Terahertz Spectroscopy and Modelling of Biotissue

by

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Appendix A

Preliminary Studies

ECOMMENDATIONS for extending the work in Chapters 5–9 are provided in Section 10.3. In addition, four smaller studies are summarised in Section 10.3. These smaller pieces of work are in various stages of development; some are more developed with preliminary results, whereas others are literature reviews only. This Appendix describes the studies in greater detail.

A.1 Preliminary Study 1: Pseudo-Phase Contrast

In order to encourage a rapid adoption of THz imaging in industry and medicine, it is necessary to present THz data in ways that are useful and familiar to professionals in these fields. Established image processing methods can be applied to THz data to enhance its representation. Figure A.1(a) shows an example of a THz time domain image captured by a conventional charge-coupled device (CCD) camera after optical upconversion. This image of an insect on a leaf consists of $N \times P$ pixels, and is at one time instance along a discretized time domain with M points. By stacking many of these $N \times P$ arrays over time, a 3D time domain array as shown in Fig. A.1(b) is generated.

The time domain response of one pixel can be extracted for analysis. In Fig. A.1(c), the time response of three pixels from the leaf/insect specimen mentioned above is presented: one pixel is that of the insect, another of the vein of the leaf, and the last is of free-space. When compared to the free-space plot (black line-dot plot), the THz profiles after exiting the insect (red solid line plot) and leaf (blue dashed plot) are attenuated in amplitude. Since the insect and leaf contain water, which strongly attenuates THz radiation, this attenuation is expected. The THz profile for the insect is phase shifted more than the leaf's profile, implying that the insect is thicker than the leaf and/or has a higher refractive index, therefore slowing down the propagating THz radiation. These time profiles can be Fourier transformed to obtain the broadband frequency response.

A.1.1 Preliminary Results

Figure A.2(a) shows THz data after application of common image processing techniques, such as edge detection, Laplacian filtering, and smoothing. Instead of separate amplitude and phase plots, this enhanced image more closely resembles 3D images generated using medical visualisation tools, thus allowing medical professionals to analyse and compare results easily.

An open question is can we implement a new form of THz data representation inspired by established image enhancement techniques used in the scientific field, such as Zernike's optical phase contrast method? Zernike's optical phase contrast method is based on the effect of light passing through a phase⁷⁹ object. When light passes

⁷⁹An object that is not observable (transparent, invisible) because it does not provide contrast with the background. It does, however, cause phase modulations in the irradiated light wave, whereby these





(a) One slice of the 3D dataset with $N \times P$ pixels, containing spatial information

(b) 3D dataset contains both time and spatial information



(c) Time response of 3 locations in the 3D dataset: insect, vein of leaf, and free-space (air)

Figure A.1: Extracting the time response from various pixels in the 3D dataset. (a-b) The 3D dataset contains time and spatial information of the sample space under investigation. Spatial information from one time slice is pseudo-coloured in various shades of green to highlight the different objects in the sample space. Data courtesy of X.-C. Zhang at RPI. (c) The time responses of 3 different pixels: insect, vein of leaf and free-space.

through free-space/air (*surround path*), the phase and amplitude information in the light wave is unaltered. When light passes through a phase object (*particle path*), the amplitude of the light wave is slightly attenuated due to energy loss. The light wave also slows down due to the refractive index of the phase object; the amount of delay introduced will also depend on the object's thickness. The difference in phase between the surround and particle paths is approximately a quarter of the wavelength of optical light. The human eye, however, can only sense amplitude and colour differences when both the surround and particle paths are in phase. The eye however fails to sense

phase modulations are due to either the different refractive index of the object from air, the thickness of the object, or both (Hecht 2002).

the contrast between the surround and particle paths due to their being out of phase by a quarter wavelength (Bennett *et al.* 1951). Phase objects therefore appear invisible under a conventional light microscope. In optical phase contrast, the surround path is manipulated to bring it back in phase with the particle path, resulting in the visibility of the phase object due to constructive interference.







(b) Pseudo-phase contrast imaging

Figure A.2: Alternative representations of THz data. (a) Improvements made to THz image after application of common image processing techniques. The third dimension, intensity, is used to pseudo-colour this image. (b) With THz pseudo-phase contrast imaging. The third dimension in this case is thickness.

Considering one pixel from the leaf/insect sample in this study, the path length of the sample can be obtained indirectly by first calculating the relative time delay between the peak of the sample's (e.g. at position *i*) and the peak of a reference signal. Repeating for the whole $N \times P$ matrix, the relative propagation times τ_i with respect to τ_{ref} is given by:

$$\tau_i = |(\text{time occurrence of peak } i) - \tau_{\text{ref}}|, \text{ for } i \in [1, \dots, N \times P],$$
 (A.1)

and the optical path length is then given by:

$$d_i$$
 = speed of light $c \times \tau_i$, for $i \in [1, \dots, N \times P]$. (A.2)

The optical path lengths can now be converted to sample thickness. Figure A.2(b) shows an image of the various sample thicknesses. There are strong contrasts between the different parts of the leaf, and between the two halves of the insect. This is a novel form of phase contrast because the various path lengths are translated into an image with high contrast.

The relative amplitude I_i tells us how absorptive the medium is to THz radiation. If I_i is large, then large THz levels have been absorbed by the medium, hence low THz levels are captured by the detector. If I_i is small, then the THz radiation propagates through the medium without being absorbed. Therefore I_i can be used to set the opacity of a pixel in Fig. A.2(b) such that $I_i = I_{ref}$ implies zero opacity (I_{ref} is the free-space amplitude where free-space is transparent) and $I_i = \max\{I_{i \in [1,...,N \times P\}}\}$ implies unity opacity (opaque). The sample's opacity is calculated using:

$$I_i = |I_{ref} - (\text{peak amplitude at pixel } i)|$$
, for $i \in [1, \dots, N \times P]$. (A.3)

The low signal-to-noise ratio (SNR) however makes it difficult to pin-point a particular pixel as the reference. To overcome this, peak detection was performed on every pixel in the time domain, i.e. the peak of each $M \times 1$ array was found. The peak detection extracted from each pixel the time occurrence and value of the peak amplitude, thus generating two $N \times P$ matrices. From each matrix, 15 neighbouring pixels that correspond to the top left corner of Fig. A.1(a) were selected and used to average I_{ref} and propagation time τ_{ref} .

In this investigation, it is assumed that the peaks of the leaf's time profiles do not suffer from changes in polarity, and that pulse broadening does not adversely affect the shape of the profile. These assumptions are adopted because the leaf has a higher refractive index than air, so the THz radiation have propagated through three media (air-leafair) and the polarity of their time profiles are unaltered upon exiting the leaf. The leaf used in this analysis is dry, thus it has minimal water content; strong water absorption that causes deformation of the profile is not expected. Internal reflection is ignored. The insect, being thicker and/or denser than the leaf, causes some pulse broadening in the THz profile as seen by the solid line plot in Fig. A.1(c). The polarity of the peak is expected to be inverted (a trough) because the THz radiation have propagated through four media (air-insect-leaf-air). Referring to Fig. A.1(c), the trough at around 6 picoseconds seems to be the one of interest. This trough, however, occurs before the peak of the free-space profile (solid-circle plot), which gives a false impression of negative group velocity. The trough is therefore ignored in this initial analysis. The positive peak (after the peak of the free-space profile) is chosen instead, and the insect and the portion of leaf beneath it are treated as one object.

Note that Zernike's original phase contrast method is based on interference between two beam paths. By altering the path length of one path, the phase difference between the two paths is revealed proportionally as amplitude variations in the observed image. This is akin to heterodyne detection. However, with functional THz imaging, the full phase information is recovered and so interference effects are not exploited. This method of rendering sample thickness on the *z*-axis, as shown in Fig. A.2(b), is therefore dubbed 'pseudo-phase contrast'.

A.1.2 Future Work

Future work could consider signal processing techniques that transform the full phase information from THz imaging to produce the actual appearance of Zernike phase contrast images. Given that THz functional imaging recovers the full phase information, this should be possible in principle.

A.2 Preliminary Study 2: Polymer Hole Arrays

An array of holes spaced periodically apart can function as a notch filter, allowing only specific frequencies from a wideband electromagnetic signal to pass through. This idea is not novel—-doors of microwave ovens are affixed with such filters to minimise leakage of microwave radiation. The shape of holes, size of holes, pitch of holes (distance from the centres of adjacent holes), arrangement of holes (e.g. triangular lattice), type of material, and thickness of the material all play a part in determining the notch frequency/frequencies of the hole array.

In the submillimeter and THz frequency regions, metal structures containing arrays of subwavelength holes have been observed to pass frequencies in the low THz frequency range (Drysdale *et al.* 2003, Qu *et al.* 2004, Qu and Grischkowsky 2004, Miyamaru and Hangyo 2004, Biber *et al.* 2004a, Biber *et al.* 2004b, Azad and Zhang 2005, Tanaka *et al.* 2005, Lo *et al.* 2005, Biber *et al.* 2006). Such structures, which are called metal hole arrays (MHAs) or Frequency Selective Surfaces (FSSs), are usually made from a very thin sheet of metal (e.g. aluminium with thickness between a few hundred nanometers to a few hundred micrometers) mounted on a thicker substrate (e.g. silicon) for mechanical support. Figure A.3 presents examples of MHAs.

The aim of several reported THz MHA studies has primarily been on characterising the frequency resonant behaviours of these structures. Enhancement of THz transmission was also proposed (Lo *et al.* 2005). However, a practical purpose for these filters has

NOTE: These figures are included on page 301 of the print copy of the thesis held in the University of Adelaide Library.

(a) MHA with slot-shaped holes, passband at 0.6 THz $\,$

(b) MHA with dogbone-shaped holes, passband also at 0.6 THz

Figure A.3: Examples of metal hole arrays. (a–b) Photographs of metal hole array (MHAs) with differently shaped holes, but with the same passband frequency. Close-up view of a hole is shown on the right of each subfigure. After Biber *et al.* (2006).

not been explicitly identified. The small size of MHAs, together with their sensitivities to the low THz frequency range, which is less affected by water absorption, raises the question as to whether MHAs would be suitable for detecting minute quantities of liquids. This proposal is encouraged by the work done in Yoshida *et al.* (2007), where protein detection was demonstrated on a thin metallic mesh.

A.2.1 Preliminary Evaluation

The case study presented henceforth explores the ease of designing a MHA, and investigates if alternative materials can be used. Figure A.4 shows an HFSS model of a MHA. Recalling that in Chapter 8, in order to model the array of cylinders along the *y*-axis, a pair of master-slave boundaries are used in HFSS. In Fig. A.4, two master-slave boundaries are used to create periodicity along two-dimensions, the *x* and *y* axes. Outcomes from varying in the hole configurations are shown in Fig. A.5. Recalling Section 8.7.2, the S_{11} plot describes backscattering in a two-port network, with port 1 being the input and port 2 being the output. If $S_{11} = 0$ dB, then there is 100% backscattering, i.e. stopband of a filter; if $S_{11} < 0$ dB, then there is forward transmission from port 1 to port 2, i.e. passband of a filter.

Based on the size and material descriptions provided in existing literature, a plan was made to manufacture a MHA similar to that shown in Fig. A.4, with a passband below 0.2 THz. This frequency range is desired because THz is more strongly attenuated by liquid water at higher frequencies. The MHA manufacturing process would involved

A.2 Preliminary Study 2: Polymer Hole Arrays





(a) Basic cell

(b) First master-slave boundaries







(d) PML boundaries

Figure A.4: Modelling periodic hole arrays in HFSS. (a) Basic cell with the array highlighted.
(b) The first set of master-slave boundaries models periodicity along the *x*-axis. (c) The second set of master-slave boundaries models periodicity along the *y*-axis. (d) The PML boundaries reduce the problem space.

those utilised in the fabrication of printed circuit boards (PCBs). However, the thickness of the metal (a few hundred micrometers to achieve a passband below 0.2 THz) was considered too thick for current modern etching methods; an older etching machine is required. One such machine was found at the University of Cardiff in Wales, UK, whereby the cost of the etching process was quoted at £500.

Although this cost is not prohibitively high, the question of finding alternative materials was raised. Additionally, being able to manufacture a FSS locally at a lower cost would be most desirable so that an assortment of filters with different passbands are available for use. One possible material was found after lengthy discussions with the authors of Gallant *et al.* (2007b). Polydimethylsiloxane (PDMS, or silicone rubber) is a flexible polymer, which can be manufactured following a recipe involving photoresists, solvents, and standard spin coating and ultraviolet curing techniques (Gallant *et al.* 2007a). Figure A.6 shows an example of a PDMS hole array. The PDMS hole array has been verbally reported⁸⁰ by Gallant to be effective for detection of chemicals; the

⁸⁰From an oral presentation at the Joint 32nd International Conference on Infrared and Millimeter Waves, and the 15th International Conference in Terahertz Electronics (IRMMW-THz) in 2007.



Figure A.5: Effect of modifying cell and hole dimensions. Annotated screen shot of S_{11} plots from HFSS. The tunability of the structure is evident from the varying locations of the passband as the cell and hole dimensions are altered. In the first instance, only the cell's *y*-dimension is altered from 2.5 mm to 2 mm in steps of 0.05 mm. Then the hole's *y*-dimension is altered from 1 mm to 1.5 mm in a single step of 0.5 mm, resulting in the generation of a less defined passband.

array was measured with and without biotin, resulting in different transmitted THz signals.

A.2.2 Future Work

If the PDMS' optical properties are known, then various PDMS configurations can be modelled using HFSS. The presence of a thin layer of sample on the PDMS array (e.g. protein solution, biological fluid such as blood) may also be modelled with HFSS as a thin second layer on the array structure. The optimal thickness, if any, of the protein sample on the array can also be explored. Furthermore, an optimal array passband may also exist for a specific type of sample. The results from HFSS can then be verified experimentally using a customised PDMS array.



Figure A.6: PDMS hole array. This specific array has a strong passband at ≈ 0.8 THz, but it also passes frequencies above 0.8 THz but at attenuated signal strengths, therefore behaving like a non-ideal high pass filter. By changing the size of holes, pitch of holes, and arrangement of holes, the filter characteristics of the array is expected to change. Photograph courtesy of A.J. Gallant from Durham University, UK.

A.3 Preliminary Study 3: Etalon Removal

In Chapter 7, polystyrene culture dishes (BD Falcon 353001) are required in the manufacturing process of gels. Since polystyrene is transparent to THz radiation, these dishes are assumed to not interfere with the THz measurements of the gels. This assumption is valid with regards to quantitative measurements of a sample's optical properties. Referring to Fig. 7.8 of the extinction coefficients of gels measured in dishes, the slope of the plots provide quantitative distinction between the three types of samples (pH 2, 4 and 7). However, each plot has an oscillatory artefact caused by Fabry-Pérot etalon effects (multiple reflections) inside the lids and bases of the dishes. The absence of these oscillatory artefacts is clearly apparent in the plots of samples measured without dishes, such as Fig. 7.12.

Fabry-Pérot etalon effects exist as either undesired embedded reflections within a THz pulsed signal, or as reflections that appear shortly after the THz pulsed signal. Algorithms have been proposed to remove both types of reflections (Duvillaret *et al.* 1996, Naftaly and Miles 2007a). In Duvillaret *et al.* (1996), removal of reflections from a single layer are demonstrated; reflections from multiple layers are reported but not demonstrated. In the study presented below, an algorithm for removing undesired embedded reflections from multiple layers is demonstrated.

The algorithm models embedded reflections from multiple layers as a train of weighted delta functions in the time domain. The embedded reflections are either entirely removed or significantly reduced when the measured THz signal is divided by the delta train in the frequency domain. The algorithm is applied to measured data from multilayered samples with estimated *a priori* knowledge of the optical properties of the layers.

A.3.1 Proposed Algorithm

Transmission and reflection pathways of a THz wave in a sample can be described by the Fresnel equations (Hecht 2002). These pathways are provided in detail in (Dorney *et al.* 2001). In this study, the angles of incidence and transmission of the THz signal are assumed to be normal to the sample. In the time domain, the measured THz signal E(t) is the sum of the primary signal $E_p(t)$ and multiple weighted, delayed copies (reflections) of the primary signal with time delay τ_x :

$$E(t) = a_0 E_p(t) + a_1 E_p(t - \tau_1) + a_2 E_p(t - \tau_2) + \cdots,$$
(A.4)
where $\tau_x = \tau_{x-1} + \frac{2(\text{thickness of layer } x)(n_{\text{layer } x})}{\text{speed of light in vacuum}},$

and the normalised Fresnel coefficients, $a_0 = 1$ and $\cdots < a_2 < a_1 < 1$, are dependent on the refractive indices n_x of the layers under investigation. For example, the first four normalised coefficients of a one-layered sample suspended in air are:

$$a_{0} = T_{12} = \frac{2 n_{\text{air}}}{n_{\text{air}} + n_{\text{layer}\,1}} = 1 \quad \text{(normalised)},$$

$$a_{1} = \frac{R_{21}^{2} T_{12}}{T_{12}} = \left(\frac{n_{\text{layer}\,1} - n_{\text{air}}}{n_{\text{layer}\,1} + n_{\text{air}}}\right)^{2},$$

$$a_{2} = \frac{R_{21}^{4} T_{12}}{T_{12}}, \quad a_{3} = \frac{R_{21}^{6} T_{12}}{T_{12}}.$$

Recalling that the unwanted reflections cause oscillatory artefacts in the frequency domain, this technique matches the oscillation by first treating the primary signal as a Dirac delta function at zero time, and the reflections as weighted, delayed Dirac delta functions:

$$E(t) = a_0 \,\delta(t) + \overbrace{a_1 \,\delta(t - \tau_1) + a_2 \,\delta(t - \tau_2) + \cdots}^{\text{unwanted}} . \tag{A.5}$$

In the frequency domain, Equation (A.5) transforms to Equation (A.6), which now contains a sum of exponential functions that match the oscillatory artefact:

$$E(\omega) = 1 + a_1 e^{-i(\omega - \omega_1)\tau_1} + a_2 e^{-i(\omega - \omega_1)\tau_2} + \cdots,$$
(A.6)

where ω is the angular frequency, and ω_1 is a variable to allow minor adjustments in frequency to best match Equation (A.6) with the oscillation. Here, ω_1 is a fitting parameter that selects the case with minimum deviation from the oscillation; it helps account for slight inaccuracies in the measurement of thickness or optical properties. Equation (A.6) can be extended to include multiple layers by adding more exponential terms and recalculating the normalised Fresnel coefficients accordingly.

A.3.2 Preliminary Results

The algorithm is first applied to a scenario with two layers: α -lactose monohydrate (BDH reagent grade, mixed with polyethylene powder) stored in a polystyrene culture dish (BD Falcon 353001). The α -lactose is measured *in situ*. The culture dish has a 1 mm thick base and is the reference signal in this scenario. To ensure that any oscillatory artefact in the frequency domain is solely due to embedded reflections, the time domain signals are truncated before the arrival of secondary reflections that are generated by mirrors or lenses. The time domain signals are then padded with zeros. The dashed line plots in Figs. A.7(a)–A.7(c) show the optical properties of α -lactose without any correction. The inset plots clearly illustrate the oscillatory artefact, particularly at the lower frequency range.

The oscillation is matched by calculating the variables in Equation (A.6) based on the estimated optical and physical properties of α -lactose and polystyrene, and using $\omega_1 = 13\Delta\omega$ (where $\Delta\omega$ is the known frequency step in the frequency domain). This value of ω_1 is obtained by first running the algorithm with $\omega_1 = 0$ to check if Equation (A.6) requires a slight frequency shift to match the oscillation. If required, the best ω_1 value is then selected to align Equation (A.6) with the oscillation. By dividing the original uncorrected sample frequency response by Equation (A.6), the oscillation in the resulting sample frequency response is significantly smoothed. More importantly, a recalculation of the optical properties of α -lactose shows that the spectral peaks are preserved. This is visible by the solid line plots in both the inset and main plots of Figs. A.7(a) and A.7(b).





(a) Refractive index without (dashed line) and with (solid line) correction using the algorithm proposed in this Section

(b) Absorption coefficient without (dashed line) and with (solid line) correction using the algorithm proposed in this Section



(c) Absorption coefficient without (dashed line) and with (solid line) correction using Duvillaret's algorithm

Figure A.7: Performance comparison of algorithms for a multilayered test scenario. α-lactose is mixed with polyethylene powder, and measured inside a polystyrene culture dish with a 1 mm thick base (with no lid). (a) Refractive index without (dashed line) and with (solid line) correction using the algorithm proposed in this Section. (b) Absorption coefficient without (dashed line) and with (solid line) correction using the algorithm coefficient without (dashed line) and with (solid line) correction using the algorithm proposed in this Section. (c) Absorption coefficient without (dashed line) and with (solid line) correction using Duvillaret's algorithm.

The same two-layered scenario as described above (α -lactose and polystyrene) is used in a comparison of the performance of the algorithm in Duvillaret *et al.* (1996) and the one proposed in this Section. It is evident from the solid line plot in Fig. A.7(c) that application of Duvillaret's algorithm provides some smoothing but exacerbates the oscillation at certain frequencies (e.g. 0.75–0.8 THz).

In order to compare the performance of the two algorithms for a single-layered case, the next test scenario utilises a 1 mm thick polyethylene slab. Although both algorithms allow multiple iterations, only one pass of each algorithm was required as no significant improvements were observed from additional passes. The time domain signals were truncated and padded as described above. It is evident from the dashed line plot in Fig. A.8 that the oscillation in this example is pronounced, and application of either algorithm provides considerable smoothing. The extent of smoothing from this algorithm is comparable to that of Duvillaret's.



(a) Refractive index without correction (dashed line) and with correction (solid line) using Duvillaret's algorithm



(b) Refractive index without correction (dashed line) and with correction (solid line) using the algorithm proposed in this Section

Figure A.8: Performance comparison of algorithms for a single layered test scenario. A 1 mm thick polyethylene slab is used as the single layered sample. (a) Refractive index without correction (dashed line) and with correction (solid line) using Duvillaret's algorithm. After Duvillaret *et al.* (1996). (b) Refractive index without correction (dashed line) and with correction (solid line) using the algorithm proposed in this Section, where ω₁ = 4Δω in Equation (A.6).

A.3.3 Future Work

One shortcoming of the proposed algorithm is the use of the fitting parameter ω_1 . The chosen value of ω_1 in the algorithm is presently decided manually by trial and error. A search algorithm can be introduced to optimise the chosen ω_1 . More fitting parameters may also be needed to remove oscillations at the higher frequencies.

A.4 Preliminary Study 4: Inverse Problems

In Chapters 5–8, the THz optical properties of samples are determined from the THz time domain measurements of electric field. Extracting the optical properties from the time domain measurements requires the application of a series of transforms and equations as described in Chapter 5; the THz optical properties cannot, at present, be probed directly. The extraction of THz optical properties is considered an *inverse problem* because the measured parameters (electric field) differs from the desired quantities (optical properties).

Many real-world problems can be considered inverse problems. For example, the use of seismic waves to probe the earth's crust, or the use of ground penetrating radar to study vegetation. In an ideal measurement, the inverse problem is *well-posed*: a unique solution (e.g. THz optical property) exists for any measurement (e.g. THz time domain measurement), and the reverse mapping (the measurement produces a unique solution) also exists. However, in non-ideal measurements, issues such as scattering and dispersion can result in there being many possible solutions, making it impossible to perform a unique reverse mapping. When this happens, the inverse problem is considered *ill-posed* in the sense of Hadamard (Hadamard 1923). Formal mathematical definitions of well-posed, ill-posed, and *ill-conditioned* inverse problems can be found in literature (Vasin and Ageev 1995, Kirsch 1996, Bukhgeim 2000); the definition of well-posedness is reproduced below from Kirsch (1996).

Definition for well-posedness: Let *X* and *Y* be normed spaces, $K : X \mapsto Y$, where *K* is a linear or non-linear mapping. The equation Kx = y is called well-posed if the following holds:

- 1. Existence: For every $y \in Y$, \exists (at least one) $x \in X$ such that Kx = y.
- 2. Uniqueness: For every $y \in Y$, \exists at most one $x \in X$ with Kx = y.

3. Stability: The solution *x* depends continuously on *y*.

If any one of the above three properties does not hold, then the problem is called illposed.

In the context of THz spectroscopy, x is the sample's property of interest that cannot be measured directly (e.g. optical property), y is the time domain THz measurement, K is the mapping from x to y. In practice, y is most likely corrupted by noise, such as multipath reflections and scattering. This corruption may cause ill-posedness of the inverse problem, resulting in the poor reconstruction of x denoted by \hat{x} . To improve the quality of \hat{x} , a *regularisation* scheme, such as the Tikhonov regularisation scheme (Tikhonov and Samarskii 1963), is required. Details of regularisation can be found in Kirsch (1996).

Inverse scattering is the term used to refer to the study of inverse problems involving scattering (Tijhuis 1987, Popović and Taflove 2004). Since scattering is one concern in this Thesis, a proposed future direction is to utilise Tikhonov regularisation to solve inverse ill-posed THz scattering problems from biotissue, protein microstructures, stratified layers, and rough surfaces (Ogilvy 1991). Inverse scattering from stratified layers and rough surfaces would be of particular interest to the THz community researching security applications of THz, such as detection of hidden explosives (Oliveira *et al.* 2003, Oliveira *et al.* 2004), and land mines (Osiander *et al.* 2003, Bosq *et al.* 2005).

A.5 Appendix Summary

Four novel short case studies are presented to extend the novel work presented in this Thesis. Preliminary results and reviews from these studies aim to improve the extraction of information from THz measurements, and to improve the modelling of THz propagation and scattering from biotissue.

Appendix **B**

Nonlinearity in Materials

ONLINEAR materials were introduced in Chapter 3. This Appendix provides a brief overview of the terms and conventions used to describe nonlinearity. It also gives an overview of THz-related crystals that have nonlinear properties. The dependence of THz electro-optic (EO) generation on the geometries and orientations of nonlinear crystals is highlighted.

B.1 Susceptibility χ

This Section elaborates on the electric susceptibility χ introduced in Section 3.2.

