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Modelling electro-osmosis-surge preloading combined consolidation of unsaturated soils

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18 **Abstract:** Electro-osmosis often dewater soils into unsaturated conditions. The
19 unsaturated conditions invalidate electro-osmosis models that are developed by
20 assuming presence of full saturation throughout. The unsaturated condition causes
21 non-negligible influences on soil geomechanical properties and its consolidation
22 behaviour, and should be accounted for. This study presents a numerical model,
23 UEC2, of simulating electro-osmosis–surcharge preloading combined consolidation
24 considering varied degree of saturation of soil. Model UEC2 is able to capture
25 nonlinear changes of soil properties, time-dependent loading and instantaneous pore
26 air compression. This model was validated against laboratory test results, and was
27 applied to varied test examples in order to assess evolvement of unsaturated
28 conditions and its effect on consolidation.

29

30 **Keywords:** Large-strain consolidation; electro-osmosis; surcharge preloading;
31 unsaturated; seepage; nonlinear

32

33

34 **1. Introduction**

35 Electro-osmosis is the motion of liquid in porous media under influence of electric
36 field. When subjected to electro-osmosis, pore water is driven, under an ionic current,
37 to travel towards the electric terminals, enabling a flow independent on particle size.
38 A competitive advantage of electro-osmosis is the success of removal of pore water of
39 down to the nano-scale, thus enabling the finest dewatering. This is advantageous
40 over the other consolidating methods e.g. surcharge preloading which is very
41 time-consuming when applied to less permeable soils. Electro-osmosis applied to the
42 porous media has been shown to be successful in generating pore water flow through
43 a less permeable area or a clogging smear zone [1-5].

44
45 Although electro-osmosis is showing great trait in porous media dewatering,
46 challenges remain with respect to accurately predicting rate of flow, soil deformation
47 and resulting energy consumption. Earlier studies [6-10] have developed
48 consolidation models from the largely idealised perspective of full saturation. This
49 assumption helps simplify model development, and is acceptable for soils of relatively
50 high moisture contents [11], or soil layers with an open boundary at anodes [12].
51 However, if the soils have been dewatered to below the liquid limit, or the boundary
52 at anodes is closed, as is required in many scenarios, the soil layers become partially
53 saturated, mainly caused by negative pore water pressure, throughout the dewatering
54 process [12]. The emerging unsaturated condition invalidates the assumption of full
55 saturation in electro-osmosis and therefore restricts models suitability.

56

57 The partially saturated condition was considered in the model developed by Wang *et*
58 *al.* [13]. Their model however is subject to conditions of constant and homogeneous
59 soil properties, and therefore is restricted to small strain consolidation or thin layers
60 where linear Darcy's flow occurs. Large-strain deformation of up to 23% has been
61 recorded in consolidating fine-grained soils [1]. Large-strain deformation is
62 significant in dynamically influencing the media's consolidation properties (e.g.,
63 hydraulic conductivity, void ratio, electrical resistivity and electro-osmotic
64 conductivity), in particular when the soils become unsaturated [1, 14]. Numerically,
65 the large-strain consolidation in unsaturated conditions was examined by Yuan and
66 Hicks [15]. However, it is unclear whether or not their model accounts for the full
67 dewatering process of from the saturated to unsaturated conditions. Identifying this
68 transition helps gauge the state of water saturation and apply the suitable algorithms
69 (i.e., saturated vs. unsaturated). In addition it is unclear whether their model is
70 validated against unsaturated scenarios or not. Zhou *et al.* [16] developed a
71 one-dimensional electro-osmotic consolidation model for unsaturated soils, called
72 UEC1. Model UEC1 is able to capture the saturation-to-unsaturation transition, and to
73 account for the soil layer large strain, nonlinear changes in soil properties and varied
74 degree of saturation. Their model is limited to a sole electro-osmosis process and
75 cannot simulate the electro-osmosis–surcharge preloading combined process. All of
76 the above elements, including the unsaturated conditions, property changes, nonlinear
77 Darcy's flow, and the effect of surcharge preloading, are predictable or approachable

78 within engineering accuracy once the factors that govern them are understood. These
79 elements can be integrated into a computational program to refine the approximation
80 of consolidation process.

81

82 In this paper, a numerical model for one-dimensional consolidation considering
83 saturation variation of soil layer subjected to the electro-osmosis–surcharge
84 preloading method, called UEC2, is presented. The UEC2 model is the next step of
85 UEC1 and has all the capabilities that model UEC1 has. In addition, model UEC2 is
86 developed to account for time-dependent loading, instantaneous pore compression
87 associated with the combined effects of electro-osmosis and surcharge preloading.
88 The UEC2 model was examined and validated against laboratory test results, and was
89 applied to some interesting example problems in order to optimise the consolidation.

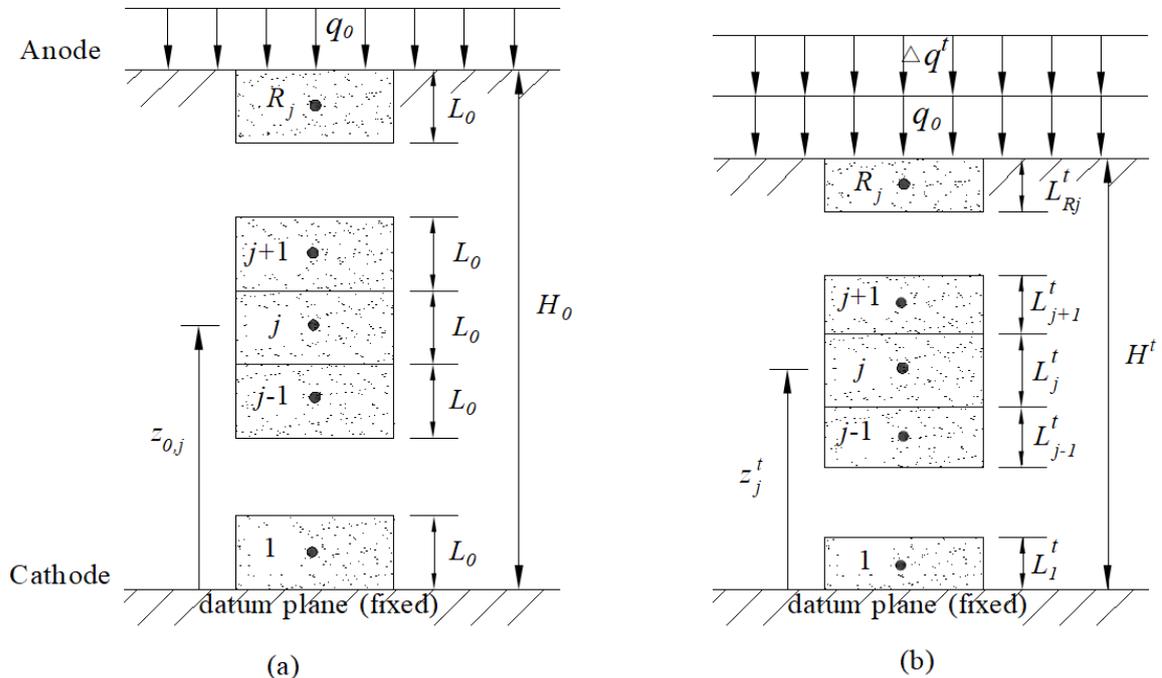
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91 **2. MODEL DESCRIPTION**

92 **2.1 Geometry**

93 The model geometry of the electro-osmosis–surcharge preloading consolidation is
94 presented in Figure 1. A soil stratum has an initial height H_0 and is subjected to
95 initial vertical load q_0 . The stratum is divided equally in the horizontal direction into
96 R_j elements. Each element has a thickness $L_0 = H_0 / R_j$. An Eulerian coordinate
97 system, z , coincides with the bottom of the stratum, with a positive direction against
98 the gravity. The initial elevation of the central node of element j is $z_{0,j}$. At time $t \geq 0$,
99 a combination of direct current voltage V_m^t and vertical load increment Δq^t is

100 applied to the stratum. The anode and cathode sit on the top and bottom boundaries
 101 respectively, with the voltage gradient vector acting downward. The voltage gradient
 102 and the vertical load increment together drive water flows between the elements and
 103 across the boundaries. The boundaries can be drained or undrained depending on the
 104 water accessibility. At time $t > 0$, the soil stratum is deformed as shown in Figure 1(b).
 105 It is assumed that the soil particles and water are incompressible, and only vertical
 106 deformation take place for the soil stratum. It is noteworthy that the model set-up is
 107 preferable to scenarios of sludge, tailings or hydraulic filling which usually start with
 108 a clear work space and allow laying the opposite electrodes in different levels. This
 109 set-up enables an electric field acting top-bottom, coinciding with the gravity force
 110 and surcharge preloading, and thus facilitating consolidation.

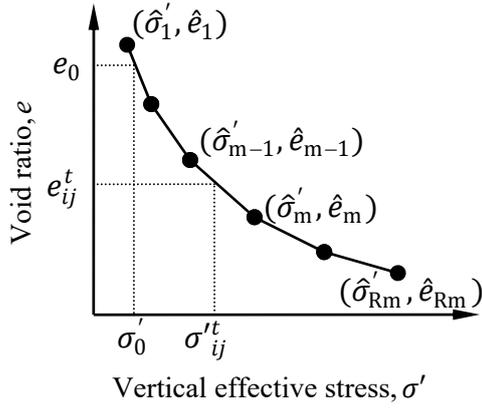


111 (a) (b)
 112 Figure 1 UEC2 model for a soil stratum subjected to the electro-osmosis–surcharge
 113 preloading consolidation: (a) before the consolidation ($t < 0$), (b) after the
 114 consolidation ($t \geq 0$).

