The Role of Myocardial Fibrosis and Ventricular Mechanical Dyssynchrony in the Pathogenesis and Treatment of Contractile Myocardial Dysfunction

Darryl P. Leong, MBBS (Hons), MPH

Discipline of Medicine,

University of Adelaide

Submitted in fulfilment of the requirements of the degree of Doctor of Philosophy

July, 2011

Abstract

Structural and functional abnormalities of the left ventricle and atrium are important prognostic factors in patients with cardiovascular disease. Dysfunction of the left ventricle in heart failure exposes the left atrium to elevated pressures during diastole, which result in adverse left atrial remodelling and impairment.

Although the development of systolic left ventricular impairment has been linked with a number of causative factors, the pathophysiological cascade between these initiators of myocardial dysfunction and its overt manifestation has been incompletely characterised. Amongst the proposed intermediaries of systolic heart failure, recent attention has focussed on myocardial fibrosis and ventricular dyssynchrony. Myocardial fibrosis describes the extracellular deposition of collagen in response to an injurious process. This collagen deposition plays an important role in left ventricular remodelling, and may perpetuate myocardial dysfunction.

Ventricular dyssynchrony refers to the incoordinate contraction of the ventricles: inter-ventricular dyssynchrony is the temporal uncoupling of left from right ventricular contraction, and intra-left ventricular dyssynchrony pertains to heterogeneity in the time to regional mechanical activation within the left ventricle. Ventricular dyssynchrony has also been implicated in the pathogenesis of systolic heart failure, and its treatment by cardiac resynchronisation has been proven efficacious in selected patients with systolic left ventricular dysfunction.

Despite emerging evidence implicating myocardial fibrosis and ventricular dyssynchrony in heart failure, their causal relationship with each other, and their relative importance in the pathogenesis of myocardial dysfunction have been poorly characterised. The aims of this thesis are to 1) characterise the role of myocardial

fibrosis and ventricular dyssynchrony in the development of left ventricular and atrial mechanical dysfunction, and 2) examine the importance of myocardial fibrosis and ventricular dyssynchrony in the response to therapy of patients with systolic heart failure using non-invasive imaging approaches.

The first study presented in this thesis in Chapter 3 explores the relationship between left atrial mechanical and left ventricular function by evaluating the effects on left atrial mechanical function of eliminating left ventricular function through the temporary induction of ventricular fibrillation in patients undergoing routine defibrillation threshold testing following implantable cardioverter-defibrillator insertion. In this mechanistic study, the dependence of left atrial function on left ventricular function is demonstrated. This finding establishes the context for the subsequent research in this thesis on the effects of left ventricular function on the left atrium in idiopathic dilated cardiomyopathy and cardiac pacing.

Chapter 4 presents research investigating the prevalence of myocardial fibrosis and ventricular dyssynchrony in patients with a first presentation of idiopathic dilated cardiomyopathy. The influence of these factors, amongst other recognised prognostic factors in heart failure, on recovery of left ventricular systolic function is examined. The key finding of this chapter is that myocardial fibrosis and ventricular dyssynchrony are independent predictors of improvement in left ventricular systolic dysfunction amongst these patients. These results relate directly to the chief aims of this thesis.

In Chapter 5, abnormalities in left atrial structure and function in patients with a first presentation of idiopathic dilated cardiomyopathy are explored. This research demonstrates that structural and functional abnormalities are prevalent early in the time course of this condition, but that these derangements are reversible following appropriate medical therapy. This chapter extends on the findings of the previous two

chapters to illustrate how disease processes primarily affecting the left ventricle can impact upon the left atrium.

Chapter 6 aims to further develop the evidence that left ventricular dyssynchrony promotes ongoing left ventricular dysfunction through the study of patients who had been enrolled in a randomised trial of right ventricular apical versus right ventricular outflow tract septal pacing for bradycardia. The major findings of this research are that right ventricular apical pacing is associated with greater ventricular dyssynchrony, poorer left ventricular function and worse adverse left ventricular remodelling than outflow tract septal pacing. Moreover, the adverse left atrial structural and functional effects of right ventricular apical pacing and ventricular dyssynchrony are demonstrated. These results lend support to the theme that ventricular dyssynchrony, in this instance induced by pacing site, adversely influences left ventricular function, which in turn impacts in a deleterious manner on left atrial structure and function.