When an electric field **E** is incident on a dielectric medium, a polarisation density vector **P** is emitted as shown in Fig. **B.1**. In a linear medium, the relationship between **E**

Figure B.1: Relationship between applied electric field strength and emitted polarisation density. The polarisation density vector **P** is emitted due to an applied electric field **E**. After Saleh and Teich (1991).



and **P** is as follows:

$$\mathbf{P} = \epsilon_0 \chi^{(1)} \mathbf{E}, \qquad (B.1)$$

where $\chi^{(1)}$ is the unitless scalar *electric susceptibility*. If the constant ϵ_0 is ignored, then $\chi^{(1)}$ is the transfer function describing the linear medium in Fig. B.1, and $\chi^{(1)}$ can be thought of as a parameter that describes the extent to which a medium produces a polarised output in response to the incident electric field. If $\chi^{(1)} = 0$ in a linear medium, then polarisation is not achievable. In Equation (3.1), **E** and **P** are expressed as $\tilde{\mathbf{E}}$ and $\tilde{\mathbf{P}}$ that vary rapidly over time: $\tilde{\mathbf{P}}(t) = \chi^{(1)} \tilde{\mathbf{E}}(t)$.

For a nonlinear medium, the transfer function describing the medium is also nonlinear. As expressed in Equation (3.3), the relationship between \tilde{E} and \tilde{P} is as follows:

$$\tilde{P}(t) = \chi^{(1)} \tilde{E}(t) + \chi^{(2)} \tilde{E}^{2}(t) + \chi^{(3)} \tilde{E}^{3}(t) + \chi^{(4)} \tilde{E}^{4}(t) + \cdots, \quad \text{[Equation (3.3)]}$$

where the scalar quantities of \tilde{E} and \tilde{P} are used in Equation (3.3) for simplicity (Yariv 1989, Boyd 2003).

B.1.1 Sample Values of $\chi^{(2)}$

Thus far, the electric susceptibilities $\chi^{(1)}$, $\chi^{(2)}$, and $\chi^{(3)}$ have been defined as a scalar property independent of geometry and frequency. By incorporating Cartesian components of the incident electric field into the electric susceptibilities, the following electric susceptibility tensors are obtained:

$$egin{array}{rcl} \chi^{(1)} & o & \chi^{(1)}_{ij} \ \chi^{(2)} & o & \chi^{(2)}_{ijk} \ \chi^{(3)} & o & \chi^{(3)}_{ijkl} \,, \end{array}$$

where the indices *i*, *j*, *k*, and *l* refer to the Cartesian indices.

If frequency components are included in the electric susceptibility tensors, the equations relating the scalar components of **P** to **E** become:

$$P_i^{\scriptscriptstyle 1}(\omega_m) = \sum_j \chi_{ij}^{\scriptscriptstyle (1)}(\omega_m) E_j(\omega_m)$$
(B.2)

$$P_i^2(\omega_m + \omega_n) = \sum_{jk} \sum_{mn} \chi_{ijk}^{(2)'}(-\omega_m - \omega_n, \omega_m, \omega_n) E_j(\omega_m) E_k(\omega_n)$$
(B.3)

$$P_{i}^{3}(\omega_{m}+\omega_{n}+\omega_{o}) = \sum_{jkl} \sum_{mno} \chi_{ijkl}^{(3)'}(-\omega_{m}-\omega_{n}-\omega_{o},\omega_{m},\omega_{n},\omega_{o})\dots$$
$$E_{j}(\omega_{m})E_{k}(\omega_{o})E_{k}(\omega_{o}), \qquad (B.4)$$

where $\chi_{ijk}^{(2)'}$ and $\chi_{ijkl}^{(3)'}$ are the conjugates of $\chi_{ijk}^{(2)}$ and $\chi_{ijkl}^{(3)}$ respectively. The number of electric susceptibility tensors $\chi_{ijk}^{(2)}$ and $\chi_{ijkl}^{(3)}$ increase significantly considering the different permutations of the Cartesian indices, and the frequencies ω_m , ω_n , and ω_o . The *contracted notation* is one way to reduce the number of electric susceptibility tensors, whereby:

$$d_{ijk} = \frac{1}{2} \chi^{(2)}_{ijk}$$
(B.5)

$$d_{ijkl} = \frac{1}{2} \chi^{(2)}_{ijkl}, \qquad (B.6)$$

provided the Kleinman symmetry condition is fulfilled as follows:

$$\chi_{ijk}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2}) = \chi_{jki}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2}) = \chi_{kij}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2}) = \chi_{ikj}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2})$$
$$= \chi_{jik}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2}) = \chi_{kji}^{(2)}(\omega_{3} = \omega_{1} + \omega_{2}).$$
(B.7)

Details of $\chi_{ij}^{(1)}$, $\chi_{ijk}^{(2)}$, $\chi_{ijkl}^{(3)}$, the contracted notation, and the Kleinman symmetry condition are found in Boyd (2003) and Kaminow (1974). As described in Equation (3.5), $\chi^{(2)}$ and other even harmonics are of interest in optical rectification. Therefore, sample values of $\chi^{(2)}$ in contracted notation are listed in Table B.1.

B.2 Noncentrosymmetrical Crystals

This Section provides a summary of commonly used nonlinear, THz-related crystals alluded to in Sections 3.2.1 and 3.10. As shown in Table B.2, a variety of semiconductor crystals available for THz applications exceeds those of organic and inorganic ones. A brief explanation of crystal structure and point group will be given in Section B.3.

Material	d_{il} (×10 ⁻⁹ cm/statvolt)
lithium niobate (LiNbO ₃)	$d_{22} = 7.4, d_{31} = 14, d_{33} = -98$
gallium arsenide (GaAs)	$d_{36} = 406$
beta barium borate (BBO)	$d_{11} = 4.6$
quartz	$d_{11} = 0.96, d_{14} = 0.02$

Table B.1: Examples of $\chi^{(2)}$ of several THz-related crystals. Second order nonlinear optical susceptibilities in contracted notation, where $\chi^{(2)} = 2d_{il}$. Note that in the gaussian system of units, 1 statvolt = 299.8 volts. If assuming $P = dE^2$, then multiply d_{il} by $4\pi\epsilon_0/(3\times10^4)$ to convert d_{il} to MKS units of C/V². After Boyd (2003).

Semiconductor Crystal	Crystal Structure	Point Group
zinc telluride (ZnTe)	cubic (zincblende)	43m
gallium arsenide (GaAs)	cubic (zincblende)	43m
gallium phosphide (GaP)	cubic (zincblende)	43m
indium antimonide (InSb)	cubic (zincblende)	43m
indium phosphide (InP)	cubic (zincblende)	43m
indium arsenide (InAs)	cubic (zincblende)	43m
cadmium telluride (CdTe)	cubic (zincblende)	43m
zinc cadmium telluride (ZnCdTe)	cubic (zincblende)	43m
gallium selenide (GaSe)	hexagonal	<u></u> 62m
silicon-on-sapphire (SOS)	hexagonal	-
Inorganic Crystal	Crystal Structure	Point Group
lithium niobate (LiNbO ₃)	trigonal	3m
lithium tantalate (LiTaO ₃)	trigonal	3m
beta barium borate (BBO)	trigonal	3m
Organic Crystal	Crystal Structure	Point Group
4-N-methylstilbazolium tosylate (DAST)	monoclinic	m

Table B.2: Examples of nonlinear THz-related crystals. The dashed lines indicate that the relevant information was not found from literature. After Dmitriev et al. (1999), Liu et al. (2004), Schneider et al. (2006), Wilke and Sengupta (2008), and Ruiz et al. (2008).

The popularity of a crystal for THz emission and detection usually depends on the crystal's figure-of-merit, its group velocity mismatch (GVM), and its phonon resonances; a high figure-of-merit and low GVM is desired (Wu and Zhang 1996b). For example, DAST has a figure-of-merit of 633 pm/V and a GVM of 1.22 ps/mm. By contrast, LiTaO₃ has a figure-of-merit of 87.2 pm/V and a GVM of 14.1 ps/mm. Although DAST has the best electro-optic properties among the crystals listed in Table B.2, it has two phonon resonances between 0.1–3.1 THz (Schneider *et al.* 2006), therefore making it less desirable as a THz emitter than other crystals listed above that have phonon resonance at 5.3 THz (Liu *et al.* 2002).

B.3 Introduction to Nonlinear Crystal Geometry

In Section 3.9, both electro-optic (EO) THz generation and detection are described as being dependent on the physical orientation of the nonlinear crystal. This Section briefly introduces the conventions used in crystallography to describe the geometries and orientations of crystals. These conventions are then used in Section B.3.4 to briefly highlight the dependence of THz EO generation on crystal type and orientation.

B.3.1 Miller Index

In crystallography, the Miller index is a notational system that describes planes in crystals, and directions orthogonal to the surface of planes. The Miller index comprises of set of coordinates (e.g. 110) enclosed between one of four types of brackets, e.g. (110), {110}, [110], or <110>. The parentheses denote a specific plane, such as the 110 plane shown in Fig. B.2; the curly braces denote a set of planes; the square brackets denote a direction orthogonal to the plane as shown in Fig. B.3; and the angled brackets denote a set of symmetrically equivalent directions.

In Fig. B.3(a), the directions [100], [010], and [001] are illustrated. The respective reverse directions [$\overline{1}$ 00], [0 $\overline{1}$ 0], and [00 $\overline{1}$] are not illustrated for brevity. All six directions are symmetrically equivalent, hence they can be categorised by one Miller index <100>. In Fig. B.3(b), only 3 directions are illustrated in this figure although several others exist. A collection of directions are symmetrically equivalent, and are categorised by Miller index <110>. In Fig. B.3(c), Miller index <111> represents the symmetrically equivalent directions as listed.

NOTE: This figure is included on page 316 of the print copy of the thesis held in the University of Adelaide Library.

Figure B.2: Miller indices for planes. Adapted from Wikipedia (2009).

NOTE: This figure is included on page 316 of the print copy of the thesis held in the University of Adelaide Library.

Figure B.3: Miller indices for directions. Reverse directions are denoted by a negative sign in this Figure (e.g. -1); in the Miller index notation, reverse directions are denoted by a bar above the number (e.g. 1). Adapted from Brigham Young University (2009).

B.3.2 Bravais Lattice

The lattice of a crystal consists of a periodic array of atoms. The Bravais lattice is used to describe how the atoms in a lattice are arranged. As illustrated in Fig. B.4, there are 14 Bravais lattices. In the context of THz generation, zinc telluride (ZnTe) has a cubic face-centred crystal structure. The 14 Bravais lattices can be further categorised into 7 crystal systems as listed in Table B.3. The crystal system is used in the next Subsection to define the point group of a crystal.

NOTE: This figure is included on page 317 of the print copy of the thesis held in the University of Adelaide Library.

Figure B.4: Schematic of the 14 Bravais lattices. After Pietrovito and Davies (2007).

Bravais Lattice	Crystal System	Bravais Lattice	Crystal System
triclinic	triclinic	hexagonal	hexagonal
simple monoclinic	monoclinic	simple tetragonal	tetragonal
base-centred monoclinic		body-centred tetragonal	
simple orthorhombic	orthorhombic	simple cubic	cubic
body-centred orthorhombic		face-centred cubic	
base-centred orthorhombic		body-centred cubic	
face-centred orthorhombic			
rhombohedral	trigonal	_	_

Table B.3: Bravais lattices. The 14 Bravais lattices can be categorised into 7 crystal systems.After Kaminow (1974).

B.3.3 Point Groups

By knowing the crystal system of a crystal, the point group (or *crystal class*) can then be defined in order to describe the symmetry of a crystal. There are 32 point groups as listed in Table B.4 below. The symbols used to define the point groups follow the Hermann-Mauguin convention. In this convention, the numbers 1,2,3,4,6 denote rotational axes; $\bar{1}, \bar{2}, \bar{3}, \bar{4}, \bar{6}$ denote rotoinversion axes; the alphabet 'i' denotes inversion; and the alphabet 'm' denotes mirror planes.

B.3 Introduction to Nonlinear Crystal Geometry

Crystal	Point	Crystal	Point	Crystal	Point
System	Group	System	Group	System	Group
triclinic	1	_	_	_	-
	ī				
monoclinic	m	orthorhombic	2mm	trigonal	3
	2		222		3
	2/m		mmm		3m
	_		_		32
	-		-		3m
tetragonal	4	hexagonal	6	cubic	23
	4		ē		m3
	4/m		6/m		43m
	4mm		ōm2		432
	4 2m		6mm		m3m
	422		622		
	4/mmm		6/mmm		-

Table B.4: Point groups using the Hermann-Mauguin convention. The numbers 1,2,3,4,6 denote rotational axes; $\overline{1},\overline{2},\overline{3},\overline{4},\overline{6}$ denote rotoinversion axes; the alphabet 'i' denotes inversion; and the alphabet 'm' denotes mirror planes. After Kaminow (1974).

B.3.4 Dependence of THz Generation on Crystal Properties

As summarised in Wilke and Sengupta (2008), electro-optic (EO) THz generation has been reported from <100>, <110>, and <111> zincblende crystals that have cubic Bravais lattice, and $\bar{4}3m$ point group. The extent of THz generation varies between the different symmetry directions of each type of crystal. Furthermore, the angles of incidence and polarisation of the incident laser pulse, with respect to the surface of the crystal, also influence the extent of EO rectification in the different types of crystal.

In <110> and <111> zincblende crystals, a three-fold rotational symmetry exists as the crystal is rotated through 360°. This means that there are three rotational angles where the THz peaks are strongest. Two-fold and four-fold symmetry can exist depending on the polarisation angle of the incident laser pulse (Zhang *et al.* 1992a).

Appendix C

Main and Auxiliary Equipment for Terahertz Measurements

EVERAL THz-TDS systems are used to conduct experiments reported in Chapters 5–8. This Appendix lists the equipment used in the various THz-TDS systems. It also lists the auxiliary laboratory equipment highlighted in Chapters 3 and 5.

C.1 Components of THz-TDS Systems Used

Tables C.1–C.4 list the THz-related laboratory equipment used to conduct experiments reported in this Thesis. Since the experiments are conducted in several locations globally, the Tables include references to the locations of the equipment, and the appropriate Chapters in this Thesis.

C.2 Hank's Buffer

In Section 5.3.1, Hank's buffer is used to preserve the freshly excised biotissue samples. Hank's buffer is a salt solution that is balanced at around pH 7, and contains glucose. Glucose is important for sustaining the freshly excised biotissue samples in Chapter 5. Phenol red is often added into Hank's buffer as a pH indicator. The type of Hank's buffer used in the experiment in Chapter 5 does not contain phenol red.

The main ingredients in Hank's buffer are:

sodium chloride (NaCl)	glucose
potassium chloride (KCl)	magnesium sulfate (MgSO ₄)
sodium phosphate dibasic (Na $_2$ HPO $_4$)	calcium chloride (CaCl)
potassium phosphate monobasic (KH ₂ PO ₄)	sodium bicarbonate

University of Adelaide (Picometrix)				
Purpose Equipment Type Manufacturer N				
Femtosecond laser pulse generation	Ultrafast laser	Spectra Physics	Mai Tai	
THz generation	Fibre-coupled	Picometrix	T-ray 2000	
THz detection	Fibre-coupled	Picometrix	T-ray 2000	

Table C.1: List of equipment used at the University of Adelaide for experiments reported in
this Thesis. The experiments described in Chapters 6 and 7, and Appendix Sections A.1
and A.3 are conducted on this system. The Spectra Physics Mai Tai ultrafast laser has
a built-in pump laser.

University of Adelaide (PCA)				
Purpose	Equipment Type	Manufacturer	Model	
Femtosecond laser	Ultrafast laser	Coherent	Mira Seed	
pulse generation				
Pump laser for	Green laser	Coherent	Verdi V6 DPSS	
femtosecond laser				
THz generation	PCA	Zomega	PCA-GAAS-BT40	
THz detection	PCA	Zomega	PCA-GAAS-BT40	
High voltage	DC bias to PCA	Zomega	HVM-500	
power supply				
Delay stage	Motorised translation	Newport	ILS250CC	
	stage			
Motion controller for	Universal motion	Newport	ESP300	
delay stage	controller			
Optical modulation	Chopper	Thor Labs	MC1000A	
Phase sensitive	Lock-in amplifier	Stanford Research	SR830	
detection		Systems		

Table C.2: List of equipment used at the University of Adelaide for experiments reportedin this Thesis. The experiments described in Chapters 7 and 8 are conducted on thissystem. The green pump laser is the diode-pumped solid state (DPSS) type.

Rensselaer Polytechnic Institute (RPI)			
Purpose	Equipment Type	Manufacturer	Model
Femtosecond laser	Ultrafast laser	Coherent	Mira 900
pulse generation			
Pump laser for	Green laser	Coherent	Verdi V18 DPSS
femtosecond laser			
THz generation	ZnTe	Zomega	2 mm thick
THz detection	ZnTe	Zomega	1 mm thick
Delay stage	Translation stage	Newport	850G
and motor	and stepper motor		
Motion controller	Universal motion	Newport	ESP300
for delay stage	controller		
Optical modulation	Chopper	Stanford Research	SR540
		Systems	
Phase sensitive	Lock-in amplifier	Stanford Research	SR830
detection		Systems	
Intensity detection	Photodetectors	Manufactured in-house	-

Table C.3: List of equipment used at Rensselaer Polytechnic Institute (RPI) for experimentsreported in this Thesis. The experiments described in Chapter 5 are conducted onthis system. The green pump laser is the diode-pumped solid state (DPSS) type.

University of Leeds			
Purpose	Equipment Type	Manufacturer	Model
Femtosecond laser	Ultrafast laser	Spectra Physics	Tsunami
pulse generation			
Pump laser for	Green laser	Spectra Physics	Millenia Xs DPSS
femtosecond laser			
THz generation	GaAs PCA	Manufactured in-house	_
THz detection	ZnTe	_	2 mm thick
High voltage	DC bias to PCA	Kikusui	PMC350
power supply			
Delay stage	Motorised translation stage	Melles Griot	NST
Motor on	Stepper motor	Melles Griot	EAS
translation stage			
Motion controller	Stepper motor	Melles Griot	EAS
for delay stage	controller		
Phase sensitive	Lock-in amplifier	Perkin Elmer/Signal	7265
detection		Recovery	
Intensity detection	Photodetectors	New Focus	Nirvana 2007

Table C.4: List of equipment used at the University of Leeds for experiments reported in
this Thesis. The experiments described in Chapter 8 are conducted on this system.
The green pump laser is the diode-pumped solid state (DPSS) type.

Appendix D

Mathematical Derivation of the Complex Refractive Index

HE complex refractive index, containing the real and imaginary components, were introduced in Chapter 5. This Appendix presents the mathematical derivation of the complex refractive index in the context of polar molecules, which were defined in Section 4.2.

D.1 Derivation of the Complex Refractive Index $\hat{n}(\omega)$

The formal derivation of Equation (5.11) in the context of polar molecules is given in this Section. Explanations of the variables used in Equation (5.16) are also given. The mathematics and explanations presented are extracted from Jackson (1975), Born and Wolf (1999), and Hecht (2002).

D.1.1 Complex Refractive Index $\hat{n}(\omega)$

 $\nabla^2 \mathbf{E} + ($

In Born and Wolf (1999), Maxwell's equation for an electric field **E** in the form of the three-dimensional wave equation is given by Equation (D.1) as follows:

$$\nabla^{2}\mathbf{E} = \frac{\mu\epsilon}{c^{2}}\frac{\partial^{2}\mathbf{E}}{\partial t^{2}} + \frac{4\pi\mu\sigma}{c^{2}}\frac{\partial\mathbf{E}}{\partial t}, \qquad (D.1)$$

where μ , ϵ and σ are the magnetic permeability, electric permittivity, and electric conductivity of a non free-space medium respectively; *c* is the speed of light *in vacuo*.

The Fourier transforms of $\frac{\partial}{\partial t}$ and $\frac{\partial^2}{\partial t^2}$ are $i\omega$ and $(i\omega)^2$ respectively, where ω is the angular frequency. The Fourier transform of Equation (D.1) yields:

$$\nabla^{2}\mathbf{E} = \frac{-\mu\epsilon\omega^{2}}{c^{2}}\mathbf{E} + \frac{4\pi\mu\sigma i\omega}{c^{2}}\mathbf{E}$$
$$= \left(\frac{-\mu\epsilon\omega^{2}}{c^{2}} + \frac{4\pi\mu\sigma i\omega}{c^{2}}\right)\mathbf{E}, \qquad (D.2)$$
$$\frac{\mu\epsilon\omega^{2}}{c^{2}} - \frac{4\pi\mu\sigma i\omega}{c^{2}}\mathbf{E} = 0$$

(
$$c^2$$
)
where $\hat{k}^2 = \frac{\mu \epsilon \omega^2}{c^2} - \frac{4\pi \mu \sigma i \omega}{c^2}$
 $= \frac{\mu \omega^2}{c^2} \left(\epsilon - i \frac{4\pi \sigma}{\omega}\right).$ (D.3)

From Equation (D.3), the electric permittivity ϵ is now a complex number. Therefore, ϵ is now expressed as:

$$\hat{\epsilon} = \epsilon - i \frac{4\pi\sigma}{\omega}.$$
 (D.4)

Substituting Equation (D.4) into the equation of velocity $v = 1/\sqrt{\mu\epsilon}$ results in:

$$\hat{v} = \frac{1}{\sqrt{\mu \hat{\epsilon}}} \tag{D.5}$$

and
$$\hat{n} = \frac{c}{\hat{v}} = \sqrt{\mu \hat{\epsilon}} = \frac{c \hat{k}^2}{\omega}$$
. (D.6)

This means that the refractive index is now a complex number, which can be expressed as shown in Equation (5.11): $\hat{n}(\omega) = n(\omega) - i\kappa(\omega)$.

D.1.2 Explanation of Variables Used in Equation (5.16)

Polar molecules were defined in Section 4.2 as being molecules that have a permanent dipole moment⁸¹ P(t) due to an equal sharing of valence electrons. In the absence of any electric field, thermal agitation keeps a polar molecule's dipoles randomly oriented. In the presence of a static electric field **E**, the polar molecule's internal charge distribution is distorted due to the generation of electric dipole moments. The resultant dipole moment per unit volume is called the *electric polarisation* **P**, whereby:

$$(\hat{\boldsymbol{\varepsilon}} - \boldsymbol{\varepsilon}_0) \mathbf{E} = \mathbf{P}, \qquad (D.7)$$

where ϵ_0 is the permittivity of free-space *in vacuo*, and $\hat{\epsilon}$ is the permittivity of a non free-space medium. **P** is in the same direction as **E**. This means that the dipoles align themselves with the applied **E** so that the medium now has an *orientation polarisation*.

In the presence of a time varying (harmonic) electric field $\mathbf{E}(t)$, the polar molecules undergo rapid rotations and align themselves with the $\mathbf{E}(t)$ field. If $\mathbf{E}(t)$ varies too quickly (e.g. at high driving angular frequencies ω), then the polar molecules are unable to align themselves fast enough with the alternating $\mathbf{E}(t)$. This reduces the polar molecules' electric polarisation **P**. However, the electrons of a polar molecule, each with charge q_e and mass m_e , are small and have little inertia when compared to the whole polar molecule. These electrons can follow the changing $\mathbf{E}(t)$, i.e. the electrons can align themselves fast enough at different frequencies ω . Since the electrons are bound to the nucleus of the atom, the electrons behave like *forced oscillators* as they move in response to $\mathbf{E}(t)$, with each electron experiencing a force $F_e = q_e E(t)$.

In the presence of E(t), the relative displacement x(t) of the electrons with respect to the atom's nucleus is expressed as follows:

$$x(t) = \frac{q_{\rm e}}{m_{\rm e} (\omega_0^2 - \omega^2)} E(t),$$
 (D.8)

where ω_0 is the resonant frequency of the oscillator, and ω is the frequency of $\mathbf{E}(t)$. The dipole moment P(t) of N oscillators can therefore be expressed as:

$$P(t) = q_{\rm e} x(t) N = \frac{N q_{\rm e}^2 E(t)}{m_{\rm e} (\omega_0^2 - \omega^2)}.$$
 (D.9)

Substituting Equation (D.9) into Equation (D.7) gives:

$$\hat{\epsilon} = \epsilon_0 + \frac{P(t)}{E(t)} = \epsilon_0 + \frac{q_e^2 N}{m_e \left(\omega_0^2 - \omega^2\right)}.$$
(D.10)

⁸¹A dipole moment is a vector quantity **P** that points from the negative to the positive charge, or from the south to the north pole (magnetic dipole moment μ).

Since $\hat{n}^2(\omega) = \hat{\epsilon} / \epsilon_0$, then

$$\hat{n}^2(\omega) = 1 + \frac{q_e^2 N}{\epsilon_0 m_e} \left(\frac{1}{\omega_0^2 - \omega^2}\right).$$
(D.11)

Referring to Equation (D.8), if $\omega > \omega_0$, then $(\omega_0^2 - \omega^2) < 0$. This means that $x(t) \propto -E(t)$, implying that the displacement is 180° out-of-phase to the excitation E(t). If $\omega < \omega_0$, then $(\omega_0^2 - \omega^2) > 0$. This means that $x(t) \propto E(t)$, implying that the displacement is in-phase with the excitation E(t). To account for more than one resonant frequency, Equation (D.11) is modified as follows:

$$\hat{n}^{2}(\omega) = 1 + \frac{q_{e}^{2}N}{\epsilon_{0}m_{e}}\sum_{k} \left(\frac{f_{k}}{\omega_{0k}^{2} - \omega^{2}}\right), \qquad (D.12)$$

where f_k are transitional probabilities (or oscillator strengths), such that $\sum_k f_k = 1$.

To account for damping in the oscillator model describing the electrons, a damping factor proportional to the speed of the oscillators' motion is included in Equation (D.12) to give:

$$\hat{n}^{2}(\omega) = 1 + \frac{Nq_{e}^{2}}{\epsilon_{0}m_{e}}\sum_{k}\frac{f_{k}}{\omega_{0k}^{2} - \omega^{2} + i\gamma_{k}\omega}, \quad \text{[Equation (5.16)]}$$

where γ_k is the damping force experienced by an atom in a dense material due to the induced field set up by neighbouring atoms.

D.2 Derivation of the Real Refractive Index $n(\omega)$

The formal derivation of Equation (5.22) is given in this Section. The derivation utilises the Fresnel equations, thus the Fresnel equations are defined first in the next Subsection. The derivation of Equation (5.22) then follows.