115

116 ***2.2 Constitutive Relationships***

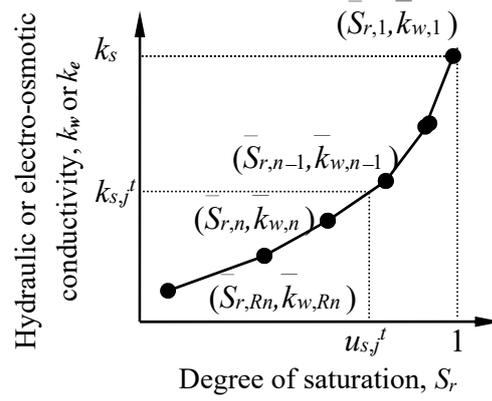
117 Model UEC2 adapts the methodology in model UEC1 in order to develop the
118 constitutive relationships. As shown in Figure 2, four constitutive relationship curves,
119 i.e., the compressibility, water conductivity, air permeability and soil–water
120 characteristic curve (SWCC), are plot. The compressibility curve [Figure 2 (a)] is
121 similar in development to those used in models of saturated soils, such as CS2 [17],
122 EC1 [18] and EC2 [19]. The curve is defined by $R_m (\geq 2)$ pairs of the corresponding
123 void ratio \hat{e} and the vertical effective stress $\hat{\sigma}'$, and is usually obtained from
124 oedometer tests. This compressibility curve however is used to examine components
125 of deformation related to saturation effects. The components arising from the
126 unsaturation effects are determined using the other three constitutive relationships.
127 From the relationships, the hydraulic conductivity, k_w , electro-osmotic conductivity, k_e ,
128 air permeability, k_a , and the matrix suction, u_s , are a monotonic function of the degree
129 of saturation, S_r . Similarly, each of the relationships comprises ≥ 2 pairs of points: R_n
130 for the hydraulic conductivity, R_l the air permeability, R_k for the suction, which are
131 obtained from corresponding laboratory tests. Between the relationships, the degrees
132 of saturation use different notations in order to distinguish their values.



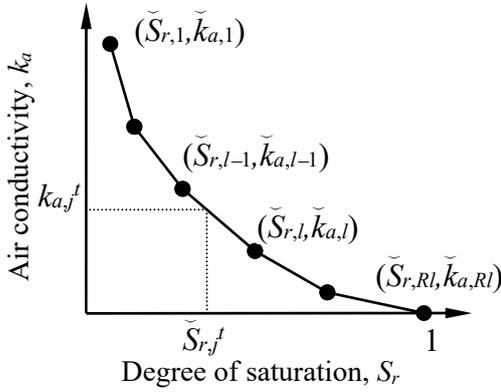
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134

(a)



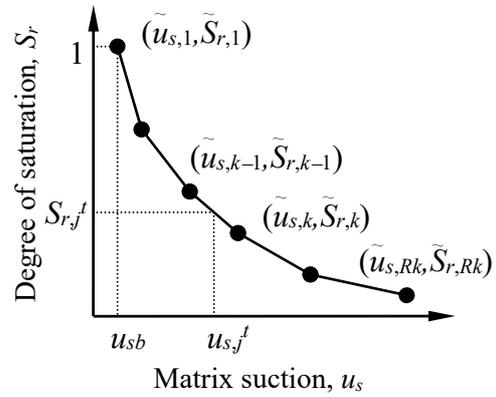
(b)



135

136

(c)



(d)

137 Figure 2 Constitutive relationships: (a) compressibility; (b) water permeability; (c) air
 138 permeability; and (d) soil–water characteristic curve.

139

140 The constitutive relationships can be modelled from mathematical approximations, as
 141 opposed to the laboratory tests. The compressibility curve [Figure 2(a)] of natural
 142 fine-grained soils is commonly represented by a semi-logarithmic relationship, which
 143 is expressed as

$$\Delta e / \Delta \log \sigma' = C_c \quad (1)$$

144 where C_c is compression index and determined experimentally. For Figure 2(b),

145 Mualem [20] predicted the hydraulic conductivity in terms of pore-size distribution.
 146 His predictions however are inaccurate for soils at near saturation stages [21]. To
 147 improve the accuracy, Tamagnini *et al.* [22] suggested to use the expressions as
 148 follow:

$$k_w = k_{wo} a_w S_r^{b_w} \quad (2)$$

$$k_a = k_d a_a (1 - S_r)^{b_a} \quad (3)$$

$$k_e = k_{eo} a_e S_r^{b_e} \quad (4)$$

149 where k_{wo} is hydraulic conductivity of saturated soil, k_d is air permeability of dry soil
 150 (i.e., at $S_r = 0$), k_{eo} is electro-osmotic conductivity of saturated soil; a_w , b_w , a_a , b_a , a_e
 151 and b_e are parameters and determined experimentally. An additional advantage of
 152 these expressions is the use of solo property S_r . One may argue the dependence on
 153 void ratio, which is reflected in the expressions by the permeabilities at the saturation
 154 state (e.g. k_{wo}). The SWCC relationship can be modelled in a range of forms as
 155 discussed in [23]. For general S-shaped retention curves (of fine-grained soils), van
 156 Genuchten [24] suggested to use the following expression

$$u_s = \frac{\left(S_e^{\frac{1}{m_{vG}}} - 1 \right)^{\frac{1}{n_{vG}}}}{\alpha_{vG}} \quad (5)$$

157 where S_e is the effective degree of saturation and expressed as $(S_r - S_{rs}) / (1 - S_{rs})$, S_{rs} is
 158 the residual degree of saturation (i.e. the degree of saturation at which an increase in
 159 matric suction does not produce a significant change in S_r), α_{vG} is a parameter to scale
 160 the matric head, n_{vG} and m_{vG} are parameters determined experimentally, and obey the
 161 relationship $m_{vG} = (n_{vG} - 1) / n_{vG}$.

162

163 **2.3 Stresses and Pore Pressures**

164 Model UEC2 calculates the vertical total stress σ as the sum of the upper load and
165 the self-weight of the compressible soil layer. When $t \geq 0$, the vertical total stress at
166 node j is

$$\sigma_j^t = q_0 + \Delta q^t + \frac{L_j^t \gamma_j^t}{2} + \sum_{m=j+1}^{R_j} L_m^t \gamma_m^t \quad (6)$$

167 where $j = 1, 2, \dots, R_j$. The values of j remain the same in the rest equations if not
168 otherwise suggested. γ_j^t is the unit weight of element j and is equal to

$$\gamma_j^t = \frac{(G_s + S_{r,j}^t e_j^t) \gamma_w}{(1 + e_j^t)} \quad (7)$$

169 where G_s is the specific gravity of soil solids, γ_w is unit weight of water, $S_{r,j}^t$ is the
170 degree of saturation of element j at time t , and e_j^t is its void ratio. The $S_{r,j}^t$ and e_j^t
171 are determined in terms of the initial conditions and the developed consolidation
172 algorithms. The effective stress at node j , σ_j^t , can be calculated by interpolation from
173 the compressibility curve [Figure 2(a)], as

$$\sigma_j^t = \hat{\sigma}_{m-1}^t + \frac{\hat{e}_{m-1}^t - e_j^t}{a_{v,j}^t} \quad (8)$$

174 where the coefficient of compressibility, $a_{v,j}^t$, is the slope of the linear segment of the
175 compressibility curve between the points $(\hat{\sigma}_{m-1}^t, \hat{e}_{m-1}^t)$ and $(\hat{\sigma}_m^t, \hat{e}_m^t)$ such that $\hat{e}_m^t \leq e_j^t$
176 $\leq \hat{e}_{m-1}^t$.

177

178 Where the soil layer of interest is saturated at the outset, or remains saturated in the
179 early stage of electro-osmosis, the pore water pressure at node j , $u_{w,j}^t$, is expressed as

$$u_{w,j}^t = \sigma_j^t - \sigma_j^t \quad (9)$$

180 Along with the progress of electro-osmotic consolidation, the pore water pressure u_w
 181 decreases nonlinearly over the distance between the cathodes and anodes and, if the
 182 anodes are closed, becomes negative progressively from the anodes towards the
 183 cathodes [6, 12]. Provided that the matric suction, u_s , is expressed as

$$u_s = u_a - u_w \quad (10)$$

184 where u_a is the pore air pressure (relative to the atmosphere) and equal to zero if
 185 saturated, the matric suction emerges and increases with the negative pore water
 186 pressure u_w . When $u_s \geq u_{sb}$, where u_{sb} is the air-entry value of soil, air enters the soil
 187 pores of concern and the soils become unsaturated. Similar to the development of
 188 negative pore water, the unsaturated conditions evolve from the anodes to the
 189 cathodes to satisfy Eq. (10).

190

191 As per Bishop *et al.* [25], the pore air pressure, $u_{a,j}^t$, and the pore water pressure,
 192 $u_{w,j}^t$, at node j , if in its unsaturated condition, are respectively expressed as

$$u_{a,j}^t = \sigma_j^t - \sigma_j^{t'} + \chi_j^t u_{s,j}^t \quad (11)$$

$$u_{w,j}^t = u_{a,j}^t - u_{s,j}^t \quad (12)$$

193 where χ_j^t is the effective stress coefficient and as suggested by Aitchison [26] is
 194 estimated as

$$\chi_j^t = \frac{S_{r,j}^t}{0.4S_{r,j}^t + 0.6} \quad (13)$$

195 The matric suction $u_{s,j}^t$ can be determined from the SWCC curve [Figure 2(d)] and
 196 expressed as

$$u_{s,j}^t = \tilde{u}_{s,k-1} + \frac{\tilde{S}_{r,k-1} - S_{r,j}^t}{b_j^t} \quad (14)$$

197 where b_j^t is the slope of the linear segment between the points $(\tilde{u}_{s,k-1}, \tilde{S}_{r,k-1})$ and
 198 $(\tilde{u}_{s,k}, \tilde{S}_{r,k})$ such that $\tilde{S}_{r,k} \leq S_{r,j}^t \leq \tilde{S}_{r,k-1}$. Eq. (11) provides equally reliable predictions
 199 of pore air pressures, as opposed to other solutions such as [27], and meanwhile offer
 200 simplicity by using the solo variant of S_r . This feature echoes the advantage discussed
 201 for the constitutive relationships.

202

203 **2.4 Electrical Resistivity and Electric Potential**

204 Electrical resistivity depends on soil properties, including the moisture content,
 205 mineralogy of solids, pore fluid, arrangement of voids, and degree of saturation [28].
 206 Accounting for these factors, Komine [29] developed a model to determine the
 207 electrical resistivity of saturated porous media. Gong *et al.* [30] extended the model to
 208 unsaturated soils. Based on their models, the electrical resistivity of element j , ρ_j^t , is
 209 expressed as

$$\rho_j^t = \frac{1}{\frac{1}{\rho_s} \times \frac{1}{1+e_j^t} + \frac{1}{\rho_w} \times \frac{S_{r,j}^t e_j^t}{1+e_j^t}} \quad (15)$$

210 where ρ_s is the electrical resistivity of solids, and ρ_w is the electrical resistivity of pore
 211 fluid. In terms of Ohm's law, the electric potential at node j , V_j^t , is calculated as

$$V_j^t = \frac{\frac{\rho_j^t \times L_j^t}{2} + \sum_{i=1}^{j-1} \rho_i^t \times L_i^t}{\sum_{i=1}^n \rho_i^t \times L_i^t} \times V_m^t \quad (16)$$

212

213 **2.5 Permeability, Flow Rate and Settlement**

214 The hydraulic conductivity, k_w , electro-osmotic conductivity, k_e , and the pore air
 215 permeability, k_a , can be calculated from the water permeability relationship [Figure
 216 2(b)], air permeability relationship [Figure 2(c)] and the corresponding degree of
 217 saturation S_r . The equivalent hydraulic conductivity, $k_{ws,j}^t$, equivalent electro-osmotic
 218 conductivity, $k_{es,j}^t$, and the equivalent air permeability, $k_{as,j}^t$, in series between the
 219 nodes j and $j+1$ are calculated as

$$k_{xs,j}^t = \frac{k_{x,j+1}^t k_{x,j}^t (L_{j+1}^t + L_j^t)}{k_{x,j+1}^t L_j^t + k_{x,j}^t L_{j+1}^t} \quad (17)$$

220 where $j = 1, 2, \dots, R_j-1$, and subscript 'x' represents 'w', 'e' and 'a', respectively.

