

Modelling interaction of DNA with carbon nanostructures

Mansoor Hassan S Alshehri

Thesis submitted for the degree of

Doctor of Philosophy

in

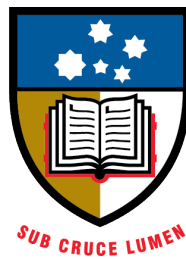
Applied Mathematics

at

The University of Adelaide

(Faculty of Engineering, Computer and Mathematical Sciences)

School of Mathematical Sciences



July 2014

Contents

Abstract	x
Signed Statement	xii
Acknowledgements	xiii
Author's publications	xiv
1 Introduction	1
1.1 Overview	1
1.1.1 Interaction of DNA with carbon nanotubes	4
1.1.2 Adsorption of DNA on graphite	7
1.1.3 The binding of C ₆₀ fullerene to DNA	10
1.1.4 Continuum approximation and Lennard-Jones potential	11
1.1.5 Thesis structure	14
1.1.6 Nomenclature	15
2 Interaction of double-strand DNA inside single-walled carbon nanotubes	16
2.1 Abstract	16
2.2 Introduction	17
2.3 Atomic interaction potentials	20

2.3.1	Lennard-Jones potential	20
2.3.2	DNA and CNT geometry	21
2.3.3	General case	23
2.4	Interaction of carbon nanotube with DNA	24
2.4.1	Special case $\phi = \pi$	26
2.5	Conclusions	29
2.5.1	Nomenclature	31
3	Determination of the optimal nanotube radius for single-strand DNA encapsulation	32
3.1	Abstract	32
3.2	Introduction	33
3.3	Energy between ssDNA molecule and carbon nanotube	35
3.3.1	Suction energy ssDNA entering carbon nanotube	37
3.3.2	Preferred single-walled carbon nanotube to enclose ssDNA	40
3.4	Conclusions	44
3.4.1	Nomenclature	46
4	Offset configurations for single and double strand DNA inside single-walled carbon nanotubes	47
4.1	Abstract	47
4.2	Introduction	48
4.3	Continuum approximation and Lennard-Jones potential	50
4.4	Offset ssDNA molecule inside SWCNT	51
4.5	Offset dsDNA molecule inside SWCNT	55
4.5.1	Offset dsDNA molecule inside SWCNT for $\phi = \pi$	57
4.5.2	Offset dsDNA molecule inside SWCNT for $\phi = 12\pi/17$	59
4.6	Conclusions	60

4.6.1	Nomenclature	61
5	DNA adsorption on graphene	62
5.1	Abstract	62
5.2	Introduction	63
5.3	Method	65
5.4	Interaction of ssDNA and dsDNA molecules with graphene sheet when helix axis is perpendicular to sheet	67
5.4.1	Interaction of dsDNA molecule and graphene sheet	68
5.4.2	Interaction of ssDNA molecule and graphene sheet	69
5.5	Interaction of ssDNA and dsDNA molecules with graphene sheet when helix axis is parallel to sheet	71
5.5.1	Interaction of dsDNA molecule and graphene sheet	72
5.5.2	Interaction of ssDNA molecule and graphene sheet	72
5.6	Interaction of ssDNA and dsDNA molecules with graphene sheet for different helix axis orientations	75
5.7	Conclusions	77
5.7.1	Nomenclature	81
6	C₆₀ fullerene binding to DNA	82
6.1	Abstract	82
6.2	Introduction	83
6.3	Mathematical modelling	85
6.4	Interaction between C ₆₀ and dsDNA	85
6.4.1	Interaction of C ₆₀ and dsDNA for $\phi = \pi$	88
6.4.2	Interaction of C ₆₀ and dsDNA for $\phi = 12\pi/17$	89
6.5	Interaction of C ₆₀ and ssDNA	92
6.5.1	Binding energy of C ₆₀ fullerene to ssDNA	92

6.5.2	Interaction of fullerenes assuming helical configuration to axis of ssDNA	96
6.6	Conclusions	100
6.6.1	Nomenclature	102
7	Summary	103
A		108
A.1	Analytical evaluation of (2.3.1)	108
A.2	Analytical evaluation of (2.4.1)	110
B		112
B.1	Analytical evaluation of (3.3.1)	112
C		117
C.1	Analytical evaluation of (4.4.2)	117
C.2	Analytical evaluation of J_n appeared in (4.5.4)	119
D		123
D.1	Analytical evaluation of I_n^* appeared in (5.5.1)	123
D.2	Analytical evaluation of Y_n appeared in (5.6.2)	125
E		127
E.1	Analytical evaluation of (6.4.1)	127
	Bibliography	132

List of Tables

1.1.1	Lennard-Jones parameters [1]	13
2.3.1	Numerical values of constants used in this chapter.	22
3.3.1	Numerical values of constants used in this chapter.	38
4.3.1	Numerical values of constants used in this chapter (* denotes data from [2] and ** denotes data from [3]).	52
5.3.1	Numerical values of constants used in this chapter (* denotes data from [2] and ** denotes data from [3]).	67
5.6.1	Interaction energy for different values of the rotational angle Ω . . .	77
6.3.1	Numerical values of constants used in this chapter (* denotes data from [2], ** denotes data from [3] and *** denotes data from [4]). .	86
6.5.1	Angular spacing Φ and energy of system $E_{cc}/N - 1$ (eV) for a pair of C_{60} fullerenes in helical configuration comprising N C_{60} molecules.100	

