was calculated by adding 3-fold standard deviation (SD) to the mean (i.e. mean +  $3 \times$  SD) of the OD values of samples from PBS-mock control mice.

#### 4.2.6. In vitro neutralisation assay

96-well tissue-culture plates were seeded with  $6 \times 10^4$  MDCK cells/well. Live A/PR8 or A/Cal virus was diluted in allantoic fluid, and activated by treatment with 4  $\mu$ g/ml TPCK-trypsin (Sigma-Aldrich) for 30 min at 37°C. Serum samples from vaccinated and control BALB/c mice were pooled for each vaccine group, and heat-inactivated (HI) for 30 min at 56°C. HI sera was then serially diluted in PBS, mixed with activated IAV in a 1:1 ratio, and incubated for 1 h at 37°C to allow binding. IAV + sera mixtures were then added to MDCK monolayers at a multiplicity of infection (MOI) of 0.1, and incubated for 2 h at 37°C + 5% CO<sub>2</sub>. Monolayers were then washed with PBS to remove unbound

virus, and incubated for an additional 22 h in serum-free media. Monolayers then fixed for 15 min in ice-cold acetone/methanol (mixed in 1:1 ratio), and stained using polyclonal murine anti-A/PR8 or -A/Cal sera at 1:200 dilution (generated as previously described [425]) for 1 h at 4°C. Secondary antibody Alexa-Fluor488-conjugated goat anti-mouse IgG (H+L) (1:200 dilution, Life Technologies) was then added for 1 h at 4°C, and nuclei stained with DAPI (1  $\mu$ g/ml in miliQ) for 30 min at room temperature. Fluorescence imaging was performed using a Nikon TiE inverted fluorescence microscope, and images analysed using NIS elements software (Tokyo, Japan).

#### 4.2.7. Preparation of cell suspensions for flow cytometry

C57BL/6 mice were euthanised by CO<sub>2</sub> asphyxiation, and 0.5 ml of blood was immediately collected into 10 ml PBS via cardiac puncture. Mice were then perfused with 10 ml cold PBS through the right ventricle. Lungs were finely macerated in 1 ml pre-warmed digestion medium (DMEM (Gibco) supplemented with 5% FCS, 10 mM HEPES, 2.5 mM CaCl<sub>2</sub>, 0.2 U/ml penicillin/gentamycin, 1 mg/ml collagenase IA (Sigma-Aldrich), and 30 U/ml DNase (Sigma-Aldrich)) and incubated at 37°C for 1 h, with mixing every 20 min. Single cell suspensions were filtered through 70  $\mu$ m filters (BD). Spleen and mLN were harvested, and single cell suspensions prepared by mechanical disruption through a 70  $\mu$ m filter. All tissue samples were incubated in red cell lysis buffer (155 mM NH<sub>4</sub>Cl and 170 mM Tris-HCl (pH 7.65) combined in 9:1 ratio, and pH adjusted to 7.2) for 5 min at 37°C. Samples were thoroughly washed in PBS and kept on ice. Cell counts were determined using a haemocytometer and Trypan blue exclusion.

#### 4.2.8. Cell staining and flow cytometric analysis

Single cell suspensions were pelleted in 96-well U-bottom trays (400 rcf, 2 min) at  $2 \times 10^6$  cells/well. Cells were resuspended in Near Infrared fixable dye (1:1000 dilution in PBS, BD) for 15 min at RT in the dark. All subsequent incubations were performed at 4°C. Cells were washed twice in FACS buffer (PBS + 1% BSA + 0.04% Sodium Azide), and blocked with murine  $\gamma$ -globulin (200  $\mu$ g/ml in FACS buffer) for 10 min. Cells were stained with primary antibodies detailed in **Table 4.1** for 20 – 30 min. For intracellular cytokine staining, cells were first stimulated for 4 h with SIINFEKL peptide in restimulation medium (IMDM (Gibco) supplemented with 10% FCS,  $1 \times$

penicillin/streptomycin (Gibco), 1 $\times$  Glutamax (Gibco), 54  $\mu$ M  $\beta$ -mercaptoethanol (Sigma), 1 nM ionomycin (Life Technologies), GolgiStop (BD, 1/1500 dilution of stock) and 1  $\mu$ g/ml SIINFEKL (InVivoGen)). Stimulated cells were then washed twice in PBS before viability staining and incubation with primary antibodies against surface antigens as above. Cells were then permeabilised in BD CytoFix/CytoPerm (BD) for 20 min. Cells washed in Permwash (BD) and stained with a cocktail of antibodies against intracellular cytokines as per **Table 4.1** for 20 min. Cells were then washed in Permwash (BD), followed by a PBS + 0.04% Sodium Azide wash prior to resuspension in 1% PFA. Acquisition of all samples was performed on the BD LSRFortessa X-20 flow cytometer.

#### 4.2.8. Flow cytometry to assess interaction of vaccine components

All buffers were 0.2  $\mu$ m filter sterilised prior to use.  $\gamma$ -PN( $\Delta$ PsaA) was diluted to  $5 \times 10^8$  CFU equivalent/ml in sterile PBS. Increasing amounts of  $\gamma$ -Flu were added to diluted  $\gamma$ -PN( $\Delta$ PsaA) suspensions, ranging from  $2 \times 10^5$  –  $5 \times 10^7$  TCID<sub>50</sub> equivalent/ml. As a control,  $\gamma$ -PN( $\Delta$ PsaA) was also mixed with increasing amounts of allantoic fluid (AF) only. Mixtures were incubated for 30 min at 37°C + 5% CO<sub>2</sub>, then spun at 10,000 g for 3 min (to pellet pneumococci but not free virions), and pellets were washed in sterile PBS. Mixtures were then plated in a 96-well U-bottom tray at  $5 \times 10^7$  CFU equivalent/well, and spun at 3,750 rpm for 10 min. Pellets were then resuspended in 50  $\mu$ l polyclonal murine anti-A/PR8 sera diluted 1:200 in PBS + 1% BSA (generated as described in [425]), and incubated on ice for 45 min. Wells were then topped up to 200  $\mu$ l in PBS, and cells washed 3 $\times$  in PBS. Pellets then resuspended in 50  $\mu$ l goat anti-mouse IgG (H+L) (AlexaFluor488 conjugated, Life Technologies) diluted 1:500 in PBS + 1% BSA. Plates were incubated on ice for 45 min, and cells were washed 3 $\times$  in PBS, then resuspended in 200  $\mu$ l 2% PFA for acquisition on the Accuri flow cytometer. A minimum of 10,000 events were acquired per sample.

#### 4.2.9. Transmission Electron Microscopy

$\gamma$ -Flu-OVA and  $\gamma$ -PN( $\Delta$ PsaA) preparations were mixed in 0.2  $\mu$ m filter sterilised PBS ( $3 \times 10^5$  TCID<sub>50</sub> equivalent  $\gamma$ -Flu-OVA added to  $10^8$  CFU equivalent  $\gamma$ -PN( $\Delta$ PsaA)), and incubated for 30 min on ice. Mixtures were then washed twice in PBS by spinning at

10,000 g for 3 min. Pellets were resuspended in PBS and loaded into 3 mm formvar-amorphous carbon-coated copper grids and left for 2 min. Excess solution was removed by blotting with Whatman paper. Samples were stained with 2% Uranyl Acetate for 2 min, then blotted and left to dry at RT for 10 min before visualisation with FEI Tecnai G2 Spirit Transmission Electron Microscope (Adelaide Microscopy, University of Adelaide).

#### 4.2.10. Focus forming assay

96-well tissue-culture plates were seeded with  $6 \times 10^4$  MDCK cells/well. Live A/PR8 was diluted in allantoic fluid and activated by treatment with 4  $\mu$ g/ml TPCK-trypsin (Sigma-Aldrich) for 30 min at 37°C.  $\gamma$ -PN( $\Delta$ PsaA) was serially diluted in PBS (ranging from  $10^0$  –  $10^7$  CFU equivalent/well of inactivated pneumococci), and mixed in a 1:1 ratio with activated A/PR8. After thorough mixing, suspensions incubated at 37°C for 30 min, then added to MDCK monolayers to give either 100 FFU/well of A/PR8, or A/PR8 MOI of 0.1. Cell monolayers were incubated with the virus + pneumococci mixtures for 2 h at 37°C to allow viral adhesion. Inoculum was then removed, and monolayers washed with PBS to remove unbound virus. Monolayers were incubated for an additional 22 h in serum-free media, and then washed, fixed and permeabilised with acetone/methanol, and stained for IAV infection as per *in vitro* neutralisation assay (Section 4.2.6).

#### 4.2.11. Macrophage uptake assay

THP-1 cells (Sigma) were maintained in RPMI + 1% Penicillin/Streptomycin, 1% L-Glutamine, 10% FCS. Cells were seeded into 12-well plates at  $5 \times 10^5$  cells/well, and differentiated into macrophage-like cells with 50 ng/ml PMA for 3 days. Media was then aspirated to remove non-adherent cells and replaced with fresh media (no PMA). Cells were rested for 36 h prior to use in assays. For assessment of antigen uptake, live and irradiated A/PR8 was diluted to  $10^6$  TCID<sub>50</sub>/ml equivalent in RPMI, and mixed with  $10^7$  CFU/ml equivalent  $\gamma$ -PN( $\Delta$ PsaA) where appropriate. Suspensions were statically incubated at 37°C for 30 min, then added to washed THP-1 monolayers (1ml diluted antigen mixture per well). Monolayers were incubated with vaccine antigens for 3 h, then washed thoroughly with PBS. Cells were trypsinised for 10 min. RPMI + 10% FCS was added to neutralise trypsin, and cells were washed thoroughly in PBS prior to cell

counting and plating in 96-well trays at 40,000 cells/well. Cells were incubated with Near Infrared fixable dye (1:1000 dilution in PBS, BD), and Fc receptors were blocked with human sera (1:50 dilution in PBS + 1% BSA + 0.04% Sodium Azide). Cells were permeabilized using the BD CytoFix/CytoPerm Fixation/permeabilization Solution Kit according to the manufacturer's instructions, and stained for intracellular antigen with FITC-conjugated mouse anti-IAV nucleoprotein (ab20921, diluted 1:150 in BD PermWash) for 30 min on ice. Cells were washed, and resuspended in 1% PFA for acquisition on the BD LSRFortessa X-20 flow cytometer.

#### 4.2.12. *Statistical analysis*

Quantitative results are expressed as mean  $\pm$  SEM, to indicate the closeness of the data to the population means rather than variability within small sample size. Unpaired Student's *t*-test was used for comparison of data from two separate groups, One-way ANOVA used for comparison of data from 3 or more groups with a single variable, and two-way ANOVA was used to analyse data sets with multiple variables. Survival data was analysed using two-tailed Fisher's exact test. Statistical analyses were performed using GraphPad Prism 6, version 6.0d (GraphPad Software, La Jolla, CA, USA). *P* values  $< 0.05$  (95% confidence) were considered statistically significant.

**Table 4.1. Fluorophore conjugated anti-murine antibody panels.**

<b>Circulating Memory T-cell subsets</b>				
<i>Used for Day 7, 14 &amp; 21 blood samples, and day 21 spleen and mLN samples.</i>				
<b>Antigen</b>	<b>Fluorophore</b>	<b>Supplier</b>	<b>Clone</b>	<b>Final conc.</b>
CD8	BUV395	BD	53-6.7	0.67 $\mu$ g/ml
CD44	FITC	BD	IM7	0.67 $\mu$ g/ml
CD45.1	BV421	BD	A20	0.67 $\mu$ g/ml
CD127	PECy7	eBioscience	A7R34	0.83 $\mu$ g/ml
KLRG1	APC	eBioscience	2F1	0.83 $\mu$ g/ml
CX3CR1	PE	Biolegend	SA011F11	0.67 $\mu$ g/ml
CD27	BUV711	BD	LG.3A10	0.67 $\mu$ g/ml

<b>Intracellular Cytokine Staining</b>				
<i>Used for day 21 lung samples after 4 h ex vivo stimulation with SIINFEKL.</i>				
<b>Antigen</b>	<b>Fluorophore</b>	<b>Supplier</b>	<b>Clone</b>	<b>Final conc.</b>
CD8	BUV395	BD	53-6.7	0.67 $\mu$ g/ml
CD45.1	PE	BD	A20	0.67 $\mu$ g/ml
CD44	BV450	BD	IM7	0.67 $\mu$ g/ml
IFN- $\gamma$	PECy7	eBioscience	XMG1.2	0.67 $\mu$ g/ml
Gzm $\beta$	Alexa647	Biolegend	GB11	5 $\mu$ l/reaction
TNF- $\alpha$	FITC	eBioscience	MP6-XT22	3.33 $\mu$ g/ml
IL-17	BUV711	Biolegend	TC11.18H10.1	1.11 $\mu$ g/ml

<b>Tissue Resident Memory cells</b>				
<i>Used for day 21 lung samples.</i>				
<b>Antigen</b>	<b>Fluorophore</b>	<b>Supplier</b>	<b>Clone</b>	<b>Final conc.</b>
CD4	BUV395	BD	GK1.5	0.67 $\mu$ g/ml
CD8	BUV737	BD	53-6.7	0.67 $\mu$ g/ml
CD45.1	FITC	BD	A20	0.67 $\mu$ g/ml
CD69	PECy7	BD	H1.2F3	0.67 $\mu$ g/ml
CD103	PE	eBioscience	2E7	1.67 $\mu$ g/ml
CD11a	Biotin	BD	HL111	2.5 $\mu$ g/ml
CD44	BV450	BD	IM7	0.67 $\mu$ g/ml
Biotin	Alexa647	Biolegend	-	1.67 $\mu$ g/ml

*Live/dead stain (eFluor780 conjugate, BD, 1:1000 dilution) used for all panels.*

### 4.3. RESULTS

#### 4.3.1. Homotypic protection following intranasal co-vaccination with $\gamma$ -Flu and $\gamma$ -PN( $\Delta$ PsaA)

Prior study has demonstrated that combining  $\gamma$ -Flu with a previous version of the irradiated pneumococcal vaccine ( $\gamma$ -PN) does not affect IAV-specific homotypic protection [403]. To ensure this is maintained when  $\gamma$ -Flu is mixed with the further attenuated  $\gamma$ -PN( $\Delta$ PsaA) (generated in Chapter 3),  $\gamma$ -Flu only and  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) co-vaccinated mice were challenged IN with the homotypic vaccine strain A/PR8. As illustrated in **Figure 4.1**,  $\gamma$ -Flu alone conferred complete protection against this challenge type, with 100% survival and no measurable weight loss over the entire monitoring period. Combining  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) did not interfere with this homotypic protection, as co-vaccinated mice also showed 100% survival with no weight loss. This is in comparison to PBS-mock vaccinated control mice, which were euthanized due to excessive weight loss ( $\geq 20\%$  of starting weight) by day 6 post-challenge. Additionally, vaccination with  $\gamma$ -PN( $\Delta$ PsaA) alone did not offer any protection against IAV challenge. This indicates immune responses induced by  $\gamma$ -PN( $\Delta$ PsaA) have no cross-reactivity against live IAV. Thus, vaccination with  $\gamma$ -PN( $\Delta$ PsaA) alone was excluded from future challenge experiments to minimize animal usage.

#### 4.3.2. Significantly enhanced protection against drifted and heterosubtypic IAV challenges following co-administration of $\gamma$ -Flu and $\gamma$ -PN( $\Delta$ PsaA)

As homotypic protection was illustrated following vaccination with  $\gamma$ -Flu alone and  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA), subsequent investigation focussed on testing more diverse IAV challenge models, including drifted and heterosubtypic strains. Thus, vaccinated and control mice were challenged IN with either the pandemic H1N1 strain A/California (drifted strain), or the H3N2 strain A/PC (heterosubtypic strain). Data shown in **Figure 4.2A** illustrates vaccination with  $\gamma$ -Flu alone or  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) confers 100% protection against a drifted pdmH1N1 challenge. This is in comparison to the control group, where the majority of mice succumbed to infection by day 8 post-challenge. In contrast to the homotypic challenge however, some weight loss was also detected prior to recovery in the vaccinated groups (**Fig. 4.2B**). Both  $\gamma$ -Flu-vaccinated and co-

vaccinated mice lost approximately 10% of their starting weight prior to complete recovery. This is likely due to the drifted surface antigens presented by the recent pdmH1N1 clinical isolate, causing reduced neutralisation by vaccine-induced antibody responses. Interestingly, the mice vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) recovered faster, with significantly reduced total weight loss from day 6 onwards in comparison to mice vaccinated with  $\gamma$ -Flu alone. Co-vaccinated mice also returned to their starting weight by day 8 post-challenge, whilst  $\gamma$ -Flu-only vaccinated mice remained approximately 5% below their starting weight at this time point.

Heterosubtypic challenge of  $\gamma$ -Flu-vaccinated and co-vaccinated mice further amplified these differences in vaccine performance. It is important to note that the IAV challenge dose utilised here was intentionally higher than doses used in previous publications to maximise detectable differences. As a result,  $\gamma$ -Flu alone conferred only 60% protection against heterosubtypic challenge (**Fig. 4.3A**). In contrast, the co-administration of  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) continued to confer 100% protection, and the weight loss of co-vaccinated mice was again significantly reduced in comparison to mice vaccinated with  $\gamma$ -Flu alone (**Fig. 4.3B**). Co-vaccinated mice also began to recover weight a mere 4 days post-challenge, indicating the induction of robust immune responses for effective control of the severe IAV challenge.

#### *4.3.3. The impact of co-vaccination on IAV-specific humoral responses*

Different arms of immunity were subsequently investigated for potential mechanisms underlying the enhanced protection observed following  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) co-administration. Initially, humoral responses were analysed using serum samples harvested from  $\gamma$ -Flu-vaccinated and co-vaccinated mice in *Section 4.4.1* (prior to challenge with A/PR8). As shown in **Figure 4.4**, co-administration of  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) was associated with reduced overall titres of IAV-specific IgG and IgA. The loss of total IgG titre was specifically due to decreases in the subclasses IgG1, IgG2a, and IgG3, whilst IgG2b titres appeared to be unaffected by co-administration of  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) (**Fig. 4.5**). Also, mice vaccinated with  $\gamma$ -PN( $\Delta$ PsaA) alone showed no detectable IAV-specific antibodies above background levels. This drop in antibody titre

for co-vaccinated animals was unexpected, as homotypic protection – known to be primarily antibody mediated – was entirely maintained for  $\gamma$ -Flu-vaccinated and co-vaccinated groups. Thus, *in vitro* neutralisation assays were conducted to assess the functionality of vaccine-induced antibody responses. Sera samples from  $\gamma$ -Flu-vaccinated and co-vaccinated mice, or PBS-mock controls were incubated with a fixed titre of live A/PR8 virus prior to infection of MDCK monolayers. As shown in **Figure 4.6A**, sera from  $\gamma$ -Flu-vaccinated and co-vaccinated mice were equivalent in their ability to neutralise A/PR8 infection, despite the overall reduced titres in serum from co-vaccinated animals. Complete neutralisation of A/PR8 infection was observed at the lower sera dilutions (1:10), and infection began to return as immune sera was titrated out (1:160 onwards). At the 1:160 dilution, FITC fluorescence, which indicates A/PR8 infection, was quantified to enable statistical analysis. Significant reduction in FITC fluorescence was observed following treatment of live A/PR8 with sera from  $\gamma$ -Flu-vaccinated and co-vaccinated mice (**Fig. 4.6B**). This is in comparison to control sera from PBS-mock vaccinated mice. It is important to note that PBS-mock sera had no impact on viral infectivity, as illustrated by the representative images and quantified FITC fluorescence showing IAV infection levels comparable to the virus only control. Hence, neutralisation of infection seen with the immune sera groups was IAV-specific, rather than a non-specific consequence of incubation with murine sera. Importantly, there was no detectable difference in FITC fluorescence between the two vaccine sera groups, indicating equivalent neutralisation efficacy.

Efficacy of immune sera to mediate cross-neutralisation of live IAV was subsequently investigated. Sera harvested from vaccinated and control groups was incubated with a fixed titre of drifted pdmH1N1 prior to infection of MDCK monolayers. Interestingly, fluorescent imaging of monolayers showed that neither immune sera nor control sera had any neutralising activity against this drifted IAV strain. Representative images show equivalent levels of infection across all sera groups at all tested dilutions in comparison to the virus only control (**Fig. 4.7A**), and quantification of FITC fluorescence confirmed the absence of neutralising activity (**Fig. 4.7B**). Lack of cross-neutralisation of drifted H1N1 was expected, as constant antigenic drift is known to allow IAV to escape vaccine-induced humoral immunity [113]. Thus, the minimised clinical symptoms of co-

vaccinated mice after pdmH1N1 challenge is likely due to augmented T-cell immunity, rather than antibody responses.

#### 4.3.4. Minimal impact of co-vaccination on circulating IAV-specific T-cell responses

CD8<sup>+</sup> T cell responses are crucial for cross-protection against influenza A infection. To explore whether co-administration altered cell-mediated immune mechanisms, the OT-I system was utilised. OT-I cells were adoptively transferred to naïve C57BL/6 mice, followed by intranasal vaccination with irradiated A/PR8-OVA ( $\gamma$ -Flu-OVA) alone, or  $\gamma$ -Flu-OVA co-administered with  $\gamma$ -PN( $\Delta$ PsaA). Subsequent harvesting and analysis of OT-I cells would then allow examination of IAV-specific T-cell responses despite simultaneous administration of non-IAV vaccine components. Initially, peripheral blood was harvested from vaccinated and control mice on days 7, 14 and 21 post-vaccination, as well as the spleen and mLN on Day 21. Significantly enhanced frequencies of activated OT-I cells (CD8<sup>+</sup>CD45.1<sup>+</sup>CD44<sup>hi</sup>) were detected in these tissues for mice receiving  $\gamma$ -Flu-OVA alone and  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA) in comparison to PBS-mock controls. This indicates robust induction of IAV-specific cell-mediated responses after a single non-adjuvanted intranasal vaccination. Furthermore, IAV-specific responses circulating in peripheral blood at days 7 and 14 post-vaccination were comparable between  $\gamma$ -Flu-OVA-vaccinated and co-vaccinated groups (**Fig. 4.8A**). A slight decrease in the frequency of activated OT-I cells in the blood of co-vaccinated mice was detected on day 7 in comparison to those receiving  $\gamma$ -Flu-OVA alone, however this did not reach statistical significance, and the difference was no longer present by day 14. The peak frequency of activated cells in peripheral blood was detected on day 7 post-vaccination, corresponding to the expansion phase of the primary T-cell response. Frequency was substantially less by day 14, and no OT-I cells at all were detected by day 21 in any group, consistent with contraction of the T-cell population.

The magnitude of IAV-specific T-cell responses were also comparable in the mLN for  $\gamma$ -Flu-OVA-vaccinated and co-vaccinated groups. The frequency of activated OT-I cells for both vaccine groups were significantly elevated in this tissue above PBS-mock control levels (**Fig. 4.8B**). Total cell number was also substantially increased for both vaccine groups, however the difference between  $\gamma$ -Flu-OVA alone and PBS-mock controls did

not quite statistical significance ( $P = 0.0735$  by One-Way ANOVA). In the spleen, both the frequency and the total number of activated OT-I cells were again significantly elevated above controls for each vaccine group. However, co-administration of  $\gamma$ -Flu-OVA with  $\gamma$ -PN( $\Delta$ PsaA) appeared to reduce the frequency and total number of activated OT-I cells in comparison to mice receiving  $\gamma$ -Flu-OVA alone (**Fig. 4.8C**). Despite this, profiles for the memory T-cell subsets central memory ( $T_{CM}$ ), peripheral memory ( $T_{PM}$ ), and effector memory ( $T_{EM}$ ) present in the spleen were comparable between both vaccine groups (**Fig. 4.9B**). Similar profiles for these T-cell subsets were also observed in the mLN at day 21, and in peripheral blood samples at days 7 and 14 (**Fig. 4.9A**). A small but significant decrease in the frequency of  $T_{EM}$  cells was detected in the blood of co-vaccinated mice at day 14 relative to those receiving  $\gamma$ -Flu-OVA alone. This trend was also apparent in the spleen on day 21, though did not reach statistical significance.