D.2.1 The Fresnel Equations

Assume an electric field with amplitude E_{0i} travels in a medium with refractive index n_i . If the field is incident at angle θ onto a linear, isotropic and homogeneous medium with refractive index n_t , then the Fresnel equations describe the relationship between E_{0i} and the transmitted and reflected electric field amplitudes E_{0t} and E_{0t} respectively.

The Fresnel equations consist of the amplitude transmission coefficients T_{\perp} and T_{\parallel} , and the reflection coefficients R_{\perp} and R_{\parallel} :

$$T_{\perp} = \left(\frac{E_{0t}}{E_{0i}}\right)_{\perp} = \frac{2n_{i}\cos\theta_{i}}{n_{i}\cos\theta_{i} + n_{t}\cos\theta_{t}} = +\frac{2\sin\theta_{t}\cos\theta_{i}}{\sin(\theta_{i} + \theta_{t})}$$
(D.13)

Mathematical Derivation of the Complex Refractive Index

$$T_{\parallel} = \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{2n_{i}\cos\theta_{i}}{n_{i}\cos\theta_{t} + n_{t}\cos\theta_{i}} = +\frac{2\sin\theta_{t}\cos\theta_{i}}{\sin(\theta_{i} + \theta_{t})\cos(\theta_{i} - \theta_{t})} \quad (D.14)$$

$$R_{\perp} = \left(\frac{E_{0r}}{E_{0i}}\right)_{\perp} = \frac{n_{i}\cos\theta_{i} - n_{t}\cos\theta_{t}}{n_{i}\cos\theta_{i} + n_{t}\cos\theta_{t}} = -\frac{\sin(\theta_{i} - \theta_{t})}{\sin(\theta_{i} + \theta_{t})}$$
(D.15)

$$R_{\parallel} = \left(\frac{E_{0t}}{E_{0i}}\right)_{\parallel} = \frac{n_{t}\cos\theta_{i} - n_{i}\cos\theta_{t}}{n_{i}\cos\theta_{t} + n_{t}\cos\theta_{i}} = +\frac{\tan(\theta_{i} - \theta_{t})}{\tan(\theta_{i} + \theta_{t})}, \qquad (D.16)$$

where \perp implies the incident electric field \mathbf{E}_i is perpendicular to the plane of incidence (in other words, the incident \mathbf{E}_i is parallel to the tangent plane of the interface), while \parallel implies the incident electric field \mathbf{E}_i is parallel to the plane of incidence.

If the incident and transmitted fields are orthogonal to the plane of incidence, then Equation (D.13) and Equation (D.14) simplify to:

$$T_{\perp} = T_{\parallel} = T_{i \to t} = \frac{E_{0t}}{E_{0i}} = \frac{2n_i}{n_i + n_t}$$
 (D.17)

$$T_{t \to i} = \frac{E_{0i}}{E_{0t}} = \frac{2n_t}{n_i + n_t}.$$
 (D.18)

D.2.2 Real Refractive Index $n(\omega)$

Let $E_{ref}(z, t)$ be the one dimensional wave equation of an electric field propagating along the *z* axis in a medium (called the reference medium) with complex refractive index $\hat{n}_r(\omega)$. The complex one dimensional wave equation is expressed as:

$$E_{\rm ref}(z,t) = E e^{-i(\omega t - kz)},$$
 (D.19)

where the wavenumber *k* is equal to:

$$k = \frac{2\pi}{\lambda} = \frac{2\pi\nu}{\text{speed } v \text{ in medium}} = \frac{\omega\hat{n}_{\text{r}}(\omega)}{c}.$$
 (D.20)

Substituting Equation (D.20) into Equation (D.19) gives:

$$E_{\rm ref}(z,t) = E e^{-i[\omega t - \frac{\omega \hat{n}_{\rm r}(\omega)z}{c}]} = E e^{-i\omega t} e^{\frac{i\omega \hat{n}_{\rm r}(\omega)z}{c}} = E e^{-i\omega t} e^{\frac{i\omega [n_{\rm r}(\omega) + i\kappa_{\rm r}(\omega)]z}{c}}$$
$$= E e^{-i\omega t} e^{\frac{i\omega n_{\rm r}(\omega)z}{c}} e^{\frac{-\omega \kappa_{\rm r}(\omega)z}{c}} = E(t) \underbrace{e^{\frac{i\omega n_{\rm r}(\omega)z}{c}} e^{\frac{-\omega \kappa_{\rm r}(\omega)z}{c}}}_{E_{\rm ref}(z,\omega)}.$$
(D.21)

If $E_{ref}(z, t)$ is incident on a sample (called the sample medium) with complex refractive index $\hat{n}_s(\omega)$ and thickness d, whereby the sample is surrounded by the reference medium, then the transmitted electric field emerging from the sample can be expressed
in terms of the Fresnel amplitude transmission coefficients *T* (either T_{\parallel} or T_{\perp}) defined in Equation (D.17) and Equation (D.18):

$$E_{\text{sample}}(z,t) = E_{\text{ref}}(z,t) T_{\text{ref} \to \text{sample}} T_{\text{sample} \to \text{ref}}$$

= $\left[E(t) e^{\frac{i\omega n_{\text{s}}(\omega)d}{c}} e^{\frac{-\omega \kappa_{\text{s}}(\omega)d}{c}} \right] \frac{2\hat{n}_{\text{r}}(\omega)}{\hat{n}_{\text{r}}(\omega) + \hat{n}_{\text{s}}(\omega)} \frac{2\hat{n}_{\text{s}}(\omega)}{\hat{n}_{\text{r}}(\omega) + \hat{n}_{\text{s}}(\omega)}.$ (D.22)

If the reference medium is vacuum, then $\hat{n}_r(\omega) = 1 - i0$, so Equation (D.22) simplifies to:

$$E_{\text{sample}}(z,t) = E(t) \underbrace{e^{\frac{i\omega n_{\text{s}}(\omega)d}{c}} e^{\frac{-\omega \kappa_{\text{s}}(\omega)d}{c}} \frac{4\hat{n}_{\text{s}}(\omega)}{\left[1+\hat{n}_{\text{s}}(\omega)\right]^{2}}}_{E_{\text{sample}}(z,\omega)}.$$
 (D.23)

Dividing only the frequency dependent terms in Equations (D.23) and (D.21) for the case when $\hat{n}_r(\omega) = 1 - i0$ gives:

$$\frac{E_{\text{sample}}(z,\omega)}{E_{\text{ref}}(z,\omega)} = \frac{A_{\text{s}} e^{i\phi_{\text{s}}(\omega)}}{A_{\text{r}} e^{i\phi_{\text{r}}(\omega)}} = \frac{4\hat{n}_{\text{s}}(\omega)}{\left[1+\hat{n}_{\text{s}}(\omega)\right]^{2}} \frac{e^{\frac{i\omega n_{\text{s}}(\omega)d}{c}} e^{\frac{-\omega\kappa_{\text{s}}(\omega)d}{c}}}{e^{\frac{i\omega d}{c}}}$$

$$= \frac{4\hat{n}_{\text{s}}(\omega)}{\left[1+\hat{n}_{\text{s}}(\omega)\right]^{2}} e^{\frac{i\omega [n_{\text{s}}(\omega)-1]d}{c}} e^{\frac{-\omega\kappa_{\text{s}}(\omega)d}{c}}.$$
(D.24)

If $\kappa_{s}(\omega) \ll n_{s}(\omega)$, then Equation (D.24) can be approximated by:

$$\begin{bmatrix} \frac{E_{\text{sample}}(z,\omega)}{E_{\text{ref}}(z,\omega)} = \frac{A_{\text{s}} e^{i\phi_{\text{s}}(\omega)}}{A_{\text{r}} e^{i\phi_{\text{r}}(\omega)}} \end{bmatrix} \equiv \underbrace{\frac{4n_{\text{s}}(\omega)}{[1+n_{\text{s}}(\omega)]^2}}_{\text{magnitude}} e^{\frac{i\omega[n_{\text{s}}(\omega)-1]d}{c}} \underbrace{e^{\frac{i\omega[n_{\text{s}}(\omega)-1]d}{c}}}_{\text{phase}}$$

$$\Rightarrow \phi_{\text{s}}(\omega) - \phi_{\text{r}}(\omega) = \frac{\omega[n_{\text{s}}(\omega)-1]d}{c}$$

$$n_{\text{s}}(\omega) = \frac{[\phi_{\text{s}}(\omega)-\phi_{\text{r}}(\omega)]c}{\omega d} + 1, \quad \text{[Equation (5.22)]}$$
and
$$\frac{A_{\text{s}}}{A_{\text{r}}} = \frac{4n_{\text{s}}(\omega)}{[1+n_{\text{s}}(\omega)]^2} e^{\frac{-\omega\kappa(\omega)d}{c}}$$
so
$$\kappa(\omega) = -\frac{c}{\omega d} \ln\left(\frac{A_{\text{s}}[1+n_{\text{s}}(\omega)]^2}{4A_{\text{r}}n_{\text{s}}(\omega)}\right) \quad \text{(D.25)}$$

$$\alpha(\omega) = \frac{2\omega\kappa(\omega)}{c} = -\frac{2}{d} \ln\left(\frac{A_{\text{s}}[1+n_{\text{s}}(\omega)]^2}{4A_{\text{r}}n_{\text{s}}(\omega)}\right). \quad \text{[Equation (5.21)]}$$

If $\kappa_s(\omega) \ll n_s(\omega)$, then Equation (D.24) is solved iteratively (Duvillaret *et al.* 1996, Duvillaret *et al.* 1999).

Appendix E

Neuropsychological Assessment of Alzheimer's Disease

SSESSMENT of dementia currently involves a battery of physical and neuropsychological tests. Oral questionnaires are often used to compare a patient's psychological performance against a benchmark. This Appendix reproduces one popular neuropsychological test, the Modified Mini Mental State (3MS) Examination, to highlight some of the difficulties in catering to all demographics. Examples of dementia types are also provided.

E.1 The Modified Mini Mental State (3MS) Examination

The Modified Mini Mental State (3MS) Examination introduced in Section 6.2.2 is reproduced in Table E.1. More details of this examination, including a more comprehensive explanation of the scoring system utilised, is given in Teng and Chui (1987).

As can be seen in the 3MS questionnaire, the questions may not cater to all demographics. A patient's level of education, life experiences, proficiency in language, and state of mind (e.g. nervousness on the day of test) may skew the results of the test, resulting in inaccurate diagnosis. As a result, oral questionnaires are usually used in conjunction with other types of examinations (such as blood tests, MRI scans, and behavioural observations) to more confidently identify the type of dementia.

E.2 Types of Dementia

The following list presents examples of dementias (Hannay et al. 2004).

Cortical Dementias			
Alzheimer's Disease (AD)	Frontal lobe dementia		
Dementia with Lewy Bodies (DLB)	Other cortical atrophies		
Subcortical Dementias			
Parkinson's disease/Parkinsonism (PD)	Huntington's disease (HD)		
Progressive Supranuclear Palsy (PSP)			
Vascular Disorders			
Stroke	Vascular dementia		
Hypertension	Multi-infarct dementia		
Migraine			
Other progressive disorders of the Central Nervous System where			
neuropsychological effects may be prominent			
Normal Pressure Hydrocephalus (NPH)			
Multiple Sclerosis (MS)			

NOTE: This table is included on page 333 of the print copy of the thesis held in the University of Adelaide Library.

Table E.1: Modified Mini-Mental State (3MS) Examination. (Continued overleaf) After Teng and Chui (1987).

NOTE:

This table is included on page 334 of the print copy of the thesis held in the University of Adelaide Library.

Table E.1: Modified Mini-Mental State (3MS) Examination (continued). After Teng and Chui (1987).

Appendix F

The Mathematics of Scattering-Related Theories

HIS Appendix presents the derivations of scattering-related equations used in Chapters 7 and 8. Other scattering models high-lighted in Section 8.3 are also discussed briefly. In addition, an example of the use of one such model to study the impact of skin surface roughness in the THz regime is presented.

F.1 Introduction

Mie and Rayleigh scattering models for cylinders were introduced in Sections 7.5.1 and 8.3, and then utilised in Sections 7.5.1 and 8.6 to validate experimental results. Derivations of the equations utilised in Sections 7.5.1 and 8.6 are presented in the next Section. The derivations begin with exploring spherical scatterers because the equations for cylindrical scatterers are based on them. The Appendix concludes with brief discussions of the Rayleigh-Gans model, geometrical optics, and the Beckmann distribution function, where a THz application of the Beckmann distribution function is given (Png *et al.* 2007). The bulk of mathematics presented in this Appendix follows Bohren and Huffman (1983).

F.2 Spherical Scatterers

The Mie scattering model for a sphere is introduced in this Section as a precursor to the Mie scattering model for a cylinder.

F.2.1 Plane Wave Equation in Cartesian Coordinates

In a linear, isotropic, homogeneous medium, a physically realisable time-harmonic electromagnetic field (E, H) must satisfy the wave equation:

$$\frac{\partial^2 \mathbf{E}}{\partial x^2} + \frac{\partial^2 \mathbf{E}}{\partial y^2} + \frac{\partial^2 \mathbf{E}}{\partial z^2} + k^2 \mathbf{E} = \nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0, \qquad (F.1)$$

$$\frac{\partial^2 \mathbf{H}}{\partial x^2} + \frac{\partial^2 \mathbf{H}}{\partial y^2} + \frac{\partial^2 \mathbf{H}}{\partial z^2} + k^2 \mathbf{E} = \nabla^2 \mathbf{H} + k^2 \mathbf{H} = 0, \qquad (F.2)$$

where $k^2 = \omega^2 \epsilon \mu$, and (**E**, **H**) must be divergence free:

$$(\mathbf{i}\frac{\partial}{\partial x} + \mathbf{j}\frac{\partial}{\partial y} + \mathbf{k}\frac{\partial}{\partial z}) \cdot \mathbf{E} = \nabla \cdot \mathbf{E} = 0, \qquad (F.3)$$

$$(\mathbf{i}\frac{\partial}{\partial x} + \mathbf{j}\frac{\partial}{\partial y} + \mathbf{k}\frac{\partial}{\partial z}) \cdot \mathbf{H} = \nabla \cdot \mathbf{H} = 0.$$
 (F.4)

Note E and H are not independent because

$$\nabla \times \mathbf{E} = i\omega\mu\mathbf{H}, \quad \nabla \times \mathbf{H} = -i\omega\mu\mathbf{E}.$$

F.2.2 Plane Wave Equation of a Sphere

In spherical coordinate, the scalar wave equation Equation (F.1) becomes:

$$\frac{1}{r^2}\frac{\partial}{\partial r}\left(r^2\frac{\partial\psi}{\partial r}\right) + \frac{1}{r^2\sin\theta}\frac{\partial}{\partial\theta}\left(\sin\theta\frac{\partial\psi}{\partial\theta}\right) + \frac{1}{r^2\sin\theta}\frac{\partial^2\psi}{\partial\phi^2} + k^2\psi = 0.$$
(F.5)

The solution to $\psi(r, \theta, \phi)$ in Equation (F.5) can be found using separable variables as follows:

$$\begin{split} \psi(r,\theta,\phi) &= R(r)\Theta(\theta)\Phi(\phi)\,, \end{split} \tag{F.6} \\ \text{where} \quad & \frac{\partial^2 \Phi(\phi)}{\partial \phi^2} + m^2 \Phi(\phi) = 0\,, \\ & \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \left(\sin\theta \frac{\partial\Theta(\theta)}{\partial \theta}\right) + \left[s(s+1) - \frac{m^2}{(\sin\theta)^2}\right]\Theta(\theta) = 0, \\ & \frac{\partial}{\partial r} \left(r^2 \frac{\partial R(r)}{\partial r}\right) + \left[k^2 r^2 - s(s+1)\right]R(r) = 0. \end{split}$$

The solutions of $\Phi(\phi)$, $\Theta(\theta)$, R(r), and $\psi(r, \theta, \phi)$ are expressed in the following four Subsections.

F.2.3 Solution of $\Phi(\phi)$

The solution of $\Phi(\phi)$ in Equation (F.6) consists of even (e) and odd (o) terms as follows:

$$\Phi_{e}(\phi) = \cos(m\phi), \quad \Phi_{o}(\phi) = \sin(m\phi)$$

F.2.4 Solution of $\Theta(\theta)$

The solutions of $\Theta(\theta)$ are the associated Legendre functions of the first kind $P_s^m(\cos \theta)$ of order *m*, and degree $s = m, m + 1, \cdots$. These solutions are orthogonal.

F.2.5 Solution of R(r)

There are four possible linearly independent solutions of R(r). The first two are the spherical Bessel functions:

$$j_s(kr) = \sqrt{\frac{\pi}{2kr}} J_{s+\frac{1}{2}}(kr),$$
 (F.7)

$$y_{s}(kr) = \sqrt{\frac{\pi}{2kr}} Y_{s+\frac{1}{2}}(kr),$$
 (F.8)

where $J_{s+\frac{1}{2}}(kr)$ and $Y_{s+\frac{1}{2}}(kr)$ are the Bessel functions of the first and second kind respectively.

Since any linear combination of $j_n(kr)$ and $y_n(kr)$ is also a solution to R(r), a simpler way of expressing the solutions of R(r) can be written. This takes the form of the spherical Bessel functions of the third kind (also known as spherical Hankel functions):

$$h_{s}^{(1)}(kr) = j_{s}(kr) + iy_{s}(kr)$$
 (F.9)

$$h_s^{(2)}(kr) = j_s(kr) - iy_s(kr).$$
 (F.10)

Therefore, Equations (F.7–F.10) are all solutions of R(r).

F.2.6 Solution of $\psi(r, \theta, \phi)$

From the discussion in Sections F.2.3, F.2.4 and F.2.5, the solution of Equation (F.6) can finally be expressed as:

$$\psi(r,\theta,\phi) = R(r)\Theta(\theta)\Phi(\phi), \quad [\text{Equation (F.6)}]$$

$$\psi_{ems} = R(r)\Theta(\theta)\Phi_{e}(\phi) = \cos(m\phi)P_{s}^{m}(\cos\theta)z_{s}(kr) \quad (F.11)$$

$$\psi_{oms} = R(r)\Theta(\theta)\Phi_{o}(\phi) = \sin(m\phi)P_{s}^{m}(\cos\theta)z_{s}(kr), \quad (F.12)$$

where $z_s(kr)$ is any of the four solutions given by Equations (F.7–F.10) in Section F.2.5. The e and o notations denote the even and odd terms as highlighted in Section F.2.3, and the variables *m* and *s* are as defined in Section F.2.4.

F.2.7 Vector Spherical Harmonics

With knowledge of the solution of $\psi(r, \theta, \phi)$ from Section F.2.6, it is now possible to construct the vector spherical harmonics that are generated by Equations (F.11–F.12). These vector spherical harmonics are the electromagnetic *normal modes* of the spherical particle, and can be used to construct the electric and magnetic fields as follows:

$$\begin{split} \mathbf{E} &= & \mathbf{M}_{\scriptscriptstyle oms} + i \, \mathbf{N}_{\scriptscriptstyle ems} \\ \mathbf{H} &= & m \left(-\mathbf{M}_{\scriptscriptstyle ems} + i \, \mathbf{N}_{\scriptscriptstyle oms} \right) \, , \end{split}$$

where

$$\begin{split} \mathbf{M}_{ems} &= \nabla \times (\mathbf{r} \boldsymbol{\psi}_{ems}) \\ &= \frac{-m}{\sin \theta} \sin(m\phi) P_s^m (\cos \theta) z_s(kr) \boldsymbol{\theta} - \cos(m\phi) \frac{dP_s^m (\cos \theta)}{d\theta} z_s(kr) \boldsymbol{\phi}, \end{split}$$
(F.13)
$$\begin{aligned} \mathbf{M}_{oms} &= \nabla \times (\mathbf{r} \boldsymbol{\psi}_{oms}) \\ &= \frac{m}{\sin \theta} \sin(m\phi) P_s^m (\cos \theta) z_s(kr) \boldsymbol{\theta} - \sin(m\phi) \frac{dP_s^m (\cos \theta)}{d\theta} z_s(kr) \boldsymbol{\phi}, \end{aligned}$$
(F.14)
$$\begin{aligned} \mathbf{N}_{ems} &= \frac{\nabla \times \mathbf{M}_{ems}}{k} \\ &= \frac{z_s(kr)}{kr} \cos(m\phi) s(s+1) P_s^m (\cos \theta) \mathbf{r} + \cos(m\phi) \frac{dP_s^m (\cos \theta)}{d\theta} \frac{1}{kr} \frac{d [krz_s(kr)]}{dkr} \boldsymbol{\theta} \\ &-m \sin(m\phi) \frac{P_s^m (\cos \theta)}{\sin \theta} \frac{1}{kr} \frac{d [krz_s(kr)]}{dkr} \boldsymbol{\phi}, \end{aligned}$$
(F.15)
$$\begin{aligned} \mathbf{N}_{oms} &= \frac{\nabla \times \mathbf{M}_{oms}}{k} \\ &= \frac{z_s(kr)}{kr} \sin(m\phi) s(s+1) P_s^m (\cos \theta) \mathbf{r} + \sin(m\phi) \frac{dP_s^m (\cos \theta)}{d\theta} \frac{1}{kr} \frac{d [krz_s(kr)]}{dkr} \boldsymbol{\theta} \\ &+ m \cos(m\phi) \frac{P_s^m (\cos \theta)}{\sin \theta} \frac{1}{kr} \frac{d [krz_s(kr)]}{dkr} \boldsymbol{\phi}. \end{aligned}$$
(F.16)

F.2.8 Equation of a Plane Wave in Vector Spherical Harmonics

The incident electric wave with amplitude E_0 can be expressed in terms of the vector spherical harmonics derived in Section F.2.7:

$$\mathbf{E}_{\text{inc}} = E_0 e^{ikr\cos\theta} \left[\sin(\theta)\cos(\phi)\mathbf{r} + \cos(\theta)\cos(\phi)\theta - \sin(\phi)\phi\right]$$

= $E_0 \sum_{s=1}^{\infty} i^s \frac{2s+1}{s(s+1)} \left(\mathbf{M}_{\text{ols}}^{(1)} - i\mathbf{N}_{\text{els}}^{(1)}\right),$ (F.17)

where as defined in Equation (F.14), the term $\mathbf{M}_{ols}^{(1)}$ means that the associated Legendre functions of the first kind have order m = 1, and degree $s = m, m + 1, \cdots$. The superscript (1) implies that $z_s(kr) = j_s(kr)$. As defined in Equation (F.15), the subscripts 1*s* and superscript (1) in $\mathbf{N}_{els}^{(1)}$ have the same meaning as in $\mathbf{M}_{ols}^{(1)}$.

The incident magnetic wave can also be expressed in terms of the vector spherical harmonics:

$$\mathbf{H}_{\rm inc} = \frac{-k}{\omega\mu} E_0 \sum_{s=1}^{\infty} i^s \frac{2s+1}{s(s+1)} \left(\mathbf{M}_{e1s}^{(1)} + i \mathbf{N}_{o1s}^{(1)} \right) \,. \tag{F.18}$$

F.3 Cylindrical Scatterers

The Mie scattering model for a cylinder, which was utilised in Sections 7.5.1 and 8.6, is now derived in this Section.

F.3.1 Plane Wave Equation of a Cylinder

In Section F.2.2, the scalar wave equation in spherical polar coordinates r, ϕ , θ was presented in Equation (F.5) as:

$$\frac{1}{r^2}\frac{\partial}{\partial r}\left(r^2\frac{\partial\psi}{\partial r}\right) + \frac{1}{r^2\sin\theta}\frac{\partial}{\partial\theta}\left(\sin\theta\frac{\partial\psi}{\partial\theta}\right) + \frac{1}{r^2\sin\theta}\frac{\partial^2\psi}{\partial\phi^2} + k^2\psi = 0.$$
 [Equation (F.5)]

The scalar wave equation in cylindrical polar coordinates r, ϕ, z is expressed as:

$$\frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial\psi}{\partial r}\right) + \frac{1}{r^2}\frac{\partial^2\psi}{\partial\phi^2} + \frac{\partial^2\psi}{\partial z^2} + k^2\psi = 0.$$
(F.19)

The solution to $\psi(r, \phi, z)$ in Equation (F.19) can be found using separable variables as follows:

$$\psi(r,\phi,z) = R(r)\Phi(\phi)Z(z)$$
(F.20)
where $\Phi(\phi) = e^{is\phi}$, $s = 0, \pm 1, \dots$

$$Z(z) = e^{ihz}$$
,

 $(\mathbf{E} \mathbf{0} \mathbf{1})$

where the separation constant *h* depends on the polarisation of the incident field; R(r) is now $R\left(r\sqrt{k^2 - h^2}\right)$, which is a solution to the Bessel equation below:

$$\left[r\sqrt{k^{2} - h^{2}} \right] \frac{d}{d \left[r\sqrt{k^{2} - h^{2}} \right]} \left(\left[r\sqrt{k^{2} - h^{2}} \right] \frac{d R \left(r\sqrt{k^{2} - h^{2}} \right)}{d \left[r\sqrt{k^{2} - h^{2}} \right]} \right) + \cdots + \left(\left[r\sqrt{k^{2} - h^{2}} \right]^{2} - s^{2} \right) = 0.$$
(F.21)

The two independent solutions of $R\left(r\sqrt{k^2 - h^2}\right)$ are the Bessel functions of the first and second kind $J_s(r\sqrt{k^2 - h^2})$ and $Y_s(r\sqrt{k^2 - h^2})$ of integral order *s*. Alternative solutions of $R\left(r\sqrt{k^2 - h^2}\right)$ are any linear combination of the above Bessel functions of the first and second kind, e.g. the Hankel functions. Therefore, the solution to $\psi(r, \phi, z)$ in Equation (F.19) can be expressed as:

$$\psi(r, \phi, z) = R(r)\Phi(\phi)Z(z)$$
 Equation (F.20)

$$= R\left(r\sqrt{k^2 - h^2}\right) e^{is\phi} e^{ihz}, \quad s = 0, \pm 1, \dots$$
(F.23)
$$= \psi_s.$$

Note that Equation (F.23) has two possible solutions because $R\left(r\sqrt{k^2 - h^2}\right)$ has two independent solutions. This means that Equation (F.23) can be denoted as ψ_s^e and ψ_s^o , where the e and o notations denote the even and odd terms.