List of Figures

1.1.1	Assumed geometry for one turn of helix (34 Å) in double helix of B-DNA	2
1.1.2	Graphene-based nanostructures [5]	8
2.3.1	Assumed geometry of double helix B-DNA for one turn of helix (34 Å)	22
2.3.2	Double-strand DNA molecule inside a single-walled carbon nanotube	25
2.4.1	Total interaction potential between DNA molecule inside (18, 18)-(21, 21) CNTs as function of DNA radius r for $\phi = 12\pi/17$	26
2.4.2	Total interaction potential between DNA molecule and CNT as function of tube radius a for $\phi = 12\pi/17$	27
2.4.3	Total interaction potential between DNA molecule inside (18, 18)-(21, 21) CNTs as function of DNA radius r for the special case $\phi = \pi$	28
2.4.4	Total interaction potential between DNA molecule and CNT as function of tube radius a for the special case $\phi = \pi$	29
3.3.1	Assumed geometric profile of ssDNA molecule	36
3.3.2	ssDNA molecule at entrance to single-walled carbon nanotube	39

3.3.3	Interaction potential between ssDNA molecule and (10, 10)-(16, 16) carbon nanotubes	41
3.3.4	Single-strand DNA molecule inside single-walled carbon nanotube .	43
3.3.5	Interaction potential between ssDNA molecule inside (8, 8)-(13, 13) CNTs as function of ssDNA radius r	43
3.3.6	Interaction potential between ssDNA molecule and CNT as function of tube radius a	44
4.3.1	Geometry for (a) double and (b) single helicoid of B-DNA for one complete rotation of helix (34 Å)	52
4.4.1	Schematic for offset ssDNA molecule inside single-walled carbon nanotube	55
4.4.2	Total potential energy for offset ssDNA molecule inside (13, 13), (16, 16) and (20, 20) SWCNTs with respect to the offset distance δ	55
4.5.1	Schematic for offset dsDNA molecule inside a single-walled carbon nanotube	58
4.5.2	Total potential energy for offset dsDNA molecule inside (20, 20), (23, 23) and (26, 26) SWCNTs with respect to the offset distance Δ for $\phi = \pi$	58
4.6.1	Total potential energy for offset dsDNA molecule inside (20, 20), (23, 23) and (26, 26) SWCNTs with respect to the offset distance Δ for $\phi = 12\pi/17$	60
5.4.1	Interaction between ssDNA and dsDNA molecules with helix axis for the perpendicular to sheet	70
5.4.2	Total potential energy when axis of DNA helix is perpendicular to graphene sheet	71
5.5.1	Interaction between ssDNA and dsDNA molecules with helix axis parallel to sheet	74

5.5.2	Total potential energy of DNA molecule when helix axis is parallel to graphene sheet	74
5.6.1	Interaction between ssDNA and dsDNA molecules with graphene sheet for arbitrary helix axis inclination.	78
5.6.2	Total potential energy of the DNA molecule with graphene sheet for different values of the rotational angle Ω	78
5.6.3	Interaction between the DNA molecule for helix axis (a) perpendicular (b) parallel to graphene sheet for different lengths of DNA. . .	79
6.4.1	C_{60} fullerene binding to dsDNA molecule	88
6.4.2	Energy profile of C_{60} binding to dsDNA with respect to distance Δ for $\phi = \pi$	90
6.4.3	Energy profile of C_{60} binding to dsDNA molecule with respect to rotational angle Ω for $\phi = \pi$	91
6.4.4	3D plot of C_{60} binding to dsDNA at $\Omega = \pi/2, 3\pi/2$ and $\Delta = 7.7 \text{ \AA}$ for $\phi = \pi$	91
6.4.5	Energy profile of C_{60} binding to dsDNA with respect to distance Δ for $\phi = 12\pi/17$	93
6.4.6	Energy profile of C_{60} binding to dsDNA with respect to rotational angle Ω for $\phi = 12\pi/17$	94
6.4.7	3D plot of C_{60} binding to dsDNA at $\Omega = 2.1, 5.4$ rad and $\Delta = 2.4, 14.5 \text{ \AA}$ for $\phi = 12\pi/17$	94
6.5.1	C_{60} fullerene binding to ssDNA molecule.	95
6.5.2	Energy profile of C_{60} binding to ssDNA with respect to distance δ .	97
6.5.3	(a) energy profile for C_{60} binding to ssDNA with respect to rotational angle Ω , (b) relation between distances δ and tilting angle Ω	98
6.5.4	3D plot of C_{60} binding to ssDNA at $\Omega = \pi/2, 3\pi/2$ rad and $\delta = 6.5 \text{ \AA}$	98

6.5.5	Helical configuration for N C_{60} fullerenes binding to ssDNA for $\delta = 6.5 \text{ \AA}$	100
6.5.6	3D plot of several C_{60} binding to ssDNA at $\Phi = 1.23 \text{ rad}$ and $\delta = 6.5 \text{ \AA}$.	101