#### *4.3.5. Enhanced population of IAV-specific $CD8^+$ T-cells in the lungs of co-vaccinated mice*

Given that circulating memory T-cell responses in blood and secondary lymphoid organs were not overly affected by co-vaccination, the elevated protection against drifted and heterosubtypic IAV challenge may be related to lung-specific responses. To address this, OT-I cells were transferred IV to mice, followed by a single intranasal vaccination 24 h later. Lungs were then harvested and processed for flow cytometry analysis on day 21 post-vaccination. In this tissue, a significant increase in the total number of activated OT-I cells was detected for co-vaccinated mice compared to those receiving  $\gamma$ -Flu-OVA alone (**Fig. 4.10A**). Thus, whilst circulating T-cell responses were relatively unaltered, the magnitude of response at the site of pathogen encounter was markedly greater following co-vaccination. Lung suspensions were also stimulated *ex vivo* with the OVA peptide SIINFEKL to assess functionality and cytokine production of activated OT-I cells. As shown in **Figure 4.10B**, OT-I cells from  $\gamma$ -Flu-OVA-vaccinated and co-vaccinated mice were equivalent in terms of their ability to produce inflammatory cytokines IL-17, Gzm $\beta$ , TNF- $\alpha$ , and IFN- $\gamma$  in response to stimulation with cognate antigen. The co-vaccinated group tended to have slightly lower frequencies of cells producing these cytokines, however no statistical differences were detected. Furthermore, the mean fluorescence intensity (MFI) for each of these cytokines was comparable between vaccine groups (**Fig.**

**4.10C).** Thus, activated T-cells from  $\gamma$ -Flu-OVA-vaccinated and co-vaccinated mice appear functionally equivalent, with the co-administration of  $\gamma$ -Flu-OVA and  $\gamma$ -PN( $\Delta$ PsaA) resulting in a larger bulk population of OT-I cells residing in the lung.

#### *4.3.6. Lung Tissue Resident Memory cells ( $T_{RM}$ ) are enhanced by co-vaccination*

In addition to the circulating memory  $CD8^+$  T-cell subsets mentioned in *Section 4.3.4*, studies have identified a highly specialized subset of tissue-resident memory cells ( $T_{RM}$ ), which are retained for extended periods of time in nonlymphoid tissues including the skin, gut, and lung [500-503]. As  $T_{RM}$  are permanently positioned at sites of pathogen entry, they are superior to circulating memory T-cells for provision of rapid protection against a variety of infections. Given the enhanced number of activated OT-I cells detected in the lungs of co-vaccinated mice, it was of interest to determine whether these cells also displayed a  $T_{RM}$  phenotype. Following IV transfer of OT-I cells and IN vaccination with  $\gamma$ -Flu-OVA or  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA), lungs were harvested and processed for analysis of  $T_{RM}$  populations by flow cytometry. Both transferred  $CD45.1^+$  OT-I cells and endogenous  $CD45.1^-$  T-cells were classified based on their surface expression of established  $T_{RM}$  markers CD69 and CD103 [504]. Gating on these key markers is shown by representative plots in **Figure 4.11A**.

Remarkably, quantification of OT-I-derived  $T_{RM}$  demonstrated a significant enhancement of this cell population in the lungs of co-vaccinated mice in comparison to those vaccinated with  $\gamma$ -Flu-OVA alone (**Fig. 4.11B**). Note that no OT-I cells were detected at day 21 post-vaccination in the lungs of PBS-mock control mice, hence no comparison to this group was possible. Given the enhancement in the total number of OT-I cells in the lungs of co-vaccinated animals (**Fig. 4.10A**), it was expected that there would be a similar increase in total cell number for individual OT-I cell subsets. The frequency of OT-I  $T_{RM}$  cells was also significantly elevated in co-vaccinated mice, hence data indicates that on a single cell basis,  $CD8^+$  T-cells are more likely to convert to a  $T_{RM}$  phenotype in the lung microenvironment of co-vaccinated animals.

Enhancement of total  $T_{RM}$  populations was also observed for endogenous  $CD8^+$  and  $CD4^+$  T-cells following co-vaccination. In both instances, the  $T_{RM}$  populations were

significantly larger in co-vaccinated mice compared to those seen in PBS-mock controls (**Fig. 4.11C**). Importantly, there was an obvious trend for the co-vaccinated mice to have a larger population of  $T_{RM}$  cells compared to those receiving  $\gamma$ -Flu-OVA alone. This difference between vaccine groups was significant for  $CD4^+$  T-cells, but did not reach significance for the  $CD8^+$  population ( $P = 0.08$  by One-Way ANOVA), despite the obvious increase in cell number. As endogenous cells were analysed here, it was not possible to determine antigen specificity. Thus, it is likely that administration of both viral and bacterial vaccine antigens resulted in induction of multiple populations of  $T_{RM}$  cells, leading to a larger cell population overall compared to that generated after administration of a single antigen.

#### *4.3.7. Whole inactivated IAV and pneumococcal vaccine components directly associate in suspension*

Altered recognition of  $\gamma$ -Flu vaccine antigens when co-administered with  $\gamma$ -PN( $\Delta$ PsaA) was considered as a potential mechanism contributing to the augmented T-cell responses seen in the lung. Flow cytometry was therefore used to assess any direct interaction between the whole inactivated IAV and pneumococcal vaccine components when mixed prior to administration. In this assay,  $\gamma$ -PN( $\Delta$ PsaA) was incubated alone or with increasing amounts of  $\gamma$ -Flu, prior centrifugation using speeds that would pellet pneumococci but not free virions. Thus, the presence of  $\gamma$ -Flu virions in the pellets would indicate a direct interaction between IAV and the pneumococci, allowing co-sedimentation of the substantially smaller IAV virions during low-speed centrifugation. After extensive washing in PBS, cell pellets were treated with murine antibody raised against A/PR8, and a FITC-conjugated anti-mouse secondary antibody. Flow cytometry was used to quantify the percentage of pneumococci in each sample that were positive for IAV-specific fluorescence. As illustrated in **Figure 4.12A**, irradiated pneumococci alone (grey histogram) treated with primary and secondary antibodies had minimal background for IAV-specific fluorescence. In contrast, incubation of pneumococci with  $\gamma$ -Flu prior to addition of antibodies resulted in a steady increase in the amount of FITC signal detected by flow cytometry (**Fig. 4.12A and 4.12B**). This phenomena was dose-dependent, and at the highest concentration of  $\gamma$ -Flu tested, almost 80% of all

pneumococci within suspension showed a shift in FITC signal, indicating binding of  $\gamma$ -Flu to  $\gamma$ -PN( $\Delta$ PsaA).

Transmission electron microscopy also supported the notion of a direct interaction between the whole inactivated vaccine components. Here,  $\gamma$ -Flu-OVA was utilised, and mixed with  $\gamma$ -PN( $\Delta$ PsaA) at the same ratio as was used in previous OT-I transfer experiments. Again, mixtures were washed extensively in PBS using speeds that pellet pneumococci but not free virions. Negative staining allowed visualisation of both IAV virions and pneumococcal cells. As shown in **Figure 4.12C**, multiple inactivated IAV virions appear to be directly bound to the surface of single inactivated pneumococci.

#### *4.3.8. Combining live IAV or irradiated IAV with $\gamma$ -PN( $\Delta$ PsaA) is associated with enhanced viral uptake by epithelial and immune cell lines*

The direct interaction between  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) was likely to have an impact on the uptake and subsequent processing of IAV virions by host cells. To address this, the effect of  $\gamma$ -PN( $\Delta$ PsaA) on the ability of live IAV to infect epithelial cells *in vitro* was assessed. A fixed MOI of live A/PR8 was mixed with increasing amounts of irradiated pneumococci, then added to epithelial cell monolayers for 2 h to allow viral adherence. The inoculum was thoroughly washed, and cells were incubated for an additional 22 h to allow bound virus to replicate. Compared to cells incubated with live IAV alone, the level of IAV infection appears to be influenced by the concentration of  $\gamma$ -PN( $\Delta$ PsaA). Specifically, the presence of high concentrations of  $\gamma$ -PN( $\Delta$ PsaA) resulted in significantly enhanced viral infection compared to virus only controls (**Fig. 4.13A**). Similar to flow cytometry data in **Figure 4.12B**, the effect of adding  $\gamma$ -PN( $\Delta$ PsaA) to live IAV was dose-dependent. Lower concentrations of  $\gamma$ -PN( $\Delta$ PsaA), e.g.  $10^1$  and  $10^2$  CFU equivalent per well, appeared to decrease IAV infection slightly, though no significance was determined by One-way ANOVA. Potentially, lower  $\gamma$ -PN( $\Delta$ PsaA) concentrations caused multiple IAV virions to collect around a single pneumococcal cell, resulting in infection of a single mammalian cell with multiple virions due to close proximity. At higher concentrations, IAV virions are likely to be more dispersed. From  $10^4$  CFU/well onwards, addition of more pneumococci caused progressively more IAV infection, until the total number of

FFU/well was significantly higher than the virus only control. At this high CFU concentration, individual pneumococci are likely to be associated with a small number of virions only, and the substantially larger pneumococci may then allow the bound virions to settle more rapidly onto mammalian cells for faster binding and viral internalisation. This is supported by representative fluorescence images in **Figure 4.13B**. Here, IAV was added to cell monolayers at the same MOI of 0.1, however pre-incubation of IAV with  $\gamma$ -PN( $\Delta$ PsaA) resulted in considerably more dispersed infection.

Next, the influence of  $\gamma$ -PN( $\Delta$ PsaA) on uptake of IAV virions by an immune cell type was investigated. Macrophage-like THP-1 cells were incubated with  $\gamma$ -Flu only,  $\gamma$ -PN( $\Delta$ PsaA) only, or a mixture of the two. Macrophages were then washed to remove free antigen.  $\gamma$ -Flu internalisation by these phagocytic cells was quantified by intracellular staining with a FITC-conjugated anti-IAV nucleoprotein (NP) antibody, followed by flow cytometry. **Figure 4.14A** shows that macrophages incubated with  $\gamma$ -Flu alone and the  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) mixture had a positive shift in FITC fluorescence in comparison to macrophages incubated with media only (no antigen, grey histogram). Also, incubation with  $\gamma$ -PN( $\Delta$ PsaA) alone showed no shift whatsoever when compared to the no antigen control, indicating the detected shift in FITC fluorescence for macrophages incubated with  $\gamma$ -Flu or  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) was IAV specific. Subsequently, we tested whether  $\gamma$ -PN( $\Delta$ PsaA) could enhance uptake of live IAV as well as irradiated virions. Again, macrophage-like THP-1 cells were incubated with IAV alone (either live or  $\gamma$ -irradiated), or IAV pre-incubated with  $\gamma$ -PN( $\Delta$ PsaA). Again, we detected an increase in FITC fluorescence for both single and combination antigen mixtures when compared to the no antigen control. The fold increase in NP<sup>+</sup> cells was quantified for each antigen type, and data demonstrates that pre-incubation with  $\gamma$ -PN( $\Delta$ PsaA) significantly enhances the uptake of both live and irradiated IAV by macrophages (**Fig. 4.14B(i)**). Quantification of the total number of live NP<sup>+</sup> cells confirmed this trend (**Fig. 4.14B(ii)**).

#### 4.4. DISCUSSION

IAV and *S. pneumoniae* are two of the world's foremost respiratory pathogens, collectively causing millions of deaths every year. IAV and *S. pneumoniae* also exhibit lethal synergism, and strategies to avoid both sequential and simultaneous infection with both of these pathogens remain unsuccessful. In fact, current inactivated IAV vaccines are limited to induction of strain-specific antibody responses, with requirement for annual reformulation and redistribution to ensure coverage of seasonal strains. The time required to generate strain specific vaccines is approximately six months [505], which is too long in the event of a sudden pandemic to protect against the first wave of infection. In addition, current *S. pneumoniae* vaccines are similarly limited with respect to overall coverage of pneumococcal serotypes. The increasing potential for a widespread avian influenza pandemic paired with ongoing emergence of antibiotic resistant *S. pneumoniae* isolates makes the need for new broad-spectrum vaccines considerably more urgent [135].

This study demonstrates the immense value of utilising both the known and novel aspects of the synergism between IAV and *S. pneumoniae*, and applying them to vaccine development. Previously, the whole gamma-irradiated IAV ( $\gamma$ -Flu) and pneumococcal ( $\gamma$ -PN) vaccines have been shown to provide strain- and serotype-independent protection respectively when administered individually [361, 362, 235]. Prior publication by our lab has also demonstrated that potent IFN-I responses and lymphocyte activation are induced by  $\gamma$ -Flu [350], which allow it to act as an effective adjuvant for co-administered viral vaccines [399]. Co-administration of  $\gamma$ -Flu with  $\gamma$ -PN was subsequently shown to have a similar adjuvant effect on pneumococcal-specific responses, with enhancement of  $\gamma$ -PN-specific antibody titres and protective IL-17 production [403]. We postulated this enhancement to be similarly mediated by IFN-I induction by the co-administered  $\gamma$ -Flu vaccine. Remarkably, data presented here (using the further attenuated  $\gamma$ -PN( $\Delta$ PsaA) generated in Chapter 3) demonstrates that  $\gamma$ -Flu-specific responses are also improved by mucosal co-administration. This bi-directional enhancement of responses was not at all anticipated, and data presented here could revolutionise the design of next generation combination vaccines.

We observed that co-administration of  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) significantly increased protection against both drifted (**Fig. 4.2**) and heterosubtypic IAV challenges (**Fig. 4.3**). In fact,  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) conferred 100% survival against all IAV challenge strains tested in this study. Reduced clinical weight loss was also observed for co-vaccinated mice compared to those receiving  $\gamma$ -Flu alone, indicating a broader reactivity of immune responses in these animals. Considering that presentation of drifted surface antigens by emerging seasonal isolates is the main mechanism by which IAV escapes vaccine-induced immunity, our co-vaccination strategy could enhance cross-reactivity of IAV-specific immunity.

Interestingly, analysis of humoral immune responses revealed that co-administration was associated with a reduction in IAV-specific IgG and IgA titres (**Fig 4.4** and **Fig. 4.5**). A similar effect on antibody titres was reported previously by Desheva *et al.* when investigating an alternative IAV + *Streptococcus* combination vaccine [506]. Their formulation contained live attenuated IAV (H7N3) mixed with recombinant polypeptides from Group B *Streptococcus* (GBS vaccine), and was administered intranasally. The authors observed significantly reduced H7N3-specific IgG titres in serum after a single dose of IAV + GBS vaccine, which is very similar to data presented here. However, data suggests the effect of co-administered bacterial antigens on IAV-specific antibodies is not dependent on the overall structure, given the same trend was observed for both protein components and whole-cell pneumococci. Following a booster vaccination, Desheva *et al.* also reported that antibody titres from mice given the IAV vaccine alone or in combination with GBS were more comparable, with titres from the combination-vaccinated mice actually being the higher of the two. This is consistent with work previously published by Babb *et al.* [403], demonstrating comparable IgG and IgA following two doses of either  $\gamma$ -Flu alone or  $\gamma$ -Flu co-administered with the original  $\gamma$ -PN vaccine [506].

Importantly, Desheva *et al.* demonstrated that the decrease in antibody titre after a single dose of their vaccine mixture was associated with reduced virus neutralization [506]. In contrast, despite the decrease in total antibody shown by my data, serum from  $\gamma$ -Flu-vaccinated and  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) co-vaccinated mice showed equivalent

neutralization efficacy *in vitro* (**Fig. 4.6**). This indicates that antibody quality is entirely maintained in co-vaccinated mice despite differences in the bulk quantity. Humoral responses induced by co-vaccination were also sufficient to confer complete homotypic protection *in vivo* (**Fig. 4.1**). Alteration to the ratio of IgG1/IgG2a and/or other subclasses shown in **Figure 4.5B** may reduce the competition for binding, and allow antibodies at a lower concentration but with higher affinity to effectively bind and neutralise free virions. Alternatively, IgG2b may be the primary subclass responsible for A/PR8 neutralisation. Consequently, equivalent IgG2b levels between vaccine groups would justify the similarity in viral neutralisation and *in vivo* homotypic protection. Overall, despite the reduced antibody titre, co-vaccinated mice showed 100% protection against homotypic challenge, and immune sera from these mice showed comparable neutralisation efficacy to that seen for the  $\gamma$ -Flu vaccinated group. In contrast, protection against drifted IAV challenge may not be associated with neutralising antibody responses. In fact, data presented in **Figure 4.7** shows no cross-neutralising activity of serum from  $\gamma$ -Flu-vaccinated or co-vaccinated mice against the drifted pdmH1N1 strain. Therefore, data indicates that the enhancement of protection against drifted and heterosubtypic IAV observed in co-vaccinated animals is primarily due to cell mediated immunity. Prior publication by our group has demonstrated that  $\gamma$ -Flu-induced cross-protection is mainly dependent on cytotoxic T-cell responses [362]. Live H7N9 challenge studies in mice have also shown cross-reactive memory T-cell responses, rather than neutralising antibodies, are responsible for reduced mortality following secondary challenge [507].

Data presented here indicates co-vaccination with  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) does enhance the magnitude of IAV-specific T-cell responses specifically at the site of pathogen re-encounter. The use of OT-I cells and an irradiated A/PR8-OVA vaccine preparation ( $\gamma$ -Flu-OVA) effectively demonstrated the population of IAV-specific T-cells in the lung to be greater in co-vaccinated mice compared to animals receiving  $\gamma$ -Flu-OVA alone (**Fig. 4.10B**). However, activated OT-I cells detected in the spleen of co-vaccinated mice at the same time point were substantially decreased (**Fig. 4.8C**). Thus, it is unlikely that the total activation of transferred OT-I cells is greater in either vaccine group, but the subsequent trafficking of activated cells to the lung is likely to be augmented by co-vaccination. This could be due to differences in the cytokine milieu of the lung

microenvironment after co-vaccination, or increased longevity of a mild inflammatory state within the lung after administration of multiple vaccine components. In addition, a higher frequency of activated OT-I cells isolated from the lung showed a  $T_{RM}$  phenotype in co-vaccinated mice (**Fig. 4.11B**). This may also be due to differences in the lung cytokine milieu after co-vaccination. Initial ‘programming’ events that occur during the priming phase in local draining lymph nodes, and upon entry into inflamed tissues greatly influence the phenotypic specialization and longevity of memory T-cell pools [508]. Local conversion of lung-resident  $T_{RM}$  is reported to require a variety of tissue-derived factors, including transforming growth factor- $\beta$  (TGF- $\beta$ ), interleukin-15 (IL-15), tumour necrosis factor (TNF), and IL-33 [509, 500, 510, 511]. TNF is reported to be induced in the lung following intranasal *S. pneumoniae* infection [512], and low pneumococcal carriage density causes sustained elevation of nasopharyngeal TGF- $\beta$ 1 [513]. Thus, the presence of high densities of inactivated pneumococci at the time of  $\gamma$ -Flu vaccination is likely to dramatically alter the profile of cytokines within the lung. Characterising the cytokine milieu in the lung and the draining mediastinal lymph node immediately after vaccination will be an important area to address in follow-up studies. Nonetheless, co-vaccination may in fact augment memory T-cell populations by influencing both trafficking/recruitment of  $CD8^+$  T-cells to the lung, and subsequent cytokine-induced differentiation to  $T_{RM}$ .

Alternatively, interactions with other lymphocytes may be the primary mechanism for the observed enhancement of IAV-specific T-cell populations in the lung. Multiple immune cells are known to interact with  $CD8^+$  T-cells in the lymph nodes and the lung in a highly orchestrated manner to ensure efficient trafficking, differentiation and maintenance. For example,  $CD4^+$  T-cells and the associated IFN- $\gamma$  have both been shown to facilitate the entry of  $CD8^+$  T-cells into tissues such as the skin, vaginal mucosa, and the lung epithelium [514-516]. After IAV infection specifically,  $CD4^+$  T-cells are required for expression of trafficking molecule CXC-chemokine receptor 3 (CXCR3) by  $CD8^+$  T-cells, and for CD103 expression to aid  $T_{RM}$  formation [515]. Interestingly, previously published work from our lab has demonstrated that total  $CD4^+$  T-cells, and IFN- $\gamma^+$   $CD4^+$  T-cells were significantly enhanced in the lungs of  $\gamma$ -Flu +  $\gamma$ -PN co-vaccinated mice in comparison to those receiving  $\gamma$ -Flu alone following a pneumococcal

challenge [403]. We expect a similar enhancement in CD4<sup>+</sup> T-cell populations following co-immunisation with  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA), and it will be of immense interest to determine if this is associated with heightened expression of trafficking and adhesion molecules on circulating CD8<sup>+</sup> T-cells. IFN- $\gamma$  is also known to be induced in the lungs 24 h post-*S. pneumoniae* challenge [512]. It will be important to investigate whether  $\gamma$ -PN( $\Delta$ PsaA) could induce IFN- $\gamma$  to some degree when co-administered with  $\gamma$ -Flu, to further aid entry of circulating CD8<sup>+</sup> T cells into the lung tissue.

Regardless of the mechanism, increased populations of lung T<sub>RM</sub> are beneficial for a multitude of reasons. CD8<sup>+</sup> memory T-cells populating the lung mucosae are critical for heterosubtypic immunity against IAV [517], and for immune defence against secondary encounters with respiratory viruses in general [518]. Upon antigen contact, activated T<sub>RM</sub> cells will orchestrate circulating memory T-cell responses, drive maturation of DCs, and rapidly induce a tissue-wide antiviral state via secretion of key cytokines to minimize viral replication [519-521]. Viral load and the duration of infection is therefore minimised, as is the ensuing tissue damage due to viral replication. Furthermore, the reduction in initial viral load will limit the degree of subsequent immune activation, thus limiting immunopathology and disease symptoms [522]. Thus, the enhanced T<sub>RM</sub> population in co-vaccinated mice is expected to contribute greatly to the rapid recovery and heightened survival rates observed against lethal drifted and heterosubtypic IAV challenges. A follow-up study could focus on the lung environment in  $\gamma$ -Flu-vaccinated and co-vaccinated mice at early time points post-challenge, to characterise the recall responses in each group and their efficacy in controlling infection.

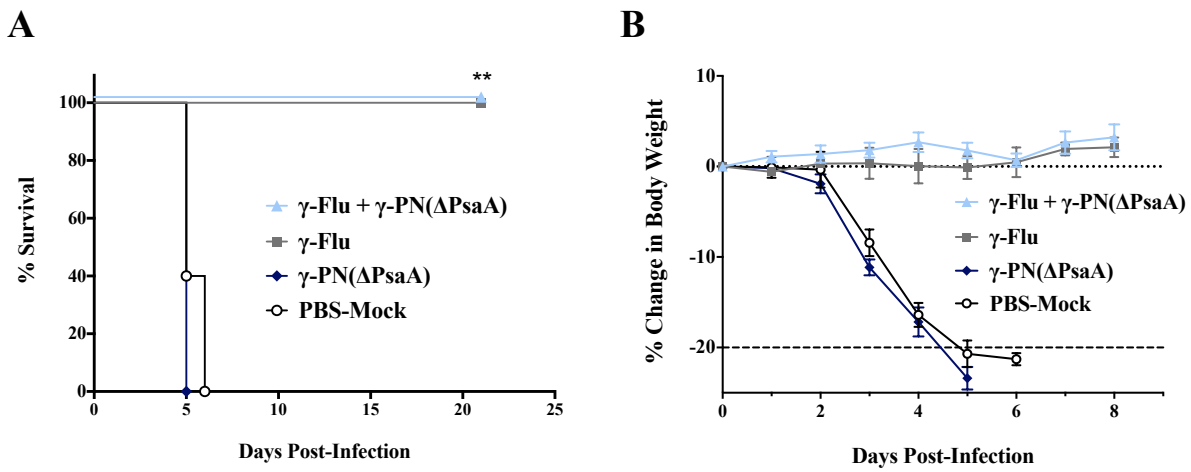
Differential uptake of vaccine antigens may be an additional factor contributing to altered immune responses in co-vaccinated mice. My data demonstrates an enhancement in adhesion/uptake of live IAV by epithelial MDCK cells when pre-incubated with inactivated pneumococci (**Fig. 4.13**). In this setting, the substantially larger pneumococci may allow bound virions to settle more rapidly onto mammalian cells, facilitating faster binding and viral internalisation during the initial 2 h inoculation period. Pneumococcal neuraminidase has previously been shown to enhance IAV infection in MDCK and A549 cells [523]. Both NanA and NanB from *S. pneumoniae* were reported to complement the

cleavage function of viral NA to aid the release of newly synthesised virions and promote viral spread. This was observed after incubation of infected monolayers with recombinant pneumococcal neuraminidase for 48 h. However, in this study, inactivated pneumococci were present only for the first two hours of viral inoculation prior to removal of all pneumococci and free virions by extensive washing. This time frame is too short for release of progeny IAV virions (usually begins  $\sim$  4 – 6 h after the initial infection of epithelial cells [524-526]). Thus, the brief presence of pneumococcal neuraminidase is unlikely to contribute to the enhanced viral infection observed. Rather, the inactivated pneumococci are likely to exert their effect at the initial stage of viral attachment. It is important to note that  $\gamma$ -PN( $\Delta$ PsaA) alone had no cytopathic effects on cell monolayers, even at the highest concentration tested ( $10^7$  CFU equivalent/well). This is in contrast to the cytotoxicity observed by Walther *et al.* [523] when adding live *S. pneumoniae* and IAV to MDCK monolayers at low pneumococcal MOIs. Thus, the variation in IAV infection rate seen here was not caused by increased cell cytotoxicity due to addition of pneumococci.