221

222 The hydraulic flow rate, $q_{w,j}^t$, the electro-osmotic flow rate, $q_{e,j}^t$, and the air flow
 223 rate, $q_{a,j}^t$, per unit flow area between nodes j and $j+1$ are calculated as

$$q_{x,j}^t = k_{xs,j}^t i_{x,j}^t \quad (18)$$

224 where $j = 1, 2, \dots, R_j-1$, and $i_{x,j}^t$ is the gradient for pore water/air flow. The hydraulic

225 gradient, $i_{w,j}^t$, electro-osmotic gradient, $i_{e,j}^t$, and the pore air pressure gradient, $i_{a,j}^t$,

226 between nodes j and $j+1$ are respectively expressed as

$$i_{w,j}^t = \frac{h_{w,j+1}^t - h_{w,j}^t}{z_{j+1}^t - z_j^t} \quad (19)$$

$$i_{e,j}^t = \frac{V_{j+1}^t - V_j^t}{z_{j+1}^t - z_j^t} \quad (20)$$

$$i_{a,j}^t = \frac{h_{a,j+1}^t - h_{a,j}^t}{z_{j+1}^t - z_j^t} \quad (21)$$

227 where $j = 1, 2, \dots, R_j-1$, the total hydraulic head, $h_{w,j}^t$, and the total pore air head,

228 $h_{a,j}^t$, at node j , are calculated as

$$h_{w,j}^t = z_j^t + \frac{u_{w,j}^t}{\gamma_w} \quad (22)$$

$$h_{a,j}^t = \frac{u_{a,j}^t}{\gamma_a} \quad (23)$$

229 where γ_a is the unit weight of air and equals 12.65 N/m³. The value is relatively

230 small and assumed constant in air compression.

231

232 The pore air is compressible, as opposed to the pore water or solids, if the pore air

233 pressures change. In terms of Boyle's law, the process of compression, arising from

234 the pore air flow, for element j in time step increment Δt , is expressed as

$$\left(u_{a,j}^{t+\Delta t} + p_a\right) A_{a,j}^{t+\Delta t} = \left(u_{a,j}^t + p_a\right) A_{a,j}^t - \left(q_{a,j}^t - q_{a,j-1}^t\right) \Delta t \quad (24)$$

235 where $u_{a,j}^{t+\Delta t}$ and $A_{a,j}^{t+\Delta t}$ are the pore air pressure of element j and the volume of

236 pore air of element j (per unit flow area), after the combination of air compression and

237 air flow, $u_{a,j}^t$ and $A_{a,j}^t$ are the corresponding values before the compression/air

238 flow process, and p_a is one atmosphere and equals 101.3 kPa, and is included as the

239 base pressure. Rearranging Eq. (26), the volume of pore air is obtained as

$$A_{a,j}^{t+\Delta t} = \frac{\left(u_{a,j}^t + p_a\right) A_{a,j}^t - \left(q_{a,j}^t - q_{a,j-1}^t\right) \Delta t}{u_{a,j}^{t+\Delta t} + p_a} \quad (25)$$

240 For element j , the height, $L_j^{t+\Delta t}$, void ratio, $e_j^{t+\Delta t}$, and the degree of saturation, $S_{r,j}^t$,

241 are updated to

$$L_j^{t+\Delta t} = L_j^t - \left(q_{w,j}^t - q_{w,j-1}^t + q_{e,j-1}^t - q_{e,j}^t\right) \Delta t - \left(A_{a,j}^t - A_{a,j}^{t+\Delta t}\right) \quad (26)$$

$$e_j^{t+\Delta t} = \frac{L_j^{t+\Delta t} (1 + e_{0,j})}{L_{0,j}} - 1 \quad (27)$$

$$S_{r,j}^{t+\Delta t} = 1 - \frac{A_{a,j}^{t+\Delta t} (1 + e_j^{t+\Delta t})}{L_j^{t+\Delta t} e_j^{t+\Delta t}} \quad (28)$$

242 At time step t , the height, H^t , surface settlement, S^t , and the degree of
 243 consolidation, U^t , of the soil layer are calculated as

$$H^t = \sum_{j=1}^n L_j^t \quad (29)$$

$$S^t = H_0 - H^t \quad (30)$$

$$U^t = \frac{S^t}{S_f} \quad (31)$$

244 where S_f is the final settlement of the soil layer when all streams of fluid/air flows
 245 reach equilibrium or consolidation is completed. As applied in [18, 19], the criterion
 246 of equilibrium is to set a significantly small value versus the increment of surface
 247 settlement.

248

249 **2.6 Load-Induced Pore Air Compression and Settlement**

250 In unsaturated conditions, the volume of pore air is compressible when the load
 251 increment, Δq^t , in Figure 1 is applied. The air compression re-allocates stresses borne
 252 respectively by the soil solids, pore water and pore air, and the individual stresses are
 253 determined by an iterative approach extended from Yin and Ling [31]. We defined a
 254 small incremental void ratio, Δe , (i.e., -0.001), and for element j added a multiple of
 255 the increment to the initial void ratio e_j^t , which is expressed as

$$e_j^i = e_j^t + i(\Delta e) \quad (32)$$

256 where step $i = 1, 2, 3, \dots$. At the i th step, the vertical total stress, σ_j^i , as per Eqs.

257 (11)–(12), can be written as

$$\sigma_j^i = \sigma_j^{t_i} + (1 - \chi_j^i) u_{a,j}^i + \chi_j^i u_{w,j}^i \quad (33)$$

258 As presented in Eq. (8), the effective stress of node j at step i , $\sigma_j^{t_i}$, can be determined
 259 in terms of the compressibility curve [Figure 2(a)] and the corresponding void ratio
 260 e_j^i . The pore air pressure, $u_{a,j}^i$, pore water pressure, $u_{w,j}^i$, and the effective stress
 261 coefficient, χ_j^i , are then determined considering the pore air compression. We
 262 defined the pore air ratio, e_a , as the volume of air to the volume of solids. In terms of
 263 Boyle's law, the compression of pore air in element j , obeys the following expression:

$$(u_{a,j}^t + p_a) e_{a,j}^t = (u_{a,j}^i + p_a) e_{a,j}^i \quad (34)$$

264 where $u_{a,j}^t$ and $e_{a,j}^t$ are the pore air pressure and pore air ratio before the
 265 compression, $u_{a,j}^i$ and $e_{a,j}^i$ are corresponding values after the i th incremental step.
 266 From the phase relationship, the pore air ratio e_a can be written as

$$e_a = e(1 - S_r) \quad (35)$$

267 Substituting Eq. (35) into Eq. (34), we have

$$(u_{a,j}^t + p_a) e_j^t (1 - S_{r,j}^t) = (u_{a,j}^i + p_a) e_j^i (1 - S_{r,j}^i) \quad (36)$$

268 where e_j^t and $S_{r,j}^t$ are the void ratio and the degree of saturation of element j at step
 269 i . As the compression is instantaneous, the moisture content of element j remains
 270 unchanged before and after. This law of conservation of mass yields the following
 271 equation:

$$\frac{S_{r,j}^t e_j^t}{G_s} = \frac{S_{r,j}^i e_j^i}{G_s} \quad (37)$$

272 Combining Eqs. (36) and (37), the pore air pressure of node j at step i , $u_{a,j}^i$, is
 273 obtained as

$$u_{a,j}^i = \frac{(u_{a,j}^t + p_a)e_j^t(1 - S_{r,j}^t)}{e_j^i - S_{r,j}^t e_j^t} - p_a \quad (38)$$

274 The pore water pressure of node j , $u_{w,j}^i$, equals

$$u_{w,j}^i = u_{a,j}^i - u_{s,j}^i \quad (39)$$

275 where $u_{s,j}^i$ is the matric suction of node j at step i . As presented in Eq. (14), the
 276 suction can be determined from the SWCC curve [Figure 2(d)] and the corresponding
 277 degree of saturation $S_{r,j}^i$. The $S_{r,j}^i$ can be determined in terms of Eq. (37), and is
 278 used to calculate the effective stress coefficient χ_j^i as presented in Eq. (13).
 279 Substituting σ_j^i , $u_{a,j}^i$, $u_{w,j}^i$ and χ_j^i into Eq. (33), we can obtain the total vertical
 280 stress σ_j^i . If σ_j^i is equal to σ_j^t , the vertical total stress as specified in Eq. (6), or the
 281 difference between them is less than a pre-set small value, the form is closed and the
 282 iterations (for the pore air compression) terminate at step i . Otherwise, the loop
 283 continues to step $i+1$.

284

285 Following the pore air compression, the element height, L_j^i , layer height, H^i , and
 286 the surface settlement, S^i , are updated as

$$L_j^i = \frac{(1 + e_j^i)L_{0,j}}{(1 + e_{0,j})} \quad (40)$$

$$H^i = \sum_{j=1}^{R_j} L_j^i \quad (41)$$

$$S^i = H_0 - H^i \quad (42)$$

287 It is noteworthy that model UEC2 is able to account for the load-induced pore air
 288 compression that occurs anytime in the middle of consolidation, as opposed to at the
 289 outset of $t = 0$. The algorithms of pore air compression will read off the current

290 consolidation results (e.g., vertical stresses, void ratio, pore water/air pressures)
 291 obtained from the algorithms of consolidation, and update the results for consolidation
 292 analysis.