Abstract

This thesis focuses on the development of mathematical models for the interaction between deoxyribonucleic acid molecules (DNA) and certain carbon nanostructures. We model such atomic interactions by adopting the 6-12 Lennard-Jones potential and the continuum approach. The latter assumes that a discrete atomic structure can be replaced with an average constant atomic surface density of atoms that is assumed to be smeared over each molecule, in our case a DNA molecule and a carbon nanostructure. First, we develop a mathematical model for the interaction between a deoxyribonucleic acid molecule and a carbon nanotube, and we examine the storage of DNA molecules in carbon nanotubes. Following earlier authors, the carbon nanotube is modelled as a right circular cylinder, while the helical structure of the DNA molecule is modelled as a continuously twisted ribbon. We next determine the binding energies between DNA molecules interacting with a graphene sheet, and finally, we determine the binding energies of a C_{60} fullerene interacting with a DNA molecule.

Experiments in nanotechnology are often expensive and time consuming, and mathematical models and numerical simulations are necessary to complement the efforts of experimentalists and to confirm observed experimental outcomes. Despite recent improvements in the rapidity of numerical simulations, they can be more time consuming than the direct evaluation of an analytical expression arising from a mathematical model, because of the large numbers of atoms and force-field cal-

culations that may be involved. Although a mathematical model will necessarily include many assumptions and approximations, nevertheless often the main physical parameters and optimal configurations can be accurately predicted. The model calculations presented here for ideal systems, represent average outcomes, and generally there is good agreement with any existing numerical results that are obtained from more intensive computational schemes.

Here, we model the mechanics of the encapsulation of DNA molecules in carbon nanotubes to determine the optimal carbon nanotube that encloses the DNA molecule. The total interaction energy is calculated from the continuum approximation, where the atoms in each structure are assumed to be smeared over the surfaces of an ideal cylinder and a twisted ribbon, and the optimal carbon nanotube to enclose the DNA molecule is derived as the minimum energy configuration. Moreover, the binding energies between the DNA molecule adsorbing onto a graphene surface are derived by minimizing the binding energies to determine the preferred locations of the DNA molecules with respect to the graphene sheet. Finally, the binding of C_{60} to a DNA molecule is investigated, again by adopting the continuum approximation for modelling nanostructures.

In summary, the original contribution of this thesis is the development of ideal mathematical models and new analytical formulae for the interaction energy between deoxyribonucleic acid molecules and various types of carbon nanostructures, including carbon nanotubes, graphite, and C_{60} fullerene. The interaction energies between the DNA molecules and the nanostructures are determined analytically from the mathematical models and thus can be readily evaluated using standard computer algebra packages such as MAPLE and MATLAB. Hence, the interaction mechanisms and equilibrium configurations for a wide variety of systems might be fully and quickly investigated.

Signed Statement

I certify that this work contains no material which has been accepted for the award of any other degree or diploma in any university or other tertiary institution and, to the best of my knowledge and belief, contains no other material previously published or written by another person, except where due reference has been made in the text. In addition, I certify that no part of this work will, in the future, be used in a submission for any other degree or diploma in any university or other tertiary institution without the prior approval of the University of Adelaide. I give consent to this copy of my thesis when deposited in the University Library, being made available for loan and photocopying, subject to the provisions of the Copyright Act 1968. The author acknowledges that copyright of the published works contained within this thesis resides with the copyright holder(s) of those works.

I also give permission for the digital version of my thesis to be made available on the web, via the University's digital research repository, the Library catalogue and also through the web search engines, unless permission has been granted by the University to restrict access for a period of time.

SIGNED: DATE:

Acknowledgements

I gratefully acknowledge the people who have made this thesis possible. First of all I would like to thank the tireless assistance of my supervisors Dr. Barry Cox and Prof. Jim Hill. Without their informal approach, unwavering support, never ending advice and countless appointment less meetings, this thesis would never have been possible. I would also like to thank the King Saud University (Saudi Arabia) for the awarding of a PhD Scholarship.

Author's publications

1. M. H. Alshehri, B. J. Cox, and J. M. Hill. Interaction of double-stranded DNA inside single-walled carbon nanotubes. *Journal of Mathematical Chemistry*, 50:2512–2526, 2012.
2. M. H. Alshehri, B. J. Cox, and J. M. Hill. DNA adsorption on graphene. *European Physical Journal D*, 67: 226 (9pp), 2013.
3. M. H. Alshehri, B. J. Cox, and J. M. Hill. Offset configurations for single- and double-strand DNA inside single-walled carbon nanotubes. *European Biophysics Journal*, 43: 25–33, 2014.
4. M. H. Alshehri, B. J. Cox, and J. M. Hill. Determination of the optimal nanotube radius for single-strand DNA encapsulation. *Micro and Nano Letters*, 9: 113–18, 2014.
5. M. H. Alshehri, B. J. Cox, and J. M. Hill. C₆₀ fullerene binding to DNA. *Submitted to The European Physical Journal B*, June 2014.