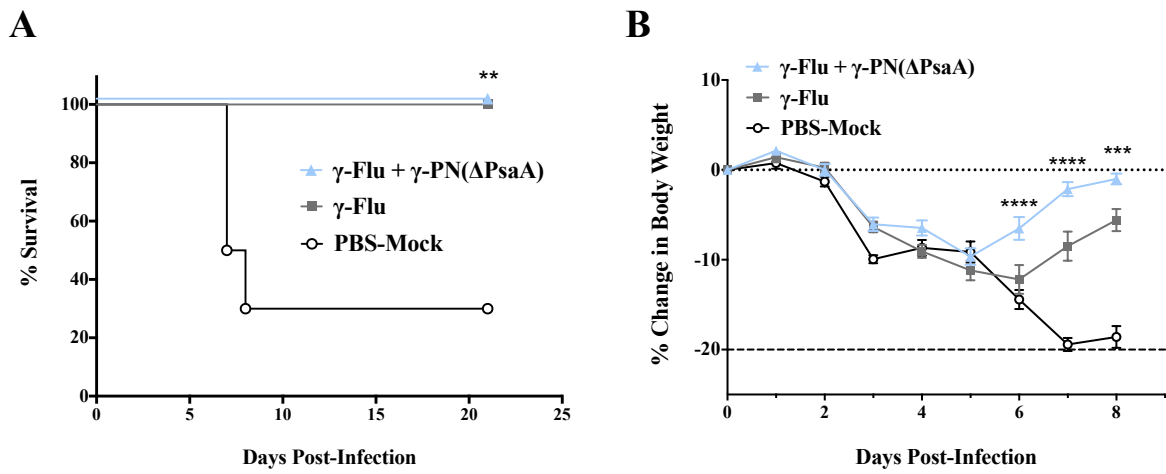
Uptake of both live IAV and  $\gamma$ -Flu virions by macrophages *in vitro* was also enhanced when mixed with inactivated pneumococci (**Fig. 4.14**). Although DCs are the predominant APC participating in antigen uptake and stimulation of naive T-cells, peptide pulsed macrophages have been shown to induce proliferation and differentiation of naïve CD8<sup>+</sup> T-cells [527]. Additionally, cytokines produced by macrophages in response to antigen, including IFN-I, have been postulated to function in the activation of DCs [528]. Increased antigen uptake mediated by co-administration of  $\gamma$ -Flu with  $\gamma$ -PN( $\Delta$ PsaA) is therefore likely to increase innate immune stimulation *in vivo*, potentially enhancing naïve CD8<sup>+</sup> T-cell and DC activation. Chatziandreu *et al.* demonstrated that lymph node macrophages undergo necrosis-like death in response to UV-inactivated A/PR8 antigen, and in response to heat-killed *S. pneumoniae*. Importantly, macrophage death in the draining lymph node was associated with activation and relocation of CD11b<sup>+</sup> DCs [529]. Thus, uptake of both inactivated IAV and pneumococcal vaccine components could cause more macrophage death, leading to additional DC activation and trafficking.

Overall, the enhancement of viral uptake and augmentation of immune responses observed appear to be mediated by a direct interaction between the functionally intact, but inactivated, IAV and pneumococci vaccines. This interaction is illustrated in **Figure 4.12**. In the case of uptake by phagocytes, the direct binding of vaccine components is expected to cause uptake of the entire IAV-pneumococcal complex. This would dramatically alter the production of inflammatory cytokines by these cells, due to the presence of both viral and bacterial PAMPs and subsequent TLR signalling. Further investigation into the cytokine milieu in the lung, and the complex interplay between phagocytes and T-cells following co-administration of  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) *in vivo* will be the focus of future studies. Furthermore, the mechanism of direct interaction between IAV and the pneumococcus is yet to be elucidated, and will also be the focus of future investigation. In addition to IAV, animal models have shown synergistic interactions between *S. pneumoniae* and multiple other respiratory viruses, including RSV, parainfluenza viruses and human metapneumovirus [530-532]. Thorough investigation of the mechanisms permitting these interaction may shed light on disease progression during respiratory co-infections in general, and aid in development of new therapeutic options to mitigate the severity of symptoms. Crucially, the bacterial-viral interactions observed here should be applied to next-generation vaccine design to hijack the existing synergy of pathogens for superior immune stimulation and protection.

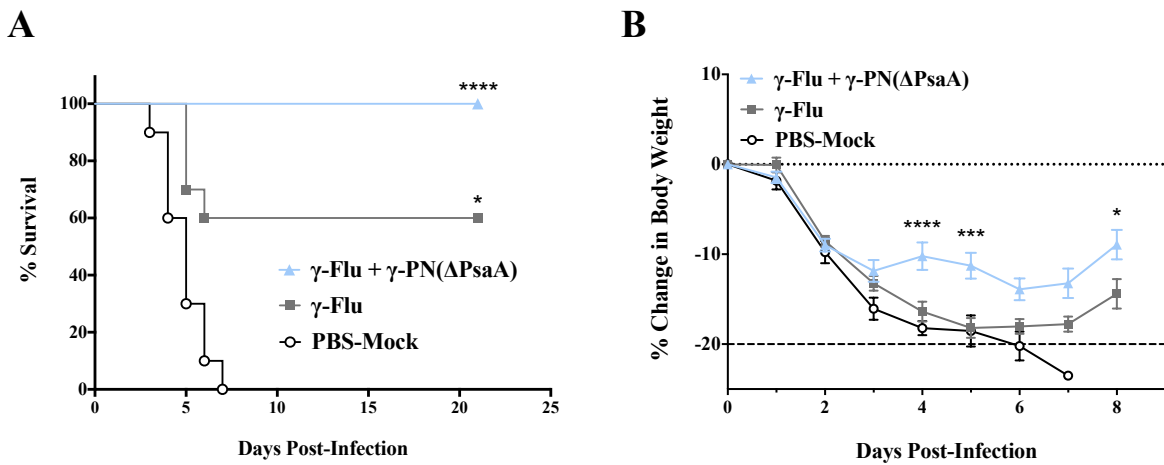




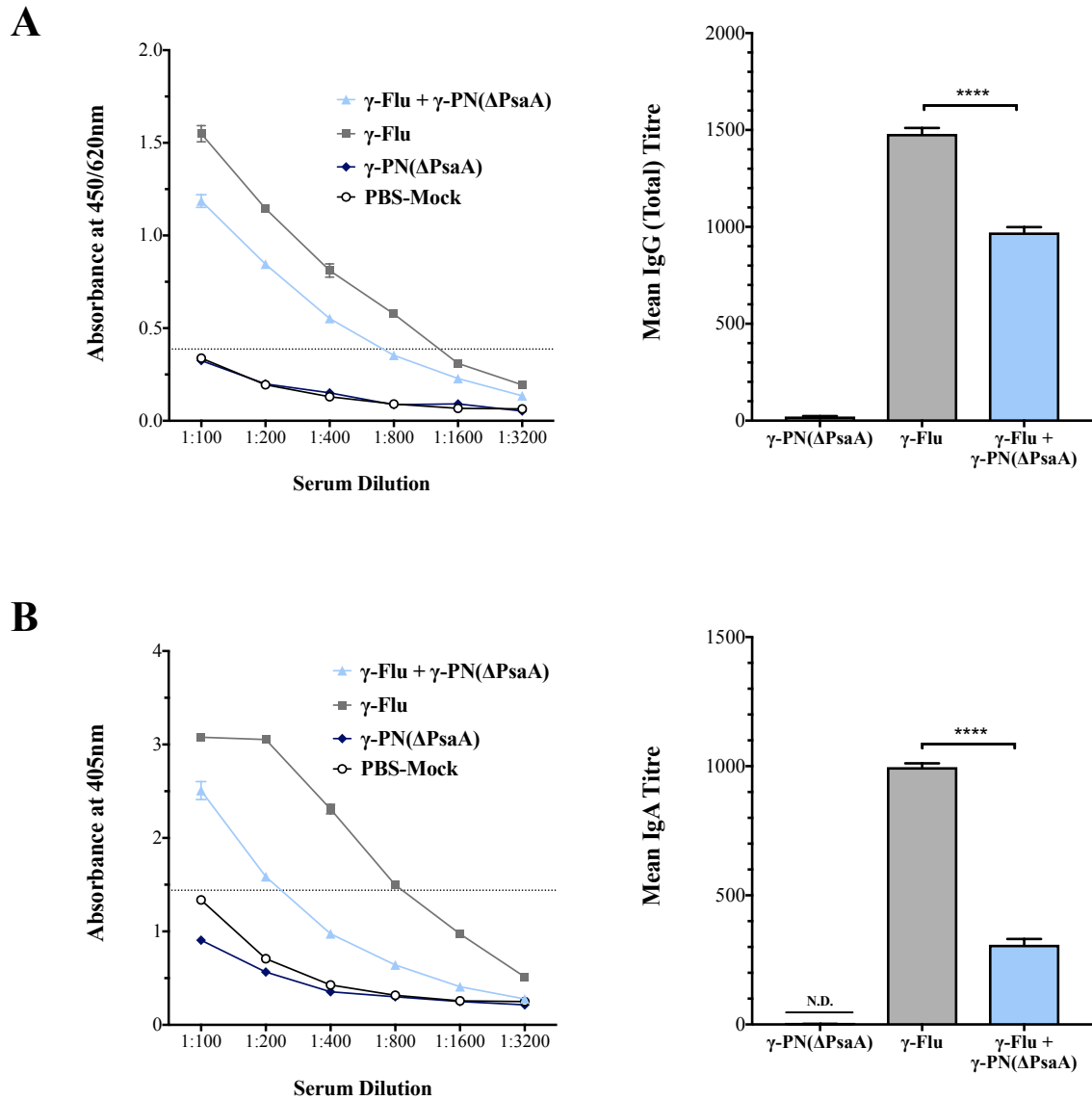
**Figure 4.1. Protection against homotypic IAV challenge.** Balb/c mice were vaccinated IN with  $\gamma$ -Flu alone or co-vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA). Control mice received  $\gamma$ -PN( $\Delta$ PsaA) only, or were PBS-mock vaccinated. 21 days post-vaccination, all mice were challenged IN with lethal A/PR8. Mice were monitored for 3 weeks for development of clinical symptoms, and euthanized if they lost 20% of their body weight. Data presented as (A) overall survival percentages, and (B) mean weight loss  $\pm$  SEM ( $n = 5$ ) over the first 8 days post-challenge. Survival percentages were analysed using Fisher's Exact Test (\*\*,  $P < 0.01$ , compared to PBS-Mock control group).



**Figure 4.2. Enhanced protection against pdmH1N1 challenge following co-vaccination with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA).** Balb/c mice were vaccinated IN with  $\gamma$ -Flu alone or co-vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA). Control mice were PBS-Mock vaccinated. 21 days post-vaccination, mice were challenged IN with drifted pdmH1N1 (A/California/07/2009). Mice were monitored for 3 weeks for development of clinical symptoms, and euthanized if they lost 20% of their body weight. (A) Data compiled from two independent experiments. Survival percentages ( $n = 10$ ), analysed by two-tailed Fisher's Exact Test compared to the PBS-Mock control group (\*\*  $P < 0.01$ ). (B) Weight loss of vaccinated and control mice, presented as mean  $\pm$  SEM. Statistical analysis performed using Two-way ANOVA to compare  $\gamma$ -Flu only and co-vaccinated groups (\*\*\*,  $P < 0.001$ , \*\*\*\*,  $P < 0.0001$ ).

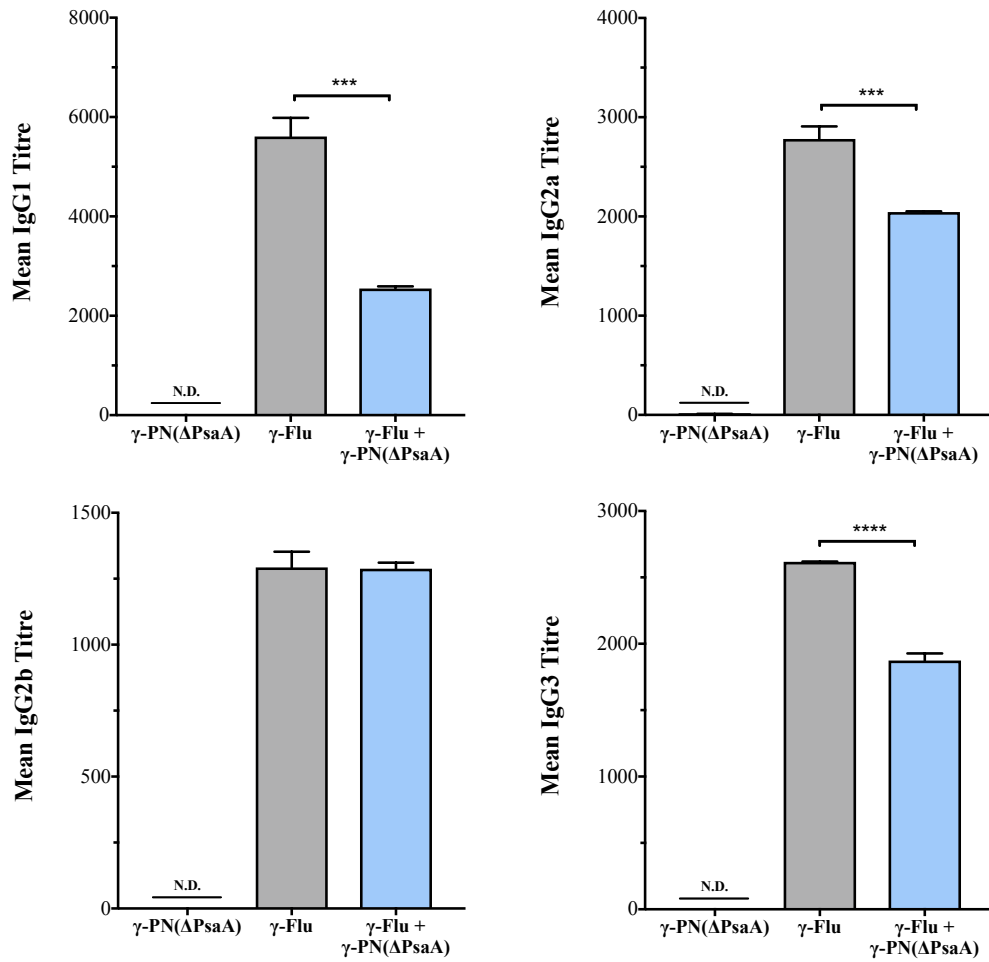


**Figure 4.3. Enhanced protection against heterosubtypic IAV challenge following co-vaccination with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA).** Balb/c mice were vaccinated IN with  $\gamma$ -Flu alone or co-vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA). Control mice were PBS-Mock vaccinated. 21 days post-vaccination, mice were challenged IN with heterosubtypic H3N2 (A/PortChalmers/1/73). Mice were monitored for 3 weeks for development of clinical symptoms, and euthanized if they lost 20% of their body weight. (A) Data compiled from two independent experiments. Survival percentages (n = 10), analysed by two-tailed Fisher's Exact Test compared to the PBS-Mock control group (\*,  $P < 0.05$ , \*\*\*\*,  $P < 0.0001$ ). (B) Weight loss of vaccinated and control mice, presented as mean  $\pm$  SEM. Statistical analysis performed using Two-way ANOVA to compare  $\gamma$ -Flu only and co-vaccinated groups (\*,  $P < 0.05$ , \*\*\*,  $P < 0.001$ , \*\*\*\*,  $P < 0.0001$ ).

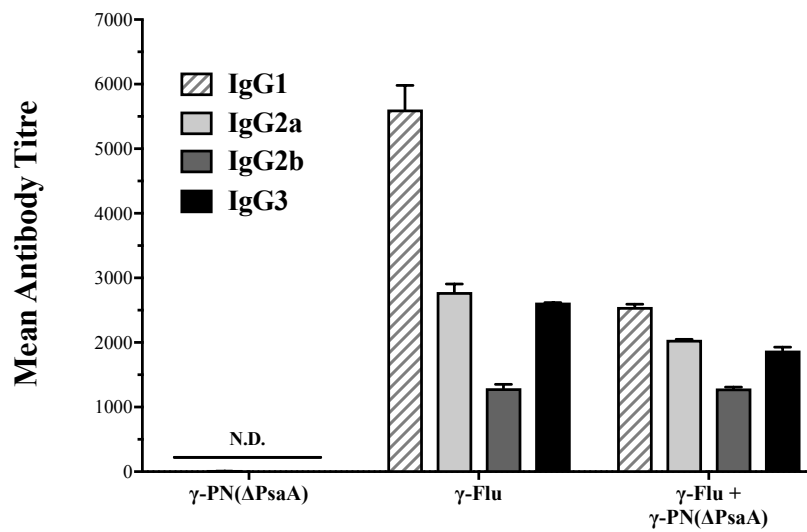


**Figure 4.4. The effect of co-vaccination on A/PR8-specific antibody responses.** Balb/c mice were vaccinated IN with  $\gamma$ -Flu alone or co-vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA). Control mice received  $\gamma$ -PN( $\Delta$ PsaA) alone, or PBS as a mock-vaccine ( $n = 5$  per group). 20 days post-vaccination, serum was harvested from all mice by submandibular bleed. (A) Total IgG and (B) IgA in pooled serum from vaccinated and control mice was determined by ELISA. Data presented as absorbance at 450/620nm or 405nm respectively, and as mean titre  $\pm$  SEM. Titres were calculated relative to the cut-off value (dotted line), which was determined using readings of control sera from PBS-Mock mice at a 1:100 dilution. Data analysed by One-Way ANOVA (\*\*\*\*,  $P < 0.0001$ ). N.D. not detected.

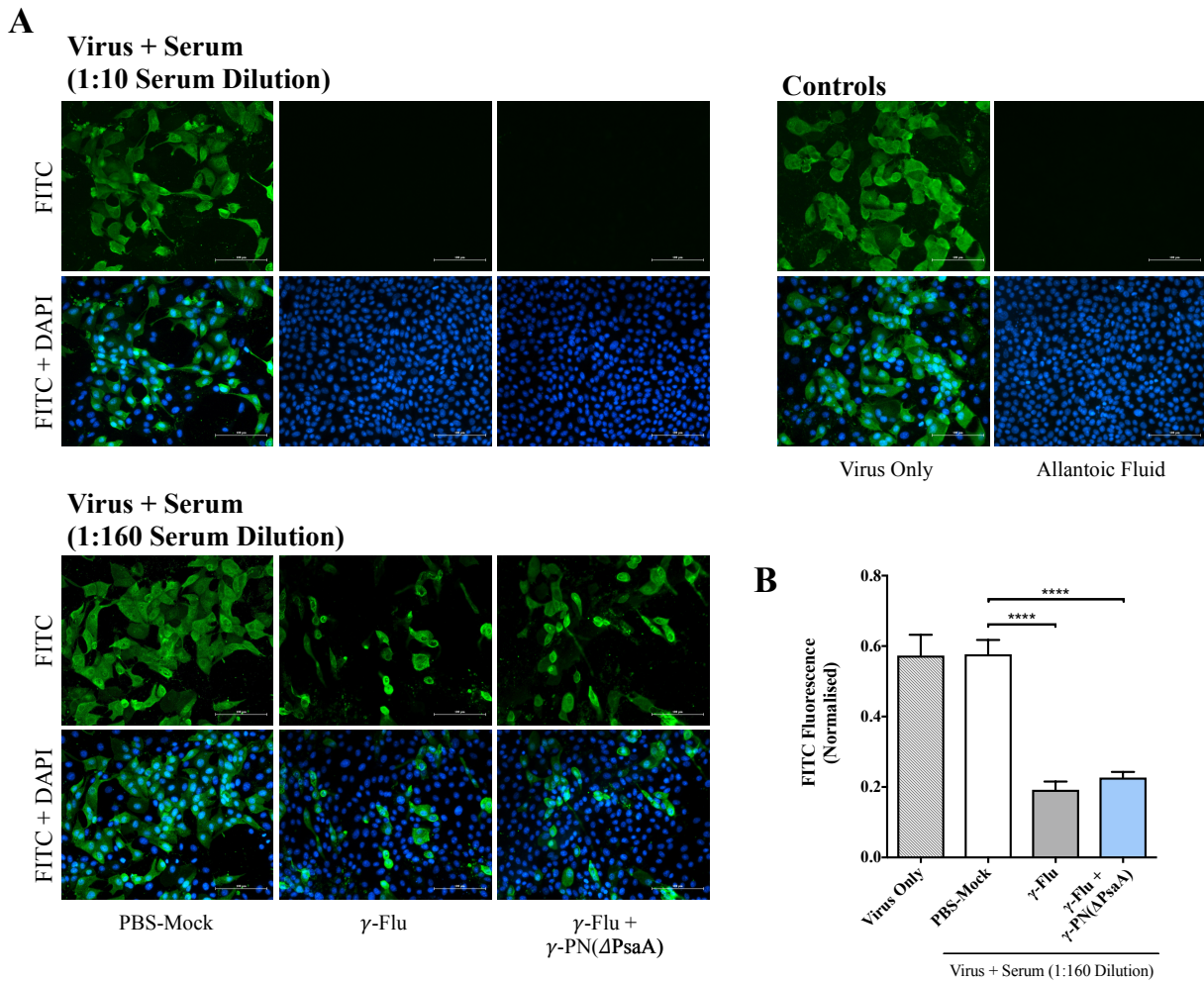
**A**



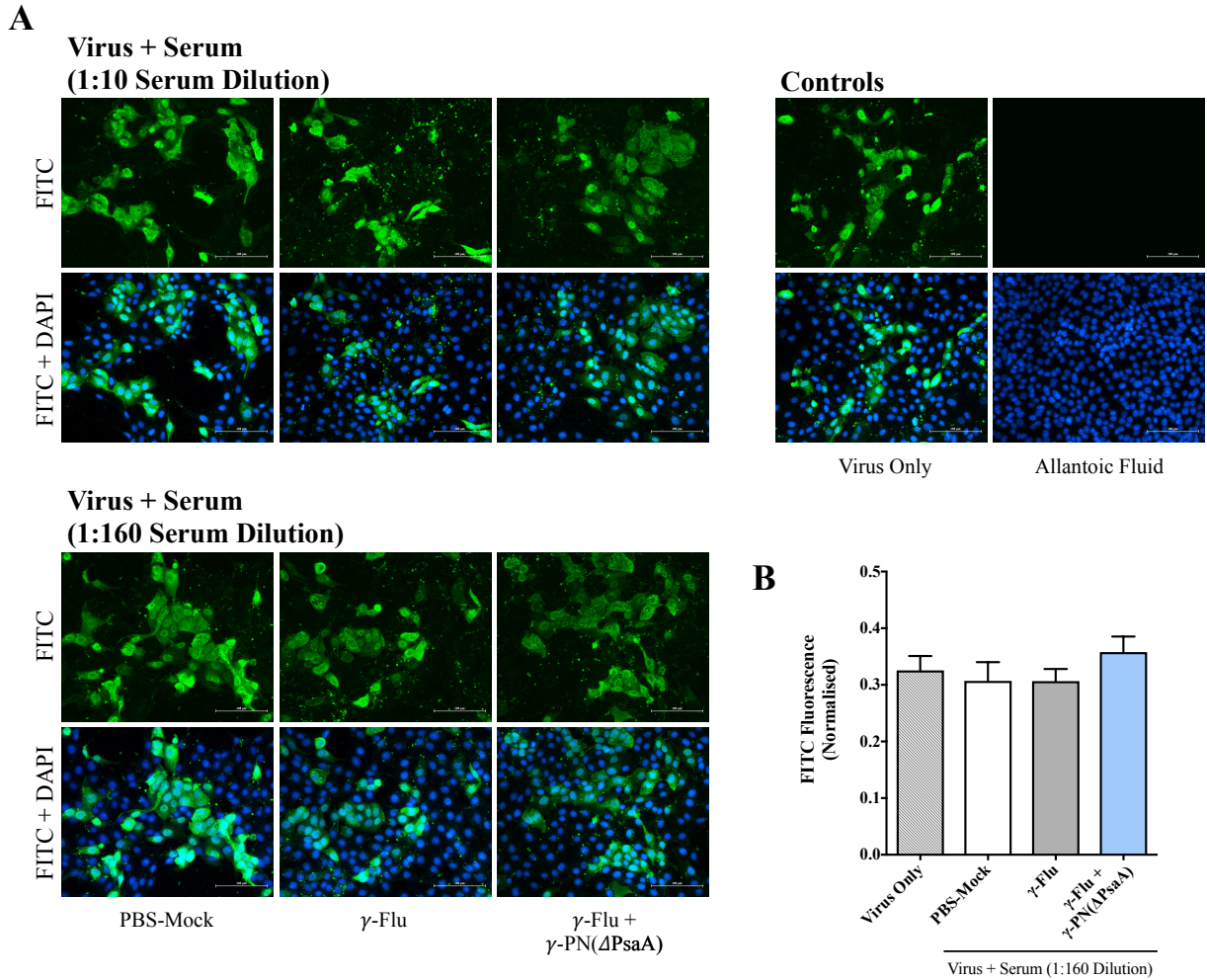
**B**



**Figure 4.5. The effect of co-vaccination on A/PR8-specific IgG subclasses.** Balb/c mice were vaccinated IN with  $\gamma$ -Flu alone or co-vaccinated with  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA). Control mice received  $\gamma$ -PN( $\Delta$ PsaA) alone, or PBS as a mock-vaccine (n = 5 per group). 20 days post vaccination, serum was harvested from all mice by submandibular bleed, and titres of A/PR8-specific IgG1, IgG2a, IgG2b, and IgG3 were determined by ELISA. Data presented as (A) mean titres  $\pm$  SEM, calculated relative to cut-off value, which was determined using a 1:100 dilution of control sera from PBS-Mock mice. Data analysed by One-Way ANOVA (\*\*\*,  $P < 0.001$ , \*\*\*\*,  $P < 0.0001$ ). (B) Relative profiles of IgG1, IgG2a, IgG2b, and IgG3 subclasses between vaccine groups. N.D. not detected.