293

294 **2.7 Boundary Conditions and Time Step Increment**

295 Model UEC2 uses the boundary conditions as provided in Table 1.

296 Table 1 Model UEC2 boundary conditions

Locations	Open (free access to water and air)	Closed (no access to water and air)
	$i_{e,0}^t = V_1^t / z_1^t$,	$i_{e,0}^t = 0$,
Cathode	$i_{w,0}^t = (h_1^t - h_{wb}^t) / z_1^t$, and $i_{a,0}^t = h_{a,1}^t / z_1^t$	$i_{w,0}^t = 0$, and $i_{a,0}^t = 0$
	$i_{e,R_j}^t = (V_m^t - V_{R_j}^t) / (H^t - z_{R_j}^t)$,	$i_{e,R_j}^t = 0$,
Anode	$i_{w,R_j}^t = (h_{wt}^t - h_{R_j}^t) / (H^t - z_{R_j}^t)$, and $i_{a,R_j}^t = -h_{a,R_j}^t / (H^t - z_{R_j}^t)$	$i_{w,R_j}^t = 0$, and $i_{a,R_j}^t = 0$

297 Note: h_{wt} is the total hydraulic head at the top boundary; h_{wb} is the total hydraulic
 298 head at the lower boundary.

299

300 Model UEC2 adapts the criteria for time step increment that were used in models CS2
 301 [17] and EC1 [18]. For the defined model geometry, the criteria are updated as

$$\Delta t = \min \left\{ \frac{\alpha a_{v,j}^t (L_j^t)^2}{k_{w,j}^t (1 + e_j^t)}, \left| \frac{0.01 L_0 (e_{0,j} - e_{f,j})}{(1 + e_{0,j}) (q_{w,j}^t - q_{w,j-1}^t + q_{e,j-1}^t - q_{e,j}^t + q_{a,j-1}^t - q_{a,j}^t)} \right| \right\} \quad (43)$$

302 where coefficient $\alpha \approx 0.4$ as per [17], and $e_{f,j}$ is the final void ratio of element j and is

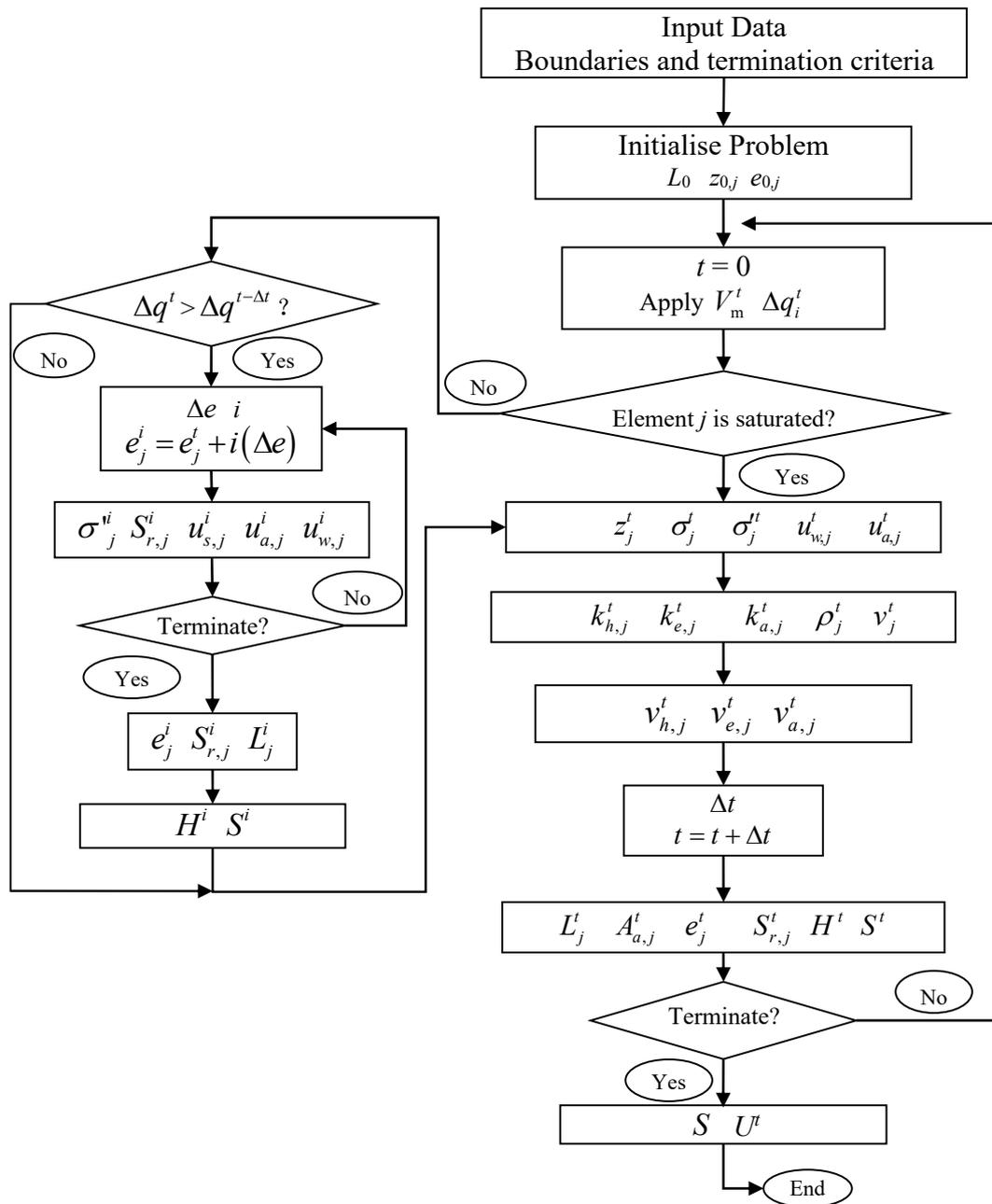
303 determined in terms of the iterations suggested in [19]. In Eq. (43), the first criterion
304 is to govern the frequency of the calculation in response to the vertical flow, which is
305 a step to align the numerical results with the solutions for Terzaghi's 1D consolidation
306 theory; the second is to ensure no more than 1% of final settlement of the element
307 developed in a time step increment.

308

309 **3. Computer Program**

310 A flow diagram illustrating the algorithms of the computer program is presented in
311 Figure 3. The input properties include the number of elements (R_j), initial height of
312 soil layer (H_0), specific gravity of solids (G_s), electrical resistivity of pore fluid (ρ_w)
313 and solids (ρ_s), effective voltage (V_m^t), applied stresses ($q_0, \Delta q^t$), constitutive
314 relationships, boundary conditions, and the termination criteria for the program. After
315 UEC2 reads the input data, the initial height (L_0), the initial elevation ($z_{0,j}$) and the
316 initial void ratio ($e_{0,j}$) of element j are calculated. When the effective voltage (V_m^t)
317 and vertical load increment (Δq^t) are applied, the loop starts, and each element
318 updates its properties over the time increment (Δt). Between the loops, if element j
319 becomes unsaturated and additional load increment is applied, i.e., $\Delta q^t > \Delta q^{t-\Delta t}$, the
320 volumes of pore air in the element compress, triggering the sub-loop on the left-hand
321 side. In the stage of compression, the element properties ($\sigma_j^i, S_{r,j}^i, u_{s,j}^i, u_{a,j}^i, u_{w,j}^i$) are
322 updated with the multiple of void ratio increment (Δe), until $\sigma_j^i = \sigma_j^t + \Delta q^t$. The
323 updated element properties ($e_j^i, S_{r,j}^i, L_j^i$) and the layer geometry (H^i, S^i) feed to the
324 main loop. The calculations terminate when consolidation time $t \geq t_f$, or settlement

325 in an time increment $\Delta S \leq m$, where t_f and m are a user-specified elapsed time and a
 326 sufficiently small settlement value, respectively. When $\Delta S \leq m$, it is suggested that
 327 the consolidation has reached equilibrium of seepage and has ended. Then the final
 328 surface settlement (S) and the average degree of consolidation (U^t) are calculated.
 329 The flow diagram was programmed using FORTRAN software package.



331

332

333 **4. Model Validation**

334 *4.1 Material and Model Parameters*

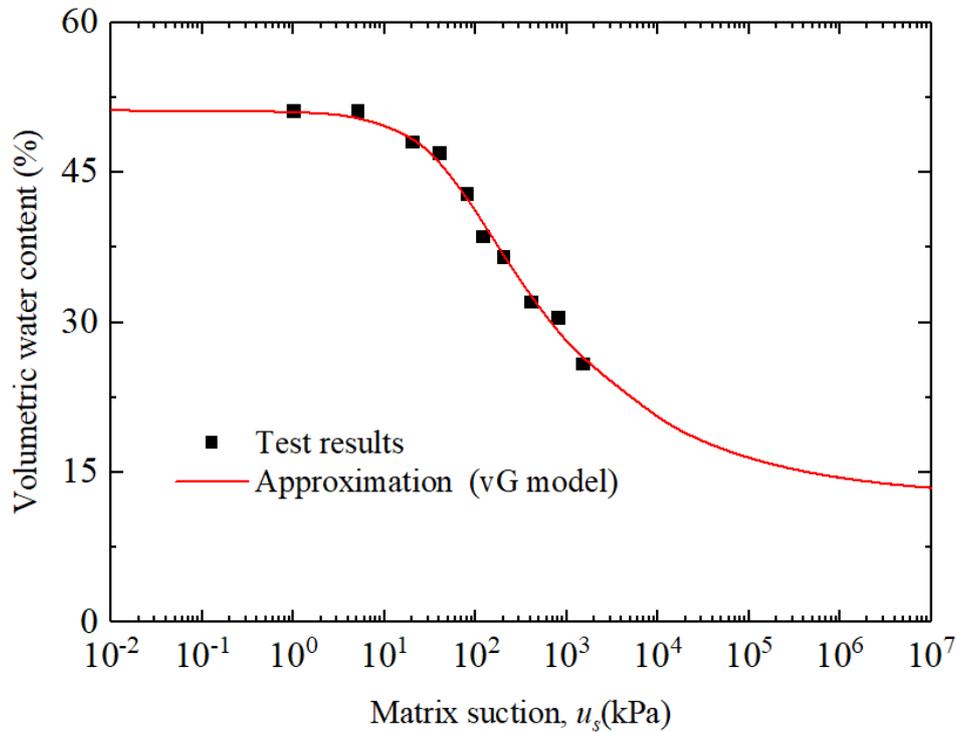
335 The material used in the model validation test was a remoulded clay soil. The soil was
336 recovered from the Lingang Industrial Zone of Tianjin, China. The physical properties
337 of the soil are provided in Table 2. The Atterberg limits lie just below the A-line, and
338 the soil is classified as MH, clayey silt of high plasticity, in terms the Unified Soil
339 Classification System. The SWCC curve was obtained by using the GCTS Fredlund
340 SWCC device at a capacity of 0–1,500 kPa. A set of ten soil specimens of varied
341 water contents were subjected to the suction tests. The test results are presented in
342 Figure 4. The results were then modelled against the van Genuchten model [Eq. (5)],
343 in terms of the fitting function provided in Matlab software package. The modelling
344 yielded parameters α , n , and S_{rs} as 0.022 kPa^{-1} , 1.293 and 0.24, respectively, with a
345 coefficient of relevance $R^2 = 99.2\%$. The hydraulic conductivity was measured using
346 the falling-head method. The value is $k_{wo} = 7.6 \times 10^{-9} \text{ m/s}$ which classifies the
347 specimen a less-permeable soil. For clayey silt, the air permeability does not vary
348 significantly and usually falls onto the order of magnitude of $1 \times 10^{-7} \text{ m/s}$ which was
349 presumed in the current study. The electro-osmotic conductivity at saturation was
350 obtained using the permeameter developed in [1]. This device enables greater
351 accuracy by avoiding the influence arising from hydraulic flow. The result was
352 $4.5 \times 10^{-9} \text{ m}^2/\text{s} \cdot \text{V}$. The electrical resistivities of pore fluid and solids were determined
353 using the Miller's box as suggested in [1]. The results were $6 \text{ } \Omega \cdot \text{m}$ for the pore fluid

354 and 1,000 $\Omega\cdot\text{m}$ for the solids. The values largely agree with the results obtained in [1].
 355 It involves complicated and tedious processes to calibrate the parameters required for
 356 the constitutive relationships as specified in Eqs. (2)–(4). The parameters however are
 357 constant and independent on soil types [20]. For these forms, Tamagnini *et al.* [22]
 358 suggested to use the parameters of $b_e=3.2$, $a_w=a_a=1$, and $b_w= b_a =5$.