In chapter 7, the final study conducted in this thesis, the intuitive question arising from the findings of chapter 6 is addressed, namely: if induction of ventricular dyssynchrony is deleterious, is its reversal therapeutic? This study randomised patients undergoing cardiac resynchronisation therapy for advanced heart failure to routine simultaneous bi-ventricular pacing, or echocardiographic optimisation of V-V timing during bi-ventricular pacing, with the goal of further reduction in ventricular dyssynchrony. This study was unable to demonstrate a benefit of routine V-V optimisation in recipients of cardiac resynchronisation therapy despite achieving less left ventricular dyssynchrony. A trend towards improved functional status was observed, however.

This thesis has led to an improved understanding of the mechanisms underlying the development and perpetuation of left ventricular and atrial dysfunction, and the determinants of their response to heart failure therapy. Work of this nature

may allow the identification of novel diagnostic and therapeutic approaches to heart failure in the future.

Declaration

This work contains no material which has been accepted for the award of any other

degree or diploma in any university or other tertiary institution and, to the best of my

knowledge and belief, contains no material previously published or written by another

person, except where due reference has been made in the text.

I give consent to this copy of my thesis, when deposited in the University Library,

being available for loan and photocopying, subject to the provisions of the Copyright

Act 1968.

I also give permission for the digital version of my thesis to be made available on the

web, via the University's digital research repository, the Library catalogue, the

Australasian Digital Theses Program and also through web search engines.

Darryl Leong

26th January, 2011

6

Acknowledgements

Over the course of my PhD, I have been fortunate to receive support from many people. I am profoundly grateful to each of these. There are a number of individuals I must make particular mention of.

To my principal supervisors I extend sincerest thanks for their guidance and example. Prashanthan Sanders never ceases to inspire with his energy and enthusiasm. Joseph Selvanayagam has been a true mentor, whose teaching has laid the foundation for my career and aspirations. I have been privileged during my thesis to work with Stephen Worthley, Glenn Young, Matthew Worthley, Majo Joseph and Carmine De Pasquale, and it would be a pleasure to continue my relationships with them in the future. Special acknowledgement must go to Leo Mahar, for whom I have the utmost respect, having been witness to his selflessness and ethical standards.

To my colleagues during my PhD, I am most grateful for our shared experiences and catharsis, without which I do not think my work would have been possible. I have worked with many individuals across a number of sites in Adelaide, however I must single out Rishi Puri, Peter Psaltis, Suchi Grover, Benjamin Dundon, Muayad Alasady, Han Lim, Hany Abed, Dennis Lau, Kurt Roberts-Thomson, Payman Molaee, Mitra Shirazi, Anthony Brooks, Nick Shipp, Lucas Joerg and Per Madsen for their friendship, counsel and laughter. I am also very thankful to Amy Penhall, Rebecca Perry, Melissa Middledorp, Su Collings, Kerry Williams, Tina Collins, Brad Watson, Vijay Gupta, Anne-Marie Mitchell, Rebecca Leinonen, and Chris Davies for their help and support.

To the National Heart Foundation of Australia, the National Health and Medical Research Council of Australia, the Cardiac Society of Australia and New Zealand,

Medtronic Australasia, St. Jude Medical, Pfizer and the Dawes Foundation I offer deepest thanks for the personal and research support that has been extended to me during my thesis. Without such assistance neither my research nor that of others would be possible.

Lastly I cannot adequately express my gratitude to my family during not only my PhD but throughout my life. My parents have always fostered and encouraged my academic interests, and wish to dedicate any success I may have to them. To my wife, Jane, and my three wonderful children, Penny, Christian, and Alyssa, I will always be indebted for the sacrifices you have made in order for me to pursue my career. Your love reminds me about what is most important - for this thank you.

Peer Reviewed Journal Publications

Chapter 1

- Darryl P. Leong, Carmine G. De Pasquale, Joseph B. Selvanayagam. Imaging in Heart Failure With Normal Ejection Fraction: The Complementary Roles of Echocardiography and Cardiac Magnetic Resonance Imaging. *J Am Coll Cardiol* Img 2010; 3(4): 409-20.
- <u>Darryl P. Leong</u>, Per L. Madsen, Joseph B. Selvanayagam. The Non-Invasive Evaluation of Myocardial Fibrosis: Implications for the Clinician. *Heart* 2010; 96: 2016-24.

Chapter 3

Manuscript awaiting supervisor approval for submission.

Chapter 4 and 5

European Heart Journal invited (3rd) revision currently under review.

Chapter 6

<u>Darryl P. Leong</u>, Anne-Marie Mitchell, Anthony G. Brooks, Muayad Alasady, Han S. Lim, Gautam Sharma, Ingrid Salna, Malcolm Barlow, James Leitch, Prashanthan Sanders, Glenn D. Young. Long-term mechanical consequences of permanent right ventricular pacing: Effect of pacing site. *Journal of Cardiovascular Electrophysiology* 2010; 21(10): 1120-6.