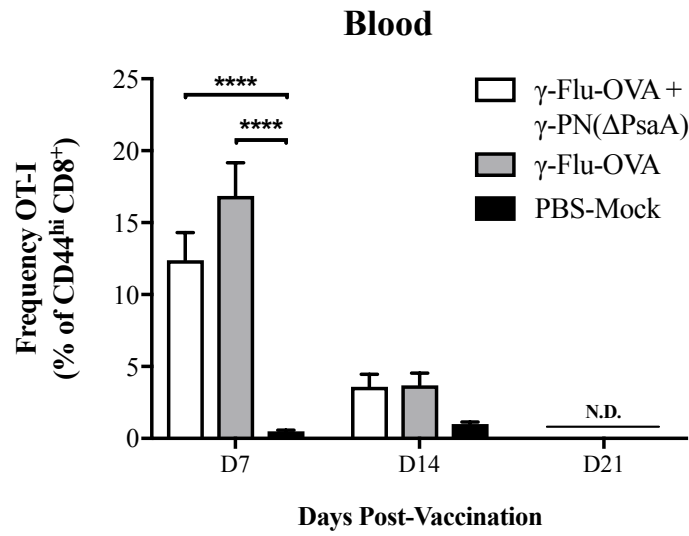


**Figure 4.6. *In vitro* neutralisation of A/PR8 by sera from vaccinated and control mice.** Serum samples were harvested on day 20 post-vaccination from Balb/c mice receiving  $\gamma$ -Flu only,  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA), or a PBS-Mock vaccine. Serum samples were pooled for each vaccine group ( $n = 5$  per group) and serially diluted, then incubated with live A/PR8. Virus + serum mixtures were added to MDCK cell monolayers at MOI 0.1 to assess neutralization of infection. (A) Representative images of infected MDCK cells visualised using Nikon TiE inverted fluorescence microscope, DAPI (blue) indicates cell nuclei, and FITC (green) indicates A/PR8 virus. Control wells were incubated with virus only (no sera), or allantoic fluid as a negative control. (B) FITC fluorescence was quantified for 1:160 serum dilution using NIS elements software, and normalised using corresponding quantified DAPI fluorescence. Data presented as mean  $\pm$  SEM ( $n = 8$ ), analysed by One-Way ANOVA (\*\*\*\*,  $P < 0.0001$ ).

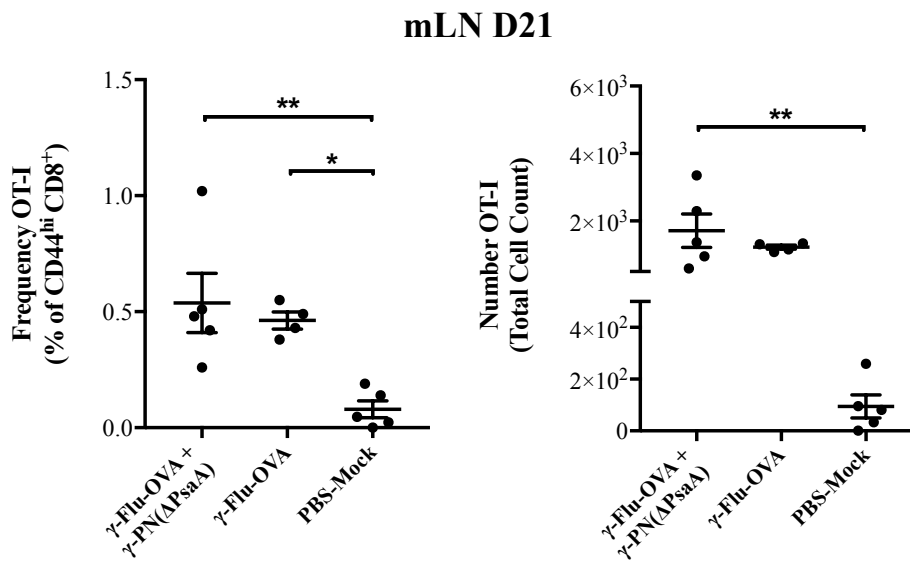


**Figure 4.7. No cross-neutralisation of pdmH1N1 *in vitro* by sera from vaccinated or control mice.** Serum samples were harvested on day 20 post-vaccination from Balb/c mice receiving  $\gamma$ -Flu only,  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA), or a PBS-Mock vaccine (n = 5 per group). Serum samples were pooled for each vaccine group and serially diluted, then incubated with live pdmH1N1. Virus + serum mixtures were added to MDCK cell monolayers at MOI 0.1 to assess neutralization of infection. (A) Representative images of infected MDCK cells visualised using Nikon TiE inverted fluorescence microscope, DAPI (blue) indicates cell nuclei, and FITC (green) indicates A/PR8 virus. Control wells were incubated with virus only (no sera), or allantoic fluid as a negative control. (B) FITC fluorescence was quantified for 1:160 sera dilution using NIS elements software, and normalised using corresponding quantified DAPI fluorescence. Data presented as mean  $\pm$  SEM (n = 8), no significance by One-Way ANOVA.

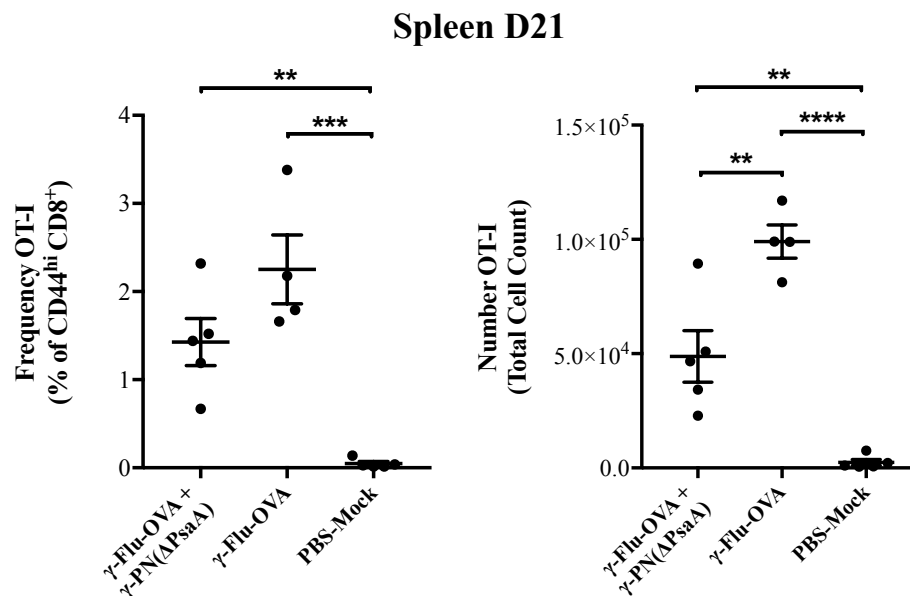
**A**



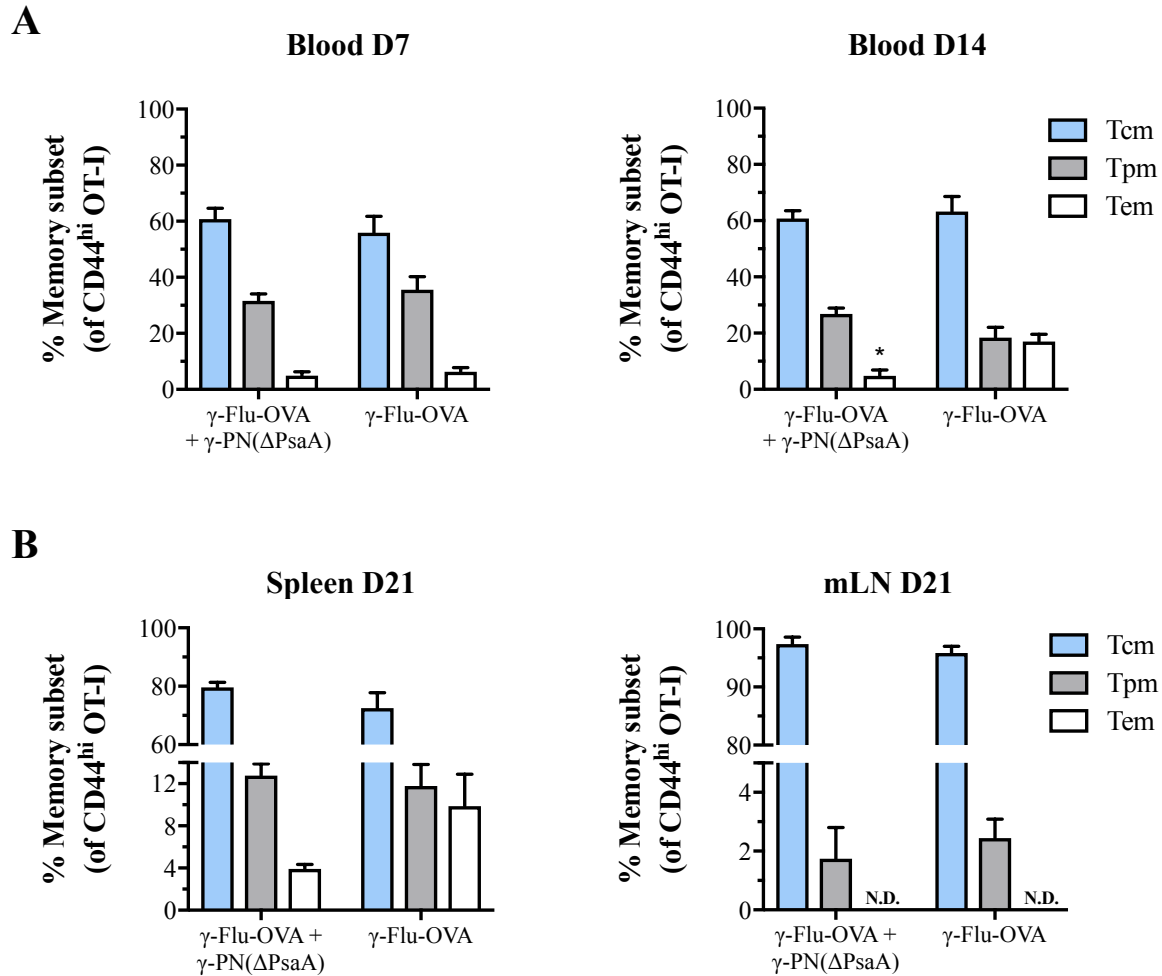
**B**



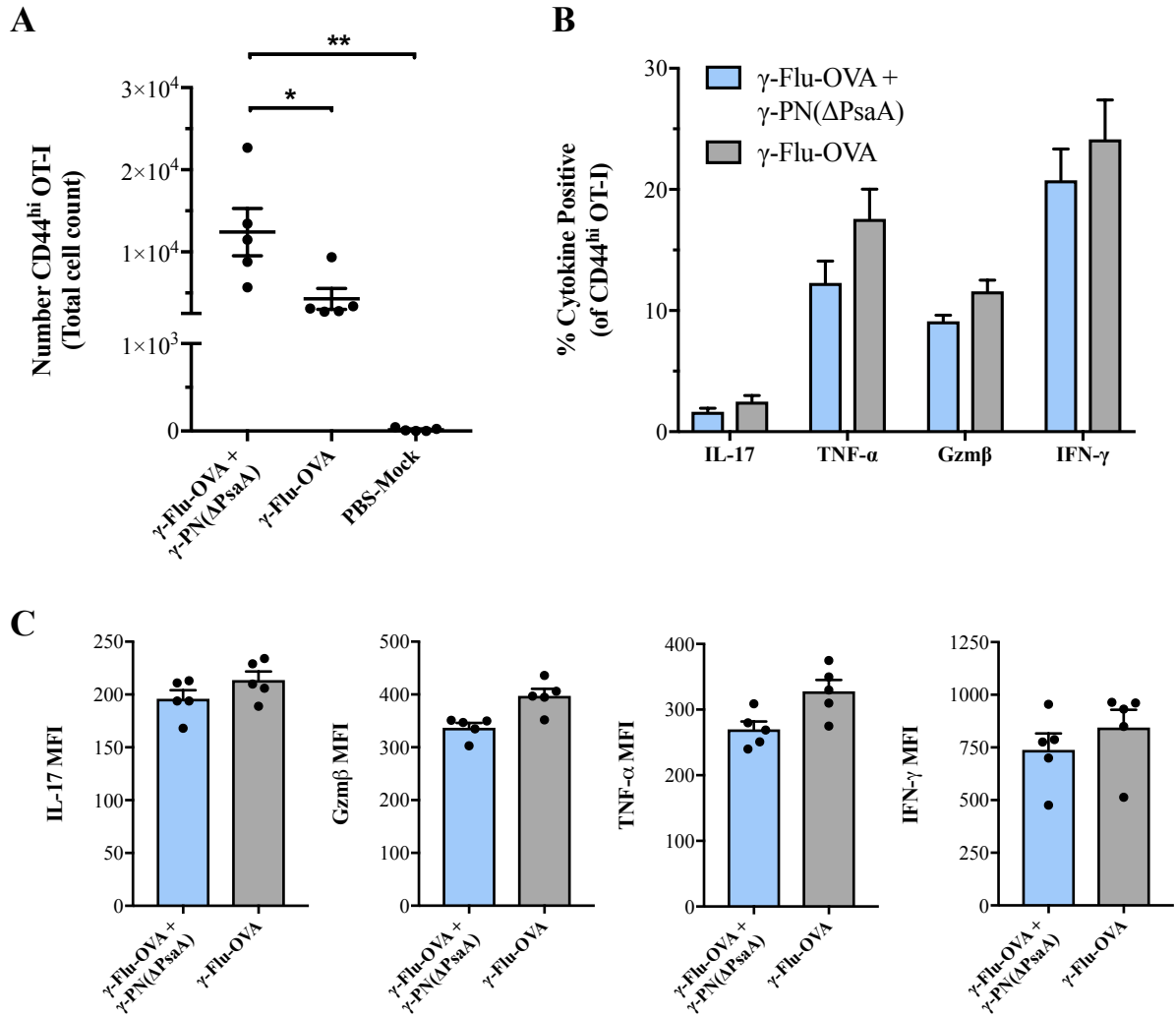
**C**



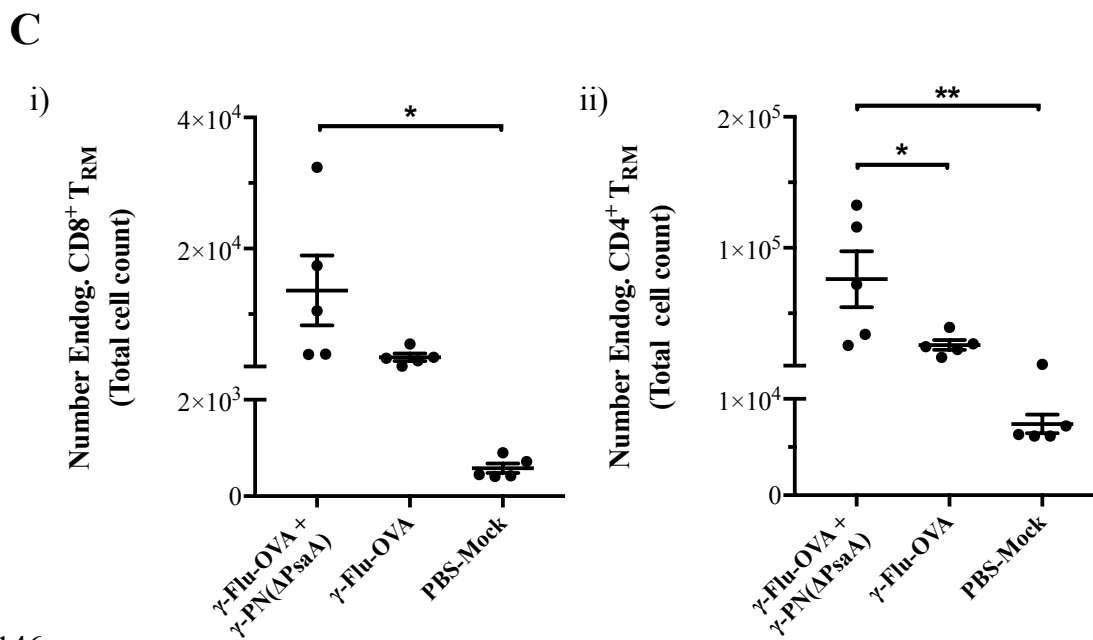
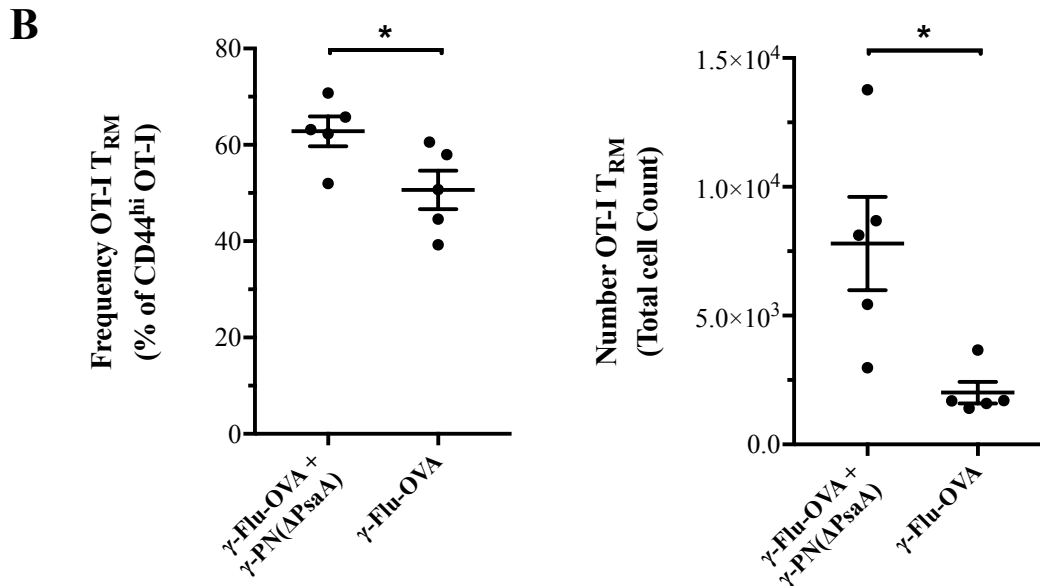
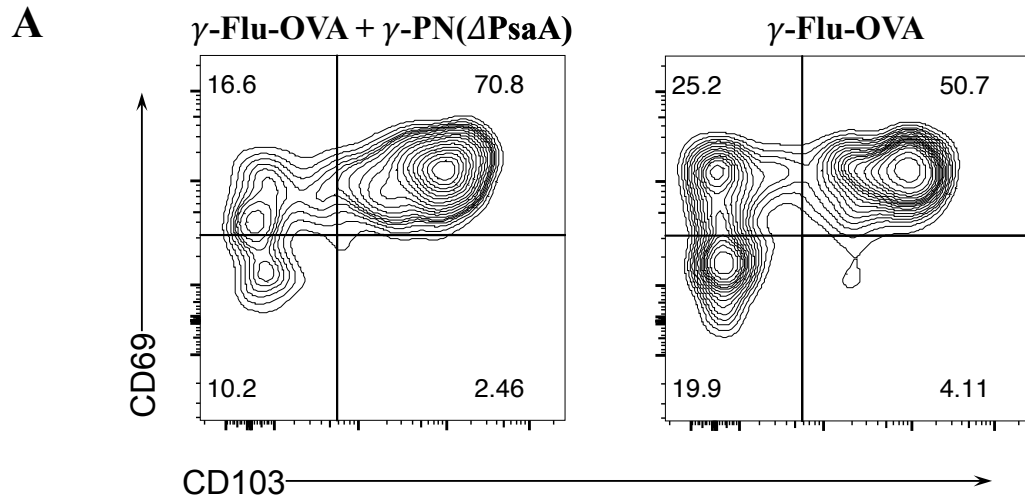
**Figure 4.8. Magnitude of IAV-specific T-cell populations in peripheral blood and secondary lymphoid organs.** OT-I cells were transferred IV to wild-type C57BL/6 mice. 24 h later, mice were vaccinated IN with  $\gamma$ -Flu-OVA alone or co-vaccinated with  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA). (A) Blood was harvested by submandibular bleed on days 7, 14, and 21 post-vaccination, and cells analysed for the frequency of activated OT-I cells (CD44<sup>hi</sup>CD8<sup>+</sup>CD45.1<sup>+</sup>) by flow cytometry. Data are presented as mean frequency  $\pm$  SEM (n = 5), and analysed using Two-way ANOVA (\*\*\*\*,  $P < 0.0001$ ). N.D. not detected. (B) Mediastinal lymph node (mLN), and (C) spleen harvested from C57BL/6 mice on day 21 post-vaccination, and analysed for activated OT-I cells by flow cytometry. Data presented as frequency and total cell counts  $\pm$  SEM (n = 5) for each organ. Data analysed by One-way ANOVA (\*,  $P < 0.05$ , \*\*,  $P < 0.01$ , \*\*\*,  $P < 0.001$ , \*\*\*\*,  $P < 0.0001$ ).



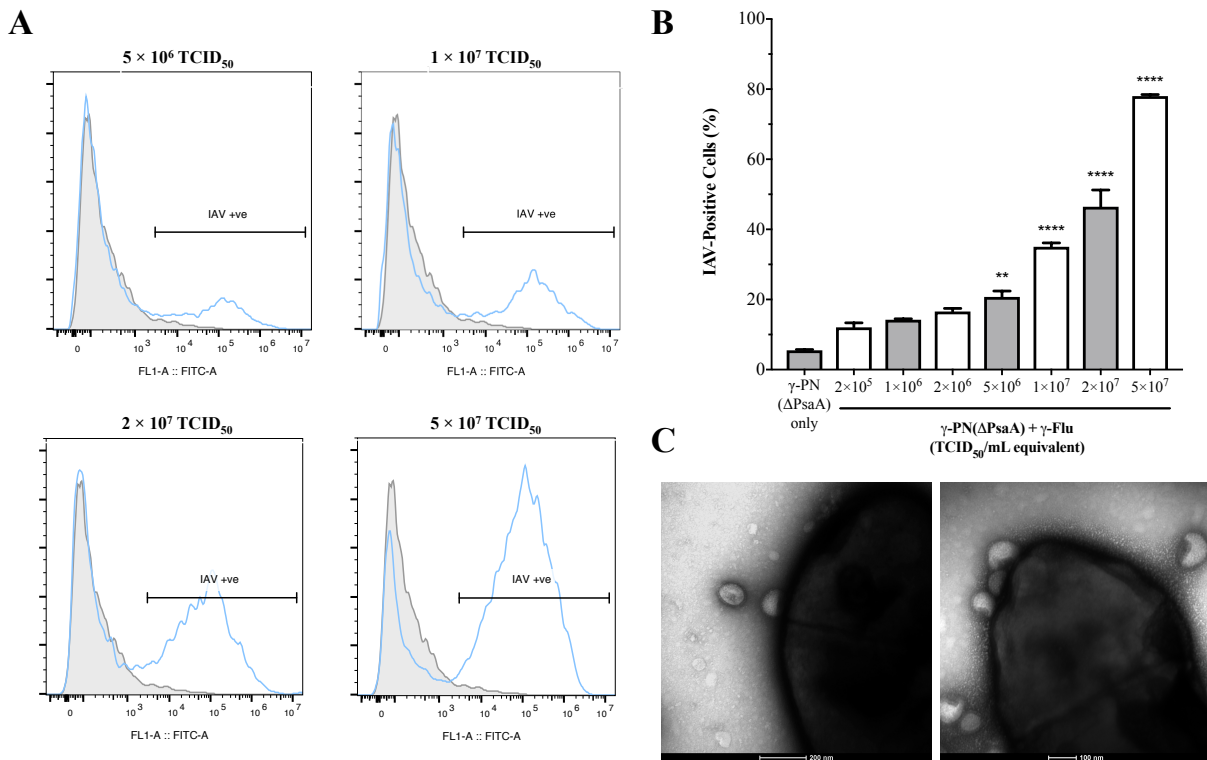
**Figure 4.9. Proportions of circulating IAV-specific CD8<sup>+</sup> memory cells following co-administration of  $\gamma$ -Flu-OVA and  $\gamma$ -PN( $\Delta$ PsaA).** OT-I cells were transferred IV to wild-type C57BL/6 mice. 24 h later, mice were vaccinated IN with  $\gamma$ -Flu-OVA alone or co-vaccinated with  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA). Blood was harvested by submandibular bleed on days 7 and 14 post-vaccination, and cells were analysed for proportions of circulating memory OT-I cell subsets (Tcm, central memory, Tpm, peripheral memory, Tem, effector memory) by flow cytometry. (B) Spleen and mediastinal lymph node (mLN) harvested from C57BL/6 mice on day 21 post-vaccination, and similarly analysed by flow cytometry. Data presented as mean frequency  $\pm$  SEM (n = 5), analysed by Two-way ANOVA (\*,  $P < 0.05$ , when comparing the same cell subset between vaccine groups). N.D. not detected.