359 Table 2 Physical properties, permeability and electrical resistivity of the soil sample

Property	Value
Plastic limit, w_p (%)	31
Liquid limit, w_L (%)	52
Specific gravity of solids, G_s	2.7
Water content, w_0 (%)	92.6
Initial void ratio, e_0	2.53
Compression index, C_c	0.62
Residual degree of saturation, S_{rs}	0.24
Parameter in Eq. (5), α_{vG} (kPa^{-1})	0.022
Parameter in Eq. (5), n_{vG}	1.293
Hydraulic conductivity of saturated soil, k_{wo} (m/s)	7.6×10^{-9}
Exponent for hydraulic conductivity [Eq. (2)], b_w	5
Air permeability of dry soil, k_d (m/s)	1×10^{-7}
Parameter for air permeability [Eq. (3)], b_a	5
Electro-osmotic conductivity of saturated soil, k_{eo} ($\text{m}^2/\text{s}\cdot\text{V}$)	4.5×10^{-9}
Parameter for electro-osmotic conductivity [Eq. (4)], b_e	3.2

Parameters for permeability, $a_w = a_a = a_e$	1
Electrical resistivity of pore fluid, ρ_w ($\Omega \cdot m$)	6
Electrical resistivity of the solids, ρ_s ($\Omega \cdot m$)	1,000



360

361

Figure 4 Soil SWCC curves obtained from tests and simulations.

362

363 **4.2 Experimental Set-up**

364 The experimental set-up is presented Figure 5. The set-up includes a consolidation

365 cylinder of $\varnothing 200$ (I.D.) \times 600 (H) mm and 10 mm wall thickness. The cylinder is

366 made of transparent, rigid polycarbonate material, enabling observations of

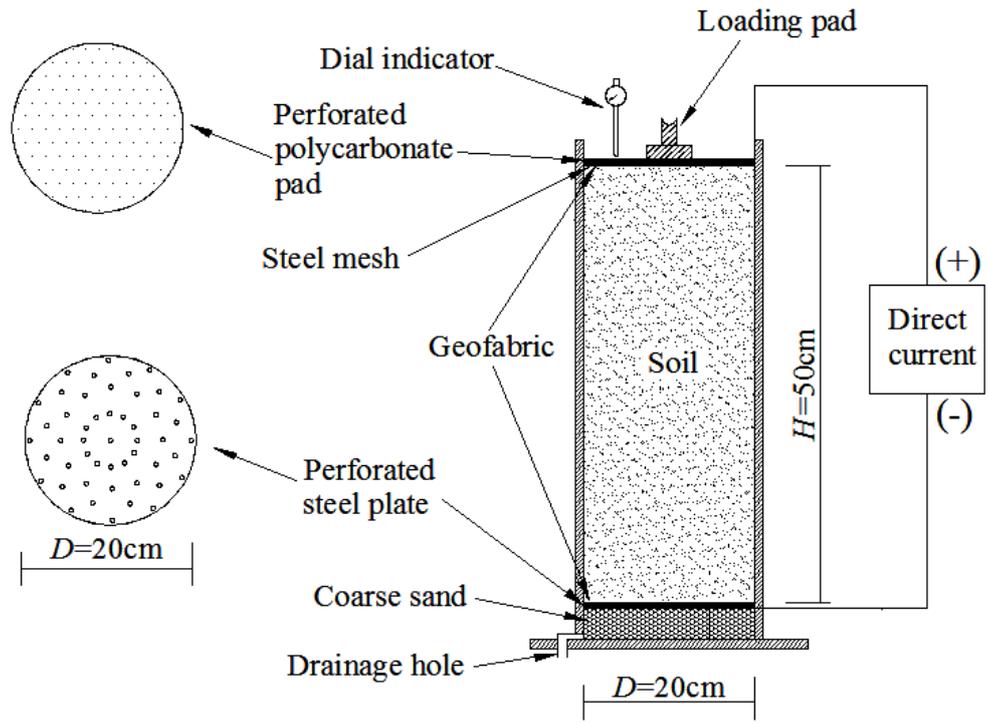
367 consolidation results. The cylinder is closed at the bottom and open at the top. The

368 bottommost is a 50 mm thick sand filter and a drain perforated on the wall. The

369 volume of water passed through the drain is collected as an underflow and measured

370 using a graduated cylinder. Above the sand cushion is the cathode which is a stainless

371 steel disc of $\varnothing 200 \times 1$ (H) mm. The disc surface is perforated with a total of 48 holes
372 of $\varnothing 6$ mm, enabling water drainage. On the cylinder wall a small water-proof hole is
373 perforated at the same height as the disc, and used to wire the cathode to the DC
374 power source. Above the disc is one layer of cotton fabric used to prevent passages of
375 fine particles. On the fabric, a soil column of 500 mm high is filled up. The soils were
376 prepared in a mixer, at a moisture content of 92.6% (100% intended). This moisture
377 content allows the soils to sit in the liquid state, thus enabling a nearly saturated
378 condition. The soils were transferred into the consolidation cylinder in layers, 100 mm
379 height each. Each lift was poured under free gravity, with an agitator slightly mixing
380 the soils. The liquid state itself facilitated the self-compaction and largely even
381 distribution of the soils as presented in Figure 5b. As the soils were fine-grained, the
382 soils exhibited high viscosity and there was no noticeable segregation when the soils
383 were placed. Similarly, on the upper end of the soil column sits the layers of cotton
384 fabric, anode and loading pad, forming the upper boundary of the consolidation
385 system. The anode is a stainless steel mesh of 10×10 mm apertures. The loading pad
386 includes a polycarbonate disc of $\varnothing 200 \times 10$ (H), perforated with a grid of $\varnothing 1$ mm
387 openings, and a pad of $\varnothing 100$ mm. The pad and the polycarbonate disc together enable
388 a uniform pressure acting downward. The dead load was applied through the leverage
389 system of oedometer unit. A dial gauge was mounted on the upper boundary to record
390 the surface settlement.



391

392

(a)



393

394

(b)



(c)

395

396

397 Figure 5 The experimental set-up for electro-osmosis–surchage preloading combined
398 consolidation: (a) the set-up diagram, (b) the plan view of soil sample in the cylinder
399 before consolidation, and (c) the soil sample in the cylinder subjected to the
400 consolidation.

401

402 We designed two test scenarios: tests 1 and 2, as presented in Table 3. Both tests used
403 the same voltage $V_m = 30$ V and surcharge preloading $\Delta q = 50$ kPa. The two tests
404 however applied the surcharge load in different means: one-step loading for test 1 and
405 stepped loading for test 2. In test 2, the total load were 12.5, 25 and 50 kPa applied at
406 $t = 0, 27.3$ and 48 hours respectively. The stepped loading scenario was to examine
407 possible consolidation efficiency arising from the multiple steps of loading, to
408 cross-check the performance of the model, more importantly, to assess the influence
409 of load increments on unsaturated soils. It is noteworthy that $V_m = 30$ V was used as

410 the effective voltage transmitted through the body of soil. The voltage losses at the
 411 electrodes due to gap effects and chemical reactions were negligible as the surcharge
 412 preloading was able to minimise (if not avoid) it by acting downward. This voltage
 413 applies a voltage gradient of $i_e = 60$ V/m to the soil column which agrees with the
 414 ranges used in earlier studies.

415 Table 3 Test scenarios for electro-osmosis–surcharge preloading consolidation.

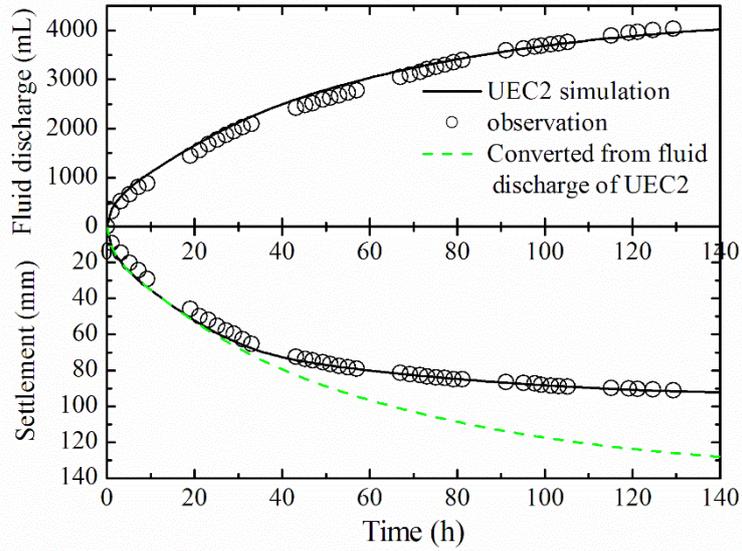
Test	Voltage V_m	Load Δq
1	30 V applied at $t = 0$ hour	50 kPa applied at $t = 0$ hour
2	30 V applied at $t = 0$ hour	12.5, 25, 50 kPa applied at 0, 27.3 and 48 hours, respectively