F.3.2 Vector Cylindrical Harmonics

As in Section F.2.7, it is possible to construct the vector cylindrical harmonics that are generated by Equation (F.23). These vector cylindrical harmonics are the electromagnetic *normal modes* of the cylinder and can be used to construct the electric and magnetic fields as follows:

$$\mathbf{E} = \mathbf{M}_s + i \mathbf{N}_s$$

$$\mathbf{H} = m \left(-\mathbf{M}_s + i \mathbf{N}_s \right) \quad s = 0, \pm 1, \dots, \qquad (F.24)$$

where

$$\mathbf{M}_{s} = \nabla \times (\mathbf{z}\psi_{s})$$

$$= \sqrt{k^{2} - h^{2}} \left(is \frac{\psi_{s}}{r\sqrt{k^{2} - h^{2}}} \mathbf{r} - \frac{\partial \psi_{s}}{\partial r} \boldsymbol{\phi} \right) = \sqrt{k^{2} - h^{2}} \left(is \frac{\psi_{s}}{r\sqrt{k^{2} - h^{2}}} \mathbf{r} - \psi_{s}' \boldsymbol{\phi} \right)$$

$$= \sqrt{k^{2} - h^{2}} \left(is \frac{R \left(r\sqrt{k^{2} - h^{2}} \right)}{r\sqrt{k^{2} - h^{2}}} \mathbf{r} - R' \left(r\sqrt{k^{2} - h^{2}} \right) \boldsymbol{\phi} \right) e^{i(s\phi + hz)}$$
(F.25)

$$\mathbf{N}_{s} = \frac{\nabla \times \mathbf{M}_{s}}{k}$$

$$= \frac{\sqrt{k^{2} - h^{2}}}{k} \left(ih \frac{\partial \psi_{s}}{\partial r} \mathbf{r} - hs \frac{\psi_{s}}{r\sqrt{k^{2} - h^{2}}} \boldsymbol{\phi} + \sqrt{k^{2} - h^{2}} \psi_{s} \mathbf{z} \right)$$

$$= \frac{\sqrt{k^{2} - h^{2}}}{k} \left(ih R' \left(r\sqrt{k^{2} - h^{2}} \right) \mathbf{r} - hs \frac{R \left(r\sqrt{k^{2} - h^{2}} \right)}{r\sqrt{k^{2} - h^{2}}} \boldsymbol{\phi} + \cdots \right)$$

$$\sqrt{k^{2} - h^{2}} R \left(r\sqrt{k^{2} - h^{2}} \right) \mathbf{z} \right) e^{i(s\phi + hz)}.$$
(F.26)

Note that **z** is a unit vector parallel to the cylinder's axis, and $R\left(r\sqrt{k^2 - h^2}\right)$ is either $J_s(r\sqrt{k^2 - h^2})$ or $Y_s(r\sqrt{k^2 - h^2})$ or the Hankel function $H_s^{(1)}(r\sqrt{k^2 - h^2})$.

F.3.3 Case I: Incident E Parallel to *xz* Plane, H Perpendicular to *xz* Plane

The incident electric wave with amplitude E_0 can now be expressed in terms of the vector cylindrical harmonics derived in Section F.3.2. However, the expression depends on the polarisation of the incident wave.

Let the propagation vector **k** of the incident wave plane be in the *xz* plane. The angle between **k** and the *z* axis is θ . When angle $\theta = 90^{\circ}$, the incoming plane wave is perpendicular to the *xz* plane. Therefore $\mathbf{k} = -\sin(\theta)\mathbf{x} - \cos(\theta)\mathbf{z}$.

The equation of the plane wave is $\mathbf{E}_{inc} = E_{0,inc} e^{i\mathbf{k}\cdot \mathbf{x}}$, where $E_{0,inc}$ is the amplitude of the incident wave.

 $E_{0,\text{inc}}$ has both parallel and perpendicular components: $E_{0,\text{inc}} = E_{0,\text{inc},\parallel} \mathbf{e}_{\parallel} + E_{0,\text{inc},\perp} \mathbf{e}_{\perp}$, where $\mathbf{e}_{\parallel} = \sin(\theta) \mathbf{z} - \cos(\theta) \mathbf{x}$ is a vector parallel to the *xz* plane, and $\mathbf{e}_{\perp} = -\mathbf{y}$ a vector perpendicular to the *xz* plane.

Let us assume the electric field vector $E_{0,inc}$ is strictly parallel to xz plane, therefore the incident electric field is:

$$\mathbf{E}_{\text{inc}} = E_{0,\text{inc},\parallel} \mathbf{e}_{\parallel} e^{i\mathbf{k}\cdot\mathbf{x}}$$

= $E_0 \left(\sin(\theta) \mathbf{z} - \cos(\theta) \mathbf{x}\right) e^{-ik(r\sin\theta\cos\phi + z\cos\theta)}$. (F.27)

Only the z terms in Equations (F.26) and (F.27) can be compared, therefore the z term in Equation (F.27) can be expanded to:

$$\begin{aligned} \mathbf{E}_{\text{inc}} &= E_0 \sin \theta \, e^{-ik(r \sin \theta \cos \phi + z \cos \theta)} \mathbf{z} \\ &= E_0 \sin \theta \, e^{-ikr \sin \theta \cos \phi} \, e^{-ik \cos \theta z} \, \mathbf{z} \\ &= E_0 \sin \theta \, \sum_{s=-\infty}^{\infty} (-i)^s \, J_s(kr \sin \theta) \, e^{is\phi} \, e^{ihz} \, \mathbf{z} \,, \end{aligned}$$
(F.28)

where $h = -k \cos \theta$, and the identity term is:

$$e^{-i[kr\sin\theta]\cos\phi} = \sum_{s=-\infty}^{\infty} (-i)^s J_s(kr\sin\theta) e^{is\phi} \mathbf{z}.$$

The z term in Equation (F.26) can be expanded to:

$$\frac{\sqrt{k^2 - h^2}}{k} \sqrt{k^2 - h^2} R(r) e^{i(s\phi + hz)} \mathbf{z} = \frac{k^2 - h^2}{k} R(r) e^{is\phi} e^{ihz} \mathbf{z}$$

$$= \frac{k^2 - k^2 \cos^2 \theta}{k} R(r) e^{is\phi} e^{ihz} \mathbf{z}$$
$$= k(1 - \cos^2 \theta) R(r) e^{is\phi} e^{ihz} \mathbf{z}$$

$$= k \sin^2 \theta R(r) e^{is\phi} e^{ihz} \mathbf{z}$$
 (F.29)

$$= k \sin^2 \theta J_s(kr \sin \theta) e^{is\phi} e^{ihz} \mathbf{z}$$
 (F.30)

$$= \mathbf{N}_{s}^{(1)},$$
 (F.31)

where R(r) in Equation (F.29) is set to $J_s(kr \sin \theta)$ of integral order *s* in Equation (F.30). This is to allow direct substitution of Equation (F.30) into Equation (F.28). The superscript (1) in $\mathbf{N}_s^{(1)}$ implies that $R(r) = J_s(kr \sin \theta)$.

Comparing Equations (F.28) and (F.30), the incident electric field can now be expressed in terms of the vector cylindrical harmonics:

$$\mathbf{E}_{\text{inc}} = E_0 \sin \theta \sum_{s=-\infty}^{\infty} (-i)^s J_s(kr \sin \theta) e^{is\phi} e^{ihz} \mathbf{z}$$
$$= \sum_{s=-\infty}^{\infty} E_0 (-i)^s \frac{\mathbf{N}_s^{(1)}}{k \sin \theta}.$$
(F.32)

Since \mathbf{E}_{inc} in Equation (F.32) is only dependent on $J_s(kr\sin\theta)$, then \mathbf{H}_{inc} is also only dependent on $J_s(kr\sin\theta)$. In addition, because \mathbf{E}_{inc} in Equation (F.32) contains the $\mathbf{N}_s^{(1)}$ term, then \mathbf{H}_{inc} must contain the $\mathbf{M}_s^{(1)}$ term as shown by the relationship in Equation (F.24). However $\mathbf{M}_s^{(1)}$ must be scaled by *i*. Therefore, the incident magnetic wave expressed in terms of the vector cylindrical harmonics is:

$$\mathbf{H}_{\rm inc} = \frac{-ik}{\omega\mu} \sum_{s=-\infty}^{\infty} E_0 \left(-i\right)^s \frac{\mathbf{M}_s^{(1)}}{k\sin\theta} \,, \tag{F.33}$$

where like Equation (F.31), the superscript (1) implies that R(r) in Equation (F.25) is the Bessel function of the first kind $J_s(kr \sin \theta)$ of integral order *s*.

Internal Field in Vector Cylindrical Harmonics for Case I

The boundary conditions are:

- The tangential components of **E** and **H** are continuous at the surface of the cylinder, and
- *h* must be the same for all waves (i.e. incident, scattered and internal).

Therefore, *h* in the case of the internal wave is also equal to $-k \cos \theta$, and R(r) in Equation (F.26) is also the Bessel function of the first kind $J_s(r)$ of integral order *s*, but differs from that in Equations (F.32) and (F.33) such that:

$$R(r) = J_s(kr\sqrt{m^2 - \cos^2\theta}).$$
(F.34)

Consequently,

$$\mathbf{E}_{tx} = \sum_{s=-\infty}^{\infty} \frac{E_0 (-i)^s}{k \sin \theta} \left[g_s \, \mathbf{M}_s^{(1)} + f_s \, \mathbf{N}_s^{(1)} \right], \tag{F.35}$$

$$\mathbf{H}_{tx} = \frac{-ik_1}{\omega\mu_1} \sum_{s=-\infty}^{\infty} \frac{E_0 (-i)^s}{k \sin \theta} \left[g_s \, \mathbf{N}_s^{(1)} + f_s \, \mathbf{M}_s^{(1)} \right] , \qquad (F.36)$$

where μ_1 is the permeability of the cylinder, and k_1 is the wavenumber in the cylinder. Like Equation (F.32), the superscript (1) implies that R(r) in Equation (F.25) is $J_s(r)$ of integral order *s*.

Scattered Field in Vector Cylindrical Harmonics for Case I

For the scattered field,

$$\mathbf{E}_{sca} = -\sum_{s=-\infty}^{\infty} \frac{E_0 \, (-i)^s}{k \, \sin \theta} \, \left[b_{s,I} \, \mathbf{N}_s^{(3)} + i a_{s,I} \, \mathbf{M}_s^{(3)} \right] \tag{F.37}$$

$$\mathbf{H}_{sca} = \frac{-ik}{\omega\mu} \sum_{s=-\infty}^{\infty} \frac{E_0 (-i)^s}{k \sin \theta} \left[b_{s,I} \mathbf{M}_s^{(3)} + ia_{s,I} \mathbf{N}_s^{(3)} \right] , \qquad (F.38)$$

where the superscript (3) implies that R(r) in Equation (F.26) is the Hankel function $H_s^{(1)} = J_s(\cdot) + iY_s(\cdot)$. Therefore,

$$R(r) = H_s^{(1)}(kr\sin\theta)e^{is\phi}e^{-ikz\cos\theta}$$

= $(J_s(kr\sin\theta) + iY_s(kr\sin\theta))e^{is\phi}e^{-ikz\cos\theta}.$ (F.39)

If $\mu = \mu_1$, and letting $\xi = kr \sin \theta$, and $\eta = kr \sqrt{m^2 - \cos^2 \theta}$, then the scattering coefficients $a_{s,I}$ and $b_{s,I}$ in Equations (F.37) and (F.38) are:

$$a_{s,1} = \frac{C_s V_s - B_s D_s}{W_s V_s + i D_s^2}$$
(F.40)

$$b_{s,1} = \frac{W_s B_s + i D_s C_s}{W_s V_s + i D_s^2}$$
(F.41)

$$B_{s} = \xi \left[m^{2} \xi J_{s}'(\eta) J_{s}(\xi) - \eta J_{s}(\eta) J_{s}'(\xi) \right]$$
(F.42)

$$C_{s} = s(\cos\theta) \eta J_{s}(\eta) J_{s}(\xi) \left(\frac{\xi^{2}}{\eta^{2}} - 1\right)$$
(F.43)

$$D_s = s(\cos\theta) \eta J_s(\eta) H_s^{(1)}(\xi) \left(\frac{\xi^2}{\eta^2} - 1\right)$$
(F.44)

$$V_{s} = \xi \left[m^{2} \xi J_{s}'(\eta) H_{s}^{(1)}(\xi) - \eta J_{s}(\eta) H_{s}^{(1)'}(\xi) \right]$$
(F.45)

$$W_{s} = i\xi \left(\eta J_{s}(\eta) H_{s}^{(1)\prime}(\xi) - \xi J_{s}'(\eta) H_{s}^{(1)}(\xi)\right), \qquad (F.46)$$

where

$$J'_{s}(\eta) = \frac{1}{2} [J_{s-1}(\eta) - J_{s+1}(\eta)]$$

$$H^{(1)\prime}_{s}(\xi) = \frac{1}{2} [H^{(1)}_{s-1}(\xi) - H^{(1)}_{s+1}(\xi)]$$

$$a_{-s,1} = -a_{s,1}$$

$$a_{0,1} = 0$$

$$b_{-s,1} = b_{s,1}.$$

When $\theta = 90^{\circ}$, $a_{s,I} = 0$ and

$$b_{s,I,90^{\circ}} = \frac{J_s(mkr) J'_s(kr) - mJ'_s(mkr) J_s(kr)}{J_s(mkr) H_s^{(1)'}(kr) - mJ'_s(mkr) H_s^{(1)}(kr)}.$$
(F.47)

Large distances from cylinder for Case I (asymptotic scattered field)

If the observation distance from the cylinder is large ($kr \sin \theta >> 1$), then Equation (F.37) becomes:

$$\mathbf{E}_{\text{sca}} \approx -E_0 e^{-i\pi/4} \sqrt{\frac{2}{\pi k r \sin \theta}} e^{ik(r \sin \theta - z \cos \theta)} \cdots \sum_{s} (-1)^s e^{is\phi} [a_{s,1} \boldsymbol{\phi} + b_{s,1} (\cos \theta \mathbf{r} + \sin \theta \mathbf{z})].$$
(F.48)

The wavefronts of the scattered field map the shape of a cone. At any point on the cone, the unit propagation direction vector **s** of the scattered wave (i.e. *wave normal*) is

$$\mathbf{s} = \sin\theta \, \mathbf{r} - \cos\theta \, \mathbf{z} \,. \tag{F.49}$$

Note that the Poynting vector has direction **s**.

F.3.4 Case II: Incident E Perpendicular to *xz* Plane, H Parallel to *xz* Plane

As described in Section F.3.3, the incident electric wave with amplitude E_0 can be expressed in terms of the vector cylindrical harmonics derived in Section F.3.2. However, the expression depends on the polarisation of the incident wave.

Recall that in Section F.3.3, **z** was described as a unit vector parallel to the cylinder's axis. The propagation vector **k** of the incident wave plane is in the *xz* plane. θ is the angle between **k** and the *z* axis. When angle $\theta = 90^{\circ}$, the incoming plane wave is perpendicular to the *xz* plane. Therefore, $\mathbf{k} = -\sin(\theta)\mathbf{x} - \cos(\theta)\mathbf{z}$.

Like in Section F.3.3, the equation of the plane wave is $\mathbf{E}_{inc} = E_{0,inc} e^{i\mathbf{k}\cdot\mathbf{x}}$, where $E_{0,inc}$ is the amplitude of the incident wave. $E_{0,inc}$ has both parallel and perpendicular components: $E_{0,inc} = E_{0,inc,\parallel} \mathbf{e}_{\parallel} + E_{0,inc,\perp} \mathbf{e}_{\perp}$, where $\mathbf{e}_{\parallel} = \sin(\theta) \mathbf{z} - \cos(\theta) \mathbf{x}$ is a vector parallel to the *xz* plane, and $\mathbf{e}_{\perp} = -\mathbf{y}$ a vector perpendicular to the *xz* plane.

Let us assume the electric field vector $E_{0,inc}$ is strictly perpendicular to xz plane. Utilising the vector cylindrical harmonics derived in Section F.3.2:

$$\mathbf{E}_{\rm inc} = -E_{0,{\rm inc},\perp} \, \mathbf{e}_{\perp} \, e^{i\mathbf{k}\dot{x}}
= E_0 \mathbf{y} \, e^{-ik(r\sin\theta\cos\phi + z\cos\theta)}
= -i \sum_{s=-\infty}^{\infty} \frac{E_0 \, (-i)^s}{k\,\sin\theta} \, \mathbf{M}_s^{(1)}.$$
(F.50)

Case II: Scattered Field in Vector Cylindrical Harmonics

For the scattered field,

$$\mathbf{E}_{\rm sca} = -\sum_{s=-\infty}^{\infty} \frac{E_0 \, (-i)^s}{k \, \sin \theta} \, \left[a_{s,\,\rm II} \, \mathbf{M}_s^{(3)} + b_{s,\,\rm II} \, \mathbf{N}_s^{(3)} \right] \,, \tag{F.51}$$

where as explained in Section F.3.3, the superscript (3) implies that R(r) in Equation (F.26) is the Hankel function $H_s^{(1)} = J_s(\cdot) + iY_s(\cdot)$.

If $\mu = \mu_1$, and letting $\xi = kr \sin \theta$, and $\eta = kr \sqrt{m^2 - \cos^2 \theta}$, then the scattering coefficients $a_{s,II}$ and $b_{s,II}$ in Equation (F.51) are

$$a_{s,\Pi} = -\frac{A_s V_s - i C_s D_s}{W_s V_s + i D_s^2}$$
(F.52)

$$b_{s,II} = -i \frac{C_s W_s + A_s D_s}{W_s V_s + i D_s^2}$$
(F.53)

$$A_{s} = i \xi [\xi J'_{s}(\eta) J_{s}(\xi) - \eta J_{s}(\eta) J'_{s}(\xi)] , \qquad (F.54)$$

where C_s , D_s , V_s , W_s , and $J'_s(\cdot)$ are defined in Section F.3.3, and

$$egin{array}{rcl} a_{-s,\Pi} &=& a_{s,\Pi} \ b_{-s,\Pi} &=& -b_{s,\Pi} \ b_{0,\Pi} &=& 0 \end{array}$$

$$a_{s,II} = -b_{s,II}$$

When $\theta = 90^\circ$, $b_{s,II} = 0$, so

$$a_{s,\Pi,90^{\circ}} = \frac{m J_{s}'(kr) J_{s}(mkr) - J_{s}(kr) J_{s}'(mkr)}{m J_{s}(mkr) H_{s}^{(1)'}(kr) - J_{s}'(mkr) H_{s}^{(1)'}(kr)}.$$
(F.55)

Large distances from cylinder for Case II (asymptotic scattered field)

If the observation distance from the cylinder is large ($kr \sin \theta >> 1$), then Equation (F.51) becomes:

$$\mathbf{E}_{\text{sca}} \approx -E_0 e^{-i\pi/4} \sqrt{\frac{2}{\pi k r \sin \theta}} e^{ik(r \sin \theta - z \cos \theta)} \cdots$$
$$\sum_{s} (-1)^s e^{is\phi} \left[-a_{s,\Pi} \boldsymbol{\phi} - b_{s,\Pi} \left(\cos \theta \mathbf{r} + \sin \theta \mathbf{z} \right) \right].$$
(F.56)

The wavefronts of the scattered filed are as described in Section F.3.3.

F.4 Scattering Matrix of a Cylinder

Recall that in Sections F.3.3 and F.3.4, the equation of the plane wave is $\mathbf{E}_{inc} = E_{0,inc} e^{i\mathbf{k}\cdot \mathbf{x}}$. $E_{0,inc}$ can be resolved into its parallel and perpendicular components: $E_{0,inc} = E_{0,inc,\parallel} \mathbf{e}_{\parallel} + E_{0,inc,\perp} \mathbf{e}_{\perp}$, where $\mathbf{e}_{\parallel} = \sin(\theta) \mathbf{z} - \cos(\theta) \mathbf{x}$ is a vector parallel to the *xz* plane, $\mathbf{e}_{\perp} = -\mathbf{y}$ is a vector perpendicular to the *xz* plane, and $\mathbf{e}_{\perp} \times \mathbf{e}_{\parallel} = \mathbf{k}$.

In Section F.3.3, $E_{0,inc}$ was assumed to be strictly parallel to the *xz* plane. In Section F.3.4, $E_{0,inc}$ was assumed to be strictly perpendicular to the *xz* plane. If $E_{0,inc}$ has both the parallel and perpendicular components, then the equation of a plane wave becomes:

$$\mathbf{E}_{\text{inc}} = \left(E_{0,\text{inc},\parallel} \, \mathbf{e}_{\parallel} + E_{0,\text{inc},\perp} \, \mathbf{e}_{\perp} \right) e^{i\mathbf{k}\cdot x} \, .$$

The scattered field \mathbf{E}_{sca} can also be resolved similarly. Let \mathbf{s} be the direction of scattering. The perpendicular component of \mathbf{s} is $\mathbf{e}_{sca,\perp} = \boldsymbol{\phi}$; the parallel component of \mathbf{s} is $\mathbf{e}_{sca,\parallel} = \cos(\theta) \mathbf{r} + \sin(\theta) \mathbf{z}$. $\mathbf{e}_{sca,\perp} \times \mathbf{e}_{sca,\parallel} = \mathbf{s}$.

Note that $\mathbf{r} = \sin(\phi) \mathbf{y} + \cos(\phi) \mathbf{x}$, and $\boldsymbol{\phi} = -\sin(\phi) \mathbf{x} + \cos(\phi) \mathbf{y}$.

Now the equation of the scattered electric field can be resolved into its parallel and perpendicular components:

$$\begin{split} \mathbf{E}_{\text{sca}} &= E_{\text{sca},\parallel} \mathbf{e}_{\text{sca},\parallel} + E_{\text{sca},\perp} \mathbf{e}_{\text{sca},\perp} \\ &= E_{\text{sca},\parallel} \, \left(\cos \theta \, \mathbf{r} + \sin \theta \, \mathbf{z} \right) + E_{\text{sca},\perp} \, \boldsymbol{\phi} \\ &= E_{\text{sca},\parallel} \, \left(\cos \theta \, \left[\sin(\phi) \, \mathbf{y} + \cos(\phi) \, \mathbf{x} \right] + \sin \theta \, \mathbf{z} \right) + E_{\text{sca},\perp} \, \left(-\sin(\phi) \, \mathbf{x} + \cos(\phi) \, \mathbf{y} \right) \, . \end{split}$$

F.4.1 Relationship Between Amplitudes of Incident and Scattered Fields for a Cylinder

The relationship between the amplitudes of the incident and scattered electromagnetic waves is as follows:

$$\begin{bmatrix} E_{\parallel sca} \\ E_{\perp sca} \end{bmatrix} = e^{\frac{i3\pi}{4}} \sqrt{\frac{2}{\pi kr \sin \theta}} e^{ik(r \sin \theta - z \cos \theta)} \qquad \underbrace{\begin{bmatrix} T_1 & T_4 \\ T_3 & T_2 \end{bmatrix}}_{U_{\perp} l_{\perp} l_{\perp$$

amplitude scattering matrix

where if ϕ is replaced with $\Theta = \pi - \phi$, then

$$T_{1} = \sum_{-\infty}^{\infty} b_{s,I} e^{-is\Theta} = b_{0,I} + 2 \sum_{s=1}^{\infty} b_{s,I} \cos(s\Theta)$$
(F.58)

$$T_{2} = \sum_{-\infty}^{\infty} a_{s,\Pi} e^{-is\Theta} = a_{0,\Pi} + 2\sum_{s=1}^{\infty} a_{s,\Pi} \cos(s\Theta)$$
(F.59)

$$T_3 = \sum_{-\infty}^{\infty} a_{s,I} e^{-is\Theta} = -2i \sum_{s=1}^{\infty} a_{s,I} \sin(s\Theta)$$
(F.60)

$$T_4 = \sum_{-\infty}^{\infty} b_{s,\Pi} e^{-is\Theta} = -2i \sum_{s=1}^{\infty} b_{s,\Pi} \sin(s\Theta) = -T_3.$$
 (F.61)

The scattering coefficients a and b are given in Equations (F.40) and (F.41) and Equations (F.52) and (F.53).

Scattered Field in Forward or Backward Scattering Plane

If **s** lies in the forward scattering plane ($\Theta = 0^{\circ}$), or if **s** lies in the backward scattering plane ($\Theta = 180^{\circ}$), then

$$\begin{bmatrix} E_{\parallel \text{sca}} \\ E_{\perp \text{sca}} \end{bmatrix} = e^{\frac{i3\pi}{4}} \sqrt{\frac{2}{\pi kr \sin \theta}} e^{ik(r \sin \theta - z \cos \theta)} \begin{bmatrix} T_1 & 0 \\ 0 & T_2 \end{bmatrix} \begin{bmatrix} E_{\parallel \text{inc}} \\ E_{\perp \text{inc}} \end{bmatrix}.$$
(F.62)

Incident Wave Normal to z Axis ($\theta = 90^{\circ}$)

If the incident wave is normal to the *z* axis (i.e. $\theta = 90^{\circ}$), then

$$\begin{bmatrix} E_{\parallel \text{sca}} \\ E_{\perp \text{sca}} \end{bmatrix} = e^{\frac{i3\pi}{4}} \sqrt{\frac{2}{\pi k r}} e^{ikr} \begin{bmatrix} T_1 & 0 \\ 0 & T_2 \end{bmatrix} \begin{bmatrix} E_{\parallel \text{inc}} \\ E_{\perp \text{inc}} \end{bmatrix}, \quad \forall \Theta.$$
(F.63)

The scattering coefficients from Equations (F.47) and (F.55) can be expressed more simply by utilising the logarithmic derivative $D_s(\cdot)$ below:

$$D_s(\cdot) = \frac{J'_s(\cdot)}{J_s(\cdot)},$$

where
$$D_{s-1}(a) = \frac{s-1}{a} - \frac{1}{\frac{s}{a} + D_s(a)}$$
 (F.64)

is the recurrence relation.