**Figure 4.10. Magnitude of IAV-specific CD8<sup>+</sup> T-cell response in the lung is enhanced by co-vaccination with  $\gamma$ -Flu-OVA and  $\gamma$ -PN( $\Delta$ PsaA).** OT-I cells transferred IV to wild-type C57BL/6 mice. 24 h later, mice vaccinated IN with  $\gamma$ -Flu-OVA alone or co-vaccinated with  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA). On day 21 post-vaccination, lungs were harvested for analysis of OT-I cells by flow cytometry. (A) Total number of activated OT-I cells (CD44<sup>hi</sup>CD8<sup>+</sup>CD45.1<sup>+</sup>) in the lungs of vaccinated and control mice, presented as mean  $\pm$  SEM (n = 5), analysed by One-Way ANOVA (\*,  $P < 0.05$ , \*\*,  $P < 0.01$ ). (B) Single cell lung suspensions stimulated *ex vivo* for 4 h with SIINFEKL peptide prior to intracellular cytokine staining and flow cytometry analysis. Data presented as mean frequency  $\pm$  SEM (n = 5) of cytokine positive OT-I cells. (C) MFI quantified for each cytokine, presented as mean  $\pm$  SEM.

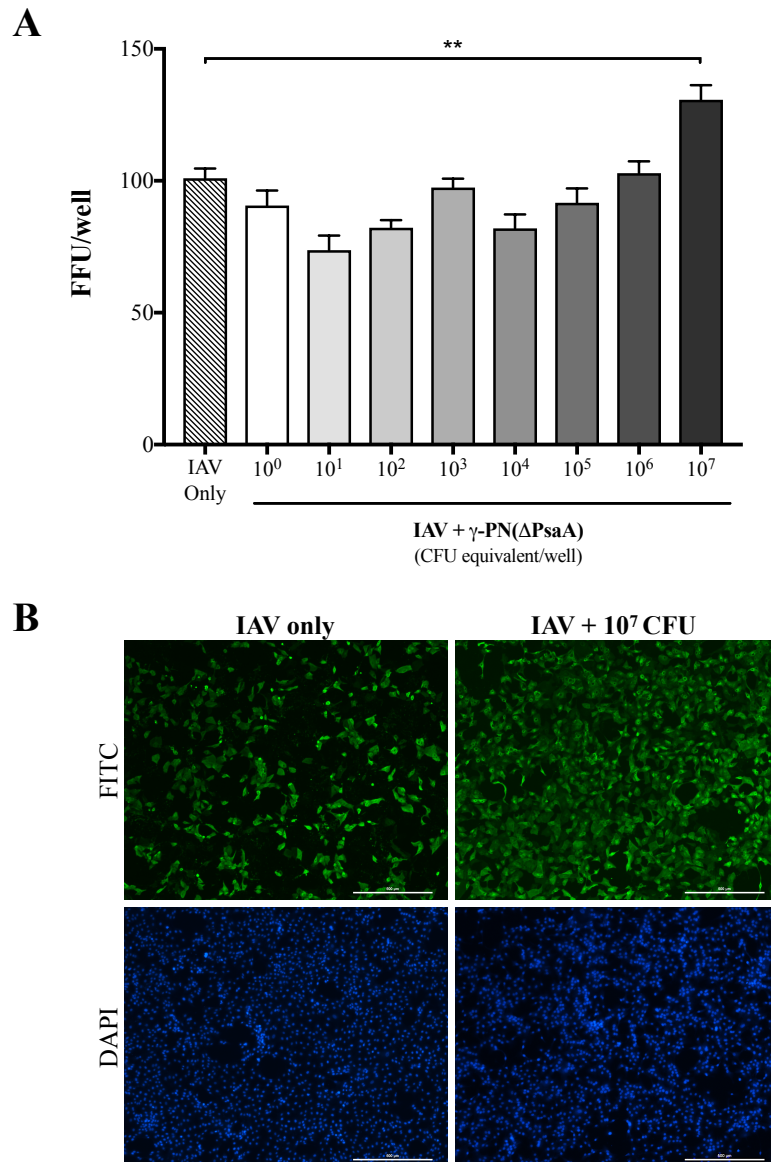


**Figure 4.11. Enhanced populations of IAV-specific (OT-I) and endogenous Tissue Resident Memory Cells ( $T_{RM}$ ) in the lungs of co-vaccinated mice.** OT-I cells were transferred IV to wild-type C57BL/6 mice. 24 h later, mice were vaccinated IN with  $\gamma$ -Flu-OVA alone or co-vaccinated with  $\gamma$ -Flu-OVA +  $\gamma$ -PN( $\Delta$ PsaA). Control mice were PBS-Mock vaccinated. 21 days post-vaccination, lungs were harvested for analysis of activated OT-I cells ( $CD44^{hi}CD45.1^{+}$ ) and endogenous T-cells ( $CD44^{hi}CD45.1^{-}$ ) expressing a  $T_{RM}$  phenotype ( $CD69^{+}CD103^{+}$ ) by flow cytometry. (A) Representative plots of  $T_{RM}$  gating on OT-I cells from the lung of single and co-vaccinated mice. Cells were pre-gated on live/dead. (B) OT-I  $T_{RM}$  cells detected in the lungs of vaccinated mice, presented as frequency and total cell count (mean  $\pm$  SEM, n = 5), analysed by two-tailed unpaired *t*-test (\*,  $P < 0.05$ ). (C) Endogenous (i)  $CD8^{+} T_{RM}$  cells ( $CD69^{+}CD103^{+}$ ), and (ii)  $CD4^{+} T_{RM}$  cells ( $CD11a^{hi}CD69^{+}$ ), presented as total cell count (mean  $\pm$  SEM, n = 5), analysed by One-Way ANOVA (\*,  $P < 0.05$ , \*\*,  $P < 0.01$ ).

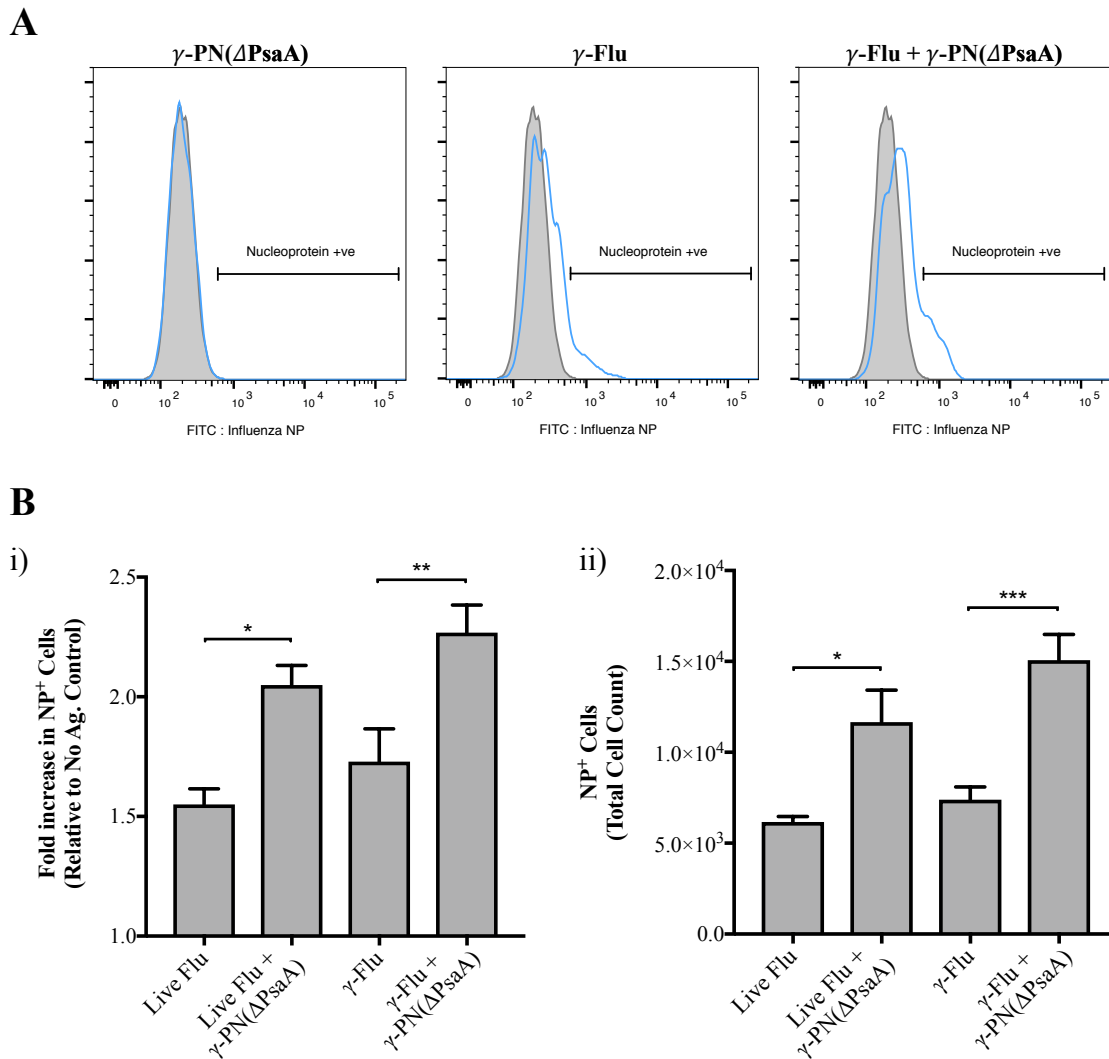


**Figure 4.12. Direct association of  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) whole inactivated vaccines.**

$\gamma$ -PN( $\Delta$ PsaA) whole inactivated pneumococcal vaccine was mixed with increasing amounts of  $\gamma$ -Flu (TCID<sub>50</sub>/mL equivalent, as indicated), and incubated statically for 30 min at 37°C. Unbound virions were removed by pelleting and extensive washing of pneumococci. Pneumococci were then stained with an IAV-specific FITC-conjugated antibody, and single cells analysed by flow cytometry. (A) Representative plots of FITC fluorescence (indicating IAV) for  $\gamma$ -PN( $\Delta$ PsaA) alone (grey), or  $\gamma$ -PN( $\Delta$ PsaA) incubated with increasing concentrations of  $\gamma$ -Flu (blue line). (B) Percentage of IAV-positive pneumococci was quantified for each  $\gamma$ -Flu concentration tested, and presented as mean  $\pm$  SEM (n = 3). Data analysed by One-way ANOVA compared to  $\gamma$ -PN( $\Delta$ PsaA) only (\*\*,  $P < 0.01$ , \*\*\*,  $P < 0.001$ , \*\*\*\*  $P < 0.0001$ ). (C)  $\gamma$ -Flu-OVA and  $\gamma$ -PN( $\Delta$ PsaA) vaccines were mixed on ice, then centrifuged and washed as above. Samples were negatively stained and imaged by transmission electron microscopy. Representative images, scale bar = 200 nm and 100 nm.



**Figure 4.13. Presence of  $\gamma$ -PN( $\Delta$ PsaA) enhances IAV infection of MDCK cells.** (A) Increasing concentrations of  $\gamma$ -PN( $\Delta$ PsaA) were incubated with live A/PR8, and mixtures added to MDCK cells at 100 focus forming units/well for 2 h to allow viral adhesion. Cells were then washed to remove free virions and pneumococci, and incubated for a further 22 h. Immunofluorescent staining was used to detect viral infection. (A) FFU were quantified in each well, data presented as mean  $\pm$  SEM ( $n = 8$ ) and analysed by One-Way ANOVA (\*\*,  $P < 0.01$ ). (B) Representative images of monolayers after incubation with A/PR8 virus alone or in combination with  $10^7$  CFU equivalent  $\gamma$ -PN( $\Delta$ PsaA). Virus was added to monolayers at MOI 0.1 in both cases. FITC channel (green) indicates IAV infection, and DAPI channel (blue) indicates cell nuclei. Scale bar = 500  $\mu$  m.



**Figure 4.14. Enhanced uptake of  $\gamma$ -Flu virions by macrophages *in vitro* when mixed with  $\gamma$ -PN( $\Delta$ PsaA).** (A) Differentiated THP-1 macrophage-like cells were incubated with  $\gamma$ -Flu,  $\gamma$ -PN( $\Delta$ PsaA), or a combination of the two for 3 h. Cells were then extensively washed to remove unbound antigen, and processed for analysis by flow cytometry. Representative histograms of FITC fluorescence (indicating intracellular IAV nucleoprotein, NP) after incubation with media only (grey) or inactivated vaccines (blue line). (B) THP-1 macrophage-like cells were incubated with live or irradiated IAV with and without  $\gamma$ -PN( $\Delta$ PsaA). Data presented as i) fold increase in NP positive cells relative to the media only control, and ii) total number of NP positive cells ( $\pm$  SEM,  $n = 4$ ). Data is representative of two independent experiments. Data analysed by One-Way ANOVA (\*,  $P < 0.05$ , \*\*,  $P < 0.01$ , \*\*\*,  $P < 0.001$ ).

# CHAPTER 5

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## Final Discussion



Conventional views of pathogen dynamics rarely deviate from the consideration of single pathogens acting independently of one another. However, in more recent times, interactions between pathogens are increasingly recognised as critical contributors to both health and disease progression [533-538]. These interactions are particularly prevalent at sites that harbour complex populations of commensal and occasionally pathogenic microbes, such as the gut and the respiratory tract. In the respiratory tract, viral infections are known to predispose a host to secondary bacterial infection and invasive disease [539-541]. The synergy between respiratory pathogens IAV and *S. pneumoniae* is a well-known example of this, with primary IAV infection increasing both incidence and severity of invasive pneumococcal disease. The risk of secondary bacterial pneumonia is particularly prevalent during IAV pandemic periods, and often accounts for a large portion of IAV-related fatalities [542-544]. Considering that current strategies to avoid both sequential and simultaneous infection with IAV and *S. pneumoniae* remain unsuccessful, the increasing threat of an avian influenza pandemic makes the need for alternative preventative measures considerably more urgent. Furthermore, existing inactivated IAV vaccines will not offer any protection against suddenly emerging pandemic strains due to lack of cross-protection. The live attenuated influenza vaccine (LAIV) currently licensed in certain regions can induce this cross-protection, however LAIV is associated with a high risk of viral recombination [405], and is not recommended for use in certain groups including the immune-compromised [404]. These shortcomings limit its suitability for use during a future pandemic.

A promising candidate for an alternative ‘pandemic vaccine’ has been developed by our lab and refined in the current study. Our approach involves the inactivation of whole IAV using gamma-irradiation, to generate an inactivated vaccine preparation (termed  $\gamma$ -Flu). Non-adjuvanted intranasal vaccination with our preparation confers significant protection against homotypic and heterosubtypic IAV challenges, including highly pathogenic avian H5N1 [361, 362]. Data presented here demonstrate that irradiation doses as high as 50 kGy have minimal impact on vaccine performance, and thus are suitable for  $\gamma$ -Flu inactivation. Highly pathogenic agents such as avian H5N1 are required to be inactivated with 50 kGy prior to transport or laboratory use. Hence, the maintenance of  $\gamma$ -Flu immunogenicity after 50 kGy-treatment observed here could facilitate the inclusion of

potentially pandemic-causing IAV strains in future vaccine formulations. Furthermore, the utilisation of 50 kGy means a SAL of  $10^{-6}$  for our IAV preparation is exceeded. This heightens the safety profile of our vaccine to enable future clinical development using highly pathogenic and/or pandemic IAV. Unlike the LAIV, our 50 kGy-inactivated formulation also carries minimal to no risk of viral recombination, further increasing its suitability for use during pandemic periods. Hence, our irradiated vaccine is likely to be safe for administration to all groups including the elderly and immune-compromised.

Use of gamma-irradiation for vaccine purposes has also been applied to the generation of a broad-spectrum pneumococcal vaccine by our lab. This formulation is comprised of irradiated whole un-encapsulated pneumococci (termed  $\gamma$ -PN), and non-adjuvanted intranasal vaccination with this preparation has been shown to protect against homologous and heterologous pneumococcal challenges [235]. Our lab has also previously investigated the co-administration of our  $\gamma$ -Flu and  $\gamma$ -PN vaccines, as a novel approach to confer broad-spectrum protection against the synergy of live IAV and *S. pneumoniae*. Prior publication demonstrated that co-administration of these two vaccines effectively protects against IAV and pneumococcal infections individually, as well as against lethal co-infection [403]. The mixing of whole viral and bacterial inactivated vaccines has not been investigated outside of our group to our knowledge, and the success of this approach thus far has the potential to reshape the combination vaccine field. In addition to protecting against individual and co-infection challenge models, co-administration of  $\gamma$ -Flu with  $\gamma$ -PN was shown to considerably enhance pneumococcal-specific responses [403]. Specifically,  $\gamma$ -PN-induced antibody responses and IL-17 production were dramatically increased, in co-vaccinated mice compared to those receiving  $\gamma$ -PN alone. This enhancement in immune responses was also associated with reduced pneumococcal nasopharyngeal carriage.

Remarkably, the current study illustrates that co-administering  $\gamma$ -Flu with the further attenuated pneumococcal vaccine ( $\gamma$ -PN( $\Delta$ PsaA)) similarly enhances IAV-specific immune responses, and actually heightens protection against IAV challenge. My data therefore illustrates bi-directional adjuvant activity for our co-administered vaccines. This dual enhancement of pathogen-specific responses paired with the conferral of broad-

spectrum protection against non-vaccine IAV strains and pneumococcal serotypes make our formulation an ideal pandemic vaccine candidate.

It is important to note that 50 kGy-treated  $\gamma$ -Flu preparations appeared to be slightly less effective at preventing weight loss after IAV challenge when compared to preparations treated with a substantially lower dose of 25 kGy (**Fig. 2.4**). This was only observed when using intentionally reduced vaccine doses, and the survival rate for all vaccinated mice in these titration experiments was 100% for both 25 kGy and 50 kGy-treated preparations. Data also suggests IAV-specific cytotoxic T-cell responses were slightly affected by the high irradiation dose used. Whilst the difference between efficacy of CTLs induced by 25 kGy- and 50 kGy-treated  $\gamma$ -Flu was not significantly different, the trend for 50 kGy-treated  $\gamma$ -Flu to induce less potent CTLs was apparent (**Fig. 2.5**). This slight impact on vaccine efficacy could potentially be overcome by increasing vaccine dose, or administering  $\gamma$ -Flu with an adjuvant. Fortuitously, my data shows that  $\gamma$ -PN( $\Delta$ PsaA) functions as a mucosal adjuvant for enhancement of IAV-specific responses. When co-administered with our inactivated pneumococcal vaccine, 50 kGy-treated  $\gamma$ -Flu conferred 100% protection against a heterosubtypic challenge (**Fig. 4.3**), indicating induction of robust cytotoxic T-cell responses in co-vaccinated mice. In contrast,  $\gamma$ -Flu administered alone provided only 60% protection against the intentionally harsh heterosubtypic challenge. Weight loss following both drifted pdmH1N1 and heterosubtypic H3N2 challenge was also minimised in co-vaccinated mice compared to those receiving  $\gamma$ -Flu alone. Thus, any deleterious effects of high irradiation dose on  $\gamma$ -Flu efficacy appeared to be entirely negated by co-administration with  $\gamma$ -PN( $\Delta$ PsaA). The use of irradiated pneumococci as a mucosal adjuvant simultaneously provides serotype-independent protection against pneumococcal infection, as shown by Babb *et al.* [403].

Interestingly, an experimental bacterial ‘ghost’ vaccine has been reported to have an adjuvant activity to co-administered viral antigens [409]. Controlled lysis was utilised to generate non-living bacterial ‘ghosts’, which were devoid of all cytoplasmic contents whilst bacterial morphology, envelope and surface structures were effectively maintained. Co-administration of bacterial ghosts with HIV proteins resulted in elevated HIV-specific humoral responses. Foreign antigens have also been loaded inside the

cytoplasmic lumen of these bacterial ghosts, and expressed on the surface or in the periplasmic space [410, 411]. Bacterial ghosts were shown to induce potent protective responses at mucosal surfaces against these ‘ghost-delivered’ foreign antigens, and bias the immune response toward the  $T_H1$  type [412, 413], which is typically indicative of  $CD8^+$  T-cell activation [414]. In contrast to HIV proteins administered alone, our  $\gamma$ -Flu vaccine alone induces highly effective neutralising antibody responses. Thus, no enhancement of humoral immunity was observed after co-administration of  $\gamma$ -Flu with whole irradiated pneumococci, as serum from both  $\gamma$ -Flu-vaccinated and co-vaccinated mice could neutralise 100% of A/PR8 infection *in vitro*. Importantly, enhanced populations of IAV-specific  $CD8^+$  T-cells were detected in the lungs of co-vaccinated mice compared to animals receiving  $\gamma$ -Flu alone (Fig. 4.10A). This suggests a similar bias towards  $T_H1$  and activation of  $CD8^+$  T-cell responses following co-vaccination with  $\gamma$ -PN( $\Delta$ PsaA).

Furthermore,  $\gamma$ -PN( $\Delta$ PsaA) was shown to be a strong inducer of TLR2 signalling *in vitro* when compared to the previous version of our pneumococcal vaccine (Fig. 3.10B). Heightened TLR2 signalling has actually been linked to enhancement of IAV-specific responses in other studies. Chua *et al.* demonstrated that co-administration of a split IAV vaccine with a TLR2 agonist-based lipopeptide adjuvant (R<sub>4</sub>Pam<sub>2</sub>Cys) prior to challenge improved viral clearance from the lungs of mice. Enhanced nucleoprotein-specific  $CD8^+$  T-cell responses were also observed in co-vaccinated mice compared to those receiving split IAV alone [545]. Heightened TLR2 signalling may be a specific mechanism by which co-administration with  $\gamma$ -PN( $\Delta$ PsaA) enhances the IAV-specific T-cell responses observed here. Follow-up studies could utilise TLR2-deficient mice to assess whether this particular receptor plays a role.

My data also suggests that the enhancement of IAV-specific immunity by  $\gamma$ -PN( $\Delta$ PsaA) may be related to augmented uptake of  $\gamma$ -Flu virions. In fact, enhanced IAV uptake by both epithelial (Fig. 4.13) and macrophage (Fig. 4.14) cell lines were observed *in vitro* when  $\gamma$ -PN( $\Delta$ PsaA) was mixed with IAV prior to inoculation. This enhanced IAV uptake appears to be mediated by a direct interaction between the whole IAV and pneumococcal vaccines when mixed in suspension (Fig. 4.12). To our knowledge, we are the first to

report this direct interaction between inactivated IAV and *S. pneumoniae*. A collaborator has recently observed a similar interaction between live IAV and *S. pneumoniae* (unpublished data), and thus my data demonstrates these pathogens retain their ability to directly bind even after inactivation by gamma-irradiation. This phenomena allows us to hijack existing pathogen-pathogen interactions to dramatically increase vaccine uptake and immune stimulation. Whilst the mechanism of IAV-pneumococcal interaction is yet to be elucidated, the downstream effects on vaccine-induced immune responses are of immense interest. For example, the enhanced uptake of  $\gamma$ -Flu by macrophages is expected to augment immune signalling. Due to the direct nature of the pathogen-pathogen interaction, recognition and phagocytosis of the entire IAV-pneumococci complex by phagocytic cells is highly likely. This would result in considerably augmented TLR signalling due to the presence of both viral and bacterial PAMPs within these cells. For example, our irradiated pneumococci present PAMPs on the cell surface for TLR2 and TLR4 stimulation, as well as containing intracellular components for stimulation of TLR9, e.g. via bacterial CpG-DNA [415, 209]. Internal components of  $\gamma$ -Flu similarly stimulate TLRs, e.g. TLR7 via viral ssRNA [350]. When compared to uptake of  $\gamma$ -Flu alone, simultaneous internalisation of  $\gamma$ -Flu +  $\gamma$ -PN( $\Delta$ PsaA) would induce a substantially altered cytokine milieu. In fact, IAV-infected human monocyte-derived macrophages (MDMs) have been shown to upregulate production of a wide variety of inflammatory mediators after subsequent exposure to *S. pneumoniae*. In particular, production of pro-inflammatory chemokine IP-10 was found to be synergistically enhanced in co-infected MDMs compared to those exposed to either of the single pathogens [546]. From a vaccination perspective, this inflammatory environment would be beneficial in facilitating trafficking of sizeable lymphocyte populations to the lung. Indeed, enhanced populations of IAV-specific CD8<sup>+</sup> T-cells were detected in the lung following co-vaccination (**Fig. 4.10A**), with heightened proportions of IAV-specific T<sub>RM</sub> cells (**Fig. 4.11**).