416

417 **4.3 Results and Discussion**

418 The results of tests 1 and 2 are presented in Figure 6. The results include the surface
 419 settlement and the volume of water discharged over elapsed time. It lasted 129.3 h for
 420 test 1 and 97 h for test 2, when the settlement curves became flat out eventually. The
 421 recorded final settlement was 91.4 mm in test 1 and 84.0 mm in test 2, corresponding
 422 to settlement rates of 18.3% and 16.8% respectively. Both settlement rates are
 423 significant and thus enable large-strain consolidation scenarios. The volumes of water
 424 discharge keep increasing over time and are 3,950 mL in test 1 and 3,500 mL in test 2.
 425 From the test results, test 1 of one-step loading outperforms test 2 of stepped loading
 426 in regard to the settlement and water discharge, provided the same elapsed time is
 427 considered. It is noteworthy that the component of surcharge loading contributes to a
 428 major proportion of settlement, whereas the electro-osmosis component accelerates
 429 the settlement and reduces the elapsed time. The trades-off between the settlement and

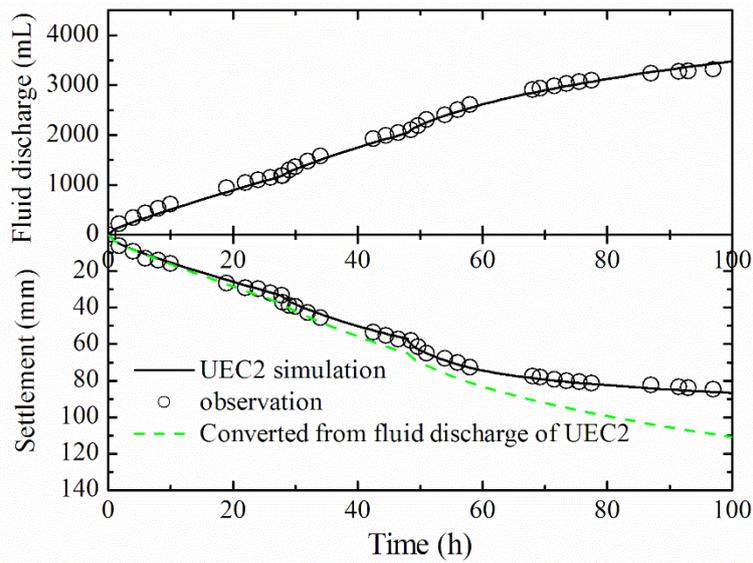
430 time have been discussed in earlier studies [1, 8].



431

432

(a)



433

434

(b)

435 Figure 6 Soil settlement and water discharge versus elapsed time for: (a) test 1 of

436 one-step loading, and (b) test 2 of stepped loading.

437

438 Model UEC2 was applied to simulate the consolidation of the two tests. The model

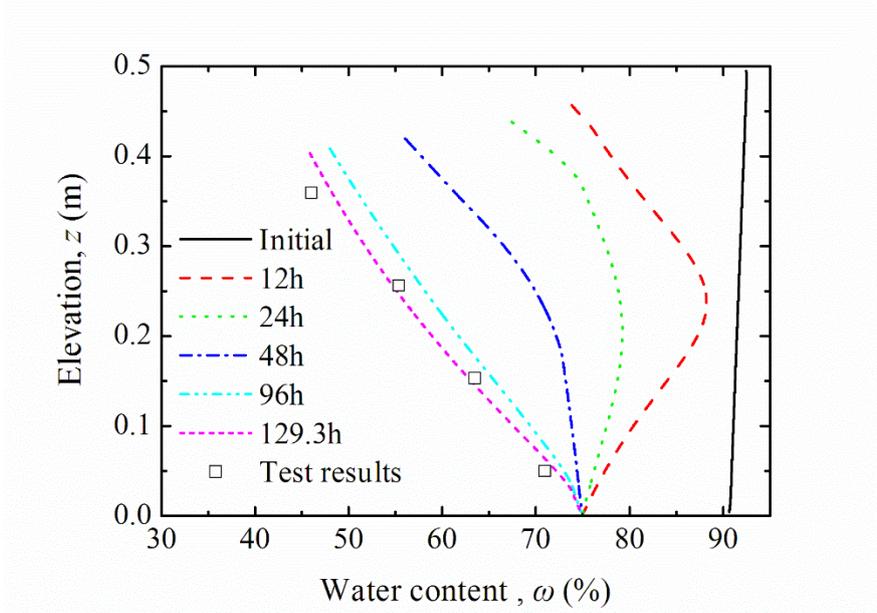
439 meshed the soil column into $R_j = 50$ elements, from the bottom to the top. Each

440 element was 10 mm high, which as per [18], was thin enough to allow a small-strain
441 condition. Each of the elements was assigned the properties provided in Table 2. At
442 the anode, the boundary condition is open for hydraulic flow if $u'_{w,R_j} \geq 0$, otherwise
443 closed, and is open for air flow. At the cathode, the boundary is open for water and air.
444 The modelling results of the two tests are provided in Figure 6. Excellent agreement
445 between the test and simulation results is obtained for both tests. The model is even
446 capable of capturing the instantaneous drops of settlement arising from the stepped
447 loading. We also calculated the surface settlement of soil column in terms of the
448 simulated volumes of water discharge, presuming a saturated condition throughout.
449 The settlement results exceed the corresponding results obtained in the tests and
450 simulations. The differences by itself represent the volumes of air entry. The volumes
451 of air grow over time.

452

453 In addition to the settlement, measurements including pore water pressure and suction
454 pressure can further examine performance of consolidation. These measurements
455 however were less viable as far as the soil column was examined, due to accessibility
456 and QA/QC issues. Alternatively, we managed to measure soil water contents, an
457 equally important indicator of dewatering results, across the depth of soil column. We
458 recovered a total of four soil specimens at the end of each test. The locations were $z =$
459 50, 152, 260, 370 mm approximately. The water content results of the two tests,
460 together with the simulation results of different elapsed times, are presented in Figure
461 7. Similarly excellent agreement between the test and simulation results is obtained

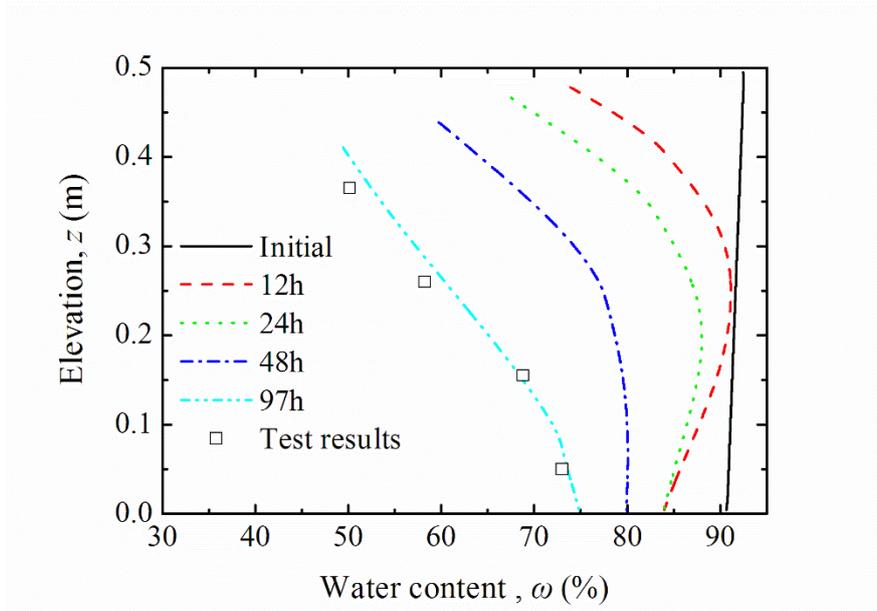
462 for both tests. This agreement, together with the agreement in settlement and water
463 discharge predictions, suggests that model UEC2 is validated to simulate the
464 electro-osmosis–surcharge preloading combined consolidation of unsaturated soils. It
465 is noteworthy that the water contents increase largely linearly with the elevation at the
466 end of each test, and prior to the ends are varied nonlinearly. It is also noted of the
467 water contents at the cathodes: a constant value of 75% in test 1 and varied values 84%
468 to 75% in test 2. The difference arises from the stepped loading mode versus the
469 one-step loading mode. The one-step loading is able to squeeze greater volume of
470 water than the stepped loading does where the other conditions remain the same.



471

472

(a)



(b)

473

474

475 Figure 7 Water content profiles versus elapsed time for: (a) test 1 of one-step loading,

476

and (b) test 2 of stepped loading.

477

478 5. Simulation Results

479 To gain an insight into the performance of electro-osmosis–surcharge preloading

480 combined method, the isochrones of pore water pressure, pore air pressure and the

481 degree of saturation, obtained for test 1 (i.e., the one-step loading scenario), are

482 obtained from model simulations, and provided in Figure 8. The time points for the

483 isochrones include $t = 12, 24, 48, 96$ and 144 h. Over the elapsed time, the pore water

484 pressures across the depth decrease from 50 kPa (i.e., the applied level of surcharge

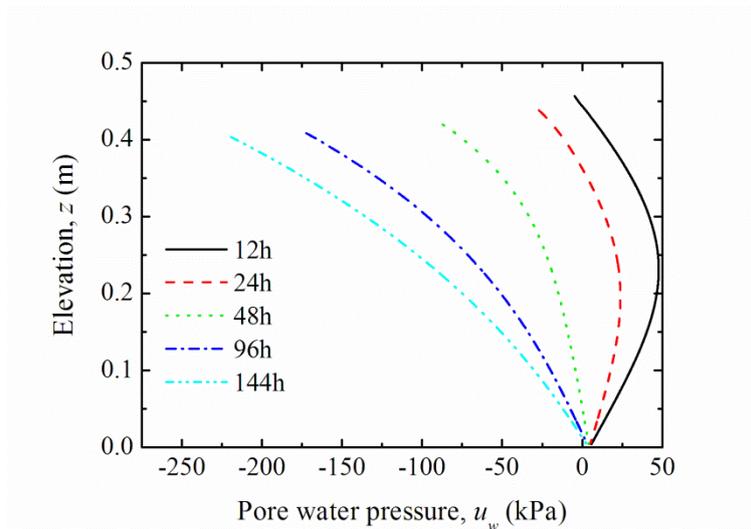
485 loading) at the outset, to zero at time between 24 h to 48 h, and subsequently become

486 negative throughout. Nonlinear changes of pressure occur over time. Similar

487 nonlinearity occurs to the pressure changes across depth. At any of the examined time

488 points, the corresponding isochrone is curvy, with the pore pressures at bottom