Therefore, Equations (F.47) and (F.55) become:

$$a_{s, \pi, 90^{\circ}} = \frac{\left[\frac{D_{s}(mkr)}{m} + \frac{s}{kr}\right] J_{s}(kr) - J_{s-1}(kr)}{\left[\frac{D_{s}(mkr)}{m} + \frac{s}{kr}\right] H_{s}^{(1)}(kr) - H_{s-1}^{(1)}(kr)}$$
[Equation (8.3)]
$$b_{s, \pi, 90^{\circ}} = \frac{\left[mD_{s}(mkr) + \frac{s}{kr}\right] J_{s}(kr) - J_{s-1}(kr)}{\left[mD_{s}(mkr) + \frac{s}{kr}\right] H_{s}^{(1)}(kr) - H_{s-1}^{(1)}(kr)} .$$
 [Equation (8.4)]

F.5 Efficiencies for a Cylinder

Let W_{inc} be the net rate at which electromagnetic energy is incident on the surface of a cylinder of length l, and radius r. The geometric cross sectional area of the cylinder is that of a rectangle, with area 2rl. Let W_{sca} be the net rate at which electromagnetic energy scatters from the surface of this cylinder. The scattering cross section C_{sca} is the imaginary cross sectional area on the cylinder that interacts with and scatters the incident radiation. This imaginary cross sectional area can be larger or smaller than the actual geometric cross sectional area of the cylinder.

When the cross section C_{sca} (or C_{ext}) is normalised by the geometric cross section, the dimensionless term efficiency Q_{sca} (or Q_{ext}) is generated.

F.5.1 Efficiencies of a Cylinder for Case I: Parallel Incident Electric Field

For case I as described in Section F.3.3, the scattering efficiency of a cylinder is defined as:

$$Q_{\text{sca, I}} = \frac{W_{\text{sca, I}}}{2rlI_{\text{inc}}} = \frac{2}{kr} \left[|b_{0, I}|^2 + 2\sum_{s=1}^{\infty} \left(|a_{s, I}|^2 + |b_{s, I}|^2 \right) \right].$$
(F.65)

Multiplying Equation (F.65) by 2rl gives the scattering cross section $C_{\text{sca},\parallel}$ expressed in Equation (8.1).

If W_{abs} is the net rate at which electromagnetic energy is absorbed in the cylinder, then $W_{ext} = W_{abs} + W_{sca}$. Therefore the extinction efficiency is defined as:

$$Q_{\text{ext, I}} = \frac{W_{\text{ext, I}}}{2rlI_{\text{inc}}} = \frac{2}{kr} \Re \left\{ b_{0, \text{I}} + 2\sum_{s=1}^{\infty} b_{s, \text{I}} \right\} = \frac{2}{kr} \Re \left\{ T_1 \left(\Theta = 0^\circ\right) \right\} \,. \tag{F.66}$$

Note that efficiency is usually plotted against $1/\lambda$, the reciprocal of wavelength.

F.5.2 Efficiencies of a Cylinder for Case II: Perpendicular Incident Electric Field

For case II as described in Section F.3.4, the scattering efficiency of a cylinder is defined as:

$$Q_{\text{sca, II}} = \frac{W_{\text{sca, II}}}{2rlI_{\text{inc}}} = \frac{2}{kr} \left[|a_{0,\text{II}}|^2 + 2\sum_{s=1}^{\infty} \left(|a_{s\text{II}}|^2 + |b_{s,\text{II}}|^2 \right) \right].$$
(F.67)

Multiplying Equation (F.65) by 2rl gives the scattering cross section $C_{\text{sca},\parallel}$ expressed in Equation (8.2).

The extinction efficiency is defined as:

$$Q_{\text{ext, II}} = \frac{W_{\text{ext, II}}}{2rl I_{\text{inc}}} = \frac{2}{kr} \Re \left\{ a_{0,\text{II}} + 2\sum_{s=1}^{\infty} a_{s,\text{II}} \right\} = \frac{2}{kr} \Re \left\{ T_2 \left(\Theta = 0^\circ\right) \right\} \,. \tag{F.68}$$

F.5.3 Small Particle Limit

If kr and |m|kr are small, then the scattering coefficients in Equations (F.65) and (F.67) can be approximated by:

$$b_0(\omega) \approx \frac{-i\pi x^2(m^2 - 1)}{4} \qquad \text{[Equation (7.8)]}$$

$$b_1(\omega) \approx \frac{-i\pi x^4(m^2 - 1)}{32} \qquad \text{[Equation (7.9)]}$$

For case I, this approximation results in Equation (F.65) being simplified to:

$$Q_{\rm sca, I}(\omega) = \frac{2}{x} \left[|b_0(\omega)|^2 + 2 |b_1(\omega)|^2 \right].$$
 [Equation (7.7)]

F.6 Rayleigh-Gans Scattering

In Section F.4.1, the relationship between the amplitudes of the incident and scattered fields for a cylinder is provided in Equation (F.57). If the scatterer has an irregular geometric shape (e.g. neither cylindrical nor spherical), and is either homogeneous or heterogeneous, then the Rayleigh-Gans theory can be used to approximate the relationship between the amplitudes of the incident and scattered fields as follows:

$$\begin{bmatrix} E_{\parallel \text{ sca}} \\ E_{\perp \text{ sca}} \end{bmatrix} = e^{\frac{ik(r-z)}{-ikr}} \begin{bmatrix} T_2 & 0 \\ 0 & T_1 \end{bmatrix} \begin{bmatrix} E_{\parallel \text{ inc}} \\ E_{\perp \text{ inc}} \end{bmatrix}, \quad (F.69)$$

Appendix F

where, in the homogeneous case:

$$T_{1} = \frac{-ik^{3}}{2\pi}(m-1) V f(\theta,\phi)$$
 (F.70)

$$T_2 = \frac{-ik^3}{2\pi} (m-1) V f(\theta, \phi) \cos(\theta)$$
(F.71)

$$f(\theta,\phi) = \frac{1}{V} \int_{V} e^{i\delta} dV, \qquad (F.72)$$

and in the heterogeneous case:

$$T_{1} = \frac{-ik^{3}}{2\pi} \sum_{j} (m_{j} - 1) V_{j} f_{j}(\theta, \phi)$$
(F.73)

$$T_{2} = \frac{-ik^{3}}{2\pi} \sum_{j} (m_{j} - 1) V_{j} f_{j}(\theta, \phi) \cos(\theta)$$
 (F.74)

$$f_{j}(\theta,\phi) = \frac{1}{V_{j}} \int_{V_{j}} e^{i\delta} dV, \qquad (F.75)$$

where m_j is the relative refractive index of the *j*th region in a heterogeneous scatterer, V_j is the volume of the *j*th region, $f_j(\theta, \phi)$ is the form factor, θ is the zenith angle, ϕ is the azimuth angle, and δ is the dot product between the tangential surface vector, and the difference between the surface normal and tangential surface vector along the axis which the electric field is incident. More details of the Rayleigh-Gans theory are found in Bohren and Huffman (1983).

F.7 Geometric Optics

In geometric optics, rays are used to trace the path of the incident, scattered, and transmitted electric fields. The rays propagate through interfaces according to the Fresnel equations and Snell's law. To model an electromagnetic field, many rays may be used, with each ray generating its own incident, reflected, and transmitted angles to be used in the Fresnel equations and Snell's law. Geometric optics is often used as an approximation for exact solutions (e.g. Mie theory) due to its simplistic treatment of electromagnetic waves as a collection of rays.

As geometric optics is treated in detail in Hecht (2002), and scattering-related examples given in Bohren and Huffman (1983), further discussion in this Appendix is unnecessary.

F.8 Beckmann Distribution Function

Surface roughness is often modelled as an array of microfacets on an otherwise flat surface. The slopes and spacings of these facets are defined as a distribution function (probability density function). One simple model is the Gaussian model where roughness is altered via changes to the standard deviation of a Gaussian distribution function. In accordance with literature, this standard deviation is called the distribution factor *m*; a small *m* implies smoothness, while large *m* indicates roughness. Each microfacet has a unit surface normal **N**; the incoming radiation with respect to **N** is unit vector **L**; the viewing direction with respect to **N** is unit vector **V**; the vector that bisects **L** and **V** is **H**. The angle of incidence between **N** and **H** is α .

A similar but more thorough model is called the Beckmann distribution function (Beckmann and Spizzichino 1963). Its simplified form is given in Equation (F.76). To account for some facets that may be blocked from the incident radiation by other facets, a geometric attenuation factor G as given in Equation (F.77) is incorporated.

$$D = \frac{1}{m^2 \cos^4 \alpha} e^{-\left(\frac{\tan \alpha}{m}\right)^2}.$$
 (F.76)

$$G = \min\left(1, \frac{2(\mathbf{N} \cdot \mathbf{H})(\mathbf{N} \cdot \mathbf{V})}{\mathbf{V} \cdot \mathbf{H}}, \frac{2(\mathbf{N} \cdot \mathbf{H})(\mathbf{N} \cdot \mathbf{L})}{\mathbf{V} \cdot \mathbf{H}}\right).$$
(F.77)

One of the issues encountered in conducting the study presented in Chapter 5 was the presence of rat hair on the skin samples. Although the skin surface was shaved prior to excision, it is not possible to remove all hair follicles. This raises the question if hair follicles interfere with the THz measurements through strong surface reflection. Figure F.1 shows the extracted optical properties from 0.3-1 THz for lyophilised rat ventral skin with and without removal of the hair through shaving. The plots of the extinction coefficients deviate by no more than 0.01 at each frequency, thus are quite similar. The plots of the refractive indices may initially appear very different but considering the small range of values in the ordinate (*y*-axis), their refractive indices are not dissimilar. Therefore, the reduction in hair length through shaving appears to have not altered the sample's surface reflectivity. To verify this observation, a novel study was conducted using the Beckmann distribution function to determine if hair causes surface reflections. The results of the study are presented in the next Subsection.



Figure F.1: Optical properties of rat ventral skin, with and without shaving. The solid and dashed lines represent the extinction coefficients, while the lines with circle and cross markers represent the refractive indices. Even with shaving, the surface of the rat skin contains hair follicles.

F.8.1 Application of the Beckmann Distribution Function

The scene size used in this simulation is 128×128 pixels. The amount of specular versus diffuse reflection can be altered using the factors *s* and *d* respectively, where s + d = 1. The sample simulated in this study is ideal fresh skin (no moisture) with optical properties consistent with those reported in (Fitzgerald *et al.* 2003). The Fresnel reflection coefficient $F(\theta, \lambda)$ of the sample can therefore be calculated, where λ is the wavelength and θ is the angle of incidence between L and N. The skin sample is assumed to be slightly convex in the direction of the incoming THz radiation in order to mimic actual skin samples that are seldom flat. The solid angle Ω of the incident THz radiation $I_i(\lambda)$ is calculated over 2×2 pixels. The reflected THz signal $I_r(\lambda)$ in the frequency domain is therefore equal to:

$$I_{\rm r}(\lambda) = I_{\rm i}(\lambda)(\mathbf{N}\cdot\mathbf{L})\Omega\left(\frac{sF(\theta,\lambda)DG}{\pi(\mathbf{N}\cdot\mathbf{V})(\mathbf{N}\cdot\mathbf{L})} + \frac{dF(\theta=0^\circ,\lambda)}{\pi}\right).$$
(F.78)

Figures F.2(a) and F.2(b) show the magnitude of I_r at 1.225 THz for smooth and rough skin surfaces with the same diffuse and specular reflection factors d and s respectively. The rough skin surface mimics the rat skin with hair, and the smooth surface mimics a perfect hairless surface. The signal reflected from a rough surface is one order

F.8 Beckmann Distribution Function

of magnitude less than for a smooth surface, implying that the rough surface reflects less than the smooth surface. Since *d* and *s* are similar for both models, the reduction in reflection from the rough surface cannot be attributed to stronger diffuse reflection from the rough surface. The reduction is instead consistent with the notion of more loss from repeated bounces off the facets. This observation is in agreement with Dikmelik *et al.* (2006), where reflection mode THz spectroscopy of rough surfaces result in attenuated detected signals. Therefore, the strong reflection from the smooth surface may be beneficial to reflection mode THz spectroscopy, but disadvantageous for transmission mode THz spectroscopy.

To further support the observations in Figs. F.2(a) and F.2(b), Fig. F.3 presents a comparison of the incident and reflected THz signal, where the reflected THz signal is assumed to be reflected by 180°. The magnitude of the reflected signal is more than 4 orders of magnitude weaker than the incident THz signal, again suggesting negligible loss through surface reflection. Since the measured results shown in Fig. F.1 are similar to the observations in Figs. F.2(b) and F.3, this implies that the unshaved surface has similar roughness to the shaved surface, both being poor surface reflectors of THz radiation. Based on the modelling using the Beckmann distribution function, and the THz measurements, the conclusion is that the incomplete removal of hair from rat skin samples does not contribute to surface reflections.



(a) Smooth surface (Beckmann distribution factor m = 0.1), specular reflection factor s = 0.1, and diffuse reflection factor d = 0.9



(b) Rough surface (Beckmann distribution factor m = 1), specular reflection factor s = 0.1, and diffuse reflection factor d = 0.9

Figure F.2: Magnitudes of the reflected THz signal at 1.225 THz. The y-axes of Figs. F.2(a) and F.2(b) have arbitrary units (a.u.). (a) As shown by the dark red peak, the maximum magnitude at 4.6 × 10⁻³ a.u. indicates maximum reflectivity from the smooth sample.
(b) The maximum magnitude for the rough sample is 4.06 × 10⁻⁴ a.u., which is one order of magnitude less than for the smooth surface, implying that the rough surface reflects less than the smooth surface.



Figure F.3: Comparison between the incident THz signal and the simulated reflected signal using the Beckmann distribution function. The measured incident THz signal is more than 4 orders of magnitude stronger than the reflected THz signal simulated using the Beckmann distribution function with distribution factor m = 1 (rough), indicating that hair does not interfere with transmission mode THz measurements.

Appendix G

General Solution of the Helmholtz Equation

HIS Appendix presents the derivation of the general solution of the Helmholtz equation as required in Chapter 9.

G.1 General Solution of the Helmholtz Equation

This Appendix derives the general solution for the Helmholtz equation given in Equation (9.3) for the *m*th layer.

The differential form of Maxwell's equation can be expressed as:

$$\nabla^{2}\mathbf{E} - \mu\sigma\frac{\partial\mathbf{E}}{\partial t} - \mu\epsilon\frac{\partial^{2}\mathbf{E}}{\partial t^{2}} = \nabla(\frac{\rho}{\epsilon})$$

$$\nabla^{2}\mathbf{B} = \mu\sigma\frac{\partial\mathbf{B}}{\partial t} + \mu\epsilon\frac{\partial^{2}\mathbf{B}}{\partial t^{2}}$$
but $\mu\mathbf{H} = \mathbf{B}$

$$\therefore \mu\nabla^{2}\mathbf{H} = \mu^{2}\sigma\frac{\partial\mathbf{H}}{\partial t} + \mu^{2}\epsilon\frac{\partial^{2}\mathbf{H}}{\partial t^{2}}$$

$$\nabla^{2}\mathbf{H} = \mu\sigma\frac{\partial\mathbf{H}}{\partial t} + \mu\epsilon\frac{\partial^{2}\mathbf{H}}{\partial t^{2}}.$$

For an electromagnetic wave with electric field vector in the plane of incidence (xz plane), the differential form of Maxwell's equation can be written as:

$$\left[\nabla^2 H(x,z,t) = \frac{\partial^2 H(x,z,t)}{\partial x^2} + \frac{\partial^2 H(x,z,t)}{\partial z^2}\right] = \mu \sigma \frac{\partial H(x,z,t)}{\partial t} + \mu \varepsilon \frac{\partial^2 H(x,z,t)}{\partial t^2}.$$
 (G.1)

In the frequency domain, where the angular frequency $\omega = 2\pi v$ and v is the frequency in units of Hertz, Equation (G.1) becomes:

$$\nabla^{2} H(x, z, \omega) = i\omega\mu\sigma H(x, z, \omega) - \omega^{2}\mu\epsilon H(x, z, \omega)$$
$$[\nabla^{2} - (i\omega\mu\sigma - \omega^{2}\mu\epsilon)] H(x, z, \omega) = 0$$
$$[\nabla^{2} - \gamma^{2}] H(x, z, \omega) = 0$$
$$\left[\frac{\partial^{2} H(x, z, \omega)}{\partial x^{2}} + \frac{\partial^{2} H(x, z, \omega)}{\partial z^{2}} - \gamma^{2} H(x, z, \omega)\right] = 0.$$
(G.2)

Equation (G.2) is the Helmholtz equation, and the square of the propagation constant γ is equal to:

$$\gamma^{2} = (i\omega\mu\sigma - \omega^{2}\mu\epsilon) = -\hat{k}^{2} = -\{k\,\hat{n}\}^{2} = -\{k(n-i\kappa)\}^{2}, \qquad (G.3)$$

and \hat{k} is the complex wavenumber, $k = 2\pi/\lambda$ is the wavenumber, \hat{n} is the complex refractive index with real and imaginary components n and κ respectively. For a multilayered surface, the square of the propagation constant of the *m*th layer is equal to:

$$\gamma_m^2 = i\omega\mu_m\sigma - \omega^2\mu_m\epsilon_m. \tag{G.4}$$

Appendix G

To find the solution to the Helmholtz equation in Equation (G.2), separation of variables is used as follows:

$$H(x, z, \omega) = F(x, \omega)G(z, \omega),$$

or more simply $H(x, z) = F(x)G(z)$ (G.5)

since all terms are dependent on the angular frequency ω .

Differentiating Equation (G.5) gives:

$$\frac{\partial H}{\partial x} = \frac{\partial F(x)}{\partial x} G(z) \tag{G.6}$$

$$\frac{\partial^2 H}{\partial x^2} = \frac{\partial^2 F(x)}{\partial x^2} G(z)$$
(G.7)

$$\frac{\partial^2 H}{\partial z^2} = \frac{\partial^2 G(z)}{\partial z^2} F(x) . \tag{G.8}$$

Substitute Equations (G.7) and (G.8) into Equation (G.2) gives:

$$\frac{\partial^2 F(x)}{\partial x^2} G(z) + \frac{\partial^2 G(z)}{\partial z^2} F(x) - \gamma^2 F(x) G(z) = 0$$

$$\frac{\partial^2 F(x)}{\partial x^2} G(z) = -\frac{\partial^2 G(z)}{\partial z^2} F(x) + \gamma^2 F(x) G(z).$$
(G.9)

Dividing both sides of Equation (G.9) by F(x)G(z) results in:

$$\frac{1}{F(x)}\frac{\partial^2 F(x)}{\partial x^2} = -\frac{1}{G(z)}\frac{\partial^2 G(z)}{\partial z^2} + \gamma^2$$
$$= \frac{1}{G(z)}\left[-\frac{\partial^2 G(z)}{\partial z^2} + \gamma^2 G(z)\right].$$
(G.10)

Both sides of this explicit equation must be equal to a constant. For the solutions to be unique, the constant must be a negative number. Let this negative constant be $-\beta^2$. The left side of Equation (G.10) becomes:

$$\frac{1}{F(x)} \frac{\partial^2 F(x)}{\partial x^2} = -\beta^2$$
$$\frac{\partial^2 F(x)}{\partial x^2} + \beta^2 F(x) = 0.$$
(G.11)

The right side of Equation (G.10) becomes:

$$\frac{1}{G(z)} \left[-\frac{\partial^2 G(z)}{\partial z^2} + \gamma^2 G(z) \right] = -\beta^2$$

$$\frac{\partial^2 G(z)}{\partial z^2} - \gamma^2 G(z) - \beta^2 G(z) = 0.$$
(G.12)

Equations (G.11) and (G.12) are now homogeneous ordinary differential equations (ODEs) with constant coefficients, therefore their general solutions can now be easily found. For F(x):

$$\therefore \frac{\partial^2 F(x)}{\partial x^2} = p^2 e^{px}, \qquad (G.14)$$

where *p* is the root of F(x).

Substituting Equations (G.13) and (G.14) into Equation (G.11) gives:

$$\frac{\partial^2 F(x)}{\partial x^2} + \beta^2 F(x) = 0$$

$$p^2 e^{px} + \beta^2 e^{px} = 0$$

$$e^{px} (p^2 + \beta^2) = 0 \quad \text{where the characteristic equation is } p^2 + \beta^2$$

$$p^2 = -\beta^2$$

$$p = \pm i\beta$$

$$\therefore F(x) = c_1 e^{-i\beta x} \quad \text{where } c_1 \text{ is a constant.}$$

For G(z):

$$let G(z) = e^{hz}
 (G.15)$$

$$\therefore \frac{\partial^2 G(z)}{\partial z^2} = h^2 e^{hz}, \qquad (G.16)$$

where *h* is the root of G(z).

Substituting Equations (G.15) and (G.16) into Equation (G.12) gives:

$$\frac{\partial^2 G(z)}{\partial z^2} - \gamma^2 G(z) - \beta^2 G(z) = 0$$
$$h^2 e^{hz} - \gamma^2 e^{hz} - \beta^2 e^{hz} = 0$$

$$e^{hz}(h^2 - \gamma^2 - \beta^2) = 0 \quad \text{where the characteristic equation is } h^2 - \gamma^2 - \beta^2$$
$$h^2 = \gamma^2 + \beta^2$$
$$h = \pm \sqrt{\gamma^2 + \beta^2}$$
$$\therefore G(z) = c_2 e^{z\sqrt{\gamma^2 + \beta^2}} + c_3 e^{-z\sqrt{\gamma^2 + \beta^2}} \quad \text{where } c_2, c_3 \text{ are constants.}$$

Therefore, the general solution of Equation (G.5) is:

$$H(x,z) = F(x)G(z)$$

= $c_1 e^{-i\beta x} \left(c_2 e^{-z\sqrt{\gamma^2 + \beta^2}} + c_2 e^{z\sqrt{\gamma^2 + \beta^2}} \right)$
= $c_1 c_2 e^{-z\sqrt{\gamma^2 + \beta^2} - i\beta x} + c_1 c_2 e^{z\sqrt{\gamma^2 + \beta^2} - i\beta x}$ (G.17)

$$= ae^{-z\sqrt{\gamma^{2}+\beta^{2}}-i\beta x} + be^{z\sqrt{\gamma^{2}+\beta^{2}}-i\beta x}.$$
 (G.18)

As function of frequency ω , Equation (G.18) becomes:

$$H(x, z, \omega) = F(x, \omega)G(z, \omega)$$

= $a(\omega)e^{-z\sqrt{\gamma^2 + \beta^2} - i\beta x} + b(\omega)e^{z\sqrt{\gamma^2 + \beta^2} - i\beta x}.$ (G.19)

However, recall that the square of the propagation constant γ^2 varies with frequency ω and layer depth *m* as highlighted in Equation (G.4). Therefore, at the *m*th layer:

$$H_m(x,z,\omega) = a_m(\omega)e^{-z\sqrt{\gamma_m^2+\beta^2}-i\beta x} + b_m(\omega)e^{z\sqrt{\gamma_m^2+\beta^2}-i\beta x}, \qquad (G.20)$$

where γ_m^2 is a function of frequency ω . Equation (G.20) is repeated as Equation (9.6) in Chapter 9.

Appendix H

Data Processing Algorithms

HIS Appendix provides a summary of the MATLAB source code used to generate results in Chapters 5–9 and Appendix A of this Thesis. The names of the source code files, their functions, and the related Chapters in this Thesis are listed. The full source code is available in the enclosed CD-ROM. Where applicable, extracts of the full source code are presented in this Appendix to highlight notable steps undertaken in data processing.

H.1 Data Processing Common to All Measured Datasets

As highlighted in Section 5.2, data processing of the measured THz signal is usually required before meaningful interpretation of the data can be conducted. In this Thesis, all measured THz signals are first processed using a common set of data processing techniques illustrated in Fig. H.1(a). This first set of processing fetches the raw data, strips away any header information, performs truncation of the time domain data, averages multiple sets of data if requested, and transforms the data from the time domain to the frequency domain. The illustration includes the equations referred to in Section 5.2.3.

The second set of data processing, as illustrated in Fig. H.1(b), allows the user to extract the optical (dielectric) properties of the sample under investigation. The extracted optical properties can then be written to separate files for future analysis.





(b) Second set of data processing deals with extracting the optical (dielectric) properties

Figure H.1: Flow chart of common data processing techniques used in this Thesis. (a) The first part of the data processing involves fetching the raw THz measurement files that are recorded in the time domain in either .txt or .dat format. The built-in MATLAB Fast Fourier Transform function fft is used to transform the data from the time domain to the frequency domain. (b) The second part of the data processing involves extracting the optical (dielectric) properties, and writing them to separate files if requested.

Name of File (*.m)	Function	Related
		Chapter(s)
freqanalysis30Oct09	Main program	Chapters 4–8
origfilesPE	User chooses file related to polyethylene	Chapter 4
origfilesrattissue	User chooses file related to rat tissue	Chapter 5
origflesbrain	User chooses file related to snap-frozen brain	Chapter <mark>6</mark>
	tissue	
origfilesbelg	User chooses file related to protein gels/solutions	Chapter 7
origfilesfibres	User chooses file related to fibreglass array	Chapter <mark>8</mark>
getaccessfile	Fetch storage path of chosen file, and stored	
	information about sample (e.g. thickness)	Chapters 4–8
findrefaverage	Average reference files if this option chosen	Chapters 4–8
setplotcolours	Set plotting colours	Chapters 4–8
getdatafromfile	Fetch data for analysis	Chapters 4–8
findaverage2	Average sample files if this option chosen	Chapters 4–8
plottimewaveforms	Plot time domain waveforms without error bars	Chapters 4–8
plottimewaveformsErrorbars	Plot time domain waveforms with error bars	Chapters 4–8
plotfreqwaveforms	Plot frequency domain waveforms	Chapters 4–8
plotabsorbancecoeffwaveforms	Plot absorption (extinction) coefficient waveforms	Chapters 4–8
findrefractiveindex	Plot absorption (extinction) coefficient waveforms	Chapters 4–8
getfilestatus4	Write optical (dielectric) properties to file	Chapters 4–8

Table H.1: MATLAB source code files for performing common data processing.Apart fromthe main program file called freqanalysis30Oct09.m, all files listed in this Table arefunctions called by the main program.

H.1.1 List of Source Code Files

Table H.1 lists the name of files containing MATLAB source code that performs the operations illustrated in Fig. H.1.