In addition to trafficking, presence of  $\gamma$ -PN( $\Delta$ PsaA)-induced inflammatory cytokines could also aid the conversion of recruited lymphocytes to memory phenotypes, and promote maintenance of memory T-cell pools in the lung tissue itself. For example, both TGF- $\beta$  and TNF have been implicated in T-cell conversion to a T<sub>RM</sub> phenotype [509, 500,

[510](#), [511](#)], and both cytokines are induced following intranasal *S. pneumoniae* infection and pneumococcal carriage respectively [[513](#), [512](#)]. Influx of additional macrophages to the site of vaccination would further promote this cytokine-driven immune activation. However, as neither the  $\gamma$ -Flu or  $\gamma$ -PN( $\Delta$ PsaA) vaccine components can replicate or proliferate respectively, this inflammatory cytokine milieu is expected to subside following clearance of the inactivated pathogens. Hence, this cytokine response would persist for a short time period only. In the context of a live infection with IAV and *S. pneumoniae*, increased uptake by phagocytes and the resulting inflammatory state is likely to be substantially more prolonged due to productive infection. This would cause excess immunopathology and tissue damage. In fact, the IP-10-CXCR3 axis has been associated with acute immune lung injury and exacerbated pathology during acute respiratory distress syndrome [[547](#), [548](#)]. *In vivo* study of IAV and pneumococcal superinfection also indicated that upregulation of IP-10 and other pro-inflammatory cytokines had a crucial role in the susceptibility of mice to lung neutrophilia, severe immunopathology, and mortality [[549](#)]. Investigation of the magnitude and longevity of the inflammatory cytokine milieu induced in the lung after co-vaccination, and after co-infection with live IAV and *S. pneumoniae* should be thoroughly investigated, as should the contribution of direct IAV-pneumococcal interactions to the induction of these inflammatory states.

As mentioned beforehand, direct interaction between IAV and *S. pneumoniae* has not been reported previously by any other group. However, interaction between IAV and other streptococci has been observed. Okamoto *et al.* reported a direct interaction between IAV and Group A *Streptococcus* (GAS, *Streptococcus pyogenes*), and demonstrated substantially less binding between IAV and a non-encapsulated mutant compared to wild-type GAS, indicating that the streptococcal CPS is a pre-requisite for viral interaction [[550](#)]. Another group demonstrated a similar requirement for pneumococcal CPS for viral-bacterial interaction, with a sialic acid moiety in the capsule of swine pathogen *Streptococcus suis* directly mediating binding to swine influenza viruses (SIV) [[551](#)]. Follow-up study highlighted that the HA of both H1N1 and H3N2 SIV subtypes, and human IAV isolate A/WSN/1933 (H1N1) were able to bind to the sialic acid present in the CPS of *S. suis* [[552](#)]. Hosaka *et al.* also demonstrated a CPS

sialic-acid dependent interaction with IAV for Group B Streptococcus (GBS, *Streptococcus agalactiae*) [553]. The virus-binding capability of GBS was specifically shown to be dependent on side chain length of the terminal sialic acid, and pre-treatment with bacterial neuraminidase resulted in loss of virus binding. Interestingly, both chained and single GBS cells were found to aggregate when incubated with high doses of virus [553]. Strikingly, the CPS-dependent interaction of other streptococci with influenza viruses is in direct contrast to data presented here. In fact, my data shows that direct binding between IAV and *S. pneumoniae* occurs in the absence of any pneumococcal CPS, as our  $\gamma$ -PN( $\Delta$ PsaA) is completely unencapsulated. Thus, the presence of CPS sialic acid moieties are unlikely to contribute to the observed viral-bacterial interaction.

Interestingly, respiratory syncytial virus (RSV) has also been shown to directly bind *S. pneumoniae* in a capsule-independent manner [554]. Hament *et al.* observed RSV-pneumococcal interactions for multiple pneumococcal serotypes, indicating a capsule-independent mechanism. RSV also bound in higher amounts to an un-encapsulated mutant than to wild-type pneumococci, confirming pneumococcal CPS was not a prerequisite for RSV binding, and actually interfered with viral-pneumococcal complex formation. Binding of RSV to *S. pneumoniae*, and to non-typeable *Haemophilus influenzae* has also been demonstrated by Avadhanula *et al.* [555]. The binding in both instances was dose-dependent, which is similar to data presented here for binding of IAV to *S. pneumoniae* (**Fig. 4.12A** and **Fig. 4.12B**). Interaction of RSV with both *S. pneumoniae* and *H. influenzae* was found to be dependent on RSV surface glycoprotein G [555]. This protein has also been associated with RSV binding to *Neisseria meningitidis* [556]. RSV G protein functions in viral attachment to host cells, and binds glycosaminoglycans such as heparan sulphate on the cell surface to initiate infection [557, 558]. However, the precise mechanism by which G protein mediates binding to the aforementioned bacteria is currently unknown. The mechanism(s) behind the pneumococcal-IAV interactions observed here are also yet to be elucidated, and are of immense interest to our group. The direct interactions are likely to contribute substantially to the lethal synergism observed when these two respiratory pathogens simultaneously infect a host. Thorough investigation will be conducted into the surface

proteins and/or components of the pneumococcal cell wall permitting the observed binding to IAV, and to other respiratory viruses of interest.

Pathogen-pathogen interactions occurring at other body sites, such as the gut, may also be of interest for future studies. In fact, enteric viruses such as Norovirus and Poliovirus have recently been shown to directly bind to multiple species of bacteria that comprise the host gut microbiota [537, 559-563]. An intact gut microbiota was also found to be critical for rotavirus infection in mice, suggesting a similar binding-based mechanism to mediate host cell infection [564]. These interactions may similarly cause differential immune uptake and processing of enteric pathogens, potentially contributing to prolonged inflammation and heightened disease severity.

Overall, this study has highlighted the immense value of co-administering whole inactivated IAV and *S. pneumoniae* for vaccine purposes. I believe the observed interactions and the resulting enhancement of IAV-specific immunity are due to the unique nature of our whole-inactivated vaccine components. It is hypothesised that the same effects would not be observed if we had been using split vaccines or purified protein components only. This study has also refined both of the  $\gamma$ -Flu and  $\gamma$ -PN( $\Delta$ PsaA) vaccines individually in terms of safety and immunogenicity, with the intent of enabling clinical advancement of this very promising pandemic co-vaccination approach. Future study will continue the investigation of cytokine/chemokine profiles and lymphocyte trafficking to the lung following co-vaccination, as well as dissecting the complex interplay between phagocytes and T lymphocytes following exposure to both viral and bacterial vaccine components. An increased understanding of pathogen-pathogen interactions in the context of mucosal vaccination and live infection could also reveal novel therapeutic options to alleviate the pathology associated with respiratory co-infection, and could be instrumental in reducing mortality during future IAV pandemics.

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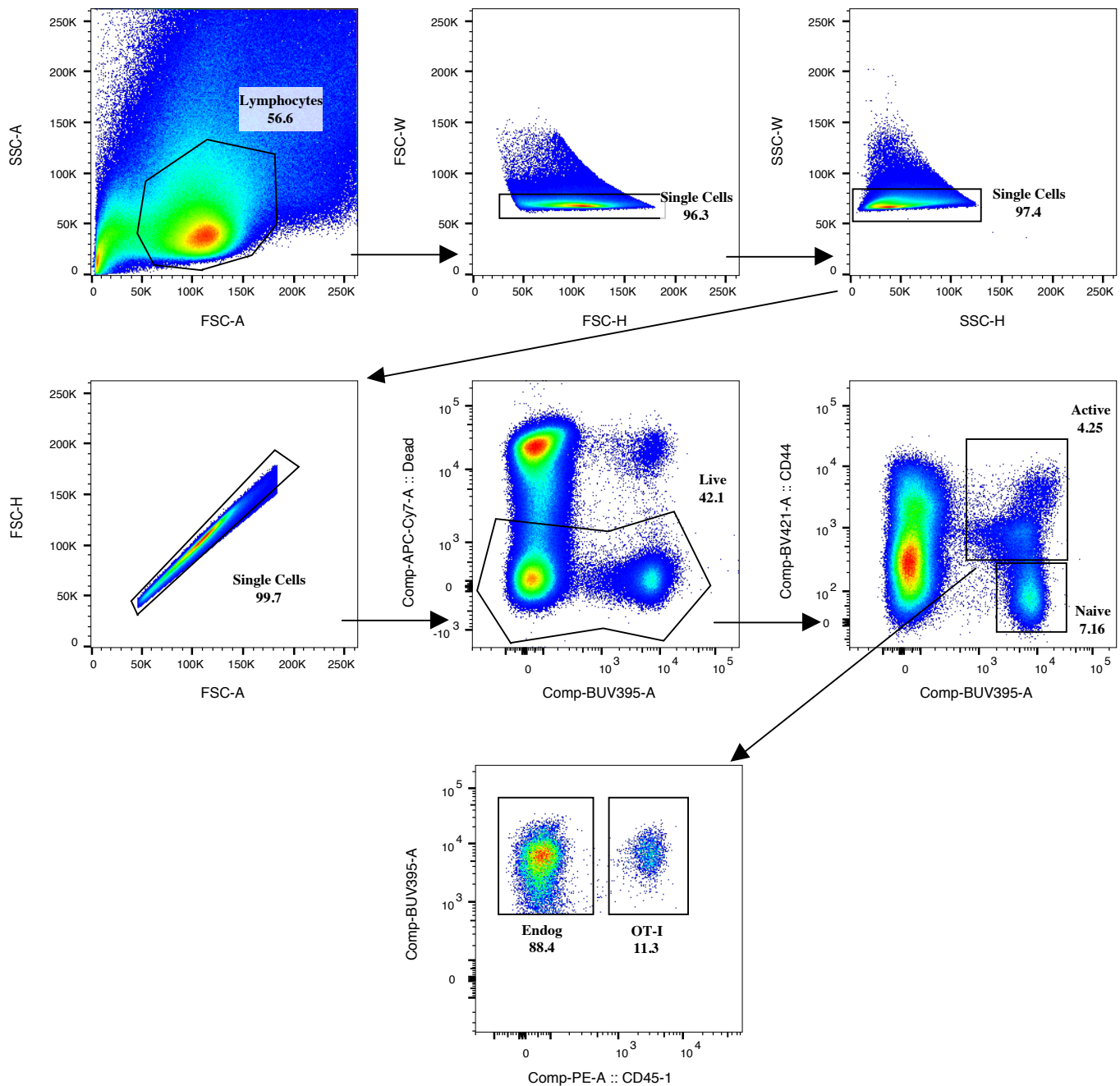


## Appendix

- Gating Strategies for Flow Cytometry
- Statement of Authorship Form (Chapter 2)
- Published Manuscript:

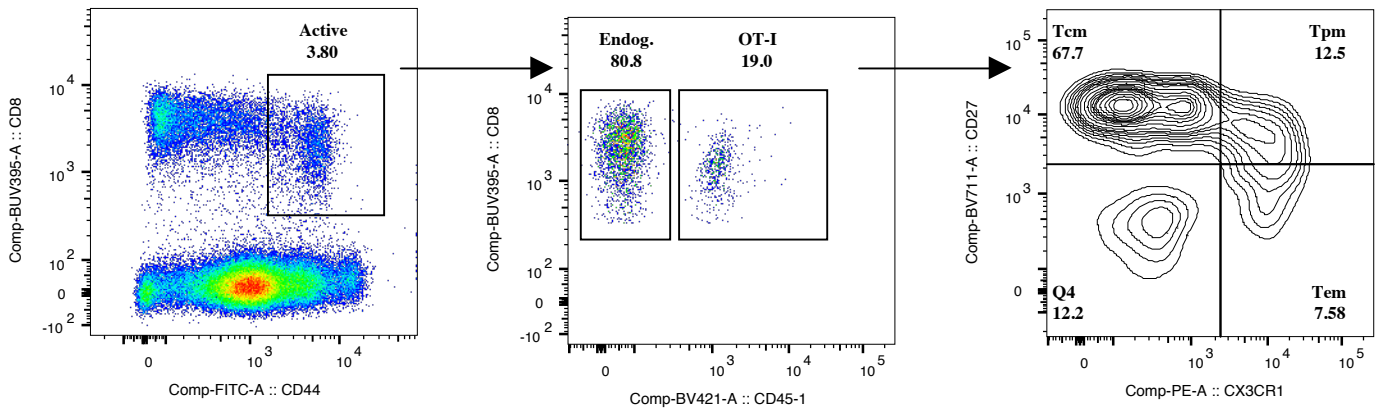
**David, S.C.**, Lau, J., Singleton, E.V., Babb, R., Davies, J., Hirst, T.R., McColl, S.R., Paton, J.C., Alsharifi, M., 2017. The effect of gamma-irradiation conditions on the immunogenicity of whole-inactivated Influenza A virus vaccine. *Vaccine*, **35**: 1071-1079.

## Flow Cytometry Gating Strategies

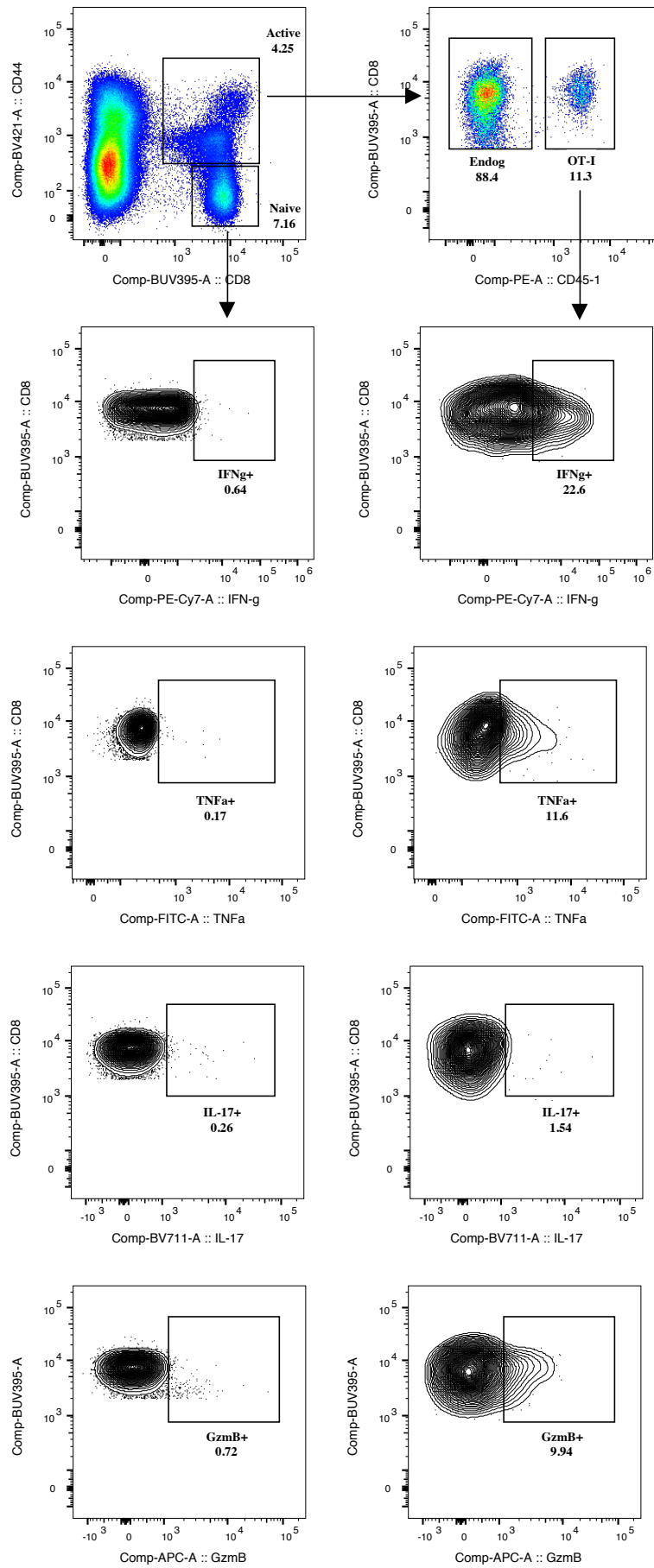


**Appendix 1. Gating Strategy for detection of transferred OT-I cells.** Transferred OT-I cells from CD45.1 mice were identified by first gating on size and singularity, followed by exclusion of dead cells. Live cells were then gated on CD8 (BUV395) and CD44 (BV421) to identify activated CD8<sup>+</sup> T-cells. Expression of CD45.1 on these activated cells then allowed discrimination of endogenous CD8<sup>+</sup> T-cells and transferred OT-I cells.

### Cells pre-gated on Lymphocytes → Single Cells → Live/Dead

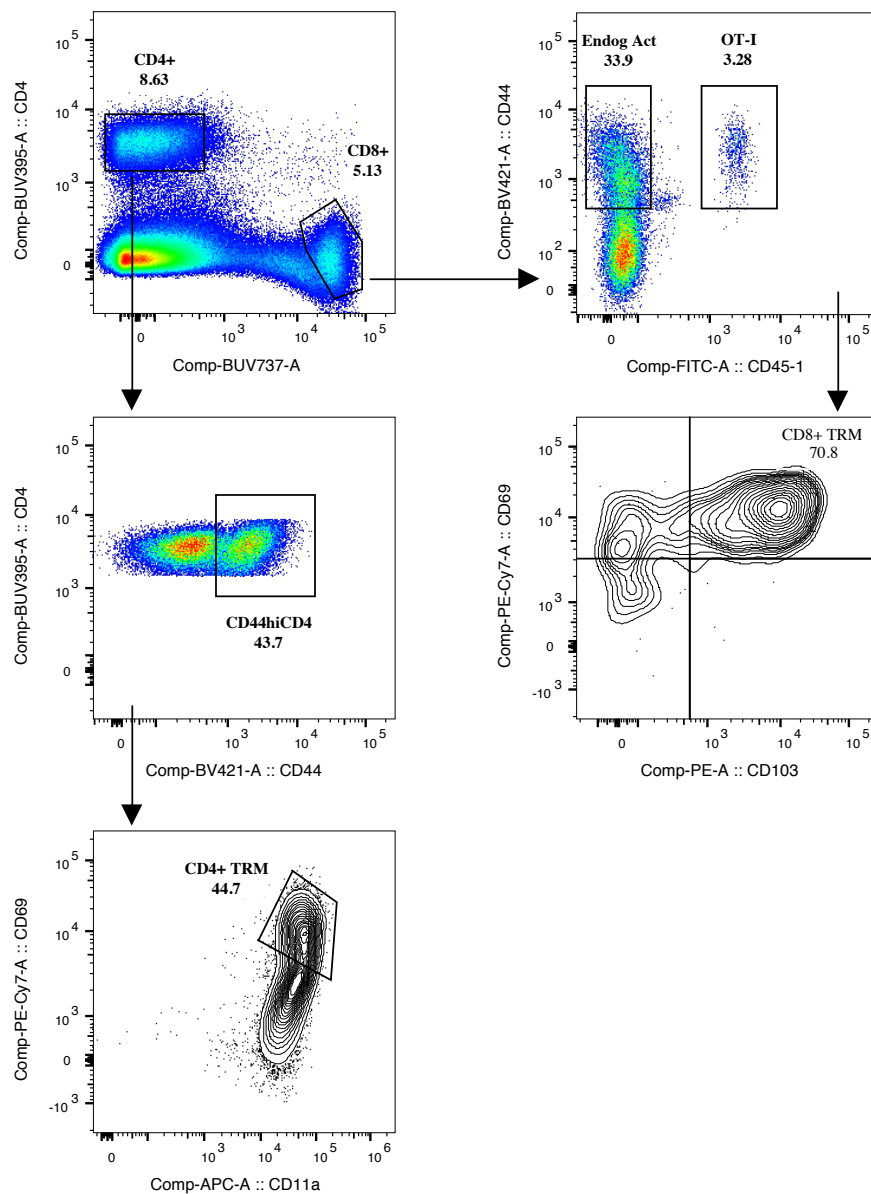


**Appendix 2. Gating strategy for detection of circulating memory cell subsets  $T_{CM}$  (central memory),  $T_{PM}$  (peripheral memory), and  $T_{EM}$  (effector memory).** Cells were gated based on size and singularity, followed by exclusion of dead cells, as per Appendix 1. Live cells were then gated on CD8 and CD44 to identify activated  $CD8^+$  T-cells. CD45.1 expression allowed identification of endogenous  $CD8^+$  T-cells and transferred OT-I cells. Each population was then gated based on CD27 and CX3CR1 to identify circulating memory cell subsets  $T_{CM}$  ( $CD27^+CX3CR1^-$ ),  $T_{PM}$  ( $CD27^+CX3CR1^+$ ), and  $T_{EM}$  ( $CD27^-CX3CR1^+$ ).

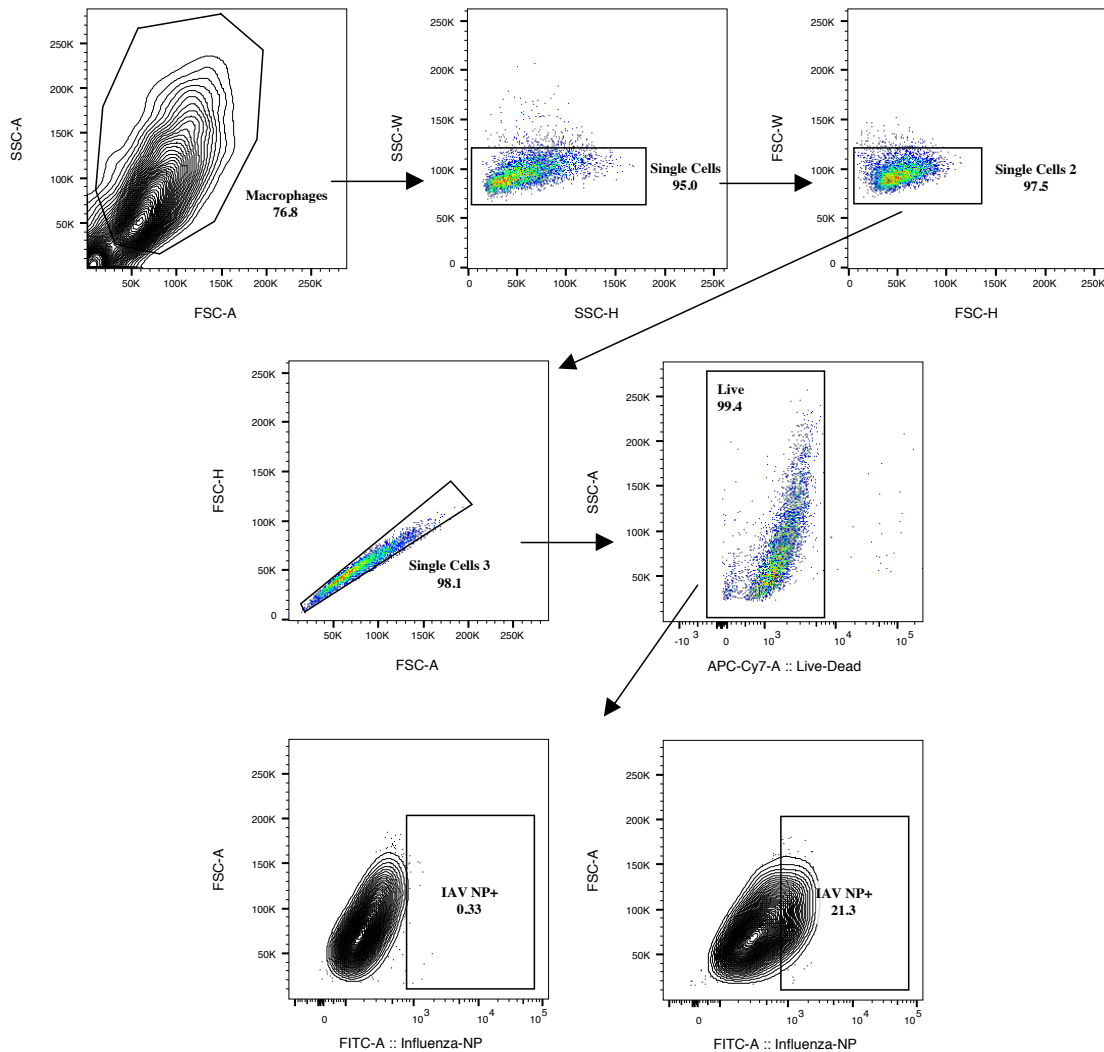


**Appendix 3. Gating strategy for detection of cytokine-positive T-cells.** Cells were gated first on size and singularity, followed by exclusion of dead cells, as per Appendix 1. Activated CD8<sup>+</sup> T-cells were gated for based on CD8 and CD44 co-expression. Naïve CD8<sup>+</sup> T-cells were identified by the absence of CD44. This naïve population was used to set positive gates for expression of the cytokines Gzmβ, TNF-α, IL-17, and IFN-γ. Activated CD8<sup>+</sup> T-cells were divided into endogenous CD8<sup>+</sup> T-cells and transferred OT-I populations using CD45.1 expression. The cytokine gates set using the naïve population were subsequently applied to activated CD8<sup>+</sup> T-cell subsets to identify cytokine-expressing cells.

