

## Signal Processing Techniques for Wireless Communication Systems

A DISSERTATION SUBMITTED TO

THE DEPARTMENT OF ELECTRICAL AND ELECTRONIC ENGINEERING

OF THE UNIVERSITY OF ADELAIDE

BY

## Van Khanh Nguyen

IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

Commenced, February 2001

Dissertation Submitted, September 2003

## Abstract

The demands for wireless communication services are growing at a rapid rate. Meeting these demands is challenging since the availability of the radio spectrum at the frequencies of interest is limited. Furthermore, wireless communications also must cope with several other difficulties such as multiple access interference (MAI), channel fading, and limitations on the power and size of the mobile terminals. This thesis investigates the problems of MAI and channel fading in wireless communications, and focuses on developing spectrally efficient coding and signal processing techniques to mitigate the effects of these problems.

The first part of the thesis discusses the use of multiuser detection techniques to overcome the problems of MAI in code-division multiple access (CDMA) systems. The thesis develops two new interference cancellation detection techniques and an adaptive multiuser detector for joint parameter estimation and symbol detection. In addition, the thesis derives a novel framework for analysing the convergence behaviour of an interference cancellation technique which is commonly known as parallel interference cancellation.

In the second part of the thesis, the effects of channel fading on the performance of wireless communication systems are considered. The thesis examines the use of multiple transmit and multiple receive antennas in conjunction with coding for providing diversity to combat channel fading. Particular focus is given to the case when the propagation paths are spatially correlated. The performance of such communication systems is analysed and design criteria for constructing good codes are derived subsequently. The thesis then develops a receiver for joint decoding and channel estimation in time-varying fading channels.

Finally, since there are many different types of diversity which can be exploited in wireless communication systems, the thesis develops a generalised and unified taxonomy for system modelling and signal processing for such systems.

## Contents

1	Intr	oducti	on and Summary of Contributions	1	
1.1		Wirele	ss Communications	1	
	1.2	.2 Motivation and Background			
		1.2.1	Multiple Access Interference - Multiuser Detection	3	
		1.2.2	Multipath Fading - Multiple Transmit and Receive Antennas .	7	
	1.3	3 Overview of the Thesis and Contributions			
2	Mu	ltiuser	Detectors for CDMA Systems	16	
	2.1	Introd	uction	17	
	2.2	2 The System Model			
	2.3	3 Interference Cancellation Techniques			
		2.3.1	Tentative Decision Functions	19	
		2.3.2	Successive Interference Cancellation Detector	21	
		2.3.3	Parallel Interference Cancellation Detector	21	
		2.3.4	Iterative Multiuser Detector	22	
		2.3.5	Successive-Parallel IC Detector: Scheme 1	25	
		2.3.6	Successive-Parallel IC Detector: Scheme 2	27	
		2.3.7	Performance Evaluation of IC Techniques	28	
2.4 Iterative Multiuser Detection with Parameter Estimation .			ive Multiuser Detection with Parameter Estimation	34	
		2.4.1	Detailed Algorithm Description	34	

		2.4.2 Performance Evaluation	37		
	2.5	Conclusions	42		
3	Con	Convergence Behaviour Analysis of the PIC Technique			
	3.1	Introduction	44		
	3.2 The System Model				
	3.3 The PIC Detector				
	3.4	Convergence Behaviour: A Feedback System Perspective	49		
		3.4.1 Background on Feedback Systems	50		
		3.4.2 Application to the PIC Detector	51		
		3.4.3 Examples	57		
	3.5	Convergence Behaviour Analysis: A Neural Network Perspective	61		
		3.5.1 Iterated-Map Neural Networks	62		
		3.5.2 Application to the PIC Detector	63		
		3.5.3 Examples	67		
	Simulation	68			
	3.7	3.7 Conclusions			
	3.8	Appendix	73		
4	Spa	Space-Time Coding 7			
	4.1	1 Introduction			
	4.2	The System Model	. 67 . 68 . 72 . 73 . 76 . 80 . 81		
	4.3	Performance Analysis			
		4.3.1 Asymptotic Tight Pairwise Error Probability	82		
		4.3.2 Pairwise Error Probability Upper Bound	86		
		4.3.3 Pairwise Error Probability Upper Bound for Large $q$	89		
	4.4	Space-Time Code Design Criteria	9(		
		4.4.1 The Bank Determinant Criterion	Q.		

		4.4.2	The Trace Criterion					
		4.4.3	Sum of PEP criterion					
	4.5	Space	Space Time Trellis Codes					
		4.5.1	The Space-Time Trellis Encoder					
		4.5.2	Construction of the Space-Time Trellis Codes 94					
		4.5.3	Performance of Space-Time Trellis Codes					
	4.6	Conclu	asions					
5	Joir	nt Spac	ce-Time Trellis Decoding and Channel Estimation 100					
	5.1	Introd	uction					
	5.2	The System Model						
	5.3	The C	Thannel					
		5.3.1	The physical channel model					
		5.3.2	Autoregressive Channel Model					
	5.4	Receiver Structures						
		5.4.1	Maximum Likelihood Sequence Estimation (MLSE) 109					
		5.4.2	A Per-Survivor Processing (PSP) Approach					
	5.5	Performance Evaluation						
	5.6	Conclusions						
6	Rec	Recursive Receivers for General Diversity Channels 121						
	6.1 Introduction		luction					
	6.2	The C	Communication System Model					
		6.2.1	Examples					
		6.2.2	Real Quadrature Model Form					
		6.2.3	Time-Correlated Rayleigh/Ricean Flat Fading Channel Model 127					
		6.2.4	Model Reduction					
	6.3	The Receivers						

		6.3.1	Generalised Likelihood Ratio Test (GLRT) Receiver	131	
		6.3.2	Noncoherent Maximum Likelihood (NCML) Receiver	132	
		6.3.3	Maximum A Posteriori Probability (MAP) Receiver	132	
		6.3.4	Sequence Estimation Approaches	133	
		6.3.5	Codeword by Codeword Estimation	139	
	6.4	Applie	cation to OFDM systems	143	
		6.4.1	A Physical Model for Delay-Doppler Spread Channels	144	
	6.5	Simula	ation	145	
	6.6	o Conclusions			
	6.7	Apper	ıdix: Derivation of The Reduced State Model	151	
7	Conclusions				
'				154	
	7.1	Summ	nary of Contributions	154	
	72	Sugge	stions for Further Study	156	