Chapter 7

Manuscript awaiting supervisor approval for submission.

Table of Contents

Chapter 1 – Background19
1.1. Introduction20
1.2. Pathology and Pathophysiology of Heart Failure 21
1.3. Heart Failure and Systolic Left Ventricular
Function22
1.3.1. Echocardiographic Techniques for the Assessment of Systolic Left Ventricular Function
1.3.2. Cardiac Magnetic Resonance Techniques for the Assessment of Systolic Left Ventricular Function
1.4. Heart Failure and Diastolic Left Ventricular
Function29
1.4.1. Echocardiographic Techniques for the Assessment of Diastolic Left Ventricular
1.4.2. Cardiovascular Magnetic Resonance Techniques for the Assessment of
Diastolic Left Ventricular Function31
1.5. Heart Failure and Right Ventricular Structure and
Function35
1.5.1. Echocardiographic Techniques for the Assessment of Systolic Right
Ventricular Function

1.5.2. Cardiovascular Magnetic Resonance Techniques for the Assessment of	
Systolic Right Ventricular Function	36
1.6. Heart Failure and Left Atrial Structure and	
Function	38
1.6.1. Assessment of Left Atrial Structure and Remodelling	38
1.6.2. Assessment of Left Atrial Function	39
1.7. Myocardial Dysfunction and Ventricular	
Mechanical Dyssynchrony	42
1.7.1. The Echocardiographic Evaluation of Interventricular Mechanical	
Dyssynchrony	43
1.7.2. The Evaluation of Intra-Left Ventricular Mechanical Dyssynchrony	44
1.7.3. Post-Systolic Contraction	49
1.7.4. Ventricular Mechanical Dyssynchrony in Right Ventricular Pacing	50
1.7.5. The Role of V-V Optimisation in Cardiac Resynchronisation Therapy	51
1.8. Heart Failure and Myocardial Fibrosis	54
1.8.1. Pathogenesis of myocardial fibrosis	54
1.8.2. Cardiac magnetic resonance (CMR) imaging and the evaluation of myoca	rdial
fibrosisfibrosis	55
1.8.3. Echocardiography and the evaluation of myocardial fibrosis	62
1.8.4. Nuclear imaging and the evaluation of myocardial fibrosis	64
1.8.5. Biomarkers of myocardial fibrosis	66
1.9. Summary	68

Chapter 2 – Wethods	
2.1. Introduction	73
2.2. Transthoracic Echocardiographic Image	
Acquisition	73
2.3. Transthoracic Echocardiographic Image	Analysis
	74
2.3.1. Left Ventricle	74
2.3.2. Left Atrium	75
2.4. Cardiovascular Magnetic Resonance Ima	ge
Acquisition	75
2.5. Cardiovascular Magnetic Resonance Ima	ge
Analysis	76
Chapter 3 – The Ventricular Dependence of Left A	trial
Mechanical Function	84
3.1. Background	84
3.2. Methods	85
3.2.1. Subject Characteristics	85
3.2.2. Study Protocol	85
3.2.3. Transthoracic echocardiogram protocol	86

3.2.4. Trans-oesophageal echocardiogram protocol	. 86
3.2.5. Statistical Analysis	. 87
3.3. Results	88
3.3.1. Subject Characteristics	. 88
3.3.2. Effects of Ventricular Fibrillation and Defibrillation on Left Atrial Appendage	
Emptying Velocity	. 88
3.3.3. Effects of Ventricular Fibrillation and Defibrillation on Left Atrial Spontaneous	S
Echocardiographic Contrast	. 89
3.3.4. Effects of Ventricular Fibrillation and Defibrillation on Trans-Mitral Doppler	
Parameters	. 89
3.3.5. Atrial Rhythm During Ventricular Fibrillation	. 89
3.3.6. Inter-Observer Variability	. 90
3.4. Discussion	91
3.4.1. Major Findings	. 91
3.4.2. Mechanistic Implications	. 91
3.4.3. Clinical Implications	. 92
3.4.4. Study Limitations	. 93

Chapter 4 - Effects of Myocardial Fibrosis and Ventricular

Dyssynchrony on Response to Therapy in New
Presentation Idiopathic Dilated Cardiomyopathy: Insights