Cells pre-gated on Lymphocytes --> Single Cells --> Live/Dead



**Appendix 4. Gating Strategy to identify Tissue Resident Memory ( $T_{RM}$ ) cells.** Cells were first gated based on size and singularity, followed by exclusion of dead cells, as per Appendix 1. CD8 (BUV737) and CD4 (BUV395) expression were then used to identify  $CD4^+$  and  $CD8^+$  T-cell populations. CD44 expression was used to identify activated T-cells in each subset, and the  $CD8^+$  T-cell subset was additionally divided into endogenous  $CD8^+$  T-cells and transferred OT-I cells based on CD45.1.  $CD4^+$   $T_{RM}$  cells were then identified using CD69 and CD11a co-expression.  $CD8^+$   $T_{RM}$  (both endogenous and transferred OT-I) were identified based on CD69 and CD103 co-expression.



**Appendix 5. Gating strategy for detection of IAV-positive macrophages.** Cells were first gated based on size and singularity, followed by exclusion of dead cells. Live cells from samples not exposed to IAV (left) were then used to set the positive gate for IAV nucleoprotein (NP). This gate was then applied to samples exposed to live or irradiated IAV (right).

# Statement of Authorship

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## Principal Author

Name of Principal Author (Candidate)	Shannon C. David		
Contribution to the Paper	Designed and performed experiments, performed analysis on all data, and wrote the manuscript.		
Overall percentage (%)	85%		
Certification:	This paper reports on original research I conducted during the period of my Higher Degree by Research candidature and is not subject to any obligations or contractual agreements with a third party that would constrain its inclusion in this thesis. I am the primary author of this paper.		
Signature		Date	23/01/2018

## Co-Author Contributions

By signing the Statement of Authorship, each author certifies that:

- i. the candidate's stated contribution to the publication is accurate (as detailed above);
- ii. permission is granted for the candidate to include the publication in the thesis; and
- iii. the sum of all co-author contributions is equal to 100% less the candidate's stated contribution.

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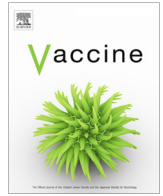
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Contribution to the Paper	Conceived and designed the study, supervised development of work, aided in data interpretation and manuscript editing, and was corresponding author.		
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# The effect of gamma-irradiation conditions on the immunogenicity of whole-inactivated Influenza A virus vaccine



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## ABSTRACT

Gamma-irradiation, particularly an irradiation dose of 50 kGy, has been utilised widely to sterilise highly pathogenic agents such as Ebola, Marburg Virus, and Avian Influenza H5N1. We have reported previously that intranasal vaccination with a gamma-irradiated Influenza A virus vaccine ( $\gamma$ -Flu) results in cross-protective immunity. Considering the possible inclusion of highly pathogenic Influenza strains in future clinical development of  $\gamma$ -Flu, an irradiation dose of 50 kGy may be used to enhance vaccine safety beyond the internationally accepted Sterility Assurance Level (SAL). Thus, we investigated the effect of irradiation conditions, including high irradiation doses, on the immunogenicity of  $\gamma$ -Flu. Our data confirm that irradiation at low temperatures (using dry-ice) is associated with reduced damage to viral structure compared with irradiation at room temperature. In addition, a single intranasal vaccination with  $\gamma$ -Flu irradiated on dry-ice with either 25 or 50 kGy induced seroconversion and provided complete protection against lethal Influenza A challenge. Considering that low temperature is expected to reduce the protein damage associated with exposure to high irradiation doses, we titrated the vaccine dose to verify the efficacy of 50 kGy  $\gamma$ -Flu. Our data demonstrate that exposure to 50 kGy on dry-ice is associated with limited effect on vaccine immunogenicity, apparent only when using very low vaccine doses. Overall, our data highlight the immunogenicity of influenza virus irradiated at 50 kGy for induction of high titre antibody and cytotoxic T-cell responses. This suggests these conditions are suitable for development of  $\gamma$ -Flu vaccines based on highly pathogenic Influenza A viruses.

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## 1. Introduction

Emergence of Highly Pathogenic Avian Influenza (HPAI) strains, H5N1, H5N6, H7N9, and H9N2, represent major health concerns due to the risk of worldwide pandemics [1]. Since 2003, the World Health Organisation (WHO) reported over 800 cases of human infection with avian H5N1, with an average mortality rate of 53% [2]. Most infections with H5N1 occur via infected poultry, though rare clusters of human-human transmission have been reported between family groups in Thailand [3,4], Indonesia [5], Turkey [6], and Vietnam [4]. HPAI may gain mutations to facilitate aerosol transmission between humans, as notably, a mere 5 mutations in a laboratory H5N1 strain allowed efficient aerosol transmission

between ferrets [7–9]. Existing inactivated Influenza vaccines induce strain-specific antibody responses, hence protective efficacy against emerging seasonal and pandemic strains is limited [12,13]. We reported the possible use of gamma-irradiated Influenza A virus ( $\gamma$ -Flu) as a vaccine candidate capable of inducing cross-protection against seasonal and pandemic virus strains [10,11,16].

To ensure sterility of irradiated influenza materials, the concept of Sterility Assurance Level (SAL) has been adopted and a value of  $10^{-3}$  or  $10^{-6}$  (one in a thousand or million chance of having live micro-organisms after treatment) has been arbitrarily determined and widely accepted [19]. The Australian Department of Agriculture recently considered an irradiation dose of 50 kGy as mandatory for sterilisation of highly pathogenic agents [14,15]. Considering the risk of avian Influenza pandemics, inclusion of HPAI strains may be warranted in future  $\gamma$ -Flu preparations; hence vaccine irradiation dose may be increased to meet the safety requirement. However, increasing irradiation dose may affect vac-

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cine efficacy. In addition, while damaging effect of  $\gamma$ -irradiation is dose-dependent [17,18]; the extent of structural damage is influenced by irradiation temperature [20,21,36–38]. Importantly, protein antigenicity is better maintained when virus samples are irradiated on dry-ice (DI) [23]. In the current study, we investigated the effect of irradiation dose and temperature on the immunogenicity of  $\gamma$ -Flu.

## 2. Materials and methods

### 2.1. Ethics statement

This study was conducted in strict accordance with Australian Code of Practice for Care and Use of Animals for Scientific Purposes (7th edition [2004], 8th edition [2013]) and South Australian Animal Welfare Act 1985. Experimental protocol approved by Animal Ethics Committee at The University of Adelaide (S-2013/014 & S-2016/036).

### 2.2. Cells & viruses

Influenza A virus [A/Puerto Rico/8/34 (H1N1) (A/PR8)] was grown in allantoic cavity of 10-day-old embryonated chicken eggs. Eggs injected with  $10^3$  TCID<sub>50</sub> A/PR8, incubated for 48 h at 37 °C, and chilled at 4 °C overnight. Allantoic fluid harvested, pooled and stored at –80 °C. Virus stock titrated in Madin-Darby Canine Kidney (MDCK) cells using TCID<sub>50</sub> assay [24] and estimated as  $1.5 \times 10^6$  TCID<sub>50</sub>/mL. Virus stock concentrated using chick erythrocytes (cRBCs) as previously described [25]. Concentrated A/PR8 stock titre estimated as  $2 \times 10^8$  TCID<sub>50</sub>/mL. For Haemagglutination Assay, live or irradiated stocks were serially diluted in PBS using 96-well round-bottom plate and 0.8% cRBCs in PBS added. Plates were incubated at 4 °C and haemagglutination patterns analysed 24 h later.

### 2.3. Vaccine preparations

A/PR8 stocks inactivated by exposure to  $\gamma$ -radiation from <sup>60</sup>Co irradiation facility at Australian Nuclear Science and Technology Organisation (ANSTO), either on dry-ice or at room temperature. Sterility confirmed by passages as recommended by WHO [26]. Lack of detectable HA activity, as measured by Haemagglutination assay, in allantoic fluid from 3 passages indicated complete loss of virus infectivity.

### 2.4. Transmission Electron Microscopy (TEM)

Irradiated A/PR8 ( $\gamma$ -A/PR8) samples loaded into 3 mm formvar-amorphous carbon-coated copper grids and left for 2 min. Excess solution removed by blotting. Samples stained with 2% Uranyl Acetate for 2 min, then blotted and left to dry at RT for 10 min before visualisation with FEI Tecnai G2 Spirit Transmission Electron Microscope (Adelaide Microscopy, University of Adelaide).

### 2.5. SDS-PAGE

Irradiated and control samples heat-treated at 85 °C for 20 min. Viral proteins separated by electrophoresis on Pre-Cast NuPAGE Novex 4–12% Bis-Tris gel (Thermo Fisher Scientific), then stained with Coomassie Brilliant Blue. Novex Sharp Pre-Stained Protein Standards (Thermo Fisher Scientific) used for MW comparison.

### 2.6. Mice & treatment

Six-week-old female wild-type BALB/c mice (H-2<sup>d</sup>) supplied by Laboratory Animal Services, University of Adelaide. Mice were anaesthetized intraperitoneally (IP) with 10  $\mu$ L/gram body weight ketamine anaesthetic (1% xylazine, 10% ketamine in sterile H<sub>2</sub>O), and vaccinated intranasally (IN) with one or two doses 14 days apart of  $\gamma$ -A/PR8. Control animals treated with PBS. 21 days post-vaccination, animals were anaesthetised, challenged IN with A/PR8 ( $1.6 \times 10^2$  TCID<sub>50</sub>/mouse), and monitored for 3 weeks for clinical symptoms and weight loss. Animals were culled if they lost 20% of starting body weight.

### 2.7. Measurement of influenza-specific antibody responses

Blood samples collected from all mice via submandibular bleeding 20 days post-vaccination and serum levels of A/PR8-specific IgG were determined by ELISA as described previously [27]. Absorbance measured at 450/620 nm using Biotrack II plate reader, end point titres expressed as reciprocal of the last dilution where OD value  $\geq$  cut-off value. Cut-off value was determined as mean  $+ (3 \times \text{S.D.})$  of OD values of samples from control mice.

### 2.8. In vitro neutralisation assay

96-well tissue-culture plates seeded with  $6 \times 10^4$  MDCK cells/well. A/PR8 activated by treatment with 2  $\mu$ g/mL TPCK-trypsin (Sigma-Aldrich) for 30 min at 37 °C. Heat-inactivated sera were serially diluted, mixed with A/PR8 (diluted in allantoic fluid + 4  $\mu$ g/mL TPCK-trypsin) in 1:1 ratio, and incubated for 1 h at 37 °C. Mixture added to MDCK monolayers at MOI of 0.1 and incubated for 2 h at 37 °C. Then, inoculum was removed, monolayers washed with PBS and returned to incubator for 22 h in serum-free media. Monolayers washed, fixed and permeabilised with acetone/methanol (1:1 ratio) at 4 °C and incubated with polyclonal murine anti-A/PR8 sera (generated as previously described [28]) for 1 h at 4 °C. Alexa-Fluor<sup>®</sup> 488 goat anti-mouse IgG (H + L) (Life Technologies) added for 1 h at 4 °C. Nuclei stained with DAPI (1  $\mu$ g/mL) for 30 min at room temperature (RT). Images acquired using a Nikon TiE inverted fluorescence microscope and analysed using NIS elements software (Tokyo, Japan).

### 2.9. Cytotoxic T-cell assay

Mice primed by intravenous injection of live or  $\gamma$ -A/PR8. 6 days later, target splenocytes from naïve mice labelled with 5,6-carboxyfluorescein diacetate succinimidyl ester (CFSE) (0.125 mM) or CellTrace™ Far-Red DDAO-SE (2  $\mu$ M, Thermo Fisher Molecular-Probes). CFSE population pulsed with Influenza A nucleoprotein peptide (GL Biochem (Shanghai) Ltd, sequence: TYQR-TRALV). Target cells mixed at 1:1 ratio (CFSE/CellTrace Red) and adoptively transferred into primed mice using intravenous injection ( $10^7$  cells/mouse). 24 h later, mice sacrificed, spleens harvested, processed to single-cell suspensions, and analysed using FACS (LSRII, BD Biosciences). Data analysed using FlowJo (Treestar Incorporated). Specific lysis as follows: lysis [%] =  $[1 - (\% \text{primed pulsed targets} / \% \text{primed non-pulsed targets}) / (\% \text{unprimed pulsed targets} / \% \text{unprimed non-pulsed targets})] \times 100$ .

### 2.10. Statistical analysis

Quantitative results expressed as mean  $\pm$  SEM. Unpaired Student's *t*-test used for comparison of data from two separate groups, and One-way ANOVA used for comparison of data from 3 or more groups. Statistical analysis performed using GraphPad Prism 6, ver-

sion 6.0d (GraphPad Software, La Jolla, CA, USA).  $P$  values  $< 0.05$  (95% confidence) considered statistically significant.

### 3. Results

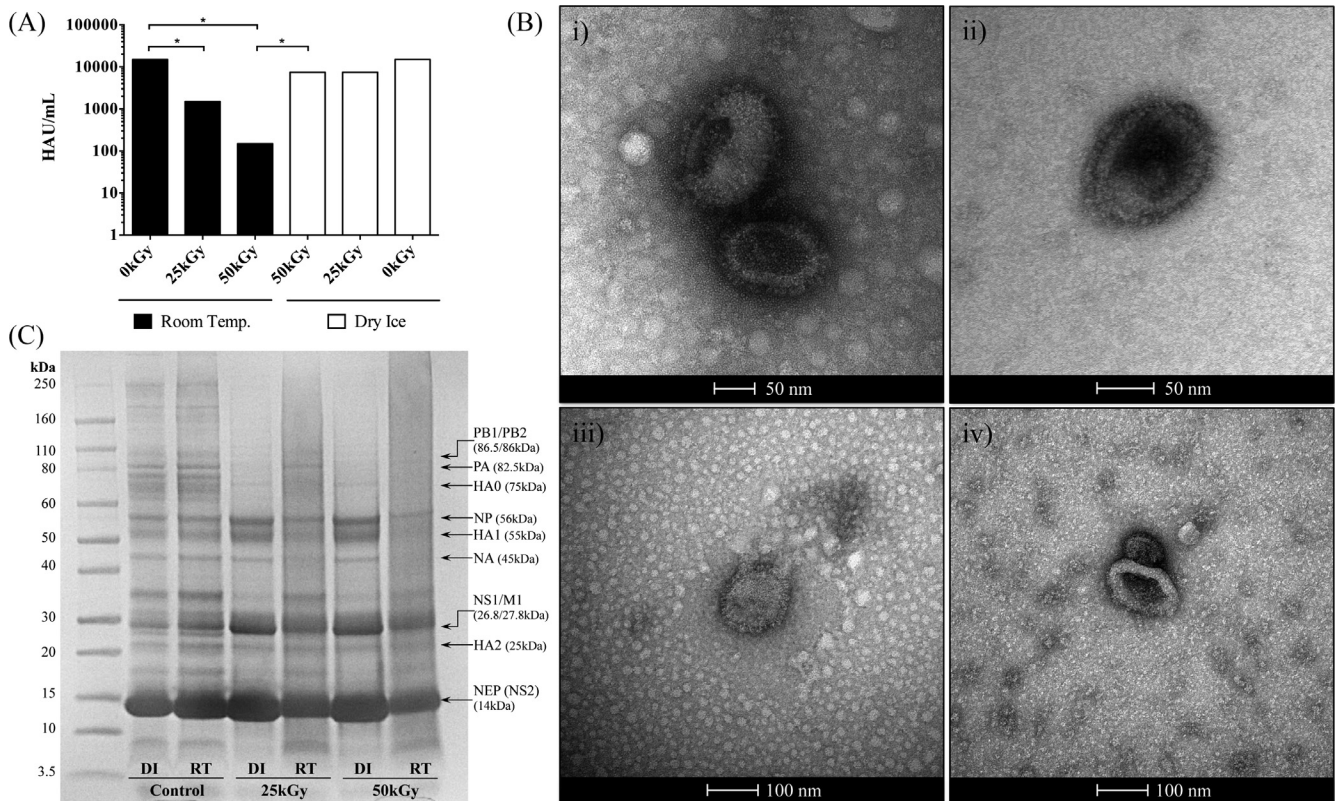
#### 3.1. The effect of irradiation conditions on HA titres and virion morphology

A/PR8 virus samples were exposed to 25 or 50 kGy of  $\gamma$ -radiation, either at room temperature (RT) or on dry-ice (DI). Haemagglutination assay used to determine the effect of irradiation conditions on HAU titres. Fig. 1A shows RT irradiation resulted in 90% and 99% loss of HAU titres for 25 and 50 kGy respectively. In contrast, only 50% loss in HAU was detected after irradiation on DI, regardless of irradiation dose. Considering that HAU titre is dependent on binding of HA to cRBCs, loss in HAU titres after irradiation at RT may be associated with structural damage. Therefore, TEM was used to visualise overall structure of irradiated viruses (Fig. 1B). Samples irradiated on DI show a more intact virus structure compared to preparations irradiated at RT. It should be noted that DI samples were resolved at 220,000 $\times$  magnification, whilst clear resolution for RT samples could only be visualised at lesser magnification of 135,000 $\times$ . Additionally, we detected dark aggregates in RT images, indicating potential formation of protein aggregates or split viral particles. We used SDS-PAGE to compare integrity of viral proteins in irradiated samples and non-irradiated controls. All major viral proteins were visible in control non-irradiated samples (Fig. 1C). The three influenza polymerase

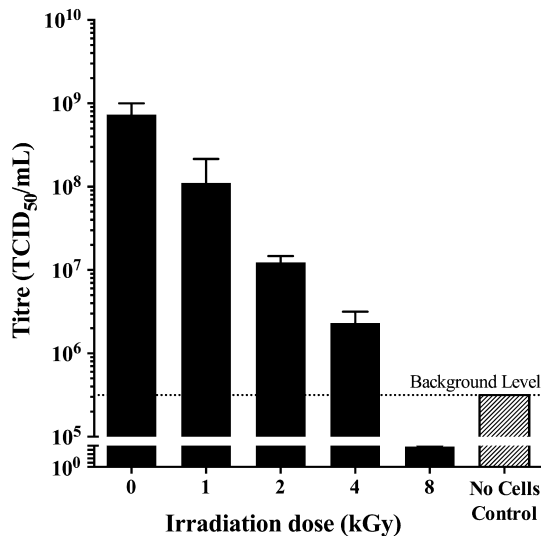
proteins resolved as two bands, with PB1 and PB2 migrating together to form a less defined band. Bands consistent with molecular weights for NP and M1 were visible for all samples. Importantly, uncleaved (HA0) and cleaved (HA1, HA2) forms of HA were present in control samples and DI irradiated samples. In contrast, HA0 and HA1 bands appeared faint in RT irradiated samples, consistent with reduced HAU titres. Furthermore, lanes related to RT samples showed an increase in smearing of proteins as opposed to formation of discrete bands, indicative of increased protein damage. Considering the significant reduction in HA titre and the observed effect on virion structure and protein integrity (Fig. 1), RT samples were considered inappropriate vaccine preparations and excluded from further testing.

#### 3.2. Estimating $D_{10}$ value and SAL

Sterility of 25 and 50 kGy-irradiated materials was confirmed using 3 passages in 10-day-old embryonated eggs. Additionally, to test whether these doses met the internationally accepted SAL, aliquots of cRBC-concentrated live virus were subjected to different irradiation doses and tested for reduction in virus titre using TCID<sub>50</sub> assay. We detected a log-linear relationship between the increased irradiation dose and the associated reduction in virus titre (Fig. 2). Based on this linear inactivation curve ( $R^2 = 0.9511$ ), we estimated a  $D_{10}$  value of 2.04 kGy. To calculate SAL, we considered the linear inactivation curve, the  $D_{10}$  value, the initial titre of  $2 \times 10^8$  TCID<sub>50</sub>/mL, and the need for 11 or 14 log<sub>10</sub> titre reductions to achieve SAL of  $10^{-3}$  or  $10^{-6}$ , respectively. Therefore, SAL for our



**Fig. 1.** The effect of irradiation dose and temperature on the structure of Influenza A virus. (A) A/PR8 samples exposed to 25 or 50 kGy of  $\gamma$ -irradiation either on dry-ice (DI) or at room temperature (RT). 0 kGy samples were used as controls and virus titre was estimated using haemagglutination assay and expressed as HAU/mL. Each column indicates mean value of quadruplicates  $\pm$  SEM, and data analysed by One-way ANOVA ( $P < 0.05$ ). (B) Transmission Electron Microscopy (TEM) used to visualise morphology changes following irradiation on dry-ice using 25 kGy (i) or 50 kGy (ii), or RT using 25 kGy (iii) or 50 kGy (iv). Virus preparations negatively stained with 2% uranyl acetate and visualised using FEI Tecnai G2 Spirit Transmission Electron Microscope. (C) SDS-PAGE of heat-lysed Influenza preparations, both irradiated samples and non-irradiated controls. Influenza proteins labelled according to their known MW from UniProtKB database (*Influenza A virus (strain A/Puerto Rico/8/1934 H1N1)*), and according to those identified by Shaw et al. [47].



**Fig. 2.** Inactivation curve of A/PR8 following exposure to different doses of  $\gamma$ -rays. Samples of A/PR8 virus were exposed to increasing doses of  $\gamma$ -irradiation, and virus infectivity was determined by TCID<sub>50</sub> assay. Background level was measured by the binding of cRBCs to A/PR8 after incubation for 5 days in the absence of MDCK cells.

vaccine could be calculated using the formula “SAL = D<sub>10</sub> value  $\times$  11” or “SAL = D<sub>10</sub> value  $\times$  14”, giving 22.4 kGy or 28.6 kGy for SAL value of 10<sup>-3</sup> or 10<sup>-6</sup>, respectively. This indicates that materials exposed to 25 or 50 kGy meet the internationally accepted SAL for  $\gamma$ -irradiated pathogens. In fact, 50 kGy represents a much higher dose than required to achieve sterility, representing about 24 log<sub>10</sub> reductions in virus titre. Importantly, we confirmed complete inactivation of our preparations in accordance with WHO recommended method of two passages in 10-day-old embryonated eggs [26], and performed 3 passages with no detectable HA in allantoic fluid.

### 3.3. The effect of irradiation dose on induction of protective immunity

To study the effect of irradiation dose on vaccine efficacy, mice were vaccinated with 25 or 50 kGy  $\gamma$ -A/PR8 irradiated on dry-ice. Serum samples were taken on day 20 post-vaccination and analysed for Flu-specific IgG titres using ELISA. Fig. 3A shows both preparations induced elevated A/PR8-specific IgG titres in serum following mucosal vaccination compared to controls and titres for 25 and 50 kGy  $\gamma$ -A/PR8 vaccinated groups were comparable. Additionally, vaccinated and control animals were challenged IN with lethal A/PR8 21 days post-vaccination, and monitored for clinical symptoms and weight loss. Fig. 3B shows  $\gamma$ -A/PR8 irradiated with either 25 or 50 kGy induced 100% protection against lethal IN challenge and vaccinated mice did not show any weight loss compare to controls. Our data indicate that both preparations (25 and 50 kGy) are highly immunogenic and show comparable protective efficacies when using 32  $\mu$ L/mouse of undiluted vaccine preparation (6.4  $\times$  10<sup>6</sup> TCID<sub>50</sub> equivalent/mouse).