By calling the last function listed in Table H.1, getfilestatus4.m, the optical (dielectric) properties are written to separate files. To open and plot these files individually or as a batch, the file called plotfromseparatefilesopprop9.m is used.
H.2 Algorithms for Modelling Scattering

Name of File (*.m)	Function
scattercyl	Main program
getanyfile	Opens any type of file
callsscattercylcs	Adjusts radius array, then calls scattercscyl.m
scattercylcs	Finds $C_{\rm sca}$, $C_{\rm ext}$, $Q_{\rm sca}$, and $Q_{\rm ext}$
findscattercylab	Calculates the a and b scattering coefficients for a cylinder
findbessel	Calculates the Bessel functions of the first and second kinds
scattercylTscatmat	Calculates the scattering T-matrix for a cylinder
findscattercyIT12	Calculates either T1 or T2 in the scattering matrix for a cylinder
findscattercyIT34	Calculates either T3 or T4 in the scattering matrix for a cylinder
MieWn	Uses algorithm by Wiscombe (1980) to deal with NaNs (not-a-number)
	in Matlab (author: Ville Bergholm)

Table H.2: MATLAB source code files for modelling scattering. The main program scattercyl.mcalls the functions listed from row 2 onwards in this Table.

H.2 Algorithms for Modelling Scattering

Table H.2 lists the name of files containing MATLAB source code that models scattering from cylinders (fibrils). These source code files implement the equations in Sections 7.5.1 and 8.3.2. Extracts of source code obtained online⁸² have been included where applicable to enhance built-in tests for errors. The author, Ville Bergholm, has been acknowledged where appropriate in the source code files. The source code MieWn.m by Ville Bergholm is used verbatim as it implements the algorithm by Wiscombe (1980) for dealing with NaNs (not-a-number) generated by MATLAB.

H.2.1 Source Code

Source code for three functions for modelling scattering are provided in this Subsection. For brevity, the source code presented here have been edited in length to remove plotting commands. Plotting commands are included in the source code in the CD-ROM.

⁸²From *Mie Matlab* at <<u>http://diogenes.iwt.uni-bremen.de/vt/laser/wriedt/</u> Mie_Type_Codes/body_mie_type_codes.html> (last accessed: 2009-01-24). Author of source code: Ville Bergholm. The 'Mie Matlab' link is not available when last checked on 2010-05-27.

Function: scattercylcs.m

```
% scattercscyl.m
%
% This program finds the scattering cross section Csa, the extinction cross section Cext,
\% the scattering efficiency Qsca, and the extinction efficiency Qext.
%
% Version info: Checked against Ville Bergholm's 2001, 2002 algorithm MieCS.m
%
% Author: Gretel M. Png
% Last edited: 07 Jan 2010
function [Cext, Csca, Qext, Qsca, x, a, b, a0, b0, smalllimit] = ...
    scattercscyl(r, L, k, m, norder, efield, Eincangle, varyparamtype, n0, trueradius, s)
if nargin < 11
 % particle surface conductivity parameter
  s = 0;
end
nu = 0.5; % order of first bessel function
if Eincangle == 90
   Eincanglerad = pi/2;
   Eincanglecase = 1;
else
   Eincanglerad = Eincangle * pi / 180;
   Eincanglecase = 2;
end % End if test (Eincangle == 90)
r = r.';
trueradius = trueradius.';
            \% k = 2*pi*n_medium/lambda
k = k.';
morig = m;
m = m.';
            \% m = n_sample/n_medium;
x = k.*trueradius;
nmax = norder;
xnew = x.'; % x = k*trueradius = n_medium*2*pi*trueradius/lambda;
if (\max(x) < 0.4) && (\max(abs(m).*x) < 0.4)
    smalllimit = 1;
    disp('Small-particle limit reached');
else
    smalllimit = 0;
end % End if test
if smalllimit == 1
    switch efield
        case 'para'
            b0 = (-i * pi * (xnew.^2).*(morig.^2 - 1))/4;
```

```
b = (-i * pi * (xnew.^4).*(morig.^2 - 1))/32;
            a0 = zeros(size(b0));
            a = zeros(size(b));
        case 'perp'
            a0 = (-i * pi * (xnew.^4).*(morig.^2 - 1))/32;
            a = (-i * pi * (xnew.^2).*(morig.^2 - 1))./(4*(morig.^2 + 1));
            b0 = zeros(size(a0));
            b = zeros(size(a));
        otherwise
    end % End switch test (efield)
else
    [a,b,a0,b0] = findscattercylab(nmax, x, m, efield, Eincangle, n0, nu, s);
   % From Ville Bergholm: Check for NaNs. If yes, replace with zeros
    while 1 %infinite loop
       test = find(any(any(isnan([a;b]), 3),1)); % indices of faulty x's
       if isempty(test)
          break; % break out of the while loop
       end
       disp('NaN found. Replacing with zeros');
       a(:, test, :) = 0;
       b(:, test, :) = 0; % remove the NaNs
       nmax2 = MieWn(x(test)); % take a new (smaller) nmax
       if nmax2 > nmax
          error('This should never happen');
       end % end if test (nmax2 > nmax)
       [A,B,A0,B0] = findscattercylab(nmax2, x(test), m(test), efield, Eincangle, s);
       a(1:nmax2, test, :) = A;
       b(1:nmax2, test, :) = B;
    end % End while loop
end % End if test (smalllimit == 1)
switch varyparamtype
    case 'frequency
        geoxsection = 2*trueradius*L; % geometric cross-section of a cylinder = rectangle
        switch efield
             case 'para' % E field parallel to xz plane
                 if smalllimit == 1
                   Qext = (2./\text{xnew}).*\text{real}(2*b + b0);
                   Qsca = (2./xnew).*(2*((abs(a).^2) + (abs(b).^2)) + (abs(b0)).^2);
                 else
                   Qext = (2./\text{xnew}).*\text{real}(2*\text{sum}(b) + b0);
                   Qsca = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(b0)).^2);
                 end % End if test (smalllimit == 1)
             case 'perp' % E field perpendicular to xz plane
                  if smalllimit == 1
                   Qext = (2./xnew).*real(2*a + a0);
                   Qsca = (2./xnew).*(2*((abs(a).^2) + (abs(b).^2)) + (abs(a0)).^2);
                  else
                   Qext = (2./xnew).*real(2*sum(a) + a0);
                   Qsca = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(a0)).^2);
```

```
end % End if test (smalllimit == 1)
             otherwise
                disp('Unknown E polarization');
                Qext1 = (2./\text{xnew}).*\text{real}(2*\text{sum}(b) + b0);
                Qsca1 = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(b0)).^2);
                Qext2 = (2./xnew).*real(2*sum(a) + a0);
                Qsca2 = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(a0)).^2);
                Qext = 0.5.*(Qext1 + Qext2);
                Qsca = 0.5.*(Qsca1 + Qsca2);
       end % End switch test (efield)
    case 'radius'
        trueradiusnew = trueradius.';
        geoxsection = 2*trueradiusnew*L; % geometric cross-section of a cylinder = rectangle
        switch efield
             case 'para' % E field parallel to xz plane
                 Qext = (2./xnew).*real(2*sum(b) + b0);
                 Qsca = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(b0)).^2);
             case 'perp' % E field perpendicular to xz plane
                 Qext = (2./xnew).*real(2*sum(a) + a0);
                 Qsca = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(a0)).^2);
             otherwise
                disp('Unknown E polarization');
                Qext1 = (2./\text{xnew}).*\text{real}(2*\text{sum}(b) + b0);
                Qsca1 = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(b0)).^2);
                Qext2 = (2./xnew).*real(2*sum(a) + a0);
                Qsca2 = (2./xnew).*(2*sum((abs(a).^2) + (abs(b).^2)) + (abs(a0)).^2);
                Qext = 0.5.*(Qext1 + Qext2);
                Qsca = 0.5.*(Qsca1 + Qsca2);
        end % End switch test (efield)
    otherwise
        disp('Please choose varyparamtype again.');
end % End switch test (varyparamtype)
Cext = geoxsection.*Qext;
```

Csca = geoxsection.*Qsca;

Function: findscattercylab.m

```
% findscattercylab.m
%
% This program calculates the a and b scattering coefficients for a cylinder.
%
% Author: Gretel M. Png
% Last edited: 25 Nov 2009
function [a,b,a0,b0] = findscattercylab(nmax, x, m, efield, Eincangle, n0, nu, s)
if nargin < 8</pre>
```

```
s = 0; % default: no surface conductance
end
```

```
if Eincangle == 90
   Eincanglerad = pi/2;
   Eincanglecase = 1;
else
   Eincanglerad = Eincangle * pi / 180;
   Eincanglecase = 2;
end % End if test (Eincangle == 90)
% open up m and x into row vectors
m = m(:).'; % m = n_sample/n_medium;
x = (x(:).')./n0; % x = n_medium*k.*r = n_medium*2*pi*r/lambda
mx = m.*x; % mx = n_sample*k.*r
switch Eincanglecase
    case 1 % Eincangle == 90
        [J,Y] = findbessel(nmax,x,nu);
        H = J + i * Y;
        [J_m,temp] = findbessel(nmax,mx,nu);
        clear temp;
        J0 = besselj(nu,x);
        Y0 = bessely(nu,x);
        H0 = J0 + i * Y0;
        J0_m = besselj(nu,mx);
        Y0_m = bessely(nu,mx);
        DJ0 = -J(1,:);
        DY0 = -Y(1,:);
        DJ0_{-}m = -m.*J_{-}m(1,:);
        DH0 = DJ0 + i * DY0;
%
         temp vectors for calculating derivatives
        TJ = [sin(x); J(1:(nmax-1),:)];
                                              \% J_0 = \sin(x)
        TJ_m = [sin(mx); J_m(1:(nmax-1),:)];
        TY = [-\cos(x) ; Y(1:(nmax-1),:)]; \% H_0 = -\cos(x)
        % temp matrices to facilitate computation of derivatives
        N = ((1:nmax).')*ones(1, length(x));
        Nm = ((1:nmax).') * ones(1, length(mx));
        if length(mx) > 1
           mx2 = ones(nmax,1)*mx;
           if length(x) > 1
              x^2 = ones(nmax, 1) * x;
           else
              x^2 = x;
           end % End if test (length(x) > 1)
        else
           mx2 = mx;
           x^2 = x;
        end % End if test (length(mx) > 1)
```

```
orginalm = m;
    clear m;
    if length(orginalm) == 1
        m = temp * ones(nmax, length(x));
    else
        m = repmat(orginalm,nmax,1);
    end % End if test (length(orginalm) == 1)
    clear temp;
   % derivatives are calculated using recursion formulae
    DJ = TJ - N. * J. / x2;
    DJ_m = TJ_m - Nm. * J_m. / (mx2); \%
   DY = TY - N. * Y. / x2;
   DH = DJ + i * DY;
    switch efield
        case 'para' % E field parallel to xz plane
            if length (m) == 1
                b = (J_m . *DJ - m*DJ_m . *J). / (J_m . *DH - m*DJ_m . *H);
                b0 = (J0_m.*DJ0 - orginalm*DJ0_m.*J0)./(J0_m.*DH0 - orginalm*DJ0_m.*H0);
            else
                b = (J_m.*DJ - m.*DJ_m.*J)./(J_m.*DH - m.*DJ_m.*H);
                b0 = (J0_m.*DJ0 - orginalm.*DJ0_m.*J0)./(J0_m.*DH0 - orginalm.*DJ0_m.*H0);
            end % End if test (length(m) == 1)
            a = zeros(nmax, length(x));
            a0 = zeros(1, length(x));
        case 'perp' % E field perpendicular to xz plane
            if length(m) == 1
              a = (m*DJ.*J_m - J.*DJ_m)./(m*J_m.*DH - DJ_m.*H);
              a0 = (orginalm*DJ0.*J0_m - J0.*DJ0_m)./(orginalm*J0_m.*DH0 - DJ0_m.*H0);
            else
              a = (m.*DJ.*J_m - J.*DJ_m). / (m.*J_m.*DH - DJ_m.*H);
              a0 = (orginalm.*DJ0.*J0_m - J0.*DJ0_m)./(orginalm.*J0_m.*DH0 - DJ0_m.*H0);
            end % End if test (length(m) == 1)
            b = zeros(nmax, length(x));
            b0 = zeros(1, length(x));
        otherwise
            disp('Unknown E polarization');
    end % End switch test (efield)
case 2 % Eincangle < 90
    if length (m) == 1
        m = m*ones(1, length(x));
    end % End if test (length(m) == 1)
    m2 = m.^{2};
    xi = x.* sin(Eincanglerad);
    eta = x \cdot sqrt(m2 - (cos(Eincanglerad)))^2);
    [J_xi,Y_xi] = findbessel(nmax,xi,nu);
    H_xi = J_xi + i * Y_xi;
   % psi_m = RB1(nmax, mx);% size = [nmax, length(mx)]
```

```
[J_eta , temp] = findbessel(nmax, eta , nu);
clear temp;
% temp vectors for calculating derivatives
TJ_xi = [sin(x); J_xi(1:(nmax-1),:)];
                                             \% J_0 = \sin(x)
TJ_eta = [sin(mx) ; J_eta(1:(nmax-1),:)]; %longer
TY_xi = [-\cos(x); Y_xi(1:(nmax-1),:)]; \% H_0 = -\cos(x)
% temp matrices to facilitate computation of derivatives
N = ((1:nmax).')*ones(1, length(x));
Nm = ((1:nmax).') * ones(1, length(mx));
if length(mx) > 1
   mx2 = ones(nmax, 1) * mx;
   if length(x) > 1
      x^2 = ones(nmax, 1) * x;
   else
      x^2 = x;
   end
else
   mx2 = mx;
   x^2 = x;
end
% derivatives are calculated using recursion formulae
DJ_xi = TJ_xi-N.*J_xi./x2; % DJ_xi
DJ_eta = TJ_eta -Nm.*J_eta./(mx2); % DJ_eta
DY_xi = TY_xi - N_*Y_xi / x2; \% DY_xi
DH_xi = DJ_xi + i * DY_xi; \% DH_xi
n = repmat([1:1:nmax].', 1, length(x));
temp = m2; clear m2;
m2 = repmat(temp,nmax,1); clear temp;
temp = xi; clear xi;
xi = repmat(temp,nmax,1); clear temp;
temp = eta; clear eta;
eta = repmat(temp,nmax,1); clear temp;
Cn = cos(Eincanglerad)*n.*eta.*J_eta.*J_xi.*((xi./eta).^2 - 1);
Dn = \cos(Eincanglerad) * n * eta * J_eta * H_xi * ((xi / eta) ^2 - 1);
Vn = xi.*(m2.*xi.*DJ_eta.*H_xi - eta.*J_eta.*DH_xi);
Wn = i * xi . * ( eta . * J_eta . * DH_xi - xi . * DJ_eta . * H_xi );
switch efield
    case 'para' % E field parallel to xz plane
         Bn = xi.*(m2.*xi.*DJ_eta.*J_xi - eta.*J_eta.*DJ_xi);
         a = (Cn.*Vn - Bn.*Dn) ./(Wn.*Vn + i*(Dn.^2));
         b = (Wn.*Bn + i*Dn.*Cn) ./(Wn.*Vn + i*(Dn.^2));
    case 'perp' % E field perpendicular to xz plane
         An = i * xi . * ( xi . * DJ_eta . * J_xi - eta . * J_eta . * DJ_xi );
         a = -(An.*Vn - i*Cn.*Dn) ./(Wn.*Vn + i*(Dn.^2));
         b = -i * (Cn . *Wn + An . *Dn) . / (Wn . *Vn + i * (Dn . ^2));
    otherwise
        disp('Unknown E polarization');
```

```
end % End switch test (efield)
otherwise % Eincangle > 90
disp('E field incident at an angle exceeding 90 degrees');
end % End switch (Eincanglecase)
```

Function: scattercylTscatmat.m

```
% scattercylTscatmat.m
%
% This program calculates the scattering T-matrix for a cylinder.
% Author: Gretel M. Png
% Last edited: 07 January 2009
function [T1,T2,T3,T4] = scattercylTscatmat(nmax, azimuth, acoeff, acoeff0, ...
bcoeff, bcoeff0, efield, zenangle, flipazimuth)
T1 = findscattercylT12(nmax, azimuth, bcoeff, bcoeff0, flipazimuth);
T2 = findscattercylT12(nmax, azimuth, acoeff, acoeff0, flipazimuth);
T3 = MieT34(nmax, azimuth, acoeff, flipazimuth);
T4 = MieT34(nmax, azimuth, bcoeff, flipazimuth);
```

H.3 Data Processing for Modelling Stratified Layers

The MATLAB program impulsefunctionlayers.m implements the equations introduced in Section 9.3. The source code of impulsefunctionlayers.m is as follows.

```
% impulsefunction_layers.m
%
% Material: Quartz, Skin, Adipose, Bone, Dura; Conditions: Dry Skin;
% Literature: gabriel_1996c_pmb, fitzgerald_2003_jbp
%
% This programme simulates the transmission of THz into 1 layer of skin. Properties of
% skin (relative permittivity, conductivity) are taken from interpolated data based on
% 2 authors ---- 1 microwave and 1 THz
%
% Author: Gretel M. Png
% Last edited: 12 October 2005
close all, clear all;
% Constants
epsilon_0 = 8.8542e-12; % permittivity of air
mu_0 = 4e - 7*pi;
                       % permeability of air
sigma_0 = 0;
                       % conductivity of air
                        % Speed of light
c = 3e8;
lambda = 1;
                        % dummy variable for model
```

```
plotlinethickness = 1.5; % Line thickness (1 point = 1/72"), default = 0.5
plotfontsize = 16; % Font size of axes, (1 point = 1/72"), default = 10;
textfontsize = 14;
                       % Font size of text, (1 \text{ point} = 1/72''), default = 10;
filePath = 'C:\GPngTRayFiles\GPngModelMatlabFiles\Brad\powderData\';
getdataPath = 'C:\GPngTRayFiles\GPngModelMatlabFiles\MatlabScripts\Extrapolation\';
savePath = 'C:\GPngTRayFiles\GPngModelMatlabFiles\Figures\UsingBradData\EPSFigs\';
% Electrical Properties of M-layered Model
% _
% Layer 0
nquartz = 2.1; % refractive index of quartz
hquartz = 0.002; % Thickness of quartz plate
% Layer 1
mu_1 = 1;
            % Relative permeability of layer 1 (air)
%epsilon_1 = 1; % Relative permittivity of layer 1 (air)
sigma_1 = 1e-3; % conductivity of layer 1 (air)
% Layer 2
mu_2 = 1;
                 % Relative permeability of layer 2 (adipose tissue)
sigma_2 = 0.9; % conductivity of layer 2 (adipose tissue)
                     % Relative permittivity of layer 2 (adipose tissue)
epsilon_2 = 20 - i * 5;
psilon_2 = 20; % Relative permittivity of layer 2 (adipose tissue)
% Layer 3
mu_{-3} = 1;
                % Relative permeability of layer 3 (cortical bone)
sigma_3 = 0.1; % conductivity of layer 3 (cortical bone)
epsilon_3 = 1.5 - i*3; % Relative permittivity of layer 3 (cortical bone)
%epsilon_3 = 1.5; % Relative permittivity of layer 3 (cortical bone)
% Layer 4
                 % Relative permeability of layer 4 (dura)
mu_{-}4 = 1;
sigma_4 = 0.48; % conductivity of layer 4 (dura)
epsilon_4 = 6 - i*6; % Relative permittivity of layer 4 (dura)
%epsilon_4 = 6; % Relative permittivity of layer 4 (dura)
% Layer 5
mu_{-}5 = 1;
               % Relative permeability of layer 5 (CSF)
sigma_5 = 0.765; % conductivity of layer 5 (CSF)
epsilon_5 = 9.3 - i * 13.8; % Relative permittivity of layer 5 (CSF)
%epsilon_5 = 9.3; % Relative permittivity of layer 5 (CSF)
% Layer 6
                % Relative permeability of layer 6 (grey)
mu_{-6} = 1;
sigma_6 = 0.533; % conductivity of layer 6(grey)
epsilon_6 = 7.75 - i * 9.6; % Relative permittivity of layer 6 (grey)
%epsilon_6 = 7.75; % Relative permittivity of layer 6 (grey)
% Layer 7
               % Relative permeability of layer 7 (white)
mu_7 = 1;
sigma_7 = 0.4; % conductivity of layer 7 (white)
epsilon_7 = 6; % Relative permittivity of layer 7 (white)
```

```
% Thickness of M-layered Model
% —
% Values for pics in paper
h1 = 100e-6; % thickness of layer 1 in meters
h2 = 0.001;
               % thickness of layer 2 in meters
h3 = 0.01;
              % thickness of layer 3 in meters
h4 = 250e-6; % thickness of layer 4 in meters
                % thickness of layer 5 in meters
h5 = 200e - 6;
h6 = 0.002;
                % thickness of layer 6 in meters
h7 = 0.1;
                % thickness of layer 7 in meters
num_layers = 7; % Pick number of layers to observe
extension factor = 1.5:
% Open data file containing permittivity values
%___
fid = fopen(strcat(getdataPath, 'skin_epsilon.txt'), 'r');
data_extract = fread(fid, 'double');
fclose(fid);
num_types_data = data_extract(1); % Types of data stored
% First batch = frequencies
% Second batch = \epsilon^\prime
% Third batch = \epsilon^{\prime\prime}
% Fourth batch = \sigma
end_first_batch = (max(size(data_extract))-1)/num_types_data + 1;
end_second_batch = 2*(max(size(data_extract))-1)/num_types_data + 1;
end_third_batch = 3*(max(size(data_extract))-1)/num_types_data + 1;
max_epsilonprime1 = max(data_extract(end_first_batch+1:end_second_batch));
%max_epsilonprime2 = max(real(epsilon_2));
% switches to determine which part of the script is executed:
[mFree2mm, nX, nY, nTime, nAngle, dX, dY, dTime, dAngle] = ...
                     openLIACTFile(strcat(filePath, 'THzFreeSpace2mm1529'));
% Calculate the maximum amount of time required to observe plots
maxtime1 = (2*h1/(c/sqrt(real(max_epsilonprime1)))/1e-12);
maxtime = maxtime1;
sprintf('Layer 1: %3.3f', maxtime)
if num_layers \geq 2
    maxtime2 = (2*h2/(c/sqrt(real(epsilon_2)))/1e-12);
    maxtime = maxtime + maxtime2;
    sprintf('Layers 1 and 2: %3.3f', maxtime)
end
if num_layers \geq 3
    maxtime3 = (2*h3/(c/sqrt(real(epsilon_3)))/1e-12);
    maxtime = maxtime + maxtime3;
    sprintf('Layers 1 to 3: %3.3f', maxtime)
end
if num_layers \geq 4
    maxtime4 = (2*h4/(c/sqrt(real(epsilon_4)))/1e-12);
```

```
maxtime = maxtime + maxtime4;
    sprintf('Layers 1 to 4: %3.3f', maxtime)
end
if num_layers \geq 5
    maxtime5 = (2*h5/(c/sqrt(real(epsilon_5)))/1e-12);
    maxtime = maxtime + maxtime5;
    sprintf('Layers 1 to 5: %3.3f', maxtime)
end
if num_layers \ge 6
    maxtime6 = (2*h6/(c/sqrt(real(epsilon_6)))/1e-12);
    maxtime = maxtime + maxtime6;
    sprintf('Layers 1 to 6: %3.3f', maxtime)
end
if num_layers \geq 7
    maxtime7 = (2*h7/(c/sqrt(real(epsilon_7)))/1e-12);
    maxtime = maxtime + maxtime7;
    sprintf('Layers 1 to 7: %3.3f', maxtime)
end
maxtime = maxtime*extensionfactor;
%maxtime/extensionfactor
numIntervals = ceil(maxtime/dTime);
if numIntervals \leq nTime
    numIntervals = nTime+150;
end
timerangeFree = dTime*[0:1:numIntervals-1]; % In picoseconds
% Delay due to quartz crystal
delay_quartz = hquartz*nquartz*1e12/c; % In picoseconds
delay_quartz_units = ceil(delay_quartz/dTime);
%clear mFree2mm;
mFree2mmtemp = zeros(1,numIntervals);
mFree2mmnoquartz = zeros(1, numIntervals);
choosecol = 25;
mFree2mmnoquartz(1:10) = mFree2mm(77:86, choosecol); % Impulse function
% Choose type of input signal (T-ray or impulse function)
% -
inputchoice = 2;
if inputchoice == 1
   % Choice 1: T-ray
    mFree2mmtemp(1:nTime) = reshape(mFree2mm(:, choosecol),1,nTime);
elseif inputchoice == 2
   % Choice 2: Gaussian function
    if delay_quartz_units \neq 0
        % Flip impulse function to simulate phase change after passing through
        % quartz crystal
        mFree2mmtemp(delay_quartz_units+2:delay_quartz_units+10) = -1e6*mFree2mm(78:86, choosecol);
    else
        mFree2mmtemp(delay_quartz_units+25:delay_quartz_units+33) = 1e6*mFree2mm(78:86, choosecol);
    end
elseif inputchoice == 3
    % Choice 3: Cosine
```

```
fmax = 2/10e - 12;
    delayunits = 800;
    for cosinecount = delayunits:1:nTime+delayunits
        mFree2mmtemp(cosinecount) = cos(2*pi*timerangeFree(cosinecount)*1e-12*fmax);
    end
else
    % Choice 4: Impulse (2 units wide)
    mFree2mmtemp(2) = 1;
end
clear mFree2mm:
mFree2mm = mFree2mmtemp;
clear mFree2mmtemp;
figure , stem(timerangeFree,mFree2mm);
% Frequency Domain
fftsize = numIntervals;
half_fftsize = ceil(fftsize/2);
X = fft(mFree2mm, fftsize);
freq = [0:(fftsize -1)]/(dTime*(fftsize -1)); % Frequencies of interest (THz)
freq_step_epsilon = (data_extract(3)-data_extract(2))*1e3; % GHz
freq_step_current = (freq(2) - freq(1))*1e3;
if freq_step_epsilon \neq freq_step_current
   if freq_step_epsilon < freq_step_current
      freq_mult = floor(freq_step_current/freq_step_epsilon);
      newfreqcount = 1;
      for freqcount = 1:freq_mult:end_first_batch -1
          epsilon_1(newfreqcount) = ...
               data_extract(end_first_batch + freqcount) - ...
               (i * data_extract(end_second_batch + freqcount));
          sigma_1(newfreqcount) = data_extract(end_third_batch + freqcount)/100;
          newfreqcount = newfreqcount + 1;
      end
      epsilon_1(newfreqcount: fftsize) = epsilon_1(newfreqcount-1);
      sigma_1(newfreqcount: fftsize) = sigma_1(newfreqcount-1);
   else
      freq_mult = floor(freq_step_epsilon/freq_step_current);
      newfreqcount = 1;
      for freqcount = 1:1: end_first_batch -2
          epsilon_1prime(newfreqcount) = data_extract(end_first_batch+freqcount);
          epsilon_1primeprime(newfreqcount) = data_extract(end_second_batch+freqcount);
          sigma_1(newfreqcount) = data_extract(end_third_batch+freqcount)/100;
          newfreqcount = newfreqcount + freq_mult;
          epsilon_1prime(newfreqcount-freq_mult+1:newfreqcount) = ...
                      (data_extract(end_first_batch+freqcount) +...
                      data_extract(end_first_batch+freqcount+1))/2;
          epsilon_1primeprime(newfreqcount-freq_mult+1:newfreqcount) = ...
                      (data_extract(end_second_batch+freqcount) +...
```