From Cardiovascular Magnetic Resonance and

Ech	ocardiography1	00
	4.1. Background1	00
	4.2. Methods1	02
4.2.1.	Subject Characteristics	102
4.2.2.	Treatment of Heart Failure	103
4.2.3.	Study Protocol	103
4.2.4.	Cardiovascular Magnetic Resonance Protocol	104
4.2.5.	Echocardiography Protocol	104
4.2.6.	Exercise Testing Protocol	104
4.2.7.	Blood Samples	104
4.2.8.	Statistical Analysis	105
4.2.9.	Inter-observer variability	106
	4.3. Results1	07
4.3.1.	Baseline Characterisation of Idiopathic Dilated Cardiomyopathy Patients	107
4.3.2.	Response to Heart Failure Therapy	108
4.3.3.	Predictors of Response to Medical Therapy	108
4.3.4.	Baseline LGE and Ventricular Dyssynchrony	110
4.3.5.	Inter-Observer Agreement	110
	4.4. Discussion1	11
4.4.1.	Major Findings	111

4.4.2. The Prognostic Significance of CMR Late-Gadolionium Enhancement in DCM
4.4.3. The Prognostic Significance of Ventricular Dyssynchrony
4.4.4. Clinical Implications
4.4.5. Study Limitations
4.4.6. Conclusion
Chapter 5 – Left Atrial Remodelling and Reverse-
Remodelling With Therapy in New-Presentation Idiopathic
Dilated Cardiomyopathy12
5.1. Introduction12
5.2. Methods12
5.2. Methods
5.2.1. Subject Characteristics

5.3.3. Predictors of LA Reverse Remodelling	131
5.3.4. Predictors of Change in LA Strain	131
5.4. Discussion	132
5.4.1. Major Findings	132
5.4.2. Clinical Implications	132
5.4.3. Study Limitations	133
Chapter 6 – Long-Term Mechanical Conse	•
Permanent Right Ventricular Pacing: Effect	•
6.1. Background	
6.2. Methods	138
6.2.1. Patient Characteristics	138
6.2.2. Echocardiogram Protocol	139
6.2.3. Statistical Analysis	139
6.3. Results	141
6.3.1. Patient Characteristics	141
6.3.2. Left Ventricular Analysis	141
6.3.3. Left Atrial Analysis	143
6.3.4. Influence of Duration and Percentage of Time Paced.	143
6.3.5. Inter-Observer Agreement	144

6.4. Discussion	145
6.4.1. Major Findings	145
6.4.2. Implications of Right Ventricular Pacing Site for Left Ventricular Function	า 145
6.4.3. Implications of Permanent Pacing for Left Atrial Structure and Function.	148
6.4.4. Study Limitations	148
6.4.5. Conclusions	149
Chapter 7 – A Long-Term Prospective Randomised	
Evaluation of V-V Delay Optimisation to Reduce Intra-L	_eft
Ventricular Dyssynchrony in Cardiac Resynchronisation	on
Therapy	158
7.1. Introduction	158
7.2. Methods	160
7.2.1. Patient Characteristics	160
7.2.2. Study Design	160
7.2.3. Echocardiography Protocol	161
7.2.4. CRT Optimisation Protocol	161
7.2.5. Echocardiography Analysis	162
7.2.6. Evaluation of Outcomes	162
7.2.7. Statistical Analysis	163
7.3. Results	164

7.3.1. Cardiac Function and Remodelling	164
7.3.2. Patient Functional Status	164
7.3.3. Effects of V-V Optimisation	165
7.3.4. Time-to-Event Analysis	165
7.3.5. Inter-Observer Variability	166
7.4. Discussion	167
7.4.1. Major Findings	167
7.4.2. Previous Studies	167
7.4.3. Clinical Implications	169
7.4.4. Study Limitations	169
Chapter 8 – Final Discussion	177
Chapter 9 - Future Directions	180
Chanter 10 – Reference List	182

Abbreviations

CHF = congestive heart failure

CMR = cardiovascular magnetic resonance

CRT = cardiac resynchronisation therapy

DCM = idiopathic dilated cardiomyopathy

HFNEF = heart failure with normal ejection fraction

HFREF = heart failure with reduced ejection fraction

IVMD = interventricular mechanical dyssynchrony

LA = left atrial

LAAEV = left atrial appendage emptying velocity

LAVI = left atrial volume index

LASEC = left atrial spontaneous echocardiographic contrast

LGE = late-gadolinium enhancement

LV = left ventricular

LVEDVI = left ventricular end-diastolic volume index

LVEF = left ventricular ejection fraction

LVESVI = left ventricular end-systolic volume index

NICM = non-ischaemic dilated cardiomyopathy

NT-pro-BNP = N-terminal pro-brain natiuretic peptide

NYHA = New York Heart Association

RV = right ventricular

RVA = right ventricular apical

RVEF = right ventricular ejection fraction

RVOT = right ventricular outflow tract

TAPSE = tricuspid annular plane systolic excursion