### 3.4. The effect of vaccine dose on vaccine efficacy

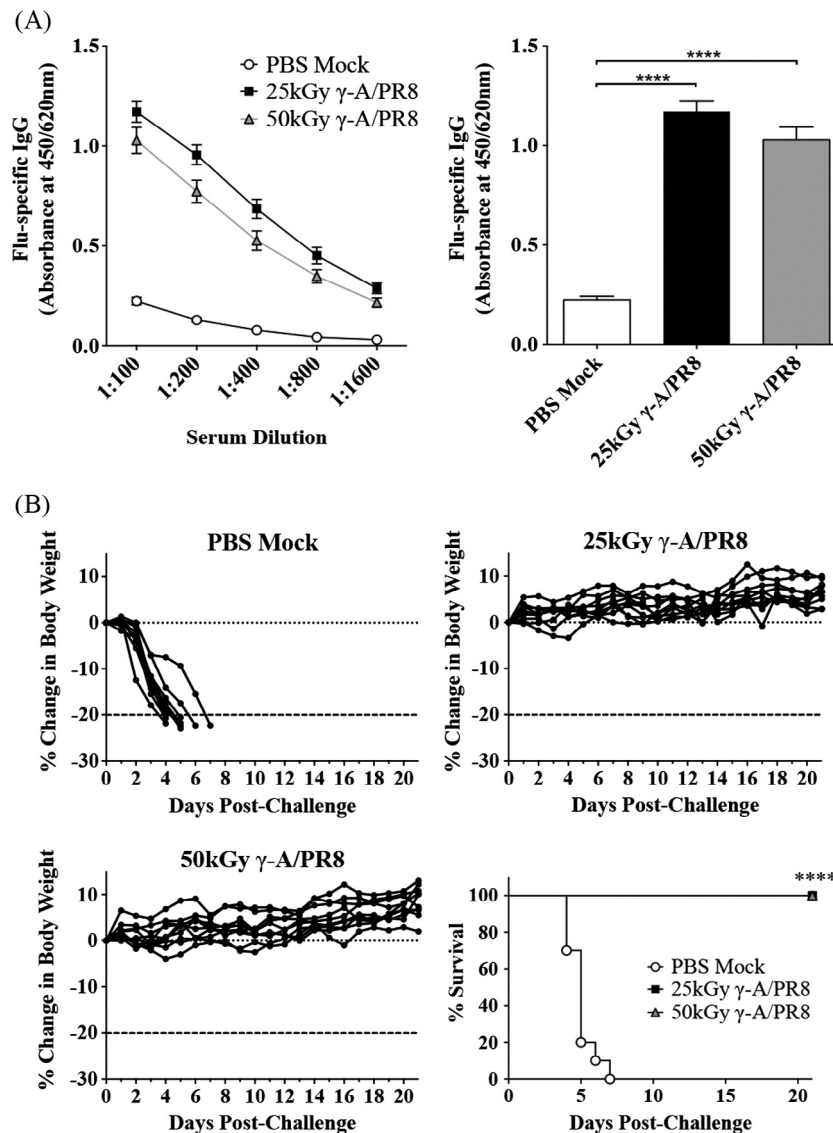
Our data and published studies [21,29–34] clearly indicate that structural damage could be controlled using freezing irradiation conditions. Nonetheless, increased exposure to  $\gamma$ -rays may be associated with reduced vaccine efficacy, albeit to a limited extend. To test this, mice were vaccinated with a single intranasal dose of  $\gamma$ -A/PR8, using either one-half or one-eighth of the dose used in Fig. 3. Protective efficacy was monitored following challenge with live A/PR8. Fig. 4A shows that vaccination with reduced doses of 25 or

50 kGy  $\gamma$ -A/PR8 resulted in 100% survival. However, we observed some weight loss (~10%) in animals vaccinated with one-eighth dose of 50 kGy  $\gamma$ -A/PR8 prior to full recovery. No weight loss was observed for the other vaccinated groups. We also analysed antibody responses; whilst all reduced doses induced seroconversion following intranasal vaccination, we detected a 50% reduction in IgG titres in serum samples from mice vaccinated with 50 kGy  $\gamma$ -A/PR8 compared to samples from mice vaccinated with 25 kGy  $\gamma$ -A/PR8. However, this reduction did not reach statistical significance (Fig. 4B). This indicates that while 50 kGy  $\gamma$ -A/PR8 appears to be immunogenic and confers high protective efficacy, exposure to 50 kGy may be associated with some damage to viral proteins. As such, this may have affected antibody responses and the ability of  $\gamma$ -A/PR8 to induce protection without weight loss, when using a reduced antigen dose.

To further investigate the effect of high radiation dose on  $\gamma$ -A/PR8, we employed a two-dose vaccination strategy using a very low vaccine dose of 5  $\times$  10<sup>4</sup> TCID<sub>50</sub> equivalent/mouse, approximately one-hundredth of the dose used in Fig. 3. A single vaccination with this reduced vaccine dose was not sufficient to induce protective immunity against lethal challenge, regardless of irradiation dose (Fig. 5A). Consequently, mice were vaccinated with two doses of 5  $\times$  10<sup>4</sup> TCID<sub>50</sub> equivalent, two weeks apart, followed by a lethal A/PR8 challenge 3 weeks later. When considering the two-dose strategy, vaccination with 25 kGy  $\gamma$ -A/PR8 resulted in significant protection (50% survival) following homotypic challenge. In contrast, two-dose vaccination with 50 kGy  $\gamma$ -A/PR8 was not associated with any protection. Interestingly, analysing antibody titres in serum harvested 24 h pre-2nd vaccination and 24 h pre-challenge showed both 25 and 50 kGy  $\gamma$ -A/PR8 induced comparable Flu-specific IgG titres (Fig. 5B). Additionally, *in vivo* CTL assay was performed to determine whether the observed difference in protection was due to T-cell mediated mechanisms rather than antibody responses. As shown in Fig. 5C, 50 kGy  $\gamma$ -A/PR8 induced slightly less potent CTL responses against nucleoprotein peptide (NPP) pulsed target cells compared to both 25 kGy  $\gamma$ -A/PR8 and live virus control, however this trend was not statistically significant.

### 3.5. Neutralising antibody responses induced by $\gamma$ -A/PR8

Antibody levels detected using ELISA in immune sera from mice vaccinated with 25 and 50 kGy  $\gamma$ -A/PR8 did not differ significantly, despite observed differences in protective efficacies (Fig. 5). Therefore, we investigated whether high radiation dose affected the quality of humoral responses rather than quantity. Serum samples from mice vaccinated with 6.4  $\times$  10<sup>6</sup> TCID<sub>50</sub> and 2 doses of 5  $\times$  10<sup>4</sup> TCID<sub>50</sub>  $\gamma$ -A/PR8 were tested using an *in vitro* neutralisation assay to quantify neutralising antibody responses. MDCK cells were infected with sera-treated A/PR8 at MOI of 0.1. PBS-treated A/PR8 was used as a virus-only control. Fluorescent staining of infected monolayers showed incubation of A/PR8 with sera from control mice (mock-sera) did not affect the ability of A/PR8 to infect MDCK cells, as infectivity for both mock-sera treated virus and the virus-only control were comparable. Importantly, A/PR8 treatment with immune sera from mice vaccinated with 6.4  $\times$  10<sup>6</sup> TCID<sub>50</sub> of 25 and 50 kGy  $\gamma$ -A/PR8 showed complete abrogation of virus infectivity (100% neutralisation), indicating that both vaccines induced strong neutralizing antibody responses (Fig. 6A). Different serum dilutions (1:20, 1:40 and 1:80) were also tested, and no difference in virus neutralisation was detected. Conversely, when testing serum samples from mice vaccinated with 5  $\times$  10<sup>4</sup> TCID<sub>50</sub>  $\gamma$ -A/PR8, we detected differences in virus neutralisation between immune sera from mice vaccinated with 25 versus 50 kGy  $\gamma$ -A/PR8. Importantly, serum samples from both 25 and 50 kGy  $\gamma$ -A/PR8 vaccinated groups induced significant virus neu-



**Fig. 3.** Intranasal vaccination with 25 kGy and 50 kGy  $\gamma$ -A/PR8 induces homotypic protection against lethal challenge. Mice vaccinated IN with  $6.4 \times 10^6$  TCID<sub>50</sub> equivalent/mouse of  $\gamma$ -A/PR8 vaccine irradiated with 25 or 50 kGy on dry-ice. (A) Serum samples harvested on day 20 post-vaccination were analysed for Flu-specific IgG by direct ELISA using serial serum dilutions, and 1:100 dilution of immune sera was selected for statistical analysis. (B) Weight loss of vaccinated mice following IN challenge on day 21 with lethal dose of A/PR8, and percentage survival. Data compiled from two independent experiments (n = 10). Data analysed by (A) One-Way ANOVA and (B) Fisher's Exact test (\*\*\*\**P* < 0.0001).

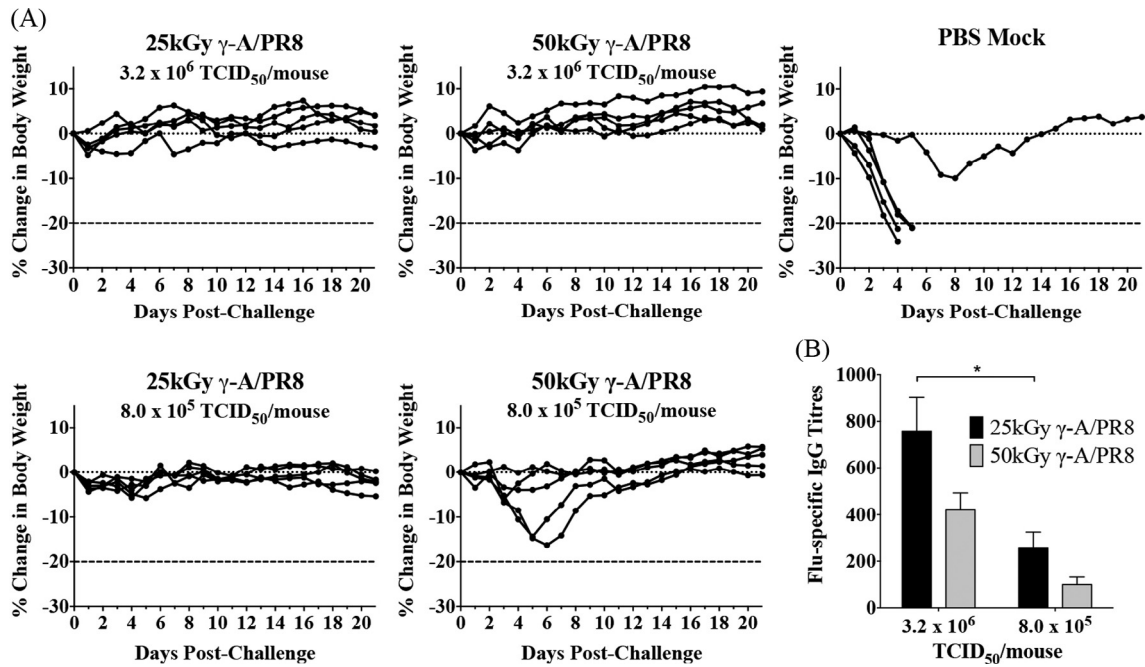
tralisation when compared to control samples. However, neutralisation ability between samples from vaccinated groups was different, as sera from the 25 kGy  $\gamma$ -A/PR8 vaccinated group appeared to be ~2.3-fold more effective at neutralizing A/PR8 (determined by difference between means of normalized FITC fluorescence) when compared to sera from 50 kGy  $\gamma$ -A/PR8 vaccinated mice (Fig. 6B).

#### 4. Discussion

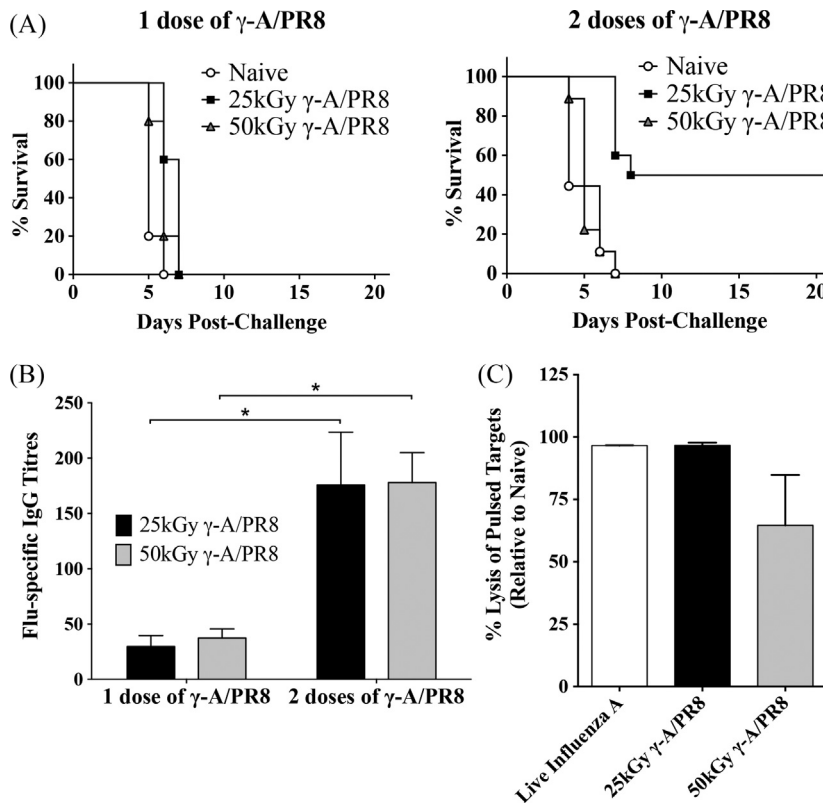
Rapid emergence of HPAI strains highlights the urgent need to develop safe vaccines capable of providing protection against circulating as well as emerging pandemic Influenza A viruses. We reported previously that vaccination with  $\gamma$ -Flu confers protection against lethal homotypic and heterosubtypic Influenza A virus challenges, including HPAI H5N1 [10,35]. Considering the risk of a worldwide pandemic, inclusion of HPAI virus strains may be desirable in future clinical developments of  $\gamma$ -Flu. To comply with

safety regulations regarding irradiation of pathogenic agents, 50 kGy may be considered. Therefore, we estimated the irradiation dose required to achieve a SAL of  $10^{-3}$  or  $10^{-6}$ , and investigated the effect of high irradiation dose and temperature conditions on  $\gamma$ -Flu efficacy.

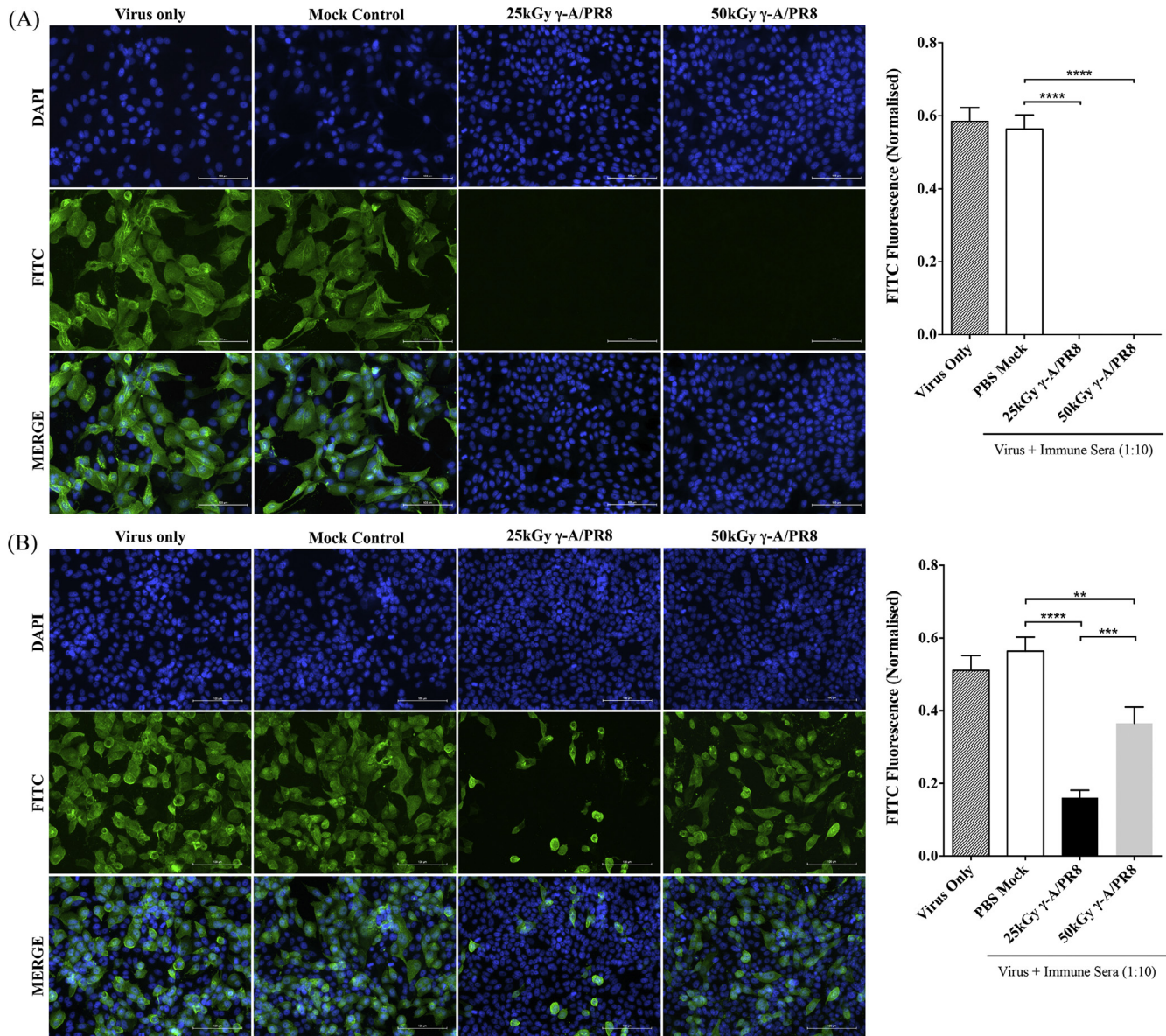
It has been reported previously that freezing target materials at ultra-low temperatures during irradiation reduces free radical formation and consequently minimizing the indirect damage to proteins [21,33]. For example,  $\gamma$ -irradiation of frozen plasma samples has been effective in sterilizing HIV virus with minimal impact on functionality of coagulation factors [40]. Furthermore, irradiation of freeze-dried materials was associated with maintained protein biological activity even after exposure to 45 kGy [39]. Our data indicate that we could maintain surface protein functionality and viral morphology by irradiating frozen materials in contrast to irradiation at RT. Interestingly, Feng et al. [22] used SDS-PAGE to demonstrate the decrease in the abundance of Murine Norovirus-1 capsid protein VP1 as irradiation dose increased. We



**Fig. 4.** The efficacy of  $\gamma$ -A/PR8 vaccine. Mice vaccinated IN with  $3.2 \times 10^6$  or  $8.0 \times 10^5$  TCID<sub>50</sub> equivalent/mouse of  $\gamma$ -A/PR8 vaccine irradiated on dry-ice. (A) Weight loss of vaccinated mice following IN challenge with lethal dose of A/PR8. (B) Serum samples harvested on day 20 post-vaccination were analysed for Flu-specific IgG by direct ELISA. Absorbance readings at 450/620 nm of naïve sera used to calculate relative IgG titres. Data presented as mean  $\pm$  SEM, and analysed by One-Way ANOVA (\*,  $P < 0.05$ ).



**Fig. 5.** Enhanced protective efficacy of 25 kGy  $\gamma$ -A/PR8 compared to 50kGy when using low vaccine dose. (A) Mice vaccinated IN with either a single dose of  $\gamma$ -A/PR8 irradiated on dry-ice ( $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse), or two doses administered two weeks apart. 3 weeks post-vaccination, mice were challenged IN with lethal dose of A/PR8, and monitored for survival. Data presented as survival rate ( $n = 10$ ), and analysed by Fisher's exact test ( $P < 0.05$ ). (B) Serum samples harvested via submandibular bleed 24 h pre-challenge from all mice, and tested for Flu-specific IgG using direct ELISA. Absorbance readings at 450/620 nm of naïve sera used to calculate relative IgG titres. Data presented as mean  $\pm$  SEM, significance determined by One-Way ANOVA ( $P < 0.05$ ). (C) CTL-mediated killing of NPP pulsed target splenocytes 24 h after adoptive transfer into mice primed with live influenza, 25 kGy or 50 kGy  $\gamma$ -A/PR8 (dry-ice irradiated). Percentage killing determined in relation to unprimed controls, data presented as mean  $\pm$  SEM and analysed by One-Way ANOVA.



**Fig. 6.** *In vitro* A/PR8 neutralisation by immune sera from vaccinated mice. Mice vaccinated IN with (A)  $6.4 \times 10^6$  TCID<sub>50</sub>, or (B) two doses of  $5 \times 10^4$  TCID<sub>50</sub> equivalent  $\gamma$ -A/PR8 irradiated on dry-ice. Serum samples harvested via submandibular bleed on day 20 post-vaccination, and neutralisation efficacy of naive (PBS mock-vaccinated) and  $\gamma$ -A/PR8-vaccinated immune sera at 1:10 dilution determined by *in vitro* neutralisation assay. After incubation with sera-treated virus, A/PR8 positive MDCK cells visualised using Nikon TiE inverted fluorescence microscope, with DAPI channel detecting cell nuclei, and FITC channel detecting A/PR8. Scale bar = 100  $\mu$ m. FITC fluorescence quantified using NIS elements software, and normalised using corresponding quantified DAPI fluorescence. Data presented as mean  $\pm$  SEM ( $n = 8$ ), and significance determined by One-Way ANOVA (\*\* $P < 0.01$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ ).

showed a similar trend for  $\gamma$ -A/PR8 samples irradiated at RT as we detected increased protein smearing with higher irradiation dose, as opposed to formation of many discrete bands (Fig. 1C). In contrast, materials irradiated on dry-ice showed discrete protein bands, particularly key proteins NA, HA1, and NP (45, 55, and 56 kDa respectively) that were maintained even following exposure to 50 kGy. In addition, RT samples failed to induce flu-specific serum antibody responses and sufficient homotypic protection (data not shown). Thus, we concluded that irradiation at RT is not suitable for vaccine development.

To ensure our dry-ice preparations satisfied requirements for internationally accepted standards [15,41–43], we established the killing curve of A/PR8 using vaccine samples irradiated at different doses. Our data show a clear log-linear relationship between increased irradiation doses and the associated reduced virus titre. This mathematical relationship was used to calculate a  $D_{10}$  value

of 2.04 kGy and a SAL value of 22.4–28.6 kGy. Therefore, 25 kGy sufficiently complies with guidelines of the International Atomic Energy Agency (IAEA) and International Standards Organisation (ISO). It is important to note that guidelines related to SAL should be accompanied by approved sterility tests, which we performed based on WHO recommendations. While SAL for our preparation is achieved using 25 kGy, 50 kGy may still be desirable to inactivate HPAI. Interestingly, 50 kGy is reported as the lowest dose capable of inactivating Venezuelan equine encephalitis virus (VEEV) [44], and an exposure to 50 kGy on dry-ice was reported to reduce  $\gamma$ -VEEV antigenicity and epitope integrity [45]. In contrast, our data show vaccination with 25 or 50 kGy dry-ice  $\gamma$ -A/PR8 resulted in significantly elevated A/PR8-specific IgG titres and 100% protection against lethal challenge.

Despite high efficacy of 50 kGy  $\gamma$ -A/PR8, we further investigated whether exposure to high irradiation dose affected vaccine

immunogenicity, and whether increased vaccination dose could overcome such effects. To address these possibilities, we used reduced  $\gamma$ -A/PR8 vaccine doses. Remarkably, these doses conferred 100% protection against lethal challenge. We did notice that animals vaccinated with  $8 \times 10^5$  TCID<sub>50</sub> equivalent/mouse (one-eighth dose) of 50 kGy  $\gamma$ -A/PR8 lost some weight prior to full recovery, in contrast to animals vaccinated with the same dose of 25 kGy  $\gamma$ -A/PR8. This minor difference in vaccine efficacy was confirmed using a severely reduced vaccine dose ( $5 \times 10^4$  TCID<sub>50</sub> equivalent/mouse) administered using a two-dose strategy. While 25 and 50 kGy  $\gamma$ -A/PR8 induced comparable levels of A/PR8-specific IgG, we detected a significant difference in A/PR8 neutralisation by immune sera associated the difference in vaccine protective efficacy using this low-dose setting. Interestingly, a study investigating  $\gamma$ -irradiation of allergens showed irradiation with 15 kGy abolished binding of IgE from allergic individuals to allergen proteins [46]. High irradiation potentially damaged allergen epitopes, consequently affecting antibody binding. Similarly, antibodies induced by 50 kGy-treated virus samples may recognise slightly damaged epitopes rather than native epitopes, hence live virus epitopes are less well recognised and consequently virus neutralisation is reduced. Importantly, our data indicate that using higher vaccination doses could overcome the reduced efficacy of 50 kGy  $\gamma$ -A/PR8, as equal and highly effective virus neutralisation for 25 and 50 kGy  $\gamma$ -A/PR8 was observed when using high vaccine dose. Additionally, both 25 and 50 kGy  $\gamma$ -A/PR8 preparations induced CTL responses against internal Influenza peptides that resembled CTL activity induced by live virus.

## 5. Conclusion

Overall, our data show no detectable difference in performance between 25 and 50 kGy  $\gamma$ -A/PR8 when using standard doses. Reduction in 50 kGy  $\gamma$ -A/PR8 efficacy is only apparent when using intentionally low vaccination dose, which is not relevant to a clinical setting nor for future  $\gamma$ -Flu development. This study has demonstrated the suitability of using freezing conditions for  $\gamma$ -irradiation of viruses to produce inactivated vaccines that elicit strong protective immunity. This supports the use of 50 kGy for developing future  $\gamma$ -Flu vaccines that include HPAI virus strains.

## Author contributions

MA, SCD & TRH conceived and designed the study. SCD, JL, EVS, RB & JD performed experiments and prepared reagents. SCD, EVS & JD performed statistical analyses. MA, SCD, JD, TRH, JCP & SRM wrote the manuscript.

## Conflict of interest

The authors have no conflicting financial interests, except MA is head of the vaccine research group at the University of Adelaide and the Chief Scientific Officer of Gamma Vaccines Pty Ltd. TRH is the Executive Chairman of Gamma Vaccines Pty Ltd. This does not alter adherence to policies on sharing data and materials.

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