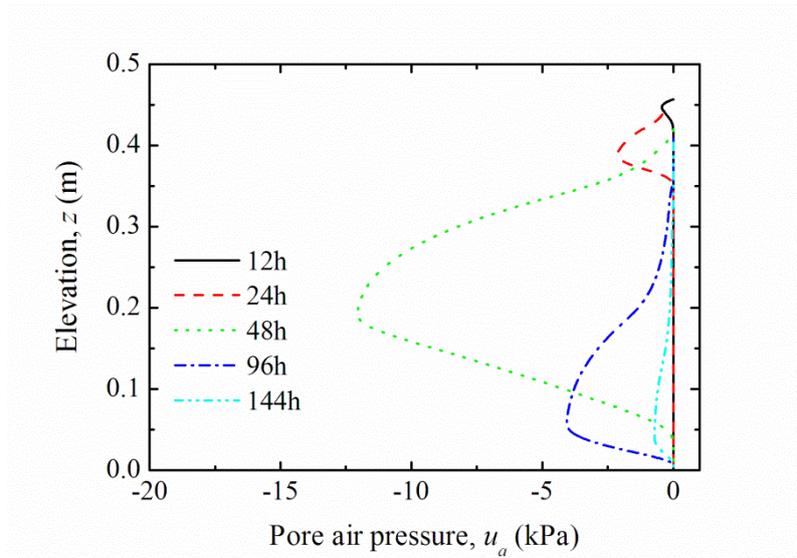
489 cathode remaining zero (due to the open access to free water) and the pressures at the
490 rest locations varied by depth. The closer the locations are near the anode, the greater
491 change (to negative pressure) it will be. The greatest negative pressure of -220 kPa
492 occurs to the location $z = 0.4$ m at $t = 144$ h. As suggested in [18], this level of force
493 would have caused soil cracks if the vertical loading were not applied. Above this
494 depth, i.e., $z = 0.4$ to 0.5 m, the pore water pressures do not exist. Same results occur
495 to the similar locations at earlier time points. The non-existence of pore pressure
496 suggests the emergence of completely dry condition in proximity to the anode, which
497 ends up increasing the electrical resistivity, and a loss of power energy.



498

499

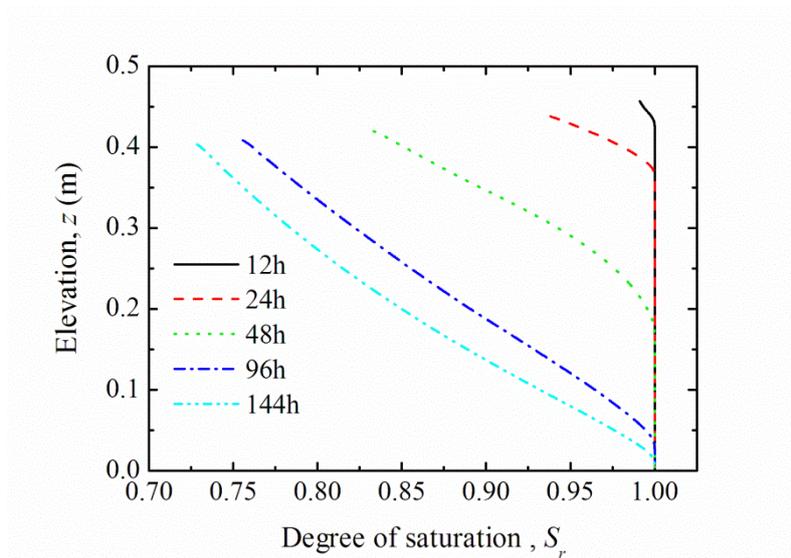
(a)



500

501

(b)



502

503

(c)

504 Figure 8 Isochrones of (a) pore water pressure, (b) pore air pressure, and (c) the

505 degree of saturation, of soil column in test 1 of one-step loading.

506

507 The isochrones suggests the presence of two stages: dissipation of positive pore

508 pressure and evolvment of negative pore pressure. For test 1, the transition point was

509 approximately at $t = 40$ hours. In the first stage, electro-osmosis works mainly

510 towards accelerating the pore pressure dissipation. In the second stage, the soils
511 become unsaturated, progressively on time and locations. When unsaturated
512 conditions occur, maintaining electro-osmosis processes adds to removal of additional
513 volume of water and sort of further settlement, as presented in Figure 6. An additional
514 benefit lies in strength gain and densification of the unsaturated soils via compaction
515 if deployed in the field.

516

517 As opposed to the curvy isochrones of pore water pressures, the corresponding
518 isochrones of pore air pressures are varied significantly, in both time- and space
519 domains, as presented in Figure 8b. It appears that each isochrone is represented by a
520 solo waveform, with varied amplitudes between the recorded time points. The
521 amplitude is a small value of -0.5 kPa presented at location $z = 0.45$ m at $t = 12$ h.
522 With time elapsing, the amplitudes increase to the greatest value of -12 kPa $t = 48$ h
523 and then decrease; the corresponding locations travel down towards the cathode. At t
524 $= 144$ h, the amplitude decreases to -0.7 kPa and the corresponding location relocates
525 to $z = 0.35$ m. Similar to the amplitude, the breadth of the waveform increases and
526 then decreases. The air enters the soil regime due to the evolvement of unsaturated
527 conditions, and the development of pore air pressure is dependent on multiple factors,
528 e.g. the pore water pressure, accessibility to atmosphere and soil permeability. At the
529 outset, the negative pore water pressure, as per [6], emerges but is small, and its
530 presence is restricted to the locations near the anode. The emerged pore water
531 pressure needs to be balanced in terms of Eqs. (11)–(12), and if the resulting pore air

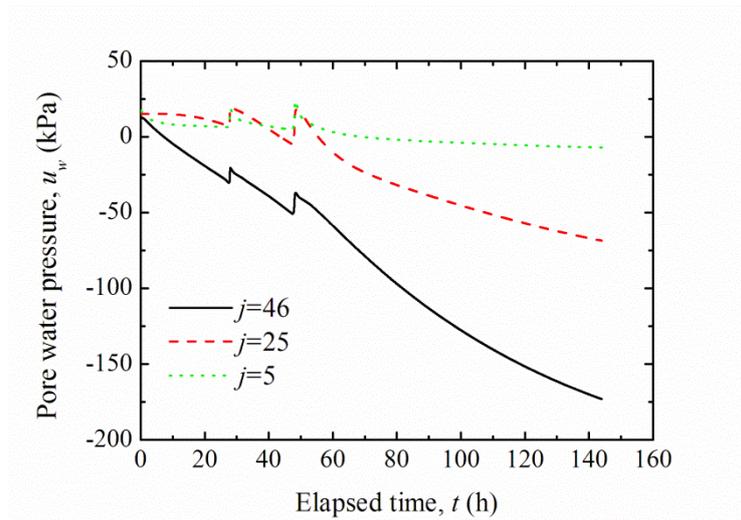
532 pressure exceeds the soil air-entry value, a volume of air enter, developing the pore air
533 pressure, as of the isochrone obtained at $t = 12$ h. Over time, the locations of influence
534 expand from the anode to the cathode and the air-entry processes continue if the top
535 boundary remains open. The continuous inflow of air, if adequate in volume, is able to
536 minimise the deficiency of air, thus offsetting the resulting pore air pressure. The
537 efficiency of pressure reduction is distance-dependent. The farther from the entry
538 point of the anode, the less noticeable the pressure reduction, if the pore water
539 pressure keeps increasing. The fact is that the pore water pressures reach equilibrium,
540 whereas the voltage gradient remains unchanged [6]. The continuous inflow of air is
541 able to occupy, progressively downward, the pore space and reduce the pore air
542 pressure, which explains the gradual flat-outs of pore air pressure isochrones.

543

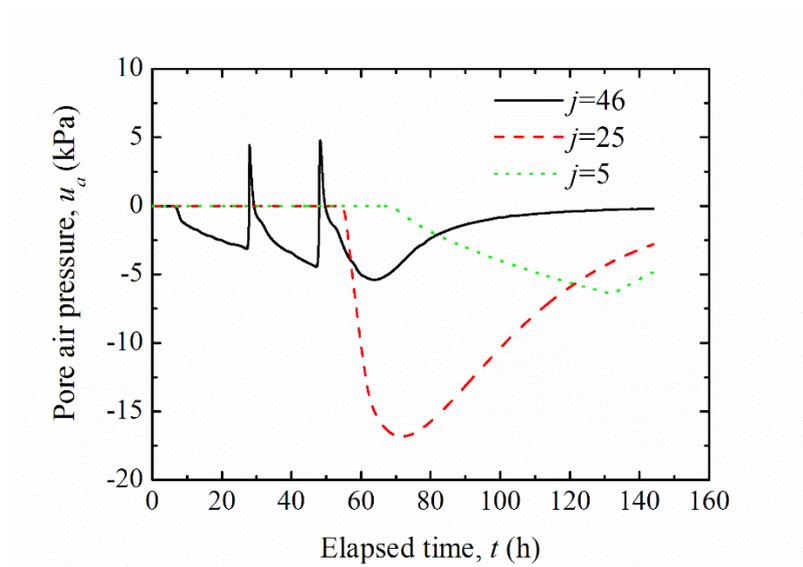
544 As expected, the degrees of saturation are time-and distance dependent, as presented
545 in Figure 8c. Over the examined time points, the soil column becomes unsaturated,
546 progressing from the anode to the cathode. The closer to the anode, the greater
547 elapsed time, the more noticeable the unsaturated condition will be. At location $z =$
548 0.4 m and time $t = 144$ h, the least degree of saturation is present, with the degree of
549 saturation of $S_r = 0.73$. Above this location, $S_r = 0$, suggesting a dry condition, which
550 echoes the non-existence of pore water pressure presented in Figure 8a. It is
551 noteworthy of the linearity of the degree of saturation as opposed to the depth over the
552 unsaturated sections. The linear relationships agree with the water content profiles
553 obtained in the tests (Figure 7).