H.3 Data Processing for Modelling Stratified Layers

```
data_extract(end_second_batch+freqcount+1))/2;
           sigma_1(newfreqcount-freq_mult+1:newfreqcount) = ...
                       (data_extract(end_third_batch+freqcount) +...
                       data_extract(end_third_batch+freqcount+1))/200;
           newfreqcount = newfreqcount + 1;
      end
      epsilon_1 (1:newfreqcount-1) = epsilon_1prime - i*epsilon_1primeprime;
      clear epsilon_1prime; clear epsilon_1primeprime;
      epsilon_1(newfreqcount:fftsize) = epsilon_1(newfreqcount-1);
      sigma_1(newfreqcount:fftsize) = sigma_1(newfreqcount-1);
   end
end
% James Wait (1996)
% -
for freqloop = 1:1:fftsize
    u1(freqloop) = sqrt(lambda<sup>2</sup> + (i*sigma_1(freqloop)*mu_1*mu_0*2e12*pi*freq(freqloop))...
                     - epsilon_1(freqloop)*epsilon_0*mu_1*mu_0*((2e12*pi*freq(freqloop))^2));
    if num_layers \geq 2
        u2(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_2*mu_2*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_2 * epsilon_0 * mu_2 * mu_0 * ((2 e12 * pi * freq(freqloop))^2));
    end
    if num_layers > 3
        u3(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_3*mu_3*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_3*epsilon_0*mu_3*mu_0*((2e12*pi*freq(freqloop))^2));
    end
    if num_layers \geq 4
        u4(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_4*mu_4*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_4 * epsilon_0 * mu_4 * mu_0 * ((2 e12 * pi * freq (freqloop))^2));
    end
    if num_layers \geq 5
        u5(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_5*mu_5*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_5 * epsilon_0 * mu_5 * mu_0 * ((2 e12 * pi * freq(freqloop))^2));
    end
    if num_layers \geq 6
        u6(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_6*mu_6*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_6*epsilon_0*mu_6*mu_0*((2e12*pi*freq(freqloop))^2));
    end
    if num_layers \geq 7
        u7(freqloop) = sqrt(lambda<sup>2</sup> + i*sigma_7*mu_7*mu_0*2e12*pi*freq(freqloop)...
                         - epsilon_7 * epsilon_0 * mu_7 * mu_0 * ((2 e12 * pi * freq(freqloop))^2));
    end
    K0(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_0*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_0 * mu_0 * ((2e12 * pi * freq(freqloop))^2))) / ...
                    (sigma_0 + i*2e12*pi*freq(freqloop)*epsilon_0);
    if K0(freqloop) == Inf
       K0(freqloop) = (sqrt(lambda^2 + i*sigma_0*mu_0*2e12*pi*(freq(freqloop)+0.001)...
                    - epsilon_0*mu_0*((2e12*pi*(freq(freqloop)+0.001))^2)))/...
                     (sigma_0 + i*2e12*pi*(freq(freqloop)+0.001)*epsilon_0);
    end
    K1(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_1(freqloop)*mu_1*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_1 (freqloop)* epsilon_0*mu_1*mu_0*((2e12*pi*freq(freqloop))^2)))/...
                   (sigma_1(freqloop) + i*2e12*pi*freq(freqloop)*epsilon_1(freqloop)*epsilon_0);
```

```
if K1(freqloop) == Inf
   K1(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_1(freqloop)*mu_1*mu_0*2e12*pi*...
                   (freq(freqloop)+0.001) - epsilon_1(freqloop)*epsilon_0*mu_1*mu_0*...
                   ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_1(freqloop) + ...
                   i*2e12*pi*(freq(freqloop)+0.001)*epsilon_1(freqloop)*epsilon_0);
end
if num_layers \geq 2
    K2(freqloop) = (sqrt(lambda^2 + i*sigma_2*mu_2*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_2*epsilon_0*mu_2*mu_0*((2e12*pi*freq(freqloop))^2)))/...
                    (sigma_2 + i*2e12*pi*freq(freqloop)*epsilon_2*epsilon_0);
    if K2(freqloop) == Inf
        K2(freqloop) = (sqrt(lambda^2 + i*sigma_2*mu_2*mu_0*2e12*pi*...
                        (freq(freqloop)+0.001) - epsilon_2 * epsilon_0 * mu_2 * mu_0 * ...
                        ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_2 + ...
                        i *2e12 * pi * (freq(freqloop)+0.001) * epsilon_2 * epsilon_0);
    end
end
if num_layers \geq 3
    K3(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_3*mu_3*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_3*epsilon_0*mu_3*mu_0*((2e12*pi*freq(freqloop))^2)))/...
                    (sigma_3 + i*2e12*pi*freq(freqloop)*epsilon_3*epsilon_0);
    if K3(freqloop) == Inf
        K3(freqloop) = (sqrt(lambda^2 + i*sigma_3*mu_3*mu_0*2e12*pi*...
                        (freq(freqloop)+0.001) - epsilon_3 * epsilon_0 * mu_3 * mu_0 * ...
                        ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_3 + ...
                        i*2e12*pi*(freq(freqloop)+0.001)*epsilon_3*epsilon_0);
    end
end
if num_layers \geq 4
    K4(freqloop) = (sqrt(lambda^2 + i*sigma_4*mu_4*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_4 * epsilon_0 * mu_4 * mu_0 * ((2e12 * pi * freq(freqloop))^2))) / ...
                    (sigma_4 + i*2e12*pi*freq(freqloop)*epsilon_4*epsilon_0);
    if K4(freqloop) == Inf
       K4(freqloop) = (sqrt(lambda^2 + i*sigma_4*mu_0*2e12*pi*...)
                       (freq(freqloop)+0.001) - epsilon_4 * epsilon_0 * mu_4 * mu_0 * ...
                       ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_4 + ...
                       i*2e12*pi*(freq(freqloop)+0.001)*epsilon_4*epsilon_0);
    end
end
if num_layers > 5
    K5(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_5*mu_5*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_5 * epsilon_0 * mu_5 * mu_0 * ((2e12 * pi * freq(freqloop))^2))) / ...
                    (sigma_5 + i*2e12*pi*freq(freqloop)*epsilon_5*epsilon_0);
    if K5(freqloop) == Inf
       K5(freqloop) = (sqrt(lambda^2 + i*sigma_5*mu_0*2e12*pi*...)
                       (freq(freqloop)+0.001) - epsilon_5 * epsilon_0 * mu_5 * mu_0 * ...
                       ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_5 + ...
                       i*2e12*pi*(freq(freqloop)+0.001)*epsilon_5*epsilon_0);
    end
end
if num_layers > 6
    K6(freqloop) = (sqrt(lambda^2 + i*sigma_6*mu_6*mu_0*2e12*pi*freq(freqloop)...
                    - epsilon_6*epsilon_0*mu_6*mu_0*((2e12*pi*freq(freqloop))^2)))/...
```

```
(sigma_6 + i*2e12*pi*freq(freqloop)*epsilon_6*epsilon_0);
        if K6(freqloop) == Inf
           K6(freqloop) = (sqrt(lambda^2 + i * sigma_6 * mu_6 * mu_0 * 2e12 * pi * ...)
                           (freq(freqloop)+0.001) - epsilon_6*epsilon_0*mu_6*mu_0*...
                           ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_6 + ...
                           i*2e12*pi*(freq(freqloop)+0.001)*epsilon_6*epsilon_0);
        end
    end
    if num_layers \ge 7
        K7(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_7*mu_7*mu_0*2e12*pi*freq(freqloop)...
                       - epsilon_7 * epsilon_0 * mu_7 * mu_0 * ((2 e12 * pi * freq(freqloop))^2))) / ...
                       (sigma_7 + i*2e12*pi*freq(freqloop)*epsilon_7*epsilon_0);
        if K7(freqloop) == Inf
           K7(freqloop) = (sqrt(lambda<sup>2</sup> + i*sigma_7*mu_7*mu_0*2e12*pi*...
                           (freq(freqloop)+0.001) - epsilon_7 * epsilon_0 * mu_7 * mu_0 * ...
                           ((2e12*pi*(freq(freqloop)+0.001))^2)))/(sigma_7 + ...
                           i*2e12*pi*(freq(freqloop)+0.001)*epsilon_7*epsilon_0);
        end
    end
end
if num_layers == 7
   Z8 = K0;
    Z7 = K7.*(Z8 + (K7.*tanh(u7*h7)))./(K7 + (Z8.*tanh(u7*h7)));
    Z6 = K6.*(Z7 + (K6.*tanh(u6*h6)))./(K6 + (Z7.*tanh(u6*h6)));
    Z5 = K5.*(Z6 + (K5.*tanh(u5*h5)))./(K5 + (Z6.*tanh(u5*h5)));
    Z4 = K4.*(Z5 + (K4.*tanh(u4*h4)))./(K4 + (Z5.*tanh(u4*h4)));
    Z3 = K3.*(Z4 + (K3.*tanh(u3*h3)))./(K3 + (Z4.*tanh(u3*h3)));
    Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 6
    Z7 = K0;
    Z6 = K6.*(Z7 + (K6.*tanh(u6*h6)))./(K6 + (Z7.*tanh(u6*h6)));
    Z5 = K5.*(Z6 + (K5.*tanh(u5*h5)))./(K5 + (Z6.*tanh(u5*h5)));
    Z4 = K4.*(Z5 + (K4.*tanh(u4*h4)))./(K4 + (Z5.*tanh(u4*h4)));
    Z3 = K3.*(Z4 + (K3.*tanh(u3*h3)))./(K3 + (Z4.*tanh(u3*h3)));
    Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 5
    Z6 = K0;
    Z5 = K5.*(Z6 + (K5.*tanh(u5*h5)))./(K5 + (Z6.*tanh(u5*h5)));
    Z4 = K4.*(Z5 + (K4.*tanh(u4*h4)))./(K4 + (Z5.*tanh(u4*h4)));
    Z3 = K3.*(Z4 + (K3.*tanh(u3*h3)))./(K3 + (Z4.*tanh(u3*h3)));
    Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 4
    Z5 = K0;
    Z4 = K4.*(Z5 + (K4.*tanh(u4*h4)))./(K4 + (Z5.*tanh(u4*h4)));
    Z3 = K3.*(Z4 + (K3.*tanh(u3*h3)))./(K3 + (Z4.*tanh(u3*h3)));
    Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 3
    Z4 = K0:
    Z3 = K3.*(Z4 + (K3.*tanh(u3*h3)))./(K3 + (Z4.*tanh(u3*h3)));
    Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 2
    Z3 = K0;
```

Name of File (*.m)	Function
skin_extrapolation	Interpolates between microwave and THz skin data
$adipose_extrapolation$	Interpolates between microwave and THz adipose tissue data
$bone_extrapolation$	Interpolates between microwave and THz bone data
dura_extrapolation	Extrapolates microwave dura mater data into the THz range
$csf_extrapolation$	Extrapolates microwave cerebrospinal fluid (CSF) data into
	the THz range
$grey_extrapolation$	Extrapolates microwave grey matter data into the THz range
white_extrapolation	Extrapolates microwave white matter data into the THz range

Table H.3: MATLAB **source code files for interpolating and extrapolating.** Interpolating is required for data where either the frequency interval between data points is large, or a gap exists between microwave and THz data. Extrapolation is required when only microwave data exists, and extrapolation is used to extend the data into the THz range using the 4-term Cole-Cole model.

```
Z2 = K2.*(Z3 + (K2.*tanh(u2*h2)))./(K2 + (Z3.*tanh(u2*h2)));
elseif num_layers == 1
Z2 = K0;
end
Z1 = K1.*(Z2 + (K1.*tanh(u1*h1)))./(K1 + (Z2.*tanh(u1*h1)));
H = (K0 - Z1)./(K0 + Z1);
Hnew = repmat(reshape(H, fftsize ,1), 1, 51);
Y = H.*X;
Ynew = reshape(Y, numIntervals ,1);
% Reconstruct the time signal via IFFT
yrecon = ifft(Y, numIntervals);
clear Y;
```

H.4 Algorithms for Optical (Dielectric) Properties

Table H.3 lists the MATLAB source code files used to implement the interpolation and extrapolation detailed in Section 9.4.

H.4.1 Source Code

One example of a MATLAB implementation of extrapolation between microwave and THz skin data is presented in skin_extrapolation.m as follows.

```
% skin_extrapolation.m
%
% Material: Skin; Conditions: Dry skin; Literature: gabriel_1996c_pmb, fitzgerald_2003_jbp
%
% This programme plots the relative and complex permittivities of skin using data from
\%\ 2 authors ——1 microwave and 1 THz. Plots are generated to compare if extrapoltaed
% microwave results are comparable to measured THz results.
%
% Author: Gretel M. Png
% Last edited: 12 October 2005
close all, clear all;
savePath = 'C:\GPngTRayFiles\GPngModelMatlabFiles\Figures\EPSpics\Extrapolation\Skin\';
dataSavePath = 'C:\GPngTRayFiles\GPngModelMatlabFiles\MatlabScripts\Extrapolation\';
printOpts = '-deps2c';
printOn = 0; % Print to EPS file = 1, Don't print = 0;
% Constants
epsilon_0 = 8.8542e-12; % Permittivity of freespace
                         % Speed of light
c = 3e8;
plotlinethickness = 1.5; % Line thickness (1 point = 1/72"), default = 0.5
plotfontsize = 12;
                        % Font size of axes, (1 \text{ point} = 1/72''), default = 10;
textfontsize = 12;
                       % Font size of text, (1 \text{ point} = 1/72''), default = 10;
% Values from gabriel_1996c_pmb
epsilon_inf_gabriel = 4.0;
                                       % High frequency limit of permittivity
\triangle_epsilon1_gabriel = 32.0;
                                  % Low frequency limit of permittivity
tau1_gabriel = 7.23e - 12;
                                      % Relaxation Time
%f_R1_gabriel = 1/(2*pi*tau1_gabriel); % Relaxation Frequency
alpha1_gabriel = 0;
                                      % Distribution parameter
\triangle_epsilon2_gabriel = 1100;
                                  % Low frequency limit of permittivity
tau2_gabriel = 32.48e-9;
                                      % Relaxation Time
%f_R2_gabriel = 1/(2*pi*tau2_gabriel); % Relaxation Frequency
alpha2_gabriel = 0.20;
                                       % Distribution parameter
\triangle_epsilon3_gabriel = 0;
                                  % Low frequency limit of permittivity
\triangle_epsilon4_gabriel = 0;
                                  % Low frequency limit of permittivity
sigma_i_gabriel = 0.0002;
                                         % Ionic conductivity
count = 0;
for f = 0:5:100
                               % Frequency in GHz
    count = count + 1;
    frequency(count) = f*1e-3; % Convert to terahertz
    % gabriel_1996c_pmb: Cole-Cole
    epsilon_hat_gabriel(count) = epsilon_inf_gabriel + (A_epsilon1_gabriel /...
        (1+ ((i*2*pi*f*1e9*tau1_gabriel)^(1-alpha1_gabriel)))) + (Δ_epsilon2_gabriel /...
        (1+ ((i*2*pi*f*1e9*tau2_gabriel)^(1-alpha2_gabriel)))) + ...
        (sigma_i_gabriel/(i*2*pi*f*1e9*epsilon_0));
    if epsilon_hat_gabriel(count) == Inf
        epsilon_hat_gabriel(count) = epsilon_inf_gabriel + (\Delta-epsilon1_gabriel /...
        (1 + ((i*2*pi*(f+1)*1e9*tau1_gabriel)^(1-alpha1_gabriel)))) + (\Delta_epsilon2_gabriel /...
        (1+ ((i*2*pi*(f+1)*1e9*tau2_gabriel)^(1-alpha2_gabriel)))) + ...
        (sigma_i_gabriel/(i*2*pi*(f+1)*1e9*epsilon_0));
```

```
end
    sigma_gabriel(count) = -imag(epsilon_hat_gabriel(count))* epsilon_0*2* pi*f*1e9; % Conductivity
    n_hat_gabriel(count) = sqrt(epsilon_hat_gabriel(count)); % Refractive Index
    alpha_gabriel(count) = -imag(n_hat_gabriel(count))*4* pi*frequency(count)*...
                            1e12/(c*100); % Absorption Coefficient
end
count2 = 0:
for f = 105:5:1500
                                   % Frequency in GHz
    count2 = count2 + 1;
    frequency2(count2) = f*1e-3; % Convert to terahertz
    % gabriel_1996c_pmb: Cole-Cole
    epsilon_hat_gabriel2(count2) = epsilon_inf_gabriel + (A_epsilon1_gabriel /...
        (1+ ((i*2*pi*f*1e9*tau1_gabriel)^(1-alpha1_gabriel)))) + ...
        (\Delta-epsilon2_gabriel /(1+ ((i*2*pi*f*1e9*tau2_gabriel)^(1-alpha2_gabriel)))) + ...
        (sigma_i_gabriel/(i*2*pi*f*1e9*epsilon_0));
    sigma_gabriel2(count2) = -imag(epsilon_hat_gabriel2(count2))*...
                              epsilon_0*2*pi*f*1e9; % Conductivity (Eq 3.16 von Hippel)
    n_hat_gabriel2(count2) = sqrt(epsilon_hat_gabriel2(count2)); % Refractive Index
    alpha_gabriel2(count2) = -imag(n_hat_gabriel2(count2))*4* pi*frequency2(count2)*...
                              1e12/(c*100); % Absorption Coefficient
end
% fitzgerald_2003_jbp
% -
% Broadband pulsed terahertz imaging system
% Figure 1a: order of columns is
% Frequency (THz); \alpha (cm<sup>{-1}</sup>)
skinvals_fitzgerald = [0.5 0.55 0.6 0.65 0.7 0.75 0.8 0.85 0.9 0.95 1 1.05 1.1 1.15 ...
                        1.2 \ 1.25 \ 1.3 \ 1.35 \ 1.4 \ 1.45 \ 1.5; \ 70 \ 70 \ 70 \ 73 \ 73 \ 75 \ 80 \ 85 \ 90 \ 95 \ \ldots
                        100 105 110 115 120 122.5 125 130 130 130 130];
n_real_fitzgerald = 1.69;
sigma_literature_fitzgerald = 0.39;
count3 = 0;
count_to_9 = 0;
spec_freq_count = 0;
for f = 500:5:1500 % Frequency in GHz
    count3 = count3 + 1;
    frequency_fitzgerald(count3) = f*1e-3; % Convert to terahertz
    if rem(f, 50) == 0
        spec_freq_count = spec_freq_count + 1;
        alpha_fitzgerald(count3) = skinvals_fitzgerald(2,spec_freq_count);
        kappa_fitzgerald(count3) = alpha_fitzgerald(count3)*c*100/(4*pi*f*1e9);
        n_fitzgerald(count3) = n_real_fitzgerald;
        sigma_fitzgerald(count3) = sigma_literature_fitzgerald*100; % S/cm
    else
        count_to_9 = count_to_9 + 1;
        if count_to_9 == 9
            % Interpolate data at 5 GHz intervals
            alpha_fitzgerald(count3-8:count3) = ...
                 interp1 ([ skinvals_fitzgerald (1, spec_freq_count )...
                     skinvals_fitzgerald(1, spec_freq_count +1)],...
```

H.4 Algorithms for Optical (Dielectric) Properties

```
[skinvals_fitzgerald (2, spec_freq_count)...
                     skinvals_fitzgerald (2, spec_freq_count+1)], ...
                   [frequency_fitzgerald(count3 - 8):0.005:frequency_fitzgerald(count3)], 'spline');
            sigma_fitzgerald(count3 - 8:count3) = sigma_literature_fitzgerald*100; % S/cm
            n_fitzgerald (count3 - 8:count3) = n_real_fitzgerald;
            kappa_fitzgerald(count3-8:count3) = alpha_fitzgerald(count3-8:count3)*c*100./...
              (4*pi*[frequency_fitzgerald(count3-8)*1e3:5:frequency_fitzgerald(count3)*1e3]*1e9);
            count_{to_9} = 0:
        else
            alpha_fitzgerald(count3) = NaN;
            n_fitzgerald(count3) = NaN;
            sigma_fitzgerald(count3) = NaN;
            kappa_fitzgerald(count3) = NaN;
        end
    end
end
epsilon_hat_fitzgerald = (n_fitzgerald - i*kappa_fitzgerald).^2;
% Fill in the empty frequencies
% -
frequency_fillin = [105*1e-3:5*1e-3:495*1e-3]; % in THz
alpha_fillin = NaN*ones(1,((495-105)/5)+1);
% To combine Fitzgerald's \alpha values with Gabriel's \alpha values
%__
frequency_total = [frequency_frequency_fillin frequency_fitzgerald];
alpha_gabriel_ext = [alpha_gabriel alpha_fillin NaN*ones(1,count3)];
alpha_fitzgerald_ext = [NaN*ones(1, count) alpha_fillin alpha_fitzgerald];
\% To combine Fitzgerald's \sigma values with Gabriel's \sigma values
% -
sigma_gabriel_ext = [sigma_gabriel alpha_fillin NaN*ones(1, count3)];
sigma_fitzgerald_ext = [NaN*ones(1, count) alpha_fillin sigma_fitzgerald];
% To combine Fitzgerald's and Gabriel's permittivity values
% -
epsilonprime_gabriel_ext = [real(epsilon_hat_gabriel) alpha_fillin NaN*ones(1,count3)];
epsilonprimeprime_gabriel_ext = [-imag(epsilon_hat_gabriel) alpha_fillin NaN*ones(1,count3)];
epsilonprime_fitzgerald_ext = [NaN*ones(1, count) alpha_fillin real(epsilon_hat_fitzgerald)];
epsilonprime_fitzgerald_ext = [NaN*ones(1, count) alpha_fillin -imag(epsilon_hat_fitzgerald)];
% Interpolate permittivities in the region between 0.1 and 0.5 THz
%__
ypoints = [5 4.5 4 3.2 2.9];
ypoints_imag = [5.7 4.5 3.3 1.8 1.3];
xpoints = [0.12 \ 0.15 \ 0.2 \ 0.3 \ 0.4];
epsilonprime_ext = real(epsilon_hat_gabriel);
epsilonprimeprime_ext = -imag(epsilon_hat_gabriel);
for intercount = 1:1:length(ypoints)+1
    if intercount == 1
        ycurrent = [real(epsilon_hat_gabriel(count)) ypoints(1)];
        ycurrent_imag = [-imag(epsilon_hat_gabriel(count)) ypoints_imag(1)];
        xcurrent = [0.1 \text{ xpoints}(1)];
```

%

```
xsteps = [0.105:0.005:xpoints(1) - 0.005];
        ynew = interp1(xcurrent, ycurrent, xsteps, 'spline');
        ynew_imag = interp1(xcurrent, ycurrent_imag, xsteps, 'spline');
    elseif intercount == length(ypoints)+1
        ycurrent = [ypoints(intercount-1) real(epsilon_hat_fitzgerald(1))];
        ycurrent_imag = [ypoints_imag(intercount -1) -imag(epsilon_hat_fitzgerald(1))];
        xcurrent = [xpoints(intercount -1) 0.5];
        xsteps = [xpoints(intercount - 1) + 0.005: 0.005: 0.495];
        ynew = interp1(xcurrent, ycurrent, xsteps, 'spline');
        ynew_imag = interp1(xcurrent, ycurrent_imag, xsteps, 'spline');
    else
        ycurrent = [ypoints(intercount -1) ypoints(intercount)];
        ycurrent_imag = [ypoints_imag(intercount -1) ypoints_imag(intercount)];
        xcurrent = [xpoints(intercount -1) xpoints(intercount)];
        xsteps = [xpoints(intercount -1)+0.005:0.005:xpoints(intercount) -0.005];
        ynew = interp1(xcurrent,ycurrent,xsteps,'cubic');
        ynew_imag = interp1(xcurrent, ycurrent_imag, xsteps, 'cubic');
    end
    if intercount == length(ypoints)+1
        epsilonprime_ext = cat(2,epsilonprime_ext,ynew,real(epsilon_hat_fitzgerald));
        epsilonprimeprime_ext = cat(2,epsilonprimeprime_ext,ynew_imag,...
                                     -imag(epsilon_hat_fitzgerald));
    else
        epsilonprime_ext = cat(2,epsilonprime_ext,ynew,ypoints(intercount));
        epsilonprimeprime_ext = cat(2,epsilonprimeprime_ext,ynew_imag,ypoints_imag(intercount));
    end
    clear ycurrent; clear ycurrent_imag; clear xcurrent; clear xsteps;
    clear ynew; clear ynew_imag;
end
\% Interpolate conductivity in the region between 0.1 and 0.5 THz
sigma_interpolate = interp1 ([0.1 0.5], [sigma_gabriel(21) sigma_literature_fitzgerald *100],...
                             [0.105:0.005:0.495], 'spline');
```

sigma_ext = [sigma_gabriel sigma_interpolate sigma_fitzgerald];

Algorithms for Plotting HFSS Field Overlay Patterns **H.5**

Table H.4 lists the MATLAB source code files used to extract the HFSS field overlay patterns presented in Section 8.9.1.

Algorithm for Pseudo-Phase Contrast H.6

The MATLAB program pc_viaTimeDelay6.m implements the pseudo-contrast method introduced in Appendix Section A.1. The source code of pc_viaTimeDelay6.m is as follows.