554

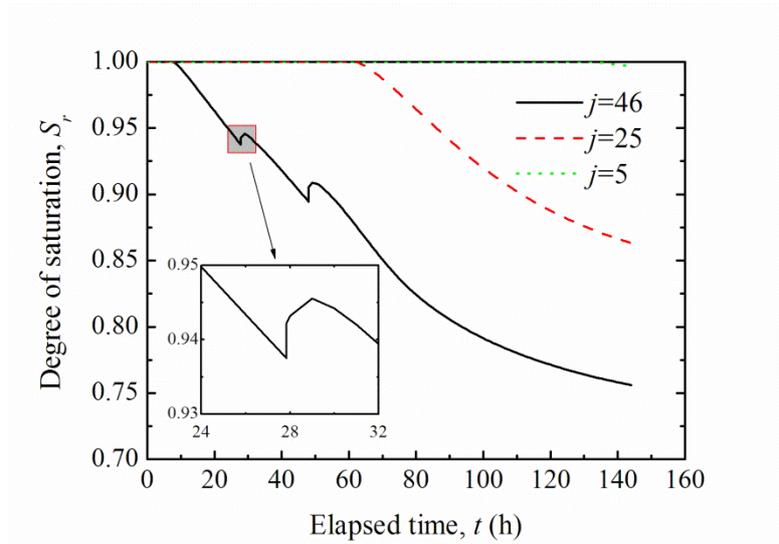
555 To examine responses of soil to the stepped loading, in particular, the air compression
556 under unsaturated conditions, we looked into individual elements of soil column
557 subjected to test 2 (i.e., the stepped-loading scenario) and extracted their consolidation
558 results, as presented in Figure 9. The results provide the pore water pressure, pore air
559 pressure and the degree of saturation over the elapsed time for three elements: $j = 5$,
560 25 and 46. The three elements sit on or near to the cathode, the mid-height and the
561 anode respectively.



562



563



564

565 Figure 9 Results of (a) pore water pressure, (b) pore air pressure, and (c) the degree of
 566 saturation, over elapsed time for locations at the top, middle and bottom of soil
 567 column consolidated in test 2 of stepped loading.

568

569 In Figure 9a, the pore water pressures of the three elements are 12.5 kPa at the outset
 570 and decrease in varied efficiencies. The most efficient occurs to element 46 and the
 571 least to element 5. The pore water pressures in the three elements increase when the
 572 additional loads are applied. The levels of increase however differ. Elements 5 and 25
 573 echoes the load increments; element 46 however is not. The pore water pressure of
 574 element 46 increases by 12.47 kPa in response to the 2nd load increment of 12.5 kPa
 575 that is applied at $t = 27.3$ h, and by 17.9 kPa in response to the 3rd load increment of
 576 25 kPa at $t = 48$ h. Both lead to Skempton's B-value less than 1, and the greater
 577 elapsed time, the less the value. As opposed to Figure 9c, element 46 falls into its
 578 unsaturated condition with $S_r = 0.94$ at $t = 27.3$ h and 0.9 at $t = 48$ h. Although the
 579 values are often treated as nearly saturated, the volume of air of this element shares
 580 parts of the load increment. The opposite occurs to elements 4 and 25 which are full

581 saturated when the loads are applied.

582

583 Similarly, element 46 develops its pore air pressure in a different pattern as opposed to
584 elements 5 and 25, as presented in Figure 9b. The pore air pressure starts at zero and
585 at $t = 9$ h becomes negative, suggesting the presence of pore air. The negative pressure
586 keeps decreasing until the 2nd load increment is applied. At the moment, the negative
587 pore air pressure changes to positive. The change is 8.57 kPa. It does not last long that
588 the positive pore air pressure drops to negative (due to the evolving negative pore
589 water pressure). Similar iterations occur when the 3rd load is applied. At the end of
590 consolidation, the pore air pressure dissipates completely as a result of additional
591 volume of air entering element 46. Elements 5 and 25 experience no air compression
592 as element 46 does. The two elements are saturated when the loads are applied.
593 Otherwise, similar iterations of pore air pressure (thus air compression) would occur.
594 The two elements become partially saturated after the loads application. Negative
595 pore air pressures are resulted, with the presence of greatest value of -16.8 kPa at
596 element 25 at $t = 70$ h. As with element 46, elements 5 and 25 tend to dissipate
597 respective negative pore air pressures at the late stage of consolidation.

598

599 The degrees of saturation of the three elements respond differently to the stepped
600 loading as presented in Figure 9c. At element 5, the degree of saturation remains unity
601 and is independent on the loading. Similar independence occurs to element 25, though
602 its degree of saturation decreases linearly with time since $t = 61$ h. The degree of

603 saturation at element 46 is influenced by the stepped loading. At the moments the
604 loads are applied, the degree of saturation increases instantaneously by a small value
605 (i.e., 0.005 to 0.01) and then, in a short period (e.g. 1 h), by another similarly small
606 value. The occurrence of first increment arises from the air compression, and the
607 second from the dissipation of positive pore air pressure. The latter is evidenced from
608 the pore air pressure coincidentally dropping to zero as presented in Figure 9c.

609

610 **6. Conclusions**

611 This study presents model UEC2 developed for modelling electro-osmosis–surcharge
612 preloading combined consolidation of unsaturated soils. This model is a further step
613 to earlier series of models on electro-osmosis and is upgraded to simulate
614 one-dimensional consolidation of unsaturated soils where the electric field, hydraulic
615 flow and surcharge preloading coincide in directions. This model is validated against
616 experimental tests and applied to provide additional simulation results for the tests.
617 The research arrives at the following conclusions.

- 618 • Model UEC2 is able to account for electro-osmosis, hydraulic permeation, the
619 soil self-weight, and constitutive relationships. The constitutive relationships
620 include the soil compressibility, hydraulic conductivity, electro-osmotic
621 conductivity, air permeability and suction, and are based on the degree of
622 saturation. The model is open and can adopt any other constitutive
623 relationships. The algorithms used in the model account for nonlinear changes
624 of soil properties, the time-dependent loading and electric current, an external

625 hydraulic gradient, air compression, and varied degree of saturation of the soil
626 layer of interest.

627 • Model UEC2 provides the following quantities as a function of time: a) rate of
628 flow at the boundaries and b) the degree of consolidation of the soil layer. This
629 model also provides the following quantities as a function of time and location
630 within the soil layer: a) settlement, b) the void ratio, c) the pore water pressure,
631 d) the pore air pressure, e) the vertical effective stress, f) the moisture content,
632 and g) the electric potential and current density.

633 • The pore water pressure, pore air pressure and degree of saturation are
634 dependent on the elapsed time, depth, air permeability, water/air accessibility
635 and loading conditions. Over the elapsed time, the soil column becomes
636 unsaturated, progressing from the anode to the cathode. The closer to the
637 anode and the greater elapsed time, the more noticeable the unsaturated
638 condition (e.g., less S_r value) will be. The entry of air counteracts the
639 development of pore air pressure which eventually dissipates completely. A
640 portion of surcharge loads on unsaturated soils is borne by the volume of pore
641 air, resulting air compression and corresponding soil settlement. The
642 presumption of saturated conditions over-estimates soil settlement, as opposed
643 to the actual results in unsaturated conditions.

644 • Model UEC2 was developed in one-dimensional space where the electrodes
645 are horizontally orientated. This electrode set-up is applicable to many
646 post-filling scenarios, including the tailings or sludge disposal.

647 • The model parameters that are required for the permeability coefficients of
648 unsaturated soils were determined indirectly and can be determined
649 experimentally in the future.

650

651 **Notations**

652 The following symbols are used in this paper:

653	A_a	Element air volume
654	a_a	Parameter for pore air permeability
655	a_e	Parameter for electro-osmotic conductivity
656	a_v	Coefficient of compressibility
657	a_w	Parameter for hydraulic conductivity
658	b_a	Parameter for pore air permeability
659	b_e	Parameter for electro-osmotic conductivity
660	b_w	Parameter for hydraulic conductivity
661	C_c	Compression index
662	e	Void ratio
663	e_0	Initial void ratio
664	e_a	Pore air ratio
665	e_f	Final void ratio
666	G_s	Specific gravity of soil solids
667	H	Thickness of soil layer
668	H_0	Initial thickness of soil layer

669	h_w	Total hydraulic head
670	h_a	Total air head
671	h_{wt}	Head at top boundary
672	h_{wb}	Head at bottom boundary
673	i_e	Voltage gradient, electric potential gradient
674	i_h	Hydraulic gradient
675	i_a	Pore air pressure gradient
676	j	Element coordinate
677	k_a	Pore air permeability
678	k_{as}	Equivalent pore air permeability
679	k_d	Pore air permeability in dry condition
680	k_e	Electro-osmotic conductivity
681	k_{eo}	Electro-osmotic conductivity in saturated condition
682	k_{es}	Equivalent electro-osmotic conductivity
683	k_w	Hydraulic conductivity
684	k_{wo}	Hydraulic conductivity in saturated condition
685	k_{ws}	Equivalent hydraulic conductivity
686	L_0	Initial height of element
687	L_j	Height of element j
688	m	Small number of settlement difference
689	m_{vG}	Parameter of van Genuchten model
690	n_{vG}	Parameter of van Genuchten model

691	p_a	Atmosphere pressure
692	q_a	Rate of pore air flow
693	q_e	Rate of electro-osmotic flow
694	q_w	Rate of hydraulic flow
695	q_0	Initial effective stress at top boundary
696	R_j	Number of elements
697	R_k	Number of data points for soil–water characteristic curve
698	R_l	Number of data points for pore air permeability curve
699	R_m	Number of data points for compressibility curve
700	R_n	Number of data points for water permeability curves
701	S	Settlement
702	S_e	Effective degree of saturation
703	S_f	Final settlement
704	S_r	Degree of saturation
705	S_{rs}	Residual degree of saturation
706	t	Elapsed time of consolidation
707	t_f	Final elapsed time of consolidation
708	U	Degree of consolidation
709	u_a	Pore air pressure
710	u_s	Matric suction
711	u_{sb}	Air-entry pressure
712	u_w	Pore water pressure

713	V	Electric potential difference
714	V_m	Effective voltage
715	w	Water content, moisture content
716	w_L	Liquid limit
717	w_P	Plastic limit
718	w_o	Initial water content
719	z	Vertical coordinate, elevation
720	α	Constant used to determine the time step increment
721	α_{vG}	Parameter of van Genuchten model
722	γ	Unit weight of soil
723	γ_a	Unit weight of air
724	γ_w	Unit weight of water
725	ρ	Electrical resistivity
726	ρ_s	Electrical resistivity of soil solids
727	ρ_w	Electrical resistivity of pore fluid
728	σ	Total vertical stress
729	σ'	Effective vertical stress
730	χ	Parameter in Bishop's model
731	Δe	Void ratio increment
732	Δq	Load increment
733	Δt	Time step increment
734	Superscripts	

735 i i th step of pore air compression
736 t Elapsed time of consolidation
737 \wedge data points for compressibility curve
738 — data points for water permeability curves
739 \sim data points for pore air permeability curve
740 \sim data points for soil–water characteristic curve

741 **Subscripts**

742 j j th element
743 k k th data point for soil-water characteristic curve
744 l l th data point for pore air permeability curve
745 m m th data point for compressibility curve
746 n n th data point for water permeability curve
747 x representation for w , e , or a

748

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