H.6 Algorithm for Pseudo-Phase Contrast

Name of File (*.m)	Function
writetoptsfile	Writes user defined x, y and z spatial points to a .pts file
openhfssfile9	Opens a HFSS field plot file (in .reg format) and displays it
fetchregfilesize	Returns the x, y and z size of a .reg file

Table H.4: MATLAB source code files for writing to and reading from HFSS. The .pts file generated by writetoptsfile.m is read by HFSS during the writing process. A .reg file containing the field overlay pattern is then created by HFSS. To display the field overlay pattern in MATLAB, the size of the .reg file needs to be fetched.

```
% pc_viaTimeDelay6.m
%
% This program performs phase contrast by displaying the time delay of each
% pixel.
%
% Author: Gretel M. Png
% Last edited: 24 Jan 2005
clear all, close all;
% The data in the file fly.raw has the following properties
XDIM = 300;
YDIM = 100;
ZDIM = 99;
timeResn = 4e-14; % Time resolution in seconds = 0.04 ps
fid = fopen('C:\GPngTRayFiles\GPngLeafFiles\fly.raw', 'r', 'ieee-le');
if (fid == -1)
    fprintf('ERROR: pc_viaTimeDelay could not open file: %s!\n',fileNameDat);
    return:
end
mDat = fread(fid,XDIM*YDIM*ZDIM,'float');
fclose(fid);
% Change the shape of the long stream of data
m3 = reshape(mDat,[XDIM,YDIM,ZDIM]);
m3(:,:,1) = (m3(:,:,2));
m3(:,:,9)=(m3(:,:,8)+m3(:,:,10))/2; % correct those lines
m3(:,:,36) = (m3(:,:,35) + m3(:,:,37)) / 2;
m3(:,:,40) = (m3(:,:,39) + m3(:,:,41)) / 2;
m3(:,:,65) = (m3(:,:,64) + m3(:,:,66)) / 2;
m3(:,:,96) = (m3(:,:,95) + m3(:,:,97)) / 2;
% FIND THE PEAKS OF EACH PIXEL IN TIME (PEAK OF EACH COLUMN)
%peakabsvals = max(abs(m3));
peakabsvals = max(m3); % Find only positive peaks
peakpos = zeros(1,YDIM, ZDIM);
```

```
for colcount = 1:1:YDIM
    for depthcount = 1:1:ZDIM
        %temp = find(abs(m3(:, colcount, depthcount)) == peakabsvals(1, colcount, depthcount));
        temp = find (m3(:, colcount, depthcount) == peakabsvals(1, colcount, depthcount));
        [temprows tempcols] = size(temp);
        peakpos(1, colcount, depthcount) = temp(temprows, 1);
        peakvals(1, colcount, depthcount) = m3(peakpos(1, colcount, depthcount), colcount, depthcount);
    end
end
clear tempcols, clear temprows;
timerange = [0:0.04:XDIM*0.04-0.04];
%
% ABSORPTION INDEX
% -
% INTENSITY IMAGE
peakImage = reshape(peakvals, YDIM,ZDIM); % YDIM rows, ZDIM columns
% EXTRACT PEAK POSITION OF REFERENCE PIXEL (FREESPACE DATA)
refpixIntensity = (sum(peakvals(1,1:5,ZDIM)) + sum(peakvals(1,1:5,ZDIM-1)) + \dots
    sum(peakvals(1,1:5,ZDIM-2)))/15; % Top right
% ENHANCE INTENSITY IMAGE
% -
% Remove holder
peakImage(87:YDIM,:) = refpixIntensity; % Set the pixels that show the holder
% Invert intensity so that leaf and insect are enhanced
peakImage = refpixIntensity - peakImage; % Accentuate the leaf and insect
% Denoising (First pass): Remove scattered noise pixels via 8-neighbourhood
noisemask = [1 \ 1 \ 1; 1 \ 1 \ 1; 1 \ 1];
borderImage = zeros(YDIM+2, ZDIM+2);
borderImage(2:YDIM+1, 2:ZDIM+1) = peakImage;
denoisedImage = zeros(YDIM, ZDIM);
for rowmask = 1:1:YDIM
    for colmask = 1:1:ZDIM
        noisematrix = borderImage(rowmask:rowmask+2, colmask:colmask+2).*noisemask;
        zerocount = 0;
        for matrixloop = 1:1:9
            if matrixloop \neq 5
                if (noisematrix(matrixloop) == 0)
                   zerocount = zerocount + 1;
                end
            end
        end
        if zerocount \geq 7
            denoisedImage(rowmask, colmask) = 0;
        else
            denoisedImage(rowmask, colmask) = peakImage(rowmask, colmask);
```

H.6 Algorithm for Pseudo-Phase Contrast

```
end
    end
end
% Denoising (Second pass): Remove scattered noise pixels via weighted mask
referencetolerence = sum(sum(denoisedImage(1:5,1:5),1),2)/25;
noisemask = [1 1 1;1 1 1;1 1 1];
borderImage = zeros(YDIM+2, ZDIM+2);
borderImage(2:YDIM+1, 2:ZDIM+1) = denoisedImage;
denoisedImage2 = zeros(YDIM, ZDIM);
for rowmask = 1:1:YDIM
    for colmask = 1:1:ZDIM
        noisematrix = borderImage(rowmask:rowmask+2, colmask:colmask+2).*noisemask;
        avnoisematrix = (sum(sum(noisematrix,1),2))/sum(sum(noisemask,1),2);
        if abs(avnoisematrix - referencetolerence) \leq 0.15 % Noise pixel
            denoisedImage2(rowmask, colmask) = 0;
        else
            denoisedImage2(rowmask, colmask) = denoisedImage(rowmask, colmask);
        end
    end
end
% Edge detection
laplacianmask = [-1 -1 -1;-1 8 -1;-1 -1 -1];
borderImage = zeros(YDIM+2, ZDIM+2);
borderImage(2:YDIM+1, 2:ZDIM+1) = denoisedImage2;
edgeImage = zeros(YDIM, ZDIM);
for rowmask = 1:1:YDIM
    for colmask = 1:1:ZDIM
        edgematrix = borderImage(rowmask:rowmask+2, colmask:colmask+2).*laplacianmask;
        sumedgematrix = sum(sum(edgematrix,1),2) - edgematrix(2,2);
        %edgeImage(rowmask, colmask) = sumedgematrix;
        if sumedgematrix < edgematrix (2,2)
            edgeImage(rowmask, colmask) = denoisedImage2(rowmask, colmask);
        else
            edgeImage(rowmask, colmask) = 0;
        end
    end
end
% Combine denoised and edge detected images
denoisedImage3 = peakImage.*double(edgeImage & denoisedImage2);
% Last pass: 8-neighbourhood again
noisemask = [1 \ 1 \ 1; 1 \ 1 \ 1; 1 \ 1];
borderImage = zeros(YDIM+2, ZDIM+2);
borderImage(2:YDIM+1, 2:ZDIM+1) = denoisedImage3;
finalImage = zeros(YDIM, ZDIM);
for rowmask = 1:1:YDIM
    for colmask = 1:1:ZDIM
        noisematrix = borderImage(rowmask:rowmask+2, colmask:colmask+2).*noisemask;
        zerocount = 0;
```

```
for matrixloop = 1:1:9
            if matrixloop \neq 5
                if (noisematrix(matrixloop) == 0)
                   zerocount = zerocount + 1;
                end
            end
        end
        if zerocount \geq 3
            finalImage(rowmask, colmask) = 0;
        else
            finalImage(rowmask, colmask) = denoisedImage3(rowmask, colmask);
        end
    end
end
negpos = find(finalImage < 0);</pre>
[neg_rows negtemp] = size(negpos);
for negcount = 1:1:neg_rows
   finalImage(negpos(negcount)) = 0;
end
clear neg_rows, clear negtemp;
% Make a matrix that captures the outline of finalImage
cleanmask = ones(YDIM,ZDIM) & finalImage;
% OPACITY
%____
maxAbsorption = max(max(finalImage));
newalphamap = finalImage/maxAbsorption;
% -
% REFRACTIVE INDEX
% .
posImage = reshape(peakpos, YDIM,ZDIM); % YDIM rows, ZDIM columns
temp2 = posImage;
% EXTRACT PEAK POSITION OF REFERENCE PIXEL (FREESPACE DATA)
%%refpix = peakpos(1,1,ZDIM); % Top right
%%refpix = peakpos(1,1,1); % Top left
%%refpix = peakpos(1,YDIM,ZDIM); % Bottom right
%%refpix = peakpos(1,YDIM,1); % Bottom left
refpos = round((sum(peakpos(1,1:5,ZDIM)) + sum(peakpos(1,1:5,ZDIM-1)) + \dots)
    sum(peakpos(1,1:5,ZDIM-2)))/15); % Top right
% Remove holder
posImage(87:YDIM,:) = refpos; % Set the pixels that show the holder
% Check that there is no superluminance
badpos = find(posImage < refpos);</pre>
[numbad tempval] = size(badpos);
for badcount = 1:1:numbad
    if (abs(posImage(badpos(badcount)) - refpos) < 2) % Air pixel?
        posImage(badpos(badcount)) = refpos;
```

```
end
end
clear badpos, clear numbad, clear tempval;
% Remove air pixels
badpos = find(abs(posImage - refpos) < 1);</pre>
[numbad tempval] = size(badpos);
for badcount = 1:1:numbad
    if (abs(peakImage(badpos(badcount)) - refpixIntensity) < 0.6)
        posImage(badpos(badcount)) = refpos;
    end
end
% FIND DELAY FROM REFERENCE PIXEL
% —
delayImage = posImage - refpos;
finaldelayImage = cleanmask.*delayImage;
% THICKNESS
% _____
% Get thickness based on:
\% relative thickness = distance = speed of light x relative time delay
thicknessImage = (3e8 * timeResn * finaldelayImage)/1e-3; % In milimeters
%normthicknessImage = thicknessImage/max(max(thicknessImage));
normthicknessImage = thicknessImage;
figure, surface(normthicknessImage, 'FaceAlpha', 'flat', 'AlphaDataMapping', 'scaled',...
    'AlphaData', newalphamap, 'EdgeColor', 'none');
colormap([0.8 1 0.3; 0.6 0.3 0.1]);
view(-168, 60); % Figure a
whitebg([0.3 0.3 0.3]);
xlabel('z-axis', 'FontSize',15, 'Color', 'black');
ylabel('y-axis', 'FontSize',15, 'Color', 'black');
zlabel('Optical path length (mm)', 'FontSize', 15, 'Color', 'black'), grid off;
```

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Index

3MS, see Modified Mini Mental State Exam

 β -pleated sheets, 170 β -lg , see β -lactoglobulin β -lactoglobulin, 201, 206 β -lactoglobulin gel, 201, 206 thickness, 207 β -lactoglobulin solution, 202, 207 thickness, 208 β -pleated sheets, 200 absorption coefficient, 134, 135, 143, 211, 233 AD, see Alzheimer's disease adipose tissue, 265 adsorb, 126 Alzheimer's disease, 170 diagnosis, 172 stages, 170 ALMA, see Atacama Large Millimeter Array Alzheimer, Alois, 172 amyloid- β , 170, 200 amyloidosis, 168, 170 Ångström, Knut, 10 array factor, 238 asbestos, 228 AT&T Bell Laboratories, 8, 31 Atacama Large Millimeter Array (ALMA), 7 atrophy, 172, 175, 190 Auston, David H., 8, 68 Auston switch, 68, 75 axis extraordinary, 63 optical, 63 axons, 172, 200 backscatter, 229

backward-wave oscillator , 42–43 backward wave tube, *see* backward-wave oscillator

bandwidth, 139 Bartholin, Rasmus, 62 Bartholinus, Erasmus, 62 basal cell carcinoma, 16, 17 BBB, see blood-brain-barrier BCC, see basal cell carcinoma beamline, 44 Beckmann, 227, 228, 352 Beer-Lambert law, 141 Bell Labs, see AT&T Bell Laboratories Bennett, Jr., William R., 31 Bielschowsky, Max, 179 Bielschowsky silver staining, 179 bilateral symmetry, 177 biomolecules, 122–128 birefringence, 62, 91, 224, 228, 235 Bloch, Felix, 103 blood, 111, 175 vessels, 111, 190, 193 blood-brain-barrier (BBB), 174 bolometer, 30, 43 bone, 272, 275 boundaries perfectly matched layers, 241 periodic, 241 symmetric, 241 brain, 110 atrophy, 172, 175, 190 bilateral symmetry, 177 core extraction, 183 donation, 176 imaging, 173 Bravais lattice, 316 buffer Hank's, 144, 320 phosphate buffered saline (PBS), 161 Bunsen, Robert Wilhelm, 4 BWO, see backward-wave oscillator

carcinotron, 42 CCD, see charge-coupled device cells, 105 non-pyramidal, 200 onion, 224 pyramidal, 200 cerebral cortex, 168, 172 cerebrospinal fluid (CSF), 175, 272, 275 Čerenkov (Cherenkov), Pavel Alekseyevich, 72 CG, see cingulate gyrus charge-coupled device, 296 chemometrics, 104 Cherenkov, see Čerenkov chopper, 73, 93 cingulate gyrus (CG), 181 Cleeton, Claud E., 4 cloth fibreglass, 224, 231, 236 coefficient absorption, 134, 135, 143, 211, 233 extinction, 211, 233 Fresnel, 141, 210 computed tomography, 226 commercial pulsed THz systems, 56-58 COMSOL, 255 Congo red, 174, 222 continuous wave THz, 28 cork, 224 cortex cerebral, 168, 172 entorhinal, 170 neocortex, 172, 183 cortical bone, 265 cryostat, 185, 206, 210 crystal system, 316 crystals centrosymmetrical, 60 noncentrosymmetrical, 60, 63 zinc blende, 64 CSF, see cerebrospinal fluid CT, see computed tomography CW THz, 28

cyclotron, 40 cylindrical scatterers, 212, 214 Czerny, M., 11 dehydration, 150–152 delay stage, 74 dementia, 170 dendrites, 200 DFG, see difference frequency generation dichroism, 228, 235 difference frequency generation, 38 dimers, 202 dispersion, 211 drugs, 9 dry ice, 183-185 dura mater, 272, 275 dye Congo red, 174, 222 Thioflavin-T (ThT), 174, 208 dye laser, 8 dynamic range, 139 electro-optic generation, 86 electric permittivity, 236 electrical transients, 67, 69 EO sampling, 69 electro-optic sampling, 8 Electron acceleration, 44–49 electron cyclotron maser instability, 41 electron microscopy, 199, 208 entorhinal cortex, 170 EO, see electro-optic epidermis, 274 *e*-ray, *see* ray, extraordinary ethics approval, 175 extinction coefficient, 141, 211, 233 fabric, 223 far-field, 237 far-infrared, 2, 5, 10

fat pork, 224

FEM, see Finite Element Method FEL, see free electron laser Fellgett, P.B., 11 fibres, 224, 228 hollow, 224 fibreglass, 224 fibrils, 200 field overlay pattern, 251 figure-of-merit, 315 fingerprint, 2 Finite Element Method, 241 Fizeau, Armand Hippolyte Louis, 11 Fourier Transform InfraRed (FTIR), 8, 11 Fourier Transform Spectroscopy (FTS), 11 free electron laser, 47-49, 278 freezing slow, 217 snap, 217 frequency doubling, see second harmonic generation Frequency Selective Surface (FSS), 300 Fresnel coefficient, 141, 210 FSS, see Frequency Selective Surface FTIR, see Fourier Transform InfraRed FTS, see Fourier Transform Spectroscopy function Bessel, 230 Hankel, 230 gases, 10 ammonia, 11 carbon, 10 hydrogen chloride, 11 oxygen, 10 General Electric Company, 40 geometrical optics, 228, 351 globules, 202 Golay, Marcel J. E., 5 Golay cells, 30, 43 Gould, Gordon, 4 grey matter, 183, 200, 272, 275

thickness, 183

Grischkowsky, Daniel, 8

group velocity mismatch, 89, 315 gyrotron, 40–42 hair, 225 follicles, 144, 225 harmonic generation, 10 hazardous chemicals, 9 heating THz , 278 Helmholtz equation, 263 Hermann-Mauguin convention, 317 Herriott, Donald R., 31 Herschel, Caroline, 5 Herschel, Sir Frederick William, 5 Herschel Space Observatory, 5 Hertzian dipole, 75 HFSS, see High Frequency Structure Simulator High Frequency Structure Simulator, 225, 241 High-frequency oscillation, 40-43 hippocampus, 170 homogenisation, 195 Hu, Bin Bin, 13 Huygens, Christiaan, 62

IBM Watson Research Center, 8, 34 IFG, see inferior frontal gyrus IG, *see* insular gyrus ill-conditioned, see inverse problem ill-posed, see inverse problem inferior frontal gyrus (IFG), 181 infinitely long right circular cylinder, 228 infrared, 2 infrared spectroscopy, 105 biotissue, 109 blood, 111 blood vessels, 111 brain, 110 cells, 105 skin, 107 insular gyrus (IG), 181 insertion device, 48 inverse problem, 309 ill-conditioned, 309

Index

ill-posed, 309 inverse scattering, 310 well-posed, 309 inverse scattering, 310 ionising, 2 isoelectric point, 201 *ITER*, 42

Javan, Ali, 31

Kirchhoff, Gustav Robert, 4 Kleinman symmetry, 313 klystron, 11, 40 Kramers, Hendrik (Hans), 142 Kramers-Kronig relation, 72 Kramers-Kronig relations, 8, 142 Kronig, Ralph de Laer, 142

lactose, 306 laser, 4 CO₂ gas, 31 dye, 8 femtosecond, *see* ultrafast HeNe gas, 31 optically pumped THz , 31 Spectra-Physics Mai Tai, 185, 208 ultrafast, 8, 73 Lawrence, Ernest Orlando, 40 LIA , *see* lock-in amplifier lock-in amplifier , 93 liquid cells, 126 lithium tantalate, 8 lyophilisation , 218

lyophilisation, 153 lysozyme, 201 magnetic resonance imaging, 226 magnetic permeability, 239

magnetic undulator, 48 magnetron, 11, 40 **Maiman, Theodore "Ted" Harold**, 4 manifold drying, 156 maser, 4 mercury arc lamps, 10

metal hole array (MHA), 300 MFG, see middle frontal gyrus MHA, see metal hole array microstructures, 198 coarse fibrillar, 199, 203 fibrillar, 200, 201 fine fibrillar, 199, 203 globular, 199, 201, 203 microtome, 144 microtron, 48 microwave, 2 middle frontal gyrus (MFG), 181 mid-infrared, 10 Mie, Gustav, 228 Mie scattering, 212, 226, 227 Miller index, 315 Mini Mental State Exam (MMSE), 173 Mittleman, Daniel M., 13 MMP, see Multiple Multipole Program MMSE, see Mini Mental State Exam model 4-term Cole-Cole, 268, 269 Cole-Cole, 268 Debye, 267 transmission line, 272 Modified Mini Mental State Exam (3MS), 173, 332 modulation, 93 monomers, 202 MRI, see magnetic resonance imaging Multiple Multipole Program, 255 mutual coupling, 241 near-infrared, 10 neocortex, 172, 183 neurons, 172, 200 Nichols, Ernest Fox, 5 NMR, see Nuclear Magnetic Resonance noise floor, 139

nonlinear materials, 58 Nuclear Magnetic Resonance (NMR), 103 nuclear fusion, 42

Nuss, Martin C., 13

OCT, *see* tomography, optical coherence oligomerisation, 202 OR , *see* optical rectification optical rectification , 61 optical coherence tomography, 226 optical gating, 69 optically pumped THz laser, *see* laser OPTL, *see* laser, optically pumped THz *o*-ray, *see* ray, ordinary

p, *see* polarisation, parallel paper, 224 parabolic mirror, 74 Patel, C. Kumar N., 31 pathology report, 179 PCA, see photoconductive antenna PDMS, see polydimethylsiloxane Pearson, Karl, 105 perfectly matched layers, 241 permeability magnetic, 239 permittivity electric, 236 PET, see positron-emission tomography pharmaceutical, 9 phase contrast, 296 phase object, 297 phase shift, 90 phosphate buffer, 206 photoconductive antenna (PCA), 75 photoconductivity, 68, 77-84 photodetectors balanced, 92 pI, see isoelectric point PIB, see Pittsburgh compound-B Picometrix, 185, 208 Pittsburgh compound-B (PIB), 174 Planck, Max, 97 PML, see boundaries, perfectly matched layers Pockels, Friedrich Carl Alwin (1865–1913), 63 Pockels effect, 62-67

point group, 317 polar molecules, 97, 98, 327 polarisation, 90 elliptical, 90 linear, 90 parallel, 63, 91, 92 perpendicular, 63, 91, 92 polydimethylsiloxane (PDMS), 302 polymers, 224 positron-emission tomography (PET), 174 powders, 224 Principal Component Analysis, 105 propagation constant, 263 protein β -lactoglobulin , 201 aggregation, 200 glial fibrillary acidic protein (GFA), 190 lipofuscin, 190 lysozyme, 201 plaques, 168, 170, 199, 200 tau, 190 whey, 201 Purcell, Edward Mills , 103 pyroelectric camera, 30 pyroelectric detector, 43

QCL , *see* quantum cascade laser quantum cascade laser , 36 QWP , *see* quarter wave-plate quarter wave-plate , 91

Rabi, Isidor Isaac, 103 radiation damage, 71, 84 Raman, Chandrashekhara Venkata, 102 Raman spectroscopy, 102 Randall, H.M., 11 ray extraordinary, 63, 91, 92 ordinary, 63, 91, 92 Rayleigh scattering, 212, 227 Rayleigh-Gans, 228, 350 reflection mode, 54, 224 refractive index, 135, 140, 143

absolute, 141 bulk, 230 complex, 141 effective, 230 refractive index ellipsoid, 64 regularisation, 310 resolution spatial, 2 temporal, 2 resonance, 2 Richards, P.L., 11 rock salt, 11 rotational activity, 10 roughness surface, 224 Rowland, Henry Augustus, 4 Rubens, Heinrich, 5 s, see polarisation, perpendicular S-parameters, 244 SA Brain Bank, 176 scatterers cylindrical, 212, 214, 224, 226 spherical, 212, 214, 225, 226 scattering, 211, 272 Beckmann, 227, 228, 352 cross section, 212 efficiency, 212, 214 geometrical optics, 228, 351 Mie, 212, 226, 227 Rayleigh, 212, 227 Rayleigh-Gans, 228, 350 Schawlow, Arthur "Art" Leonard, 4 Schottky diodes, 30 second harmonic generation, 60 security, 9, 224 terrorism, 9 senkrecht, see polarisation, perpendicular SFG, see superior frontal gyrus SFG, see sum frequency generation shading Beckmann, 227 Gouraud, 227

Phong, 227 Torrance and Sparrow, 227 shockwaves, 8, 67, 71 silicone rubber, 302 silver staining, 179 skin, 107, 265, 269, 272, 274 sodium chloride, 11 soil, 9 solver full-vectorial, 225 South Australian Brain Bank, 176 spatial resolution, 2 spherical scatterers, 212, 214 staining, 199 stratum corneum, 273, 274 Strong, John, 11 submillimeter, 2 sum frequency generation, 39 superior frontal gyrus (SFG), 181 synchrotron, 278 synchrotron, 44-47 syringe filter, 206 tablets coating, 10 tangles, 170 TDS, see time domain spectroscopy TE, see transverse electric THz configuration reflection, 54 transmission, 54 temporal resolution, 2 thermal gelation, 201 Thioflavin-T (ThT), 174, 208 ThT, see Thioflavin-T THz communication, 224 THz configuration reflection, 224 transmission, 224 THz heating, 278 Tikhonov, see regularisation time domain spectroscopy systems non-, 28

biotissue, 109 TM, *see* transverse magnetic tokamak, 41, 42 tomography computed, 226 near-IR , 226 optical coherence, 226 **Townes, Charles Hard**, 4 transmission mode, 54, 224 transverse electric, 228 magnetic, 228 travelling-wave tube, 11, 40, 42 trepanning drill, 183

ultra-far-infrared , 10 ultrafast laser, 8 undulator, *see* magnetic undulator

Valdmanis, Janis A., 69

Varian Associates, 40 Medical Systems, 40 Semiconductors, 40 vibrational activity, 10 vibrational-rotational, 10 vibratome, 144 viruses, 228 von Fraunhofer, Joseph, 4

```
water
```

bound, 127 liquid, 120 vapour, 9, 118 wave generation, 38 wavefront, 91 weapons, 9, 224 well-posed, *see* inverse problem white matter, 184, 272, 277 wiggler, 48 **Williams, Neal Hooker**, 4 Wollaston prism , 91 wood, 224 WP, see Wollaston prism

Zernike, Frits, 296 Zhang, Xi-Cheng, 9 zinc blende, 64

Résumé

Gretel Markris Png graduated in 1997 from the University of Edinburgh, UK with a Bachelor of Engineering degree (Electrical and Electronics) with first class honours. Between 1997 and 2001, she worked as a systems engineer in Sweden and Israel. In late 2001, she commenced her Master of Science (MSc) degree by coursework in Electrical Engineering and Computer Science at the University of California at Irvine (UCI), USA. She was accepted into the PhD program at UCI after completing her MSc degree, but due to changes in family circumstances, chose to emigrate to Australia in early 2004. She commenced her PhD candidature at the University of Adelaide in mid 2004 under the supervision of Dr Brian W.-H. Ng, Prof. Derek Abbott and Dr Sam Mickan.

Gretel has consistently won a number awards during her undergraduate and postgraduate studies. At the University of Edinburgh, she won the Harold Dickinson Memorial Prize for the best undergraduate dissertation. At UCI, she won the Excellence in Teaching and Mentoring Award. At the University of Adelaide, she won the Australian Postgraduate Award, and thirteen other national and international competitive awards and grants. These prizes have enabled her to travel to Rensselaer Polytechnic Institute in the USA to conduct collaborative THz research with Prof. Xi-Cheng Zhang, and to the University of Leeds in the UK to work with Prof. Robert E. Miles.

Gretel has authored and co-authored four peer-reviewed journal papers, and eight refereed conference papers. She has given four conference presentations, including an invited talk at the International Conference on Infrared, Millimeter, and Terahertz Waves (IRMMW-THz) in 2009. She has delivered technical talks to the South Australian chapter of the Institute of Electrical and Electronics Engineers (IEEE). Gretel was also the 2006/2007 President of the IEEE student branch at the University of Adelaide.

During her PhD candidature, Gretel took two semesters off to teach full-time at the University of Adelaide, and was involved in revamping the first-year undergraduate electronics laboratory course. In addition to her scientific research interest in medical spectroscopy and computational modelling, she is currently researching the use of *edutainment* and animation in undergraduate engineering and science education.

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Nobel